1	Impact of Fusion Gene Status versus Histology on Risk-
2	Stratification for Rhabdomyosarcoma: Retrospective analyses of
3	patients on UK trials
4	Joanna Selfe <sup>1*</sup> , David Olmos <sup>1,2*</sup> , Reem Al-Saadi <sup>1</sup> , Khin Thway <sup>1,3</sup> , Julia Chisholm <sup>4</sup> ,
5	Anna Kelsey <sup>5</sup> , Janet Shipley <sup>1</sup>
6	
7	<sup>1</sup> Sarcoma Molecular Pathology Team, Division of Molecular Pathology, The
8	Institute of Cancer Research, London, UK. <sup>2</sup> Spanish National Cancer Research
9	Centre, Madrid. <sup>3</sup> Sarcoma Unit, Royal Marsden NHS Foundation Trust, London,
10	UK. <sup>4</sup> Children and Young People's Unit, Royal Marsden NHS Foundation Trust,
11	London UK, <sup>5</sup> Department of Paediatric Histopathology, Royal Manchester
12	Children's Hospital, Manchester, UK.
13	
14	*Contributed equally to this work.
15	Word count (abstract): 250
16	Total word count: 2717
17	Number of Figures: 1
18	Number of Tables: 4
19	Number of Supplemental files: 6
20	
21	Running title: Impact of fusion genes on rhabdomyosarcoma risk
22	
23	Keywords: Rhabdomyosarcoma, fusion gene, risk-stratification, survival,
24	histology, molecular classification
25	

26	Corresponding author:			
27	Janet Shipley, Sarcoma Molecular Pathology Team, Divisions of Molecular			
28	Pathology and Cancer The	erapeutics, Male Urological Cancer Research Centre,		
29	The Institute of Cancer Re	esearch, 15 Cotswold Road, Sutton, Surrey SM2 5NG,		
30	UK.			
31	E-mail: janet.shipley@icr.a	<u>ac.uk</u>		
32	Tel no: +44 20 8722 4273			
33				
34	Abbreviations			
35	MMT	Malignant Mesenchymal Tumour		
36	PCR	Polymerase Chain Reaction		
37	EpSSG	European Paediatric Soft Tissue Sarcoma Study		
38		Group		
39	RMS	Rhabdomyosarcoma		
40	ACCIS	Automated Childhood Cancer Information System		
41	ERMS	Embryonal rhabdomyosarcoma		
42	ARMS	Alveolar rhabdomyosarcoma		
43	FFPE	Formalin fixed paraffin embedded		
44	SIOP	Society of Paediatric Oncology		
45	ТМА	Tissue microarray		
46	FISH	Fluorescence in situ hybridisation		
47	BAC	Bacterial artificial chromosome		
48	DNA	Deoxyribonucleic acid		
49	DIG	Digoxygenin		
50	FITC	Fluorescein isothiocyanate		

Reverse transcription coupled polymerase chain RT-PCR 51 52 reaction 53 RNA Ribonucleic acid 54 cDNA complementary DNA OS 55 Overall survival 56 EFS Event free survival Hazard ratio 57 HR 58 MG5 Metagene-5 59 RMS-NOS Rhabdomyosarcoma (not otherwise specified)

#### 60 Abstract

61

#### 62 Background

- 63 Long-term toxicities from current treatments are a major issue in pediatric cancer.
- 64 Previous studies, including our own, have shown prognostic value for the
- 65 presence of *PAX3/7-FOXO1* fusion genes in rhabdomyosarcoma. It is proposed
- to introduce *PAX3/7-FOXO1* positivity as a component of risk stratification, rather
- 67 than alveolar histology, in future clinical trials.

## 68 Procedure

- 69 To assess the potential impact of this reclassification, we have determined the
- 70 changes to risk category assignment of 210 histologically reviewed patients

treated in the UK from previous MMT (Malignant Mesenchymal Tumor) clinical

trials for non-metastatic rhabdomyosarcoma based on identification of PAX3/7-

73 FOXO1 by fluorescence in situ hybridization and/or reverse transcription PCR.

## 74 Results

Using fusion gene positivity in the current risk stratification would re-assign 7% of patients to different EpSSG (European Paediatric Soft Tissue Sarcoma Study Group) risk subgroups. The next European trial would have 80% power to detect differences in event free survival of 15% over 10 years and 20% over 5 years in reassigned patients. This would decrease treatment for over a quarter of patients with alveolar histology tumors that lack *PAX3/7-FOXO1*.

## 81 Conclusions

Fusion gene status used in stratification may result in significant numbers of
patients benefitting from lower treatment associated toxicity. Prospective testing
to show this reassignment maintains current survival rates is now required and is

- 85 shown to be feasible based on estimated recruitment to a future EpSSG trial.
- 86 Together with developing novel therapeutic strategies for patients identified as
- 87 higher risk, this may ultimately improve the outcome and quality of life for patients
- 88 with rhabdomyosarcoma.

#### 89 Introduction

90 Rhabdomyosarcoma (RMS) is the most common soft tissue sarcoma in children, 91 with ~450 children and adolescents newly diagnosed each year in Europe 92 (countries which report data to the Automated Childhood Cancer Information System, ACCIS<sup>1,2</sup>). The substantial improvement in survival rate for RMS patients 93 94 that occurred from 1960 to 1996 with the advent of chemotherapeutic agents has largely stagnated with an estimated 5 year survival rate of 72%<sup>3,4</sup>. The reality 95 96 remains that while the majority of children suffering from cancer will survive to 97 adulthood, more than 80% of these will develop a serious or life threatening 98 chronic health condition by the age of 45 as a result of their curative treatment<sup>5</sup>. 99 Accurate risk determination in RMS patients is a priority to enable safe reduction 100 of treatment intensity for those at lower risk and identify those at highest risk of 101 succumbing to their disease who could benefit from treatment intensification 102 and/or novel therapeutic strategies.

