

1 **SOX11 promotes epithelial/mesenchymal hybrid state and alters tropism**  
2 **of invasive breast cancer cells**

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20

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23

24 **Abstract**

25 SOX11 is an embryonic mammary epithelial marker that is normally silenced  
26 prior to birth. High *SOX11* levels in breast tumours are significantly associated  
27 with distant metastasis and poor outcome in breast cancer patients. Here, we  
28 show that SOX11 confers distinct features to ER-negative DCIS.com breast  
29 cancer cells, leading to populations enriched with highly plastic hybrid  
30 epithelial/mesenchymal cells, which display invasive features and alterations  
31 in metastatic tropism when xenografted into mice. We found that  
32 SOX11+DCIS tumour cells metastasize to brain and bone at greater  
33 frequency and to lungs at lower frequency compared to cells with lower  
34 SOX11 levels. High levels of SOX11 leads to the expression of markers  
35 associated with mesenchymal state and embryonic cellular phenotypes. Our  
36 results suggest that SOX11 may be a potential biomarker for breast tumours  
37 with elevated risk of developing metastases and may require more aggressive  
38 therapies.

39

40



41 **Introduction**

42 SOX11 is an embryonic mammary factor that is not expressed in normal  
43 breast epithelial cells after birth (Wansbury et al., 2011). However, *SOX11* is  
44 expressed in many triple negative and HER2+ invasive breast cancers  
45 (Wansbury et al., 2011). *SOX11* expression in invasive breast cancer is  
46 associated with increased distant metastasis formation (Oliemuller et al.,  
47 2017). Inhibition of *SOX11* by siRNA suppressed growth and proliferation of  
48 ER- breast cancer cell lines, but had no significant effect on growth and  
49 proliferation of ER+ breast cancer cell lines (Shepherd et al., 2016). *SOX11*  
50 repression using siRNA reduced both cell migration and invasion in basal-like  
51 breast cancer (BLBC) cell lines, supporting a role for SOX11 in promoting  
52 breast cancer progression. In addition, *SOX11* inhibition in MDA-MB-468, a  
53 BLBC line, resulted in reduced expression of *FOXC1*, *CCNE1*, *KRT14*, *MIA*  
54 *and SFRP1*, all of which are PAM50 genes that are highly expressed in  
55 BLBC. This finding suggests SOX11 may modulate key features of basal-like  
56 cancer cells, including Keratin 14 expression, a marker expressed by basal  
57 cells and some luminal cells within the terminal ductal lobular unit, where  
58 many breast cancers arise (Gusterson & Eaves, 2018).

59 Our previous studies showed that when constitutively expressed by the  
60 human breast epithelial cell line MCF10A, SOX11 increased the number of  
61 basal/myoepithelial clones formed in standard colony formation assays and  
62 led to increased mammosphere formation, suggesting that SOX11 can  
63 modulate mammary progenitor features and stem cell activity when expressed  
64 in mature postnatal mammary epithelial cells (Oliemuller et al., 2017).  
65 Furthermore, we also showed that SOX11 promotes invasive transition of  
66 DCIS.com cells that were injected into the mammary duct to mimic formation  
67 of DCIS-like lesions within the mammary duct prior to progression to invasive  
68 state and tumour formation (Oliemuller et al., 2017). Expression of SOX11 in  
69 preinvasive breast lesions and potential sites of microinvasion in samples  
70 from DCIS cases supports a role for SOX11 in promoting *in situ* to invasive  
71 breast carcinoma transition. These findings led us to hypothesise that  
72 reactivation of embryonic mammary developmental programmes mediated by  
73 SOX11 in postnatal breast epithelial cells would promote invasive progression

74 of pre-invasive DCIS breast lesions and acquisition of features associated  
75 with poor patient outcome, including formation of distant metastasis.

