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| 5 | Types of second primary cancers influence survival in chronic lymphocytic and |
| 6 | hairy cell leukemia patients |
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Second primary cancers (SPCs) are becoming more common as the survival in cancer is improving, and they are of main concern in cancers of good survival because they may cause early mortality. Here we want to test the hypothesis that the type of SPC is critical for survival and we further posit that the survival time can be predicted from the fatality of these cancers as first primary cancers. We test the hypotheses on two leukemias with good survival, with the common chronic lymphocytic leukemia (CLL) and the rare hairy cell leukemia (HCL). In the comparison of survival rates we use relative survival to avoid biases in the definition of the cause of death.

51 CLL is characterized by the gradually accumulation of small phenotypically mature malignant B lymphocytes in the blood, bone marrow and lymph nodes¹. CLL may be preceded by monoclonal 52 B-cell lymphocytosis, which evolves to CLL through genetic changes including somatic mutations 53 54 and chromosomal aberrations¹. Many patients are diagnosed at an asymptomatic stage and may 55 not initially require treatment. Management of symptomatic patients includes chemotherapy with 56 alkylating agents and purine analogues, combination of chemotherapy and immunotherapy, and drugs that target key signaling pathways^{1, 2}. Survival rates for patients with CLL have 57 continuously improved mainly due to more efficient treatment^{2,3}. Increased survival rates increase 58 59 the likelihood of second primary cancers (SPCs), which may potentially interfere with survival. 60 Elevated risks for SPC have been reported in patients with CLL, including non-melanoma skin cancer, melanoma, sarcoma, and lung, renal and prostate cancers^{4, 5}. It was reported that CLL 61 62 patients with second malignancies have a worse relative survival than non-CLL patients with the same second malignancies 6,7 . 63

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HCL is a B-cell disease with common somatic *BRAF* mutations. Many patients have an indolent
course and no therapies are required ⁸. Therapies were developed in 1990 based on purine
analogues, which achieved good response rates, and more recently targeted treatments have
become available including inhibition of the mutated BRAF kinase ⁸. Since 1990, relative survival

has been close to the background population among patients diagnosed before the age of 60 years
and has now improved to approximately 90% even among elderly people ⁸. Increased risks of
SPCs in HCL patients have been reported for Hodgkin and non-Hodgkin lymphoma (NHL) and for
thyroid cancer ^{9, 10}.

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74 We used data from the Swedish Cancer Registry to assess survival in CLL and HCL with and 75 without SPCs. In addition, we grouped SPCs into three 'prognostic groups' based on 5-year relative survival of these cancers as first primary cancer ^{11, 12}: 'good survival' (relative survival 76 77 >60%) included cancers in the lip, larynx, anus, breast, cervix, endometrium, prostate, testis, male 78 genitals, kidney, bladder, melanoma, skin (squamous cell, SCC), eye, thyroid gland and endocrine 79 and Hodgkin lymphoma; 'moderate survival' (40-60%) included cancers in the remaining upper 80 aerodigestive tract, salivary glands, small intestine, colorectum, female genitals, bone and 81 connective tissue and non-Hodgkin lymphoma; 'poor survival' (<40%) included cancers in the 82 stomach, esophagus, liver, pancreas, lung, ovary and nervous system and myeloma. Relative 83 survival was calculated by using the observed survival in the patient cohort divided by the 84 expected survival obtained from the general cancer-free population (which can be identified using 85 the data from the nation-wide cancer registry), matched on age, sex, calendar period, county and socioeconomic status. The expected survival was calculated with the Ederer II method ¹³. The 86 standard error of the observed survival was estimated by Greenwood's formula¹⁴. Patients 87 88 diagnosed between 1991 and 2015 were included in the study. Relative survival in adult patients (> 89 20 years), with and without SPC, was measured from the time of diagnosis until death, 90 immigration or 2015, whichever came first. Multivariable Cox proportional hazard regression 91 model adjusting sex, age and calendar year of first cancer diagnosis and socioeconomic status was 92 applied to assess hazard ratios (HRs) and linear trends of HRs among patients with SPC in 93 different prognostic groups compared to patients without SPC. In this model, the diagnosis of SPC was treated as a time-dependent variable in order to avoid the immortal time bias ¹⁵. Trend test was 94 95 performed by considering patients without any SPC, with SPC of good, moderate and poor

