Title: Compartmental model for ²²³Ra-Dichloride in patients with metastatic

bone disease from castration-resistant prostate cancer

Short title: ²²³Ra-Dichloride compartmental model

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Abstract

Purpose: ²²³Ra-Dichloride is used for treatment of patients with metastatic bone disease from castration-resistant prostate cancer. The uptake and mechanism of action of ²²³Ra-Dichloride is not well understood. The aim of this work was to develop a compartmental model for ²²³Ra-Dichloride in patients to improve understanding of the underlying mechanisms.

Methods and material: A compartmental model was developed based on activity retention data from six patients (two treatments of 110 kBq/kg ²²³Ra-Dichloride) for plasma, bone surfaces, small intestines, large intestines and excretion data. Rate constants were extracted. Rate constant variability in-between patients and treatments was assessed. A population model was proposed and compared to the established ICRP-67 compartmental model.

Results: A single bone compartment cannot accurately describe activity retention in the skeleton. The addition of a second bone compartment improved the fit to skeleton retention data and Akaike information criterion decreased. Mean rate constants of 4.0 (Range: 1.9–10.9) and 0.15 (0.07-0.39) h⁻¹ were obtained for transport from plasma to first bone compartment and vice versa. Rate constants from first to second bone compartment and back of 0.03 (0.02–0.06) and 0.008 (0.003-0.011) h⁻¹ were

calculated. Rate constants for individual patients showed no significant difference between patients and treatments.

Conclusions: The developed compartmental model suggests that ²²³Ra-Dichloride initially locates at the bone surface and is then incorporated into the bone matrix relatively quickly. This observation could have implications for dosimetry and understanding of the effects of alpha radiation on normal bone tissue. Results suggest that a population model based on patient measurements is feasible.

Introduction

²²³Ra-Dichloride is used for the treatment of metastatic castration resistant prostate cancer (mCRPC) [1-4]. Skeletal-related events caused by bone metastases are often serious and can reduce the quality of life of patients [5]. Bone-seeking radionuclides such as ³²P-orthophosphate, ⁸⁹Sr-chloride and ¹⁵³Sm-EDTMP have been shown to reduce bone pain and have been used to assist in the treatment of bone metastases [6]. The bone marrow absorbed dose is a limiting factor in treatment with beta- and conversion electron emitting radionuclides.

Alpha emitters have a short range and high linear energy transfer (LET) which results in localised energy deposition [7]. ²²³Ra is an alpha emitter with a half-life of 11.4 days. The mean path length of the alpha-particle emitted by ²²³Ra is smaller than 0.1 mm in soft tissue [5,8]. An improvement in overall survival [9,10] and quality of life [11] compared to placebo has been shown when using ²²³Ra-Dichloride, although the uptake and mechanism of action of ²²³Ra-Dichloride in mCRPC patients is still not well understood.

²²³Ra-Dichloride clears fast from the blood with only 0.5% remaining 24 hours after administration [1]. Transit from blood to the small intestine was first shown by Carrasquillo et al. [12] with subsequent excretion in faeces. Chittenden et al. [13] confirmed those findings with only 1.1% of administered activity remaining in the blood after 24 hours and a large amount (61% at 4 hours) taken up in the skeleton.

Pre-clinical studies have shown that ²²³Ra-Dichloride localises to bone and is retained [14, 15]. Both ²²³Ra-Dichloride and ⁸⁹Sr concentrate on bone surfaces and little release of ²²³Ra-Dichloride from the bone in the first 14 days after injection was observed. Results from pre-clinical studies using mouse models provided first evidence that

radium is incorporated into the bone matrix [16-18]. While it has been suggested that the target of ²²³Ra-Dichloride is the hydroxyapatite of newly created bone [19] and radium is often referred to as a calcium analogue [20], to our knowledge no human studies have shown conclusive evidence for this.

Results from clinical trials have shown a lack of haematotoxicity. Hobbs et al. [21] developed a bone marrow toxicity model for ²²³Ra-Dichloride and concluded that cell level-based dosimetry is necessary to explain the low bone marrow toxicities clinically observed. Moreira et al. [22] modelled growth and radiation response of bone metastases and showed that the exposure scenario is essential to reproduce clinical survival data. They concluded that only a small fraction of cells might be irradiated by ²²³Ra. With the limited spatial resolution of planar ²²³Ra gamma camera images, it is not feasible to address questions such as the micro-distribution of ²²³Ra-Dichloride in bone.