76 Therefore, to further explore the role of SOX11 in regulating cancer  
77 stem cell states and to determine whether SOX11 promotes metastatic  
78 dissemination of invasive breast cancer cells, we have created an inducible  
79 model to study the roles of SOX11 in progenitor/stem cell regulation and  
80 breast cancer progression *in vitro* and *in vivo*. In the model described here,  
81 SOX11 is expressed at higher levels in DCIS.com cells driven from the EF1A  
82 promoter after induction with doxycycline (DOX), when compared to another  
83 model we have previously used to study DCIS progression, in which low  
84 levels of SOX11 expression is constitutively driven by the CMV promoter  
85 (Oliemuller et al., 2017). In the inducible model presented here, SOX11  
86 expression confers epithelial/mesenchymal hybrid state, features typical of  
87 embryonic mammary cell phenotypes, and influences organ-specific  
88 metastatic tropism to breast cancer cells. Finally, we also identify several  
89 novel SOX11 targets, many of which are highly correlated with *SOX11*  
90 expression in primary breast cancers and breast cancer metastases.

91

92

93 **Results**

94 **Inducible expression of SOX11 leads to changes in stem cell profiles of**  
95 **DCIS.com cells**

96 To investigate the role of SOX11 in breast cancer progression, we used the  
97 pINDUCER21 system to stably transduce DCIS.com cells, an invasive cell  
98 line from the MCF10A breast cancer progression series, so that SOX11 was  
99 expressed only when induced with Doxycycline (DOX) (referred to as iSOX11  
100 cells) (**Figure 1A-B**). The results show a significantly higher, sustained  
101 expression of SOX11 levels compared with the previous constitutive model  
102 we have used to study DCIS progression which lost SOX11 expression over  
103 time (**Figure 1—figure supplement 1**) (Oliemuller et al., 2017). As expected,  
104 SOX11 localised mostly to the nuclei in iSOX11 cells, similar to that observed  
105 in SOX11+ DCIS case samples (**Figure 1A-C and Figure 1—figure**  
106 **supplement 1**). SOX11 is also detected in the cytoplasm of iSOX11 cells  
107 using Western Blotting (**Figure 1A**), a location that was not observed in the  
108 DCIS-SOX11 (data not shown), showing that some differences exist when  
109 SOX11 is expressed at different levels in the two models.

110 To study the role of SOX11 in regulating stem cell state, we used the  
111 inducible iSOX11 model and assessed cancer stem cell profiles. Cancer stem  
112 cells (CSCs) are subpopulations of cancer cells sharing similar characteristics  
113 as normal stem or progenitor cells such as self-renewal ability and multi-  
114 lineage differentiation to drive tumour growth and heterogeneity. ALDH1 and  
115 CD24 are widely used CSC markers in breast cancer (Liu et al., 2014). A  
116 higher proportion of CD24+ and ALDH+ cells are detected iSOX11 cells  
117 compared to control iEV cells (DCIS.com cells stably transduced with  
118 pINDUCER21 empty vector and induced with DOX) by flow cytometry (**Figure**  
119 **1D-E and Figure 1—figure supplements 1-2**). Moreover, CD24 expression  
120 levels were significantly increased in a DOX dose-dependent manner in  
121 iSOX11 cells (**Figure 1—figure supplement 1**). CD24 is predominantly  
122 located in the membrane and CD24 was detected in the cytoplasmic fraction  
123 of both iEV and iSOX11 cells, and also detected in the nuclear fraction of  
124 iSOX11 cells, which has been previously reported in breast cancer (**Figure**  
125 **1F**) (Duex et al., 2017).

126           When cells were grown on collagen, we detected loss of membranous  
127 E-Cadherin localisation and acquisition of N-Cadherin expression in iSOX11  
128 cells and formation of bud-like structures (**Figure 1G-H**). Nuclear expression  
129 of N-Cadherin has been reported previously, primarily in poorly differentiated  
130 breast tumours (Rezaei et al., 2012). We also detected a significant increase  
131 in iSOX11 cells expressing Vimentin by western blotting; increased Vimentin  
132 was detected in both the cytoplasmic and nuclear fraction. Vimentin was not  
133 observed in the nucleus of cells grown in the same conditions when stained  
134 by immunofluorescence, suggesting that the nuclear fraction contains proteins  
135 attached to the external part of the nuclear envelope since both Lamin B and  
136 Vimentin are known to associate with the nuclear envelope (**Figure 1G-H and**  
137 **Figure 1—figure supplement 3**) (Georgatos & Blobel, 1987a, 1987b). We  
138 assessed the expression of markers associated with mammary epithelial and  
139 mesenchymal states in iEV and iSOX11 as well as non-induced (denoted ni)  
140 niEV and niSOX11 DCIS cells. K5, K8, and VIM levels are elevated in iSOX11  
141 cells compared to iEV cells (**Figure 1—figure supplement 3**). These results  
142 are consistent with a role for SOX11 in promoting a mesenchymal state and  
143 influencing mammary epithelial phenotypes when expressed in epithelial cells.