103

104 Current clinical trials for RMS in Europe and the US use histological subtype 105 alongside other clinical parameters including age at diagnosis, site and size of 106 primary tumour, extent of residual disease after surgery, node involvement, and 107 metastases to allocate patients to a risk group which will determine treatment intensity <sup>6</sup>. Two main histological subtypes are recognised, embryonal (ERMS) 108 109 which typically has a better prognosis than the alveolar (ARMS) "unfavourable 110 histology" subtype. The majority (70-80%) of ARMS cases have translocations resulting in fusion of the PAX3 or PAX7 gene with FOXO1<sup>7,8</sup>. The resultant fusion 111 proteins are novel transcription factors and considered key drivers of 112 tumorigenesis<sup>9</sup>. 113

115 Previous studies including large-scale expression profiling have revealed that 116 ARMS tumours lacking characteristic fusion genes are molecularly and clinically indistinguishable from ERMS tumors<sup>10,11</sup>. This is consistent with several studies, 117 118 including a recent prospective assessment, that show a prognostic value for the fusion genes <sup>12–15</sup> although some issues with the representativeness of sample 119 cohorts are also reported<sup>16,17</sup>. Based on the consensus view from these studies, 120 121 that fusion gene presence rather than alveolar histology per se contributes to 122 poorer outcome, it is proposed to incorporate fusion-gene status, rather than 123 histology, into risk stratification of RMS. In order to address the impact of such a 124 change in non-metastatic patients, we used the current EpSSG RMS2005 trial 125 framework for risk stratification and applied this to a large cohort of well-126 annotated RMS cases enrolled in the series of Malignant Mesenchymal Tumour 127 (MMT) trials, which we subjected to histopathological re-review. The treatment and outcome for patients in these trials were similar <sup>18,19</sup> and therefore were 128 129 considered suitable for analysis as a single cohort. 130

Here we report the impact of adopting fusion gene status in place of histology as
part of RMS risk stratification. This has allowed us to estimate the proportion of
patients that would change risk group and the power of future clinical trials to
assess any adverse changes in patient outcome.

#### 136 Materials and Methods

## 137 Pathology and tissue microarray construction

138 Formalin fixed paraffin embedded (FFPE) samples from UK patients enrolled on

the MMT89, MMT95 and MMT98 trials from the International Society of Paediatric

140 Oncology (SIOP) were collected from multiple UK centres (Local Research Ethics

141 Committee protocol 1836 and Multi-Regional Research Ethics

142 Committee/98/4/023). Our cohort was subjected to histological re-review (A.K.) to

apply current histological classification criteria<sup>20</sup>. Cases with mixed histologies but

144 containing true alveolar histology (classical and solid variant patterns) were

145 considered to be ARMS. Clinical parameters were accessed from trial

146 databases<sup>21</sup>. These and updated histological subtypes of samples from cases

147 non-metastatic at diagnoses (stage I-III) are summarised in Table 1, and were

representative of other RMS cohorts<sup>12</sup>. A smaller cohort of metastatic cases

149 (summarised in Supplemental Table S1) was used separately for additional

analyses. Moreover, outcomes from MMT89 and MMT95 cases used in this study

151 were representative of their respective trials (MMT89; Overall survival (OS)

152 74.4%, Event Free survival (EFS) 62.6%, MMT95; OS 74.3%, EFS 64% at 5

153 years)<sup>18,19</sup> (Outcome data shown refers to the cohort used in this study). The

154 histopathologic diagnoses of the cases studied are also considered largely

representative of the cases on the MMT89, MMT95 and MMT98 trials.

156

157 Haematoxylin and eosin stained slides were marked for regions of tumour and a

tissue microarray (TMA) constructed containing 1,863 cores representing RMS

159 tumour from 329 patients. This involved taking 0.6mm cores from tumour-

160 containing regions of donor blocks and insertion into a recipient array block.

161 There was an average of 6 cores per sample (range 1-24). RMS cell lines

162 negative and positive for each fusion gene (RD (negative)<sup>22</sup>, RH30 (PAX3-

163 FOXO1)<sup>23</sup>, RMZ-RC2 (PAX7-FOXO1)<sup>24</sup>) were formalin fixed, paraffin embedded

and cores inserted into each array block to act as controls. Sources and culturing

165 conditions for cell lines have been previously described <sup>25</sup>.

166

## 167 Fusion gene status assessment by fluorescence *in situ* hybridisation

168 Fluorescence *in situ* hybridisation (FISH) was performed on the TMA slides to

169 determine whether samples carried a PAX3-FOXO1 or PAX7-FOXO1 fusion gene

170 or neither. Bacterial artificial chromosome (BAC) DNA probes were identified that

171 hybridize to the 5' end of *PAX3* and *PAX7* and to the 3'end of *FOXO1*. BAC DNA

172 was amplified and subsequently purified using the Genomiphi Kit (GE Healthcare,

173 Little Chalfont, Buckinghamshire, UK) according to manufacturers instructions.

174 BACs used for PAX3 were RP11-81I8, RP11-16P6 and RP11-612G6 (labelled

175 with Digoxygenin (DIG) (Roche, Basel, Switzerland) by random priming and

176 indirectly detected using fluorescein isothiocyanate (FITC)-conjugated anti-DIG

177 antibodies (Thermo Fisher Scientific, Waltham, MA, USA)). BACs used for PAX7

were RP11-468NG, CTD-2009F7 and RP11-121A23 (directly labelled using

179 FISHBright® Aqua and the FISHBright® Nucleic Acid Labelling Kit (Leica

180 Microsystems, Wetzlar, Germany)) and BACs used for FOXO1 were RP11-

181 452K11, RP11-805F18 and RP11-350A18 (labelled with biotin by random priming

and indirectly detected using Cy3-conjugated Streptavidin (Thermo Fisher

183 Scientific)). All labelled BACs were individually hybridized to normal metaphase

184 chromosomes to ensure their correct chromosomal location. FISH was carried out

185 on TMA sections as previously described<sup>26</sup>. Slides were scanned using an Ariol

slide scanner (SL-50) (Leica Microsystems) and each core was independently
scored for fused red/green and red/aqua signals in a minimum of 50 nonoverlapping tumour nuclei by 2 independent observers. Fused signals, less than
a signal width apart, were required to be present in at least 10% of scorable
nuclei for a core to be considered fusion gene positive.