Compartmental modelling of the biodistribution and kinetics of ²²³Ra-Dichloride can potentially allow the clear limitations of ²²³Ra quantitative imaging to be overcome. Available models for radium have only been developed for healthy (reference) humans and animals [20,23,24]. Lassmann et al. [25] used the International Commission on Radiological Protection (ICRP) model for radium [23] to calculate absorbed doses for 25 organs and tissues. It remains unclear how the biodistribution is affected in diseased subjects.

The aim of this study was to develop a compartmental model for ²²³Ra-Dichloride in patients with mCRPC based on patient data to improve understanding of the underlying mechanisms. Rate constants were determined for each patient and treatment individually to assess inter- and intra-patient variability. Results were used

to create a population compartmental model for mCRPC patients, based on mean patient rate constants. The model was compared to the ICRP model [23].

Methods and Materials

The dataset was taken from a Phase 1, Open-Label Study (NCT00667537) of the Biodistribution, Pharmacokinetics, and Dosimetry of ²²³Ra-Dichloride [13]. Inclusion and exclusion criteria for participation in the study are summarised in Supplementary Table T.1. Informed consent was obtained from all participants in the study and all procedures performed were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and later amendments. Six patients were injected twice (six weeks apart) with 110 kBq per kg of body-mass. Activity retention data were available for blood, plasma, skeleton, small intestines (SI), upper large intestines (ULI), lower large intestines (LLI) and the whole-body (WB).

Activity retained in the blood was measured at 0, 15, 30 and 45 minutes post injection. Further blood samples were taken at 1, 2, 4, 24, 48, 96 and 144 hours. At each time point, 3 ml of blood were withdrawn using a venous catheter positioned in the arm contralateral to the injection site. A 1 ml whole blood sample, a 1 ml plasma sample and a 1 ml calibration standard with a known activity concentration of ²²³Ra were measured in an automated gamma counter for 300 seconds per sample to determine whole blood and plasma activity concentrations.

Whole-body (WB) measurements were performed using a low-sensitivity scintillation counter comprising a 2" diameter by 2" depth Nal crystal coupled to a photomultiplier tube and preamplifier. The detector, with a lead collimator attached, was fixed at a distance of 2 m above the patient bed. Signal was processed using a PC-based multichannel analyser with 1024 channels, calibrated to 2 keV per channel. Integral counts obtained for 300 seconds with an 82 keV (±20%) energy window were obtained

with the patient lying supine under the detector. Background correction was performed using identical acquisitions without the patient present. A measurement immediately after administration and prior to any patient voiding was used to convert measured patient counts to injected activity. Optimisation of the equipment and methodology for whole-body activity retention measurements was performed according to Chittenden et al. [26] and guidelines published by Hindorf et al. [27]. Regular measurements were performed every 2 hours on day 1, and additional (twice per day) readings were taken until the patient was discharged at approximately 48 hours post administration. Further measurements were performed at 96 and 144 hours post administration.

Quantitative ²²³Ra imaging was performed on a Philips Forte gamma-camera equipped with medium-energy general-purpose collimators according to the protocol outlined by Hindorf et al. [28]. A single energy window positioned at 82 keV (±20%) was applied to encompass the 81- and 84-keV transitions of the ²²³Ra decay. Planar whole body images (matrix size 256x1024) and spot views (256x256) were acquired for approximately 30 minutes each. Due to the low counting rate (<1 kcps) no system dead-time correction was required.

Image quantification was performed by calculating the geometric mean counts of anterior and posterior views using a pre-determined sensitivity calibration factor, and correcting for patient specific attenuation. Patient thickness was measured using recent CT scans and attenuation correction was accomplished based on an effective mass attenuation coefficient according to Hindorf et al [28]. Measurements with a phantom containing spherical inserts were used to estimate sensitivity of the gamma camera. [28]

Retention in the skeleton was extrapolated from activity measurements within ROIs placed on the skull, left leg and right leg. ^{99m}Tc-MDP scans of the patients were used as a reference to outline bone uptake. Skeletal activity within the torso was not assessed due to overlying activity within the intestines. Conversion of measured counts to activity per unit mass was performed assuming the masses of the skull, right and left legs from ICRP publication 70 [29]. Activity per unit mass was multiplied by the reference mass of the whole skeleton also taken from ICRP 70 to give the total activity in the skeleton. Additional ROIs around the small intestines (SI), upper large intestines (ULI) and lower large intestines (LLI) were used to measure activity within these organs. No specific uptake was seen in kidneys or liver.