96 prognosis as continuous variable. All statistical analyses were performed in SAS (version 9.4) and

97 R software. The study was approved by the ethical committee of the University of Lund.

98

99 Among 9 338 CLL patients, a total of 1571 were diagnosed with SPC (16.8%) after a median 100 (interquartile, 1-7) follow-up time of four years; 5 639 deaths were recorded and of these 1122 101 (19.9%) were in patients diagnosed with a SPC. Among 718 HCL patients, a total of 119 were 102 diagnosed with SPC (16.6%) after a median (interquartile, 2-11) follow-up time of seven years; of 103 234 HCL deaths, 57 (24.4%) were recorded in patients with SPC. For CLL patients with second 104 cancer of poor prognosis, the main two SPCs were lung and brain cancers, for those with moderate 105 prognosis, they were non-Hodgkin lymphoma and colorectal cancer, and for those with good 106 prognosis, they were skin (squamous cell) and prostate cancers. In HCL patients with second 107 cancer, the main two SPCs were the same as with CLL in three groups of different prognosis. 108 Corresponding case numbers, relative survival and 95% confidence intervals (CIs) for CLL are 109 detailed in Supplementary Table 1.

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111 Fig. 1 shows relative survival for CLL and HCL, with and without SPC, and in patients with SPC 112 in the three prognostic groups. For CLL, survival was significantly better (non-overlapping 113 95%CIs) for patients with SPC compared to those without SPC in the first year and years 2 to 5 114 after diagnosis (Fig. 1a, and Supplementary Table 1). The survival rate was reversed in subsequent 115 years but was not significant. For HCL, the data between patients with and without SPC were 116 essentially similar: in year 1, patients with SPC had significantly better survival than those without 117 SPC but survival was reversed at subsequent periods, yet the differences were not significant (Fig. 118 1b, Supplementary Table 1). CLL patients in the good prognostic group showed excellent survival 119 during the first years but with time the rate equalized with that of moderate prognosis (Fig. 1c). In 120 the poor prognostic group, survival was lower at all follow-up times and the rates differed 121 significantly from patients without SPC in follow-up times after year 1. For HCL the survival of 122 good and moderate prognosis patients did not differ but those for poor prognosis were modestly 123 suppressed (significant for years 7 to 16 years compared to patients without SPC).

| 125 | Patients had to survive some time to be diagnosed with SPC, which is a condition for immortality |
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| 126 | bias. To better understand the effect of different prognostic groups on the survival in SPC, a time |
| 127 | dependent analysis was necessary to avoid the bias. Multivariable Cox proportional hazard |
| 128 | regression was performed by treating SPC diagnosis as time-dependent variable stratified in |
| 129 | prognostic groups (Table 1). CLL patients with diagnosis of SPC of good prognosis experienced |
| 130 | worse survival compared to those without any SPC diagnosis (HR=1.76, 95%CI: 1.61-1.92). |
| 131 | Patients with SPC of moderate (HR=2.18, 1.76-2.70) and poor prognosis (HR=5.83, 4.83-7.03) |
| 132 | survived even worse. The trend test for HRs was highly significant (P-trend= 2×10^{-16}). For HCL, |
| 133 | the HRs for patients with SPCs of good, moderate and poor prognosis were respectively 1.69 |
| 134 | (1.11-2.57), 2.15 (0.92, 5.02) and 13.34 (4.92-36.33) and the trends were also significant (P- |
| 135 | trend= 5×10^{-6}). |

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137 The data shows that even for cancers with relatively good overall survival, those with SPC are a 138 subgroup for whom survival may essentially deviate from patients without SPC and who may often 139 be forgotten in prognostic evaluations. SPCs are a challenging issue concerning cancer survival 140 and attempts to increase patient outcome cannot disregard the effect of SPCs. We tested, for the 141 first time, the hypothesis that survival in SPCs would follow the survival experience known for 142 first primary cancers. The hypothesis appeared to be correct and the trend tests between prognostic 143 groups were highly significant, especially for CLL with large case numbers. Patients with SPC 144 presented good survival in the early stage of follow-up time which is known as immortal time but 145 experienced poor survival after diagnosis of SPC. This pattern of survival may indicate that some 146 active drugs have led to better outcomes early but also caused mutations that subsequently lead to 147 second malignancies.