191

#### 192 Fusion gene status assessment by reverse transcription-PCR

193 In addition to preparing TMAs, we also cut 10-micron FFPE sections for a subset 194 of samples where sufficient material was available. These were assessed for 195 fusion gene status by reverse transcription (RT)-PCR. RT-PCR results were used 196 to confirm FISH results or provide a result in the event that FISH hybridisation for 197 a patient was not successful. RNA was extracted from the FFPE rolls using the 198 RecoverAll Total Nucleic Acid Isolation Kit for FFPE (Thermo Fisher Scientific) 199 according to manufacturers' instructions. Reverse transcription was subsequently 200 carried out on up to 1 mg of total RNA using the High Capacity Reverse 201 Transcription Kit (Thermo Fisher Scientific). cDNA was then amplified in triplicate by real-time quantitative RT-PCR using Taqman (Thermo Fisher Scientific) 202 203 reagents for PAX3-FOXO1, PAX7-FOXO1 and Beta-2-microglobulin (B2M) expression, the latter acting as a reference gene. The primer sequences used in 204 these assays have been previously described <sup>27</sup>. Each assay was performed 205 206 separately and cDNA from control cell lines (as indicated above) (no fusion gene, 207 PAX3-FOXO- and PAX7-FOXO1-positive) was included in each run. Samples 208 were designated fusion gene positive if amplification occurred for the relevant 209 assay whereas samples were only designated fusion gene negative if no

- amplification was seen for either fusion gene assay and the signal from the B2M
- assay was not reached in less than or equal to 30 cycles.
- 212

213 Survival analysis was evaluated using the Mantel-Cox log rank test, Mantel-

- Haenszel Hazard Ratio and Kaplan-Meier plots.
- 215
- 216 **Results**
- 217 Ascertainment of fusion gene status in TMA cohort

218 Using FISH and/or RT-PCR analysis, fusion gene status was successfully

determined in 210 patients with non-metastatic disease and a smaller cohort of

50 patients with metastasis that were treated on MMT clinical trials and had full

- clinical follow up data. 155 samples were assigned using FISH results only, 17
- using PCR results only and 88 were assigned using both methods with complete
- 223 concordance. The results are included in Table 2. We identified one patient
- described as having embryonal histology yet was found to harbour a PAX3-
- *FOXO1* fusion gene (0.64% of all ERMS patients). 20 patients with ARMS
- histology were found to be fusion gene negative (37.7% of all ARMS patients), 5
- 227 of which had mixed histology with only areas of true alveolar histology $^{20}$ .
- 228

# 229 Comparison between risk determined using histology or molecular fusion

230 gene status

Within the non-metastatic setting, Kaplan-Meier analysis demonstrated that there was no significant difference in overall (OS) or event free survival (EFS) between patients with ERMS and fusion negative ARMS in contrast to the fusion positive cases that showed a significantly poorer overall survival outcome than fusion

235 negative (log rank test, chi square value 21.9, p<0.0001, HR 6.047 (95% CI 2.845-2.85)) (Fig 1). This is consistent with previous studies, including our 236 own<sup>11,12</sup>. The Kaplan-Meier plots for fusion positive cases divided into PAX3-237 238 FOXO1 and PAX7-FOXO1 (Supplemental Fig S1) shows no significant difference 239 in survival between PAX7-FOXO1 cases and any other subgroup, although the 240 numbers are low. In the metastatic cohort, the outcome of patients with fusion 241 negative alveolar disease appeared to be as poor as fusion positive cases 242 (Supplemental Fig S2a) although there is no statistical significance between 243 ERMS and fusion negative ARMS groups, but the numbers of these metastatic 244 cases are very low. We also assessed outcome of our non-metastatic cohort 245 according to the current non-metastatic EpSSG risk groups (Supplemental Table 246 S2, treatment protocol associated with risk groups is outlined in Supplemental 247 Table S3) and showed that the survival rates for each risk group were as 248 expected (Supplemental Fig S2b).

249

250 In order to assess the impact of using fusion status rather than histology on 251 patient risk stratification, we stratified all patients using i) histopathology, 252 according to the EpSSG 2005 trial regimen using the re-reviewed histology 253 (ERMS as favourable, ARMS as unfavourable) and ii) fusion status in place of 254 histopathology (fusion negative as favourable, fusion positive as unfavourable). 255 The risk group of each patient from each analysis was then compared. Using 256 fusion gene status, 14 patients with fusion gene negative ARMS (26.4% of all 257 patients with ARMS, 70% of fusion negative ARMS patients) changed risk group 258 (5 moved from very high to high, 8 moved from high to standard, 1 moved from 259 high to low). A summary of these changes using fusion gene status is shown in

for risk groups in Table 3 and for subgroups in Supplemental Table S4. Note in
Supplemental Table S4, that although 6 patients changed risk subgroup from G
to E, there was no change in overall risk group (high) and therefore no change in
treatment strategy for those particular patients. These changes would result in
reducing treatment intensity for 14/20 fusion negative ARMS.