Further information on data collection and processing has been described by Chittenden et al. [13] and Hindorf et al. [28]. For all organs the activity retention data are reported here as the fraction of injected activity. Activity concentration in plasma was converted to fraction of injected activity with the assumption of a total plasma volume of 3000 ml [30]. Activity retention data were decay corrected back to the administration time to exclude the physical decay. Two patients were previously identified as super scan patients [13] with widespread skeletal metastases.

Development of the compartmental model

SAAM II v2.3. [31] was used for compartmental modelling. All rate constants were set to adjustable with lower and higher boundaries of 0.0001 h⁻¹ and 1000 h⁻¹, respectively. Uncertainties for each data point were estimated from the count statistics in the ROIs (SI, LLI, ULI, Skeleton) and of the blood samples, respectively.

The compartmental model consists of a central plasma compartment, skeleton submodel and gastro-intestinal (GI) sub-model with clearance in faeces. A rest-of-body (ROB) compartment was added to account for other organs/tissues not explicitly included in the model (Supplementary Figure A.1). Urinary excretion was found to be negligible (2±2% at approximately 48h) [13] and was omitted from the model to reduce complexity.

Model development was performed using the forcing function approach. The multi-compartmental model was decoupled into two separate independent models of the skeleton and the GI tract. In each case, input from a fixed "forcing" function described the activity in the central blood plasma compartment and an optimal model for each of the sub-models was identified. The forcing function was obtained via linear interpolation between sequential plasma activity data points for each patient and treatment individually. Sub-models are finally recombined to the full compartmental model [32,33]. Fits of sub-models were compared by visual inspection and via the Akaike information criterion (AIC) [34].

For the skeletal activity, sub-models with either one, two or three compartments (Supplementary Figure A.1) were fitted to the skeletal activity retention observed for each patient and treatment individually. In the case of sub-models with two and three compartments, the measured skeletal activity retention in patients was taken to be the sum of the activity in the two and three bone compartments, respectively.

The optimal GI sub-model was chosen from sub-models with one and three compartments (Supplementary Figure A.1). The single-compartment GI sub-model was fitted to the sum of measured activity retention in SI, ULI and LLI. The three compartment sub-model was fitted by assigning the measured activity retention in SI, ULI and LLI to the SI, ULI and LLI compartments, respectively, for each patient and treatment individually.

Sub-models were recombined to form the final structure of the model and the plasma forcing function was removed. Rate constants from and to the ROB compartment were determined by fitting the model to the full dataset including skeleton, SI, ULI, LLI, plasma and whole-body activity retention per patient and treatment. The full compartmental model was fitted simultaneously to the data-sets of activity retention in different organs and whole-body activity retention was taken to be the sum of all compartments in the body and, therefore, excluding the faeces compartment.

Inter- and intra-patient variability and population model

The set of rate constants for the full compartmental model for each patient and treatment was used to determine inter- and intra-patient variability of rate constants. Paired t-tests were employed to identify any significant differences between rate constants of first and second treatments. Paired t-tests were performed using IBM SPSS v23 and distributions were tested for normality using the Shapiro-Wilk test.

Mean rate constants were calculated, excluding two super-scan patients, to form the population model. Predicted activity retention in the skeleton was compared to the ICRP 67 model [23]. Skeleton activity retention in the ICRP 67 model was calculated as the sum of the surface compartment, the non-exchangeable volume compartment and the exchangeable volume compartment for trabecular and cortical bone.

Results

The compartmental model

A single-compartment model underestimates the retention in the skeleton at later time points (t > 30 hours) and predicts a faster wash-out from the skeleton than observed in patients (Figure 1a). A fit with two compartments (Figure 1b) shows a better agreement (Mean AIC = 1.5, Range: 0.6-16.6) in comparison to the initial single compartment model (Mean AIC = 40.4, Range: 10.8 – 98.6). The addition of a third compartment did not result in a further fit improvement (Mean AIC = 2.5, Range: 1.5 – 18.1). The fits of the remaining skeleton datasets using a two-compartment skeleton model are shown in Figure 2. Overall a good agreement between fit and activity retention data is found. Notably, the activity retention in patient 3 has a different appearance, most likely because patient 3 is a super scan patient. Super scan patients were therefore not included when calculating the population model rate constants.

A three-compartment model with individual compartments for SI, ULI and LLI was found to best describe the available data in all patients (Figure 3). The model is not able to describe the fast uptake into the small intestine. AIC of the three-compartment fit was on average a factor of 19.3 lower compared to the one-compartment fit.

The final model structure is shown in Figure 4. The predictions of the full compartmental model for activity retention in the different compartments for individual patients were found to be largely consistent with activity retention measured in patients (Supplementary Figure A.2). Agreement between plasma activity retention model predictions and patient measurements is good (Supplementary Figure A.3). This indicates that the model complexity is adequate to describe the movement of ²²³Ra-Dichloride through the human body.

Inter- and intra-patient variability and population model

Rate constants obtained from the individual patient fits are summarised in Table 1. All skeleton sub-model and GI sub-model rate constants were found to be largely consistent between patients. The coefficient of variation (CoV) of rate constants from and to the second bone compartment (k_{B2B1} and k_{B1B2}) of 25.7% and 44.9% is slightly lower than the CoV of the two rate constants from and to plasma (k_{B1P} and k_{PB1}) of 55.1% and 60.4%. CoV of rate constants k_{PS} and k_{SU} was found to be 41.8% and 43.9% and was therefore lower than the CoV of rate constants from ULI to LLI and LLI to excretion (k_{UL} and k_{LF}) of 70.3% and 68.0%.

The difference in rate constants k_{PB1} , k_{B1P} , k_{B1B2} , k_{B2B1} , k_{PS} , k_{SU} , k_{UL} , k_{LF} , k_{PR} and k_{RP} from treatment 1 to treatment 2 was tested for normality using the Shapiro-Wilk test and all are approximately normally distributed. Paired t-tests showed no significant difference between rate constants of the first and the second treatment of patients (p > 0.05 in all cases).

Rate constants for a population model of ²²³Ra-Dichloride in mCRPC patients are presented in Table 1 as well. Comparison of activity retention in the skeleton predicted by the present model and the ICRP model showed that the present model predicts a higher uptake into the skeleton with a significant wash out in the first 50 hours (Supplementary Figure A.4).

Discussion

The results presented here show that for ²²³Ra-Dichloride in mCRPC patients, two bone compartments are essential to describe the data. The possibility for two or more compartments was already introduced in the ICRP model where they are described as bone surface and bone volume compartments. The first compartment has a very fast uptake and activity then slowly passes over into the second compartment which has a very slow release rate. The very different rate constants of the two compartments could potentially mean that ²²³Ra-Dichloride is found in different locations in the bone.

The uptake and mechanism of action of ²²³Ra-Dichloride in mCRPC patients is still not well understood and only limited pre-clinical data are available. Pre-clinical mouse models have shown first evidence that radium gets incorporated into the bone matrix [17, 18]. The similarity between the ICRP and the model developed indicate that these experimental data could be seen as a first indication that ²²³Ra-Dichloride is incorporated into the bone matrix in humans.

Initial results from the ERA-223 study (NCT02043678) have shown evidence that a higher fracture rate is observed in patients who have been treated with abiraterone acetate + prednisone/prednisole (AAP) and ²²³Ra-Dichloride compared to patients treated with AAP and placebo [3]. This increased rate of fractures in the ²²³Ra-Dichloride arm of the study is to-date not well understood but the European Medicines Agency (EMA) has subsequently recommended restrictions on the use of ²²³Ra-Dichloride [35]. Fractures appeared to develop delayed with respect to the treatment of ²²³Ra-Dichloride and AAP. Furthermore fractures typically occurred at sites not involved with bone metastases. Therefore the model described in this study could form

the basis of further work investigating the effect of ²²³Ra-Dichloride in normal bone tissue.

Incorporation into the bone matrix also raises the question about adequacy of bone marrow dosimetry models that do not take into account the exact position of ²²³Ra-Dichloride in the bone. ²²³Ra has a high LET and a very short range in tissue. Dosimetry models that assume a uniform distribution of ²²³Ra-Dichloride in the skeleton possibly overestimate the bone-marrow toxicity. Hobbs et al. [21] presented results using a marrow cavity model with the activity located on trabecular bone surfaces or endosteal layers. They showed that their model provided markedly different results than standard absorbed fraction calculation. Chittenden et al. [13] hypothesised that marrow toxicity is mainly influenced by the activity circulating in blood and to a lesser extent by the activity on the bone surfaces due to the short range of the alpha particles. This hypothesis is in agreement with the findings made in the present work but further investigations are needed to identify the importance of the exact location of ²²³Ra-Dichloride on the bone marrow absorbed dose and toxicity and more data are required. It is hypothesised that the bone marrow absorbed dose from alpha-particles in the bone matrix is smaller than from alpha-particles located on the bone surface.

While the present dataset and the compartmental model cannot be used to clarify the unknown uptake mechanism, it is clear that this question must be addressed by further clinical studies.

It has been shown that the development of a compartmental model for ²²³Ra-Dichloride in patients with mCRPC is feasible and rate constants between treatments and in between patients are comparable. The limited dataset with only 6 patients and two treatments is a factor that must be taken into account and further studies with a larger patient cohort are necessary to improve the population model. Nevertheless, similar pharmacokinetic profiles have been observed in the study by Yoshida et al. [36]. They showed fast uptake in the bone (52% within 2 hours and maximum uptake was observed within 2 hours of injection. The model proposed here predicts a maximum uptake in the skeleton of 49% at 4 hours. To our knowledge no studies with larger patient cohorts have collected detailed pharmacokinetic data.

In the current study activity administered was higher compared to the standard clinical dosing of 55 kBq/kg. Nevertheless, results obtained here are expected to be applicable to the standard clinical dosing as the model development does not include any saturation effects and was performed as fraction of injected activity. Yoshida et al. [36] showed that activity retention in the skeleton and plasma are similar in patients injected with 55 kBq/kg and 110 kBq/kg. Furthermore Carrasquillo et al. [12] have shown that plasma pharmacokinetics parameters are comparable for activity levels of 50, 100 and 200 kBg/kg.

The higher initial uptake compared to the ICRP 67 model is an important finding that shows that development of compartmental models using actual patient data is important to verify the use of published models that have been developed for healthy, reference, humans or animals.

Conclusions

A compartmental model was developed for ²²³Ra-Dichloride in mCRPC patients. The model suggests that ²²³Ra-Dichloride retention in the human skeleton requires two compartments for the bone surface and incorporation into the bone matrix. Further research into the mechanisms of uptake and action of ²²³Ra-Dichloride in mCRPC patients is necessary.

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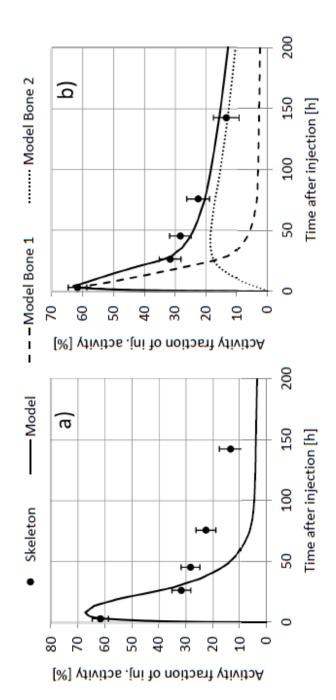
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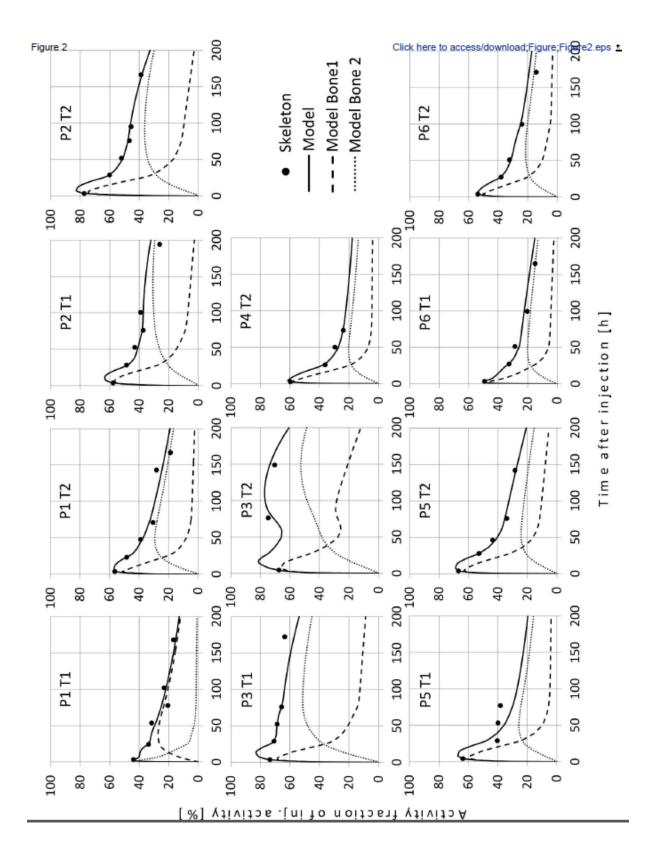
Figure 1: Example for the comparison of fits with a) a single bone compartment and b) two bone compartments. Circles indicate the skeleton activity retention measurements for the first treatment of patient 4 (P4 T1) as activity fraction of injected activity. The solid line shows the best fit using the sub-model with one and two bone compartments, respectively, while using a forcing function for the plasma compartment. Dashed and dotted lines in b) represent the model predictions of activity fraction in bone compartment 1 and compartment 2, respectively.

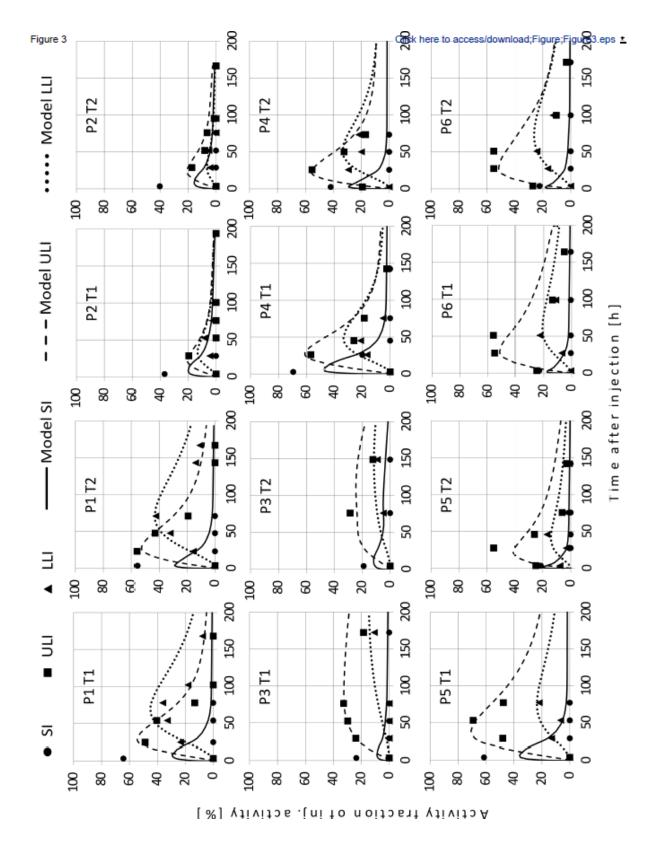
Figure 2: Measured activity fraction in the skeleton of patients is shown as circles. The solid line shows the best fit using the two compartment sub-model while using a forcing function for the plasma compartment. Dashed and dotted lines represent the model predictions of activity fraction in bone compartment 1 and compartment 2, respectively. The six patients are labelled 1 to 6. P1 T1 for example denotes the first administration for patient 1, while P1 T2 represents the second administration of patient 1.

Figure 3: Measured activity fraction of injected activity in the SI, ULI and LLI of patients is shown as circles, squares and triangles, respectively. The solid, dashed and dotted lines show the best fit for SI, ULI and LLI, respectively, using the three compartment sub-model while using a forcing function for the plasma compartment.

Figure 4: Proposed compartmental model for ²²³Ra-Dichloride in patients with metastatic bone disease from castration resistant prostate cancer in the present study.







Bolus injection at t=0

Ksu

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Ksu

Ks

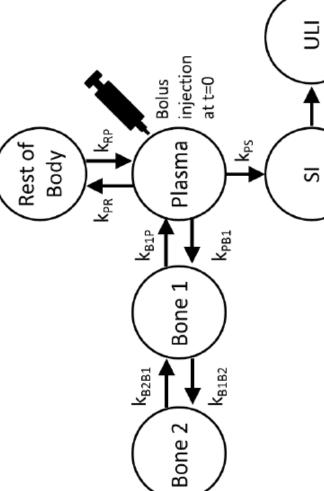


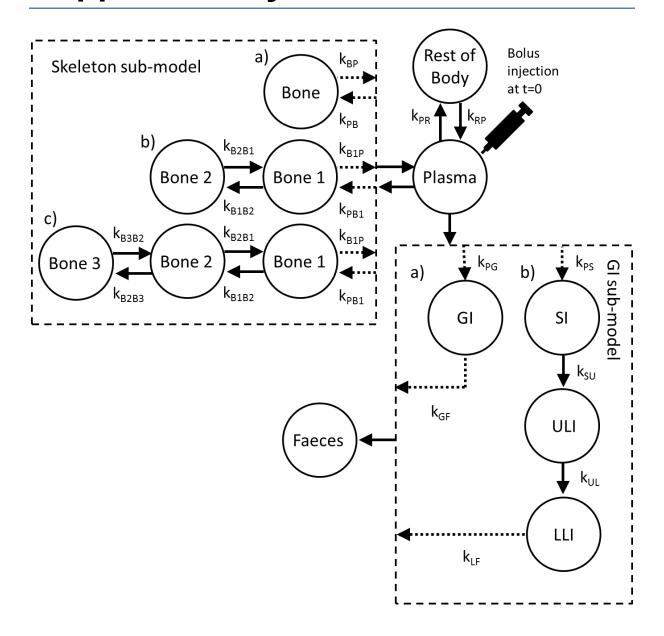
Table captions

Table 1: Mean, minimum and maximum rate constants from the fits to individual patient data including the two super-scan patients. Population model rate constants are excluding the two super-scan patients. All fits were performed using activity fraction of injected activity decay corrected back to injection time, excluding physical decay.

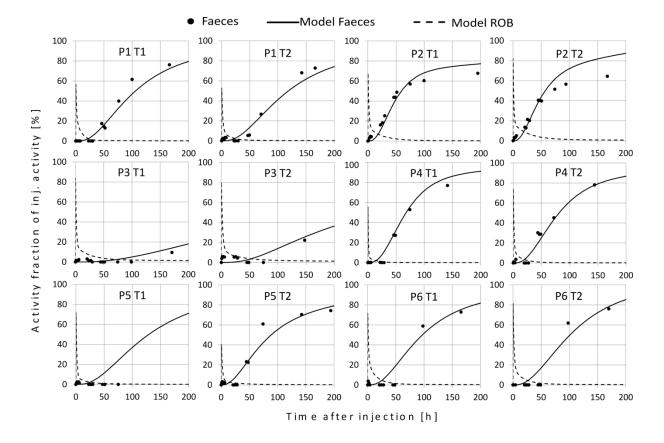
Table 1:

Rate constant	Mean of individual patient fits [1/h]	Minimum of individual patient fits [1/h]	Maximum of individual patient fits [1/h]	Population Model [1/h]
\mathbf{k}_{PB1}	3.990	1.928	10.936	3.041
\mathbf{k}_{B1P}	0.152	0.071	0.386	0.158
\mathbf{k}_{B1B2}	0.027	0.017	0.057	0.025
\mathbf{k}_{B2B1}	0.008	0.003	0.011	0.008
\mathbf{k}_{PS}	1.423	0.749	2.734	1.519
k su	0.156	0.075	0.257	0.143
\mathbf{k}_{UL}	0.028	0.006	0.080	0.035
\mathbf{k}_{LF}	0.040	0.012	0.108	0.047
\mathbf{k}_{PR}	41.812	4.651	163.276	26.403
k _{RP}	5.221	1.387	9.904	5.072

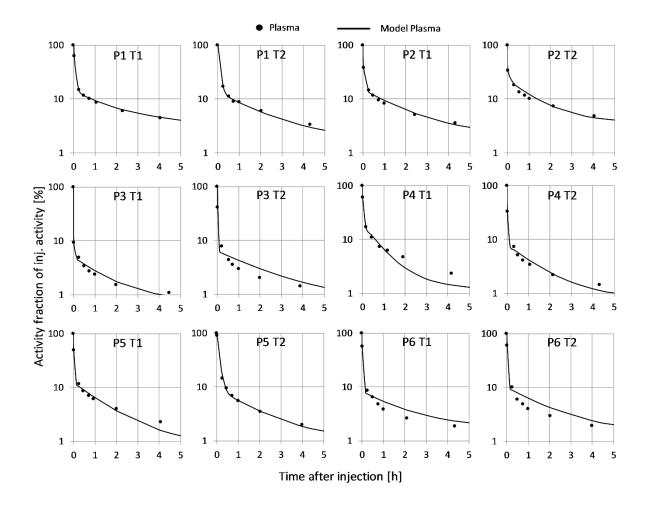
Supplementary Data



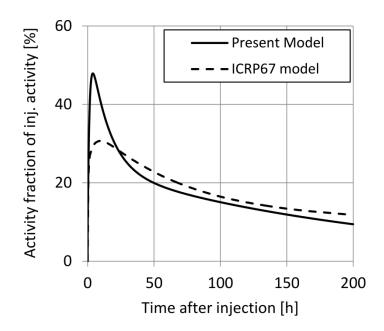
Supplementary Figure A.1: Compartmental model of ²²³Ra-Dichloride in mCRPC patients with a central plasma compartment, a rest-of-body compartment and skeleton and gastro-intestinal (GI) sub-models. During the model development process three skeleton sub-models were compared consisting of a) one, b) two and c) three bone compartments. Two GI sub-models were assessed in the process with a) a single GI compartment and b) three compartments for small intestines (SI), upper large intestines (ULI) and lower large intestines (LLI).



Supplementary Figure A.2: Activity fraction of injected activity excreted from the body in faeces, estimated from the whole-body measurements, is shown as the circles. The solid lines represent the model predictions for activity excreted in faeces when using the full compartmental model. The activity retention in the ROB compartment has been added to the graphs as the dashed lines.



Supplementary Figure A.3: Activity fraction of injected activity in plasma, estimated from the blood samples and assuming a plasma volume of 3000 ml [27], is shown as the circles. The solid lines represent the model predictions for activity fraction in the plasma when using the full compartmental model. Only the first 5 hours after administration are shown.



Supplementary Figure A.4: A comparison of the model predictions of total activity fraction in the skeleton for the ICRP 67 model (dashed line) and the model developed in the present study (solid line).

Inclusion criteria

Exclusion criteria

Confirmed adenocarcinoma of the prostate; hormone-refractory disease with evidence of rising prostate-specific antigen; serum testosterone level ≤ 50 ng/dL; skeletal metastases confirmed by bone scintigraphy; Eastern Cooperative Oncology Group performance status of 0–2; life expectancy ≥ 6 months; neutrophils ≥ 1.5 × 10⁹/L; platelets ≥ 100 × 10⁹/L; hemoglobin ≥ 95 g/L; normal total bilirubin; aspartate aminotransferase and alanine aminotransferase ≤ 2.5 times the upper limit of the reference range (ULN); S-creatinine ≤ 1.5 × ULN

Received investigational product within 4 weeks before ²²³Ra or was scheduled to receive during course of treatment; prior chemotherapy, immunotherapy, or external radiotherapy within 4 weeks prior to ²²³Ra; recovering from adverse events due to prior therapy; prior regimen of cytotoxic chemotherapy or hemibody radiotherapy or patient required immediate radiotherapy; prior systemic radiotherapy with ²²³Ra, ⁸⁹Sr, ¹⁵³Sm, ¹⁸⁶Re, or ¹⁸⁸Re; bisphosphonates started within 3 months of ²²³Ra (unless dosage stable for ≥ 12 weeks before ²²³Ra); any changes in systemic steroids within one week before ²²³Ra or during study; other active malignancies (except nonmelanoma skin cancer), visceral metastases from prostate cancer, lymph node metastases with short-axis diameter > 2 cm; bulky locoregional disease; and any other serious illness or medical condition.

Supplementary Table T.1: Summary of the inclusion and exclusion criteria for the participation in the Phase 1, Open-Lable Study (NCT00667537). [13]