144           To further analyse the effects of SOX11 on mammary epithelial  
145 phenotypes, we assessed the expression of markers associated with the two  
146 major mammary lineages in DCIS cells grown as spheroids that formed from  
147 iSOX11 and to iEV, niEV, and niSOX11 control cells. We detected significant  
148 increases in expression of both luminal (K8) and basal (K14, SMA) lineage  
149 markers in SOX11-expressing spheroids as well as a significant increase in  
150 cells co-expressing markers of both lineages, which is suggestive of  
151 embryonic mammary phenotypes (**Figure 1I and Figure 1—figure**  
152 **supplements 4-5**).

153

#### 154 **DCIS cells expressing SOX11 grow more slowly and form more invasive** 155 **spheroids**

156           Reduced cell growth was detected in iSOX11 cells compared to control  
157 iEV cells grown in both 2D or as spheroids (**Figure 2A-B**). Control iEV cells  
158 were more clonogenic than iSOX11 cells whether plated in colony-forming  
159 assays or when grown from single cells (**Figure 2—figure supplement 1**).

160 Although iEV cells have greater colony-forming capacity than iSOX11 when  
161 large colonies (greater than 50 microns were counted), a greater number of  
162 small colonies (less than 50 microns) formed (**Figure 2—figure supplement**  
163 **1**). We found DCIS-LacZ control cells also exhibited both greater colony and  
164 sphere-forming capacity than DCIS-SOX11 cells (**Figure 2—figure**  
165 **supplement 1**). As with iSOX11 cells, a greater number of small colonies  
166 form in colony-formation assays with DCIS-SOX11 cells. Spheroids formed  
167 from PKH-labelled DCIS-SOX11 cells are smaller and retain PKH dye more  
168 than labelled DCIS-LacZ cells (**Figure 2—figure supplement 1**). These  
169 results show that SOX11 expression leads to a reduction of larger spheroids  
170 formed from DCIS.com cells and increased formation of smaller spheroids  
171 that retain label. Multiple attempts to transduce primary human breast  
172 epithelial cells to express SOX11 constitutively with a CMV-driven vector were  
173 unsuccessful, but are consistent with a possible role for SOX11 in regulating a  
174 quiescent state (data not shown).

175 *SOX11* expression is enriched in ER- and HER2+ invasive breast  
176 cancers (**Figure 2—figure supplement 2**). To explore whether sustained  
177 reactivation of SOX11 promotes tumour progression, we assessed features of  
178 iSOX11 spheroids. Spheroids formed from iSOX11 DCIS cells were smaller  
179 and formed a higher number of peripheral microspheres than iEV cells  
180 (**Figure 2C**). When overlaid with Collagen I, spheroids formed from iSOX11  
181 cells are more invasive compared to iEV, niEV, and niSOX11 control cells  
182 (**Figure 2D**). Tumours that formed after mammary fat pad xenografts of  
183 iSOX11 cells were smaller than iEV tumours when mice were fed chow with  
184 moderate levels (200 or 625 ppm) of DOX (**Figure 2E-F**). Despite their  
185 smaller volume, tumours originated from cells with high SOX11 levels  
186 displayed greater bioluminescence than control tumours, suggesting iSOX11  
187 tumours contained more viable cells (**Figure 2G**). IHC staining of mammary  
188 tumours was performed to detect Cleaved Caspase 3 (CC3). Larger necrotic  
189 and CC3+ (apoptotic) areas were observed in the EV tumour tumours and  
190 niSOX11 tumours when compared to the iSOX11 tumours, which showed little  
191 central necrosis and fewer CC3+ cells (**Figure 2H**). We also observed that  
192 extremely small and less luminescent tumours were formed in mice  
193 xenografted with iSOX11 cells, compared to control cells that were fed higher

194 levels (1250 or 2000 ppm) of DOX-chow (**Figure 2—figure supplement 3**).  
195 Higher levels of CC3 were detected in these iEV tumours, which displayed  
196 central necrosis, whilst almost no CC3 or necrosis was detected in iSOX11  
197 tumours (**Figure 