265

266 It is vital to assess the patients receiving less intense treatment as a result of the 267 change in stratification in forthcoming trials to ensure that their clinical outcome is 268 not compromised. Assuming a null hypothesis that patients with fusion negative 269 ARMS with downgraded risk will have an identical EFS rate to patients with 270 ERMS of 70%, we performed power calculations to estimate the total patient 271 number needed to have 80% power to identify decreases in EFS in this group 272 (Table 4). Based on the previous trial, we predict that the next EpSSG trial is 273 expected to recruit ~125 patients with non-metastatic paediatric RMS per year. 274 Using the frequencies found in this study, we estimate that the next trial will have 275 80% power to detect differences in EFS of 15% over 7 years and 20% over 5 276 vears.

277

## 278 Discussion

Assessment of the molecular features of tumours is increasingly required for accurate diagnoses, risk stratification and precision approaches to treatment decisions for patients. Previous studies, including our own, have shown a prognostic value for the presence of the fusion gene in RMS and it is proposed to introduce this as a molecularly unfavourable category, in place of alveolar histology, into future clinical trials. Here, our assessment of 210 samples from

previous clinical trials, that are representative of the trials as a whole, shows that
overall this would affect assignment of patients to specific risk subgroups,
reducing treatment for over a quarter of patients with alveolar histology and 7% of

all non-metastatic RMS (it is noteworthy that the next European trial plans to
intensify chemotherapy for the High and Very High risk groups, which is likely to
increase treatment associated morbidity). This has potential to reduce long-term
toxicities in these patients, which is important as such toxicities are a major issue
in the majority of RMS patients that are cured of their disease<sup>28</sup>.

293

294 Changes in the histopathological criteria used to discriminate between embyronal 295 and alveolar histology have been updated over time, with the introduction in 1995 296 of a prognostically relevant classification system which determined that even focal alveolar histology should confer an ARMS diagnosis<sup>29</sup> resulting in an increasing 297 298 proportion of ARMS cases. More recently, a re-examination of these criteria noted that certain histological patterns may be mimicking ARMS<sup>30</sup>, leading to an 299 300 artificially high rate of ARMS diagnosis. Despite our cohort being re-reviewed 301 using current criteria, we observed a relatively high proportion of fusion negative 302 ARMS (37.7%). However, including patients with metastasis in our cohort 303 reduced this proportion to 26.9% similar to other studies and may reflect the more metastatic behaviour of ARMS driven by the fusion protein <sup>11,31</sup>. The range of 304 305 proportions of fusion negative ARMS reported is underpinned by diagnostic 306 uncertainty using histopathological criteria in challenging cases, where informal 307 use of the fusion gene status and other clinical parameters is guiding histological 308 diagnoses. Standardizing use of molecular criteria in future trials is therefore 309 highly desirable.

We identified 1 out of 157 patients with ERMS to be PAX3-FOXO1 positive by 311 312 both FISH and RT-PCR. Fusion positive ERMS cases have been reported before<sup>27</sup> where PCR detection was used, notably all of these cases demonstrated 313 diffuse myogenin staining, a feature associated with ARMS<sup>32</sup>. This suggests that 314 315 there is a rationale to screen for fusion genes in all patients, as these patients 316 may move from low to high-risk groups. Previous studies have reported that 317 patients with tumours harbouring a PAX7-FOXO1 gene have a superior outcome compared to *PAX3-FOXO1*<sup>11,12,33</sup> however numbers are limited and this may be 318 stage-dependent<sup>13</sup>. We only had 6 patient samples with a PAX7-FOXO1 gene in 319 our cohort and therefore could not address this question adequately in this study. 320 321 Rarer fusion gene variants are reported such as PAX3-NCOA1 and PAX3-*NCOA2*<sup>34</sup> in ARMS and ERMS, however the clinical significance of these are 322 323 unclear.

324

325 Stratifying RMS patients according to molecular rather than histopathological 326 criteria will result in a proportion of fusion negative alveolar patients (26.4% of 327 patients with ARMS in this study) receiving less intense treatment, being 328 perceived to be at lower risk. It is important to establish that these patients will 329 have a similarly favourable outcome as patients with ERMS when treated on the 330 same protocol. Using data from our patient population, we have estimated that 331 the expected number of patients recruited to the next EpSSG trial will be sufficient 332 to detect changes in event free survival of 15% over 7 years and 20% over 5 333 years with 80% power. Patients with ERMS have an EFS of 70% at 5 years 334 compared to fusion positive ARMS with 36.1% at 5 years. It is anticipated that

molecular features of RMS will be increasingly incorporated into risk stratification
 as there is evidence that *MYOD1* mutations in sclerosing/spindle RMS<sup>35–37</sup>, CDK4
 amplification<sup>38</sup> and the MG5 gene signature in fusion negative RMS<sup>25,39</sup> can all
 impact survival.

339

340 Here we have determined the potential impact of using fusion gene status rather 341 than the histopathological definition of alveolar histology as an adverse indicator 342 in the risk-stratification of RMS that is proposed for use in the next clinical trials. 343 We show that a significant proportion of patients with non-metastatic RMS (7%) 344 will be assigned to a different risk group and treatment protocol as a 345 consequence of this change. It is expected that this will result in children being 346 spared some of the considerable toxicities and late effects of intense therapy 347 without compromising their chance of cure, in addition to the possibility of 348 identifying fusion positive patients presenting with ERMS or RMS-NOS that will 349 benefit from being considered as high-risk.

350

## 351 Acknowledgements

352 This work was supported by the Cancer Research UK (Grant No C5066/A1099),

353 the Chris Lucas Trust and NHS funding to the NIHR Biomedical Research Centre

at The Royal Marsden and the Institute of Cancer Research. We thank the

355 Children's Cancer and Leukaemia Group (CCLG) Tissue Bank for access to

356 samples, and contributing CCLG centres, including members of the ECMC

357 paediatric network. The CCLG Tissue Bank is funded by Cancer Research UK

- and CCLG. We would also like to thank Peter Collins and Adam Hodgkinson in
- Anna Kelsey's team for all their help with the TMAs and clinical data.

360		
361	Con	flict of interest statement
362	None	e declared.
363	Refe	rences
364	1.	Pastore G, Peris-Bonet R, Carli M, Martínez-García C, Sánchez de Toledo
365		J, Steliarova-Foucher E. Childhood soft tissue sarcomas incidence and
366		survival in European children (1978-1997): report from the Automated
367		Childhood Cancer Information System project. Eur J Cancer.
368		2006;42:2136-2149.
369	2.	accis.iarc.fr/index.php.
370	3.	McDowell HP. Update on childhood rhabdomyosarcoma. Arch Dis Child.
371		2003;88:354-357.
372	4.	Hawkins DS, Gupta AA, Rudzinski ER. What is new in the biology and
373		treatment of pediatric rhabdomyosarcoma? Curr Opin Pediatr. 2014;26:50-
374		56.
375	5.	Hudson MM, Ness KK, Gurney JG, et al. Clinical ascertainment of health
376		outcomes among adults treated for childhood cancer. JAMA.
377		2013;309:2371-2381.
378	6.	Arndt CAS. Risk stratification of rhabdomyosarcoma: a moving target. Am
379		Soc Clin Oncol Educ Book. January 2013:415-419.
380	7.	Parham DM, Qualman SJ, Teot L, et al. Correlation between histology and
381		PAX/FKHR fusion status in alveolar rhabdomyosarcoma: a report from the

382		Children's Oncology Group. Am J Surg Pathol. 2007;31:895-901.
383	8.	Newton WA, Soule EH, Hamoudi AB, et al. Histopathology of childhood
384		sarcomas, Intergroup Rhabdomyosarcoma Studies I and II:
385		clinicopathologic correlation. J Clin Oncol. 1988;6:67-75.
386	9.	Fredericks WJ, Galili N, Mukhopadhyay S, et al. The PAX3-FKHR fusion
387		protein created by the t(2;13) translocation in alveolar rhabdomyosarcomas
388		is a more potent transcriptional activator than PAX3. Mol Cell Biol.
389		1995;15:1522-1535.
390	10.	Davicioni E, Anderson MJ, Finckenstein FG, et al. Molecular classification
391		of rhabdomyosarcomagenotypic and phenotypic determinants of
392		diagnosis: a report from the Children's Oncology Group. Am J Pathol.
393		2009;174:550-564.
394	11.	Williamson D, Missiaglia E, de Reyniès A, et al. Fusion gene-negative
395		alveolar rhabdomyosarcoma is clinically and molecularly indistinguishable
396		from embryonal rhabdomyosarcoma. J Clin Oncol. 2010;28:2151-2158.
397	12.	Missiaglia E, Williamson D, Chisholm J, et al. PAX3/FOXO1 fusion gene
398		status is the key prognostic molecular marker in rhabdomyosarcoma and
399		significantly improves current risk stratification. J Clin Oncol. 2012;30:1670-
400		1677.
401	13.	Skapek SX, Anderson J, Barr FG, et al. PAX-FOXO1 fusion status drives
402		unfavorable outcome for children with rhabdomyosarcoma: a children's
403		oncology group report. Pediatr Blood Cancer. 2013;60:1411-1417.
404	14.	Sorensen PHB, Lynch JC, Qualman SJ, et al. PAX3-FKHR and PAX7-
405		FKHR gene fusions are prognostic indicators in alveolar

- 406 rhabdomyosarcoma: a report from the children's oncology group. J Clin
  407 Oncol. 2002;20:2672-2679.
- 408 15. Anderson J, Gordon T, McManus A, et al. Detection of the PAX3-FKHR
- 409 fusion gene in paediatric rhabdomyosarcoma: a reproducible predictor of
  410 outcome? Br J Cancer. 2001;85:831-835.
- 411 16. Rosenberg AR, Skapek SX, Hawkins DS. The inconvenience of
- 412 convenience cohorts: rhabdomyosarcoma and the PAX-FOXO1 biomarker.
- 413 Cancer Epidemiol Biomarkers Prev. 2012;21:1012-1018.
- 414 17. Williamson D, Missiaglia E, Chisholm J, Shipley J. Inconvenience of
- 415 convenience cohorts--letter. Cancer Epidemiol Biomarkers Prev.
- 416 2012;21:1388.
- 417 18. Oberlin O, Rey A, Sanchez de Toledo J, et al. Randomized comparison of
- 418 intensified six-drug versus standard three-drug chemotherapy for high-risk
- 419 nonmetastatic rhabdomyosarcoma and other chemotherapy-sensitive
- 420 childhood soft tissue sarcomas: long-term results from the International
- 421 Society of Pediatr. J Clin Oncol. 2012;30:2457-2465.
- 422 19. Stevens MCG, Rey A, Bouvet N, et al. Treatment of nonmetastatic
- 423 rhabdomyosarcoma in childhood and adolescence: third study of the
- 424 International Society of Paediatric Oncology--SIOP Malignant Mesenchymal
- 425 Tumor 89. J Clin Oncol. 2005;23:2618-2628.
- 426 20. Fletcher, C. D.M., Bridge, J.A., Hogendoorn, P., Mertens F. WHO
- 427 classification of tumours of soft tissue. WHO Classif Tumours Soft Tissue
  428 Bone Fourth Ed. 2013;46:10-12.
- 429 21. www.birmingham.ac.uk/research/activity/mds/trials/crctu/children/index.aspx.

- 430 22. McAllister RM, Melnyk J, Finkelstein JZ, Adams EC, Gardner MB.
- 431 Cultivation in vitro of cells derived from a human rhabdomyosarcoma.
  432 Cancer. 1969;24:520-526.
- 433 23. Douglass EC, Valentine M, Etcubanas E, et al. A specific chromosomal
  434 abnormality in rhabdomyosarcoma. Cytogenet Cell Genet. 1987;45:148435 155.
- 436 24. Nanni P, Schiaffino S, De Giovanni C, et al. RMZ: a new cell line from a
  human alveolar rhabdomyosarcoma. In vitro expression of embryonic
  myosin. Br J Cancer. 1986;54:1009-1014.
- 439 25. Missiaglia E, Selfe J, Hamdi M, et al. Genomic imbalances in
- rhabdomyosarcoma cell lines affect expression of genes frequently altered
  in primary tumors: an approach to identify candidate genes involved in
- 442 tumor development. Genes Chromosomes Cancer. 2009;48:455-467.
- 443 26. Summersgill B, Clark J, Shipley J. Fluorescence and chromogenic in situ
  444 hybridization to detect genetic aberrations in formalin-fixed paraffin
- 445 embedded material, including tissue microarrays. Nat Protoc. 2008;3:220-
- 446 **234**.
- 447 27. Hostein I, Andraud-Fregeville M, Guillou L, et al. Rhabdomyosarcoma:
- 448 value of myogenin expression analysis and molecular testing in diagnosing
- the alveolar subtype: an analysis of 109 paraffin-embedded specimens.
- 450 Cancer. 2004;101:2817-2824.
- 451 28. Punyko JA, Mertens AC, Gurney JG, et al. Long-term medical effects of
  452 childhood and adolescent rhabdomyosarcoma: a report from the childhood
  453 cancer survivor study. Pediatr Blood Cancer. 2005;44:643-653.

455		rhabdomyosarcomas and related sarcomas. Pathologic aspects and
456		proposal for a new classificationan Intergroup Rhabdomyosarcoma Study.
457		Cancer. 1995;76:1073-1085.
458	30.	Rudzinski ER, Teot LA, Anderson JR, et al. Dense pattern of embryonal
459		rhabdomyosarcoma, a lesion easily confused with alveolar
460		rhabdomyosarcoma: a report from the Soft Tissue Sarcoma Committee of
461		the Children's Oncology Group. Am J Clin Pathol. 2013;140:82-90.
462	31.	Barr FG, Smith LM, Lynch JC, et al. Examination of gene fusion status in
463		archival samples of alveolar rhabdomyosarcoma entered on the Intergroup
464		Rhabdomyosarcoma Study-III trial: a report from the Children's Oncology
465		Group. J Mol Diag <i>n</i> . 2006;8:202-208.
466	32.	Dias P, Chen B, Dilday B, et al. Strong immunostaining for myogenin in
467		rhabdomyosarcoma is significantly associated with tumors of the alveolar
468		subclass. Am J Pathol. 2000;156:399-408.
469	33.	Duan F, Smith LM, Gustafson DM, et al. Genomic and clinical analysis of
470		fusion gene amplification in rhabdomyosarcoma: a report from the
471		Children's Oncology Group. Genes Chromosomes Cancer. 2012;51:662-
472		674.
473	34.	Sumegi J, Streblow R, Frayer RW, et al. Recurrent t(2;2) and t(2;8)
474		translocations in rhabdomyosarcoma without the canonical PAX-FOXO1
475		fuse PAX3 to members of the nuclear receptor transcriptional coactivator
476		family. Genes Chromosomes Cancer. 2010;49:224-236.
477	35.	Agaram NP, Chen C-L, Zhang L, LaQuaglia MP, Wexler L, Antonescu CR.

29. Newton WA, Gehan EA, Webber BL, et al. Classification of

454

- 478 Recurrent MYOD1 mutations in pediatric and adult sclerosing and spindle
  479 cell rhabdomyosarcomas: evidence for a common pathogenesis. Genes
  480 Chromosomes Cancer. 2014;53:779-787.
- 481 36. Kohsaka S, Shukla N, Ameur N, et al. A recurrent neomorphic mutation in
  482 MYOD1 defines a clinically aggressive subset of embryonal
- rhabdomyosarcoma associated with PI3K-AKT pathway mutations. NatGenet. 2014;46:595-600.
- Alaggio R, Zhang L, Sung Y-S, et al. A Molecular Study of Pediatric Spindle
  and Sclerosing Rhabdomyosarcoma: Identification of Novel and Recurrent
  VGLL2-related Fusions in Infantile Cases. Am J Surg Pathol. 2016;40:224-
- 488 **235**.
- 489 38. Barr FG, Duan F, Smith LM, et al. Genomic and clinical analyses of 2p24

and 12q13-q14 amplification in alveolar rhabdomyosarcoma: a report from

491 the Children's Oncology Group. Genes Chromosomes Cancer.

- 492
   2009;48:661-672.
- 493 39. Hingorani P, Missiaglia E, Shipley J, et al. Clinical Application of Prognostic
- 494 Gene Expression Signature in Fusion Gene-Negative Rhabdomyosarcoma:
- 495 A Report from the Children's Oncology Group. Clin Cancer Res.
- 496 **2015;21:4733-4739**.

## 498 **Figure Legends**

- 499 Fig 1. Overall survival (A) and event free survival (B) in non-metastatic RMS
- 500 patients grouped into ERMS fusion negative (ERMS FN), ARMS fusion negative
- 501 (ARMS FN) and fusion positive patients (FP).
- 502

## 503 Supplemental Figure Legends

- 504
- 505 Supplemental Figure S1. Overall survival (A) and event free survival (B) in non-
- 506 metastatic RMS patients grouped into ERMS fusion negative (ERMS FN), ARMS
- 507 fusion negative (ARMS FN), PAX3-FOXO1 and PAX7-FOXO1.
- 508
- 509 Supplemental Figure S2. (A) Overall survival in metastatic (stage IV) RMS
- 510 grouped into ERMS fusion negative (ERMS FN), ARMS fusion negative (ARMS
- 511 FN) and fusion positive patients (FP). (B) Overall survival in non-metastatic RMS
- 512 patients stratified into risk groups according to the current EpSSG RMS2005
- 513 clinical trial criteria.
- 514
- 515 Supplemental Table Legends
- 516
- 517 Supplemental Table S1. Clinical and molecular characteristics of the metastatic 518 cohort.
- 519
- 520 Supplemental Table S2. Risk Stratification for the EpSSG non-metastatic RMS
- 521 study. Pathology: Favourable indicates embryonal histology including botryoid
- and spindle cell subtypes; Unfavourable indicates alveolar histology. Post

523 surgical stage (IRS group): I indicates complete primary resection; II indicates

524 microscopic residual or primary complete resection but N1; III indicates

525 macroscopic residual. Site: Favourable indicates Orbit, Genitourinary (non

526 bladder/prostate), Head and neck (non-parameningeal); Unfavourable indicates

527 parameningeal, extremities, Genitourinary bladder/prostate and all other sites.

528 Node Stage: N0 indicates no clinical or pathological node involvement; N1

529 indicates pathological node involvement. Size and Age: Favourable indicates

tumour size less than or equal to 5 cm and age less than 10 years; Unfavourable

531 indicates all other options (i.e. Size greater than 5 cm and/or age greater than or

532 equal to 10 years).

533

534 Supplemental Table S3. Treatment protocol for EpSSG RMS risk groups. Tumour

assessment carried out between first and second course of frontline therapy. VA

536 = Vincristine/Actinomycin;

537 IVA = Ifosfamide/Vincristine/Actinomycin; RT = radiotherapy; IVADo =

538 Ifosfamide/Vincristine/Actinomycin/Doxorubicin

<sup>539</sup> \*only given if patient shows complete response (CR) to first course and has

540 favourable age and tumour size.

<sup>541</sup> \*\*If patient shows stable disease (SD) after first course, second line treatment

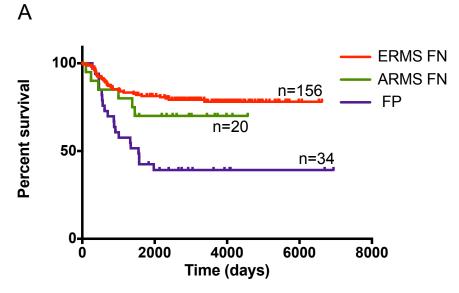
542 (usually Carboplatin, Cyclophosphamide, Topotecan or Doxorubicin) with

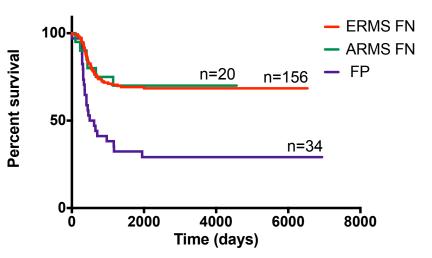
543 radiotherapy will be given.

544 \*\*\*Randomised trial arms.

545

- 547 Supplemental Table S4. Summary of changes in subgroup between histological
- and molecular categorization of pathology. Hist. = Histology; Mol. = Molecular.
- 549 Note that grey boxes indicate patients that remain in the same risk group using
- 550 either histological or molecular categorization.
- 551





В

TABLE 1 Clinical characteristics of the non-metastatic cohort

Histology	ERMS	157
	ARMS	53
Median age at diagnosis (years)		4.5
Age at dx	<10	173
	>=10	37
IRS group	1	28
	2	40
	3	142
Size of primary tumour	<=5cm	90
	>5cm	115
	unknown	5
Site of primary tumour	Favourable	83
	Unfavourable	127
Median follow up time (years)		8.1
Patient Survival	Alive	151
	Dead	59
Total no of patients		210

TABLE 2 Fusion gene status of the non-metastatic patient cohort, grouped by histology

	ERMS	ARMS	Total
Negative	156	20	176
PAX3-FOXO1	1	27	28
PAX7-FOX01	0	6	6
Total	157	53	210

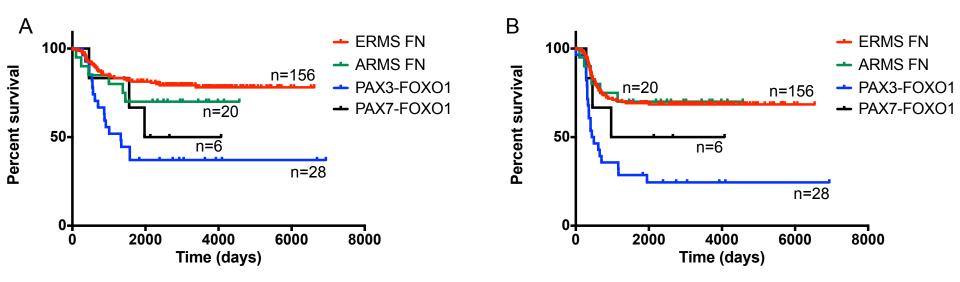
TABLE 3 Summary of changes in EpSSG risk group between histological and molecular categorization of pathology

Risk	Subgroup	Histology Risk	Molecular Risk	%
Group	-	group	group	change
Low	А	9	10	+11.1
Standard	В			
	С	70	78	+11.4
	D			
High	E			
	F	117	113	-3.4
	G			
Very High	Н	14	9	-35.7

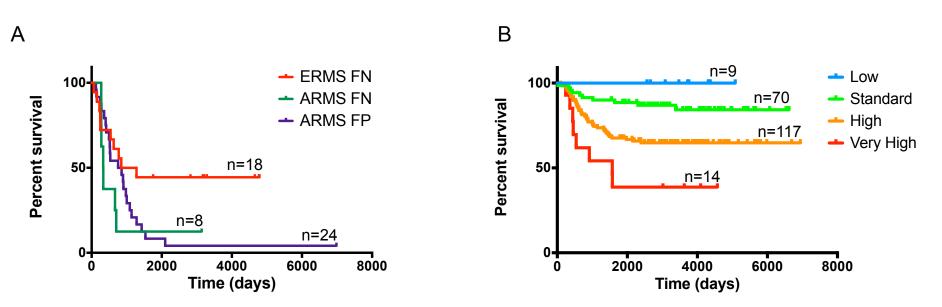
TABLE 4 Estimation of the number of patients needed for 80% power to detect decreased EFS rate in fusion gene negative alveolar patients with downgraded risk

Change in EFS rate	No of downgraded patients with ARMS FN	Total patient number
10%	141	2,015
15%	63	900
20%	36	515
25%	23	329

**Supplemental Fig S1** 



# Supplemental Fig S2



Supplemental Table S1 Clinical and molecular characteristics of the metastatic cohort

Histology	ERMS	18
	ARMS	32
Median age at diagnosis (years)		8.2
Fusion gene status	ERMS Negative	18
	ARMS Negative	8
	PAX3-FOXO1	20
	PAX7-FOXO1	4
Median follow up time (years)		2
Patient Survival	Alive	10
	Dead	40
Total no of patients		50

Risk Group	Subgroup	Pathology	IRS Group	Site	Node Stage	Size & Age
Low	А	Favourable		Any	N0	Favourable
Standard	В	Favourable	I	Any	N0	Unfavourable
	С	Favourable	II, III	Favourable	N0	Any
	D	Favourable	II, III	Unfavourable	N0	Favourable
High	E	Favourable	II, III	Unfavourable	N0	Unfavourable
	F	Favourable	I, II, III	Any	N1	Any
	G	Unfavourable	I, II, III	Any	N0	Any
Very High	H	Unfavourable	I, II, III	Any	N1	Any

Supplemental Table S2 Risk Stratification for the EpSSG non-metastatic RMS study.

Pathology: Favourable indicates embryonal histology including botryoid and spindle cell subtypes; Unfavourable indicates alveolar histology. Post surgical stage (IRS group): I indicates complete primary resection; II indicates microscopic residual or primary complete resection but N1; III indicates macroscopic residual. Site: Favourable indicates Orbit, Genitourinary (non bladder/prostate), Head and neck (non-parameningeal); Unfavourable indicates parameningeal, extremities, Genitourinary bladder/prostate and all other sites. Node Stage: N0 indicates no clinical or pathological node involvement; N1 indicates parameter size less than or equal to 5 cm and age less than 10 years; Unfavourable indicates all other options (i.e. Size greater than 5 cm and/or age greater than or equal to 10 years).

## **Supplemental Table S3** Treatment protocol for EpSSG RMS risk groups

1 <sup>st</sup> Course	2 <sup>nd</sup> course	Maintenance
VA (8 cycles, 24 weeks)	-	-
IVA	VA	-
IVA –	IVA/No RT*	-
	IVA/VA with RT	-
IVA	IVA with RT**	-
IVA or IVADo***	IVA with RT**	None or Vinorelbine/Cyclophosphamide (6 months)***
IVADo	IVADo/IVA**	Vinorelbine/Cyclophosphamide (6 months)
	VA (8 cycles, 24 weeks) IVA IVA IVA – IVA IVA or IVADo***	VA (8 cycles, 24 weeks)         -           IVA         VA           IVA         VA           IVA         IVA/No RT*           IVA         IVA/VA with RT           IVA         IVA with RT**           IVA or IVADo***         IVA with RT**

Tumour assessment carried out between first and second course of frontline therapy. VA = Vincristine/Actinomycin;

IVA = Ifosfamide/Vincristine/Actinomycin; RT = radiotherapy; IVADo = Ifosfamide/Vincristine/Actinomycin/Doxorubicin

\*only given if patient shows complete response (CR) to first course and has favourable age and tumour size.

\*\*If patient shows stable disease (SD) after first course, second line treatment (usually Carboplatin, Cyclophosphamide, Topotecan or Doxorubicin) with radiotherapy will be given.

\*\*\*Randomised trial arms.

Supplemental Table S4 Summary of changes in subgroup between histological and molecular categorization of pathology

	Molecular Risk Group									Total	
			Low Standard			High			Very		
									High		
			Α	В	С	D	E	F	G	Н	
Histology Risk Group	Low	Α	9								9
	Standard	В		11							11
		С			40						40
		D				19					19
	High	Ε					57				57
		F						23			23
		G	1	2	3	3	6		22		37
	Very High	Н						5		9	14
	Total		10	13	43	22	63	28	22	9	210
% change (Hist. to Mol.)			+11.1	+18.2	+7.5	+15.8	+10.8	+21.7	-40.5	-35.7	

Hist. = Histology; Mol. = Molecular. Note that grey boxes indicate patients that remain in the same risk group using either histological or molecular categorization.