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Early mortality in CLL and HCL may be caused by severe infections. If the patient dies, SPCs may
remain underreported. Another reason for underreporting of SPCs could be less vigilant diagnostic

| 151 | procedures in ill or frail patients ¹⁶ . Such underreporting may be a complication in survival studies | | | | | |
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| 152 | thus masking the influence of SPCs but can be detected in the analysis of follow-up trends. | | | | | |
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| 173 | | | | | | |
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| 249 | FIGURE LEGEND |
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| 251 | Fig. 1 Relative survival for CLL and HCL patients with and without SPC (a and b) and in patients |
| 252 | with SPC in the three prognostic groups (c and d). SPC, second primary cancer, CLL, chronic |

²⁵³ lymphocytic leukemia, HCL, hairy cell leukemia

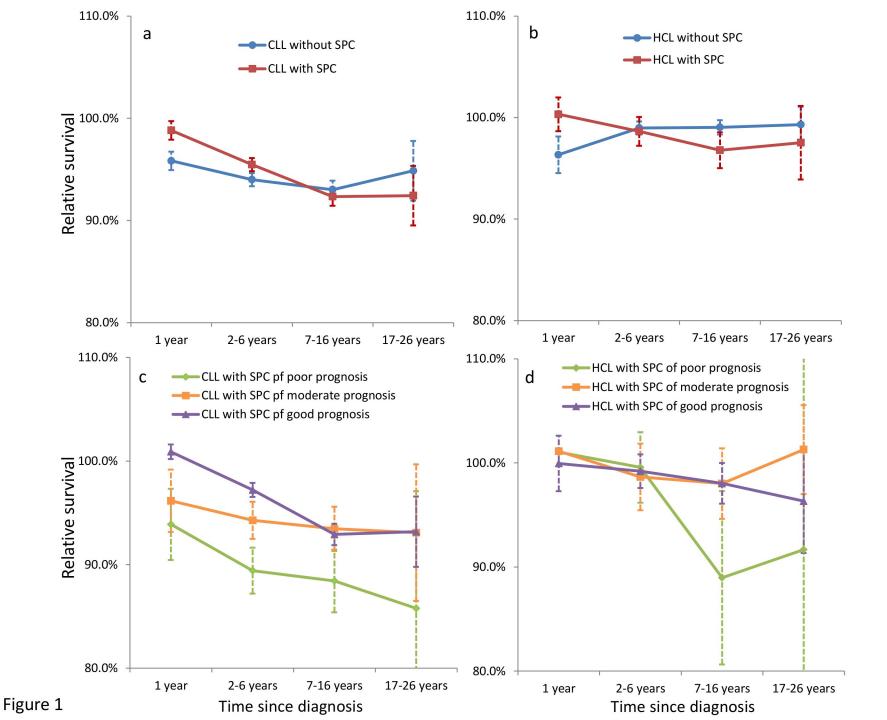


Table 1. Hazard ratio in patients diagnosed with SPC of different prognostic classes compared to those without SPC

| Years since | SPC of good prognosis | | SPC of moderate | | SPC of poor prognosis | | P trend |
|-------------|-----------------------|------------------|-----------------|------------------|-----------------------|--------------------|---------------------|
| | | | | prognosis | | | |
| diagnosis | Ν | HR (95% CI) | Ν | HR (95% CI) | Ν | HR (95% CI) | |
| CLL | 681 | 1.76 (1.61-1.92) | 177 | 2.18 (1.76-2.70) | 220 | 5.83 (4.83-7.03) | 2×10^{-16} |
| HCL | 31 | 1.69 (1.11-2.57) | 11 | 2.15 (0.92-5.02) | 9 | 13.34 (4.92-36.33) | 5×10 ⁻⁶ |

Diagnosis of second cancer of unknown primary was not considered in any prognostic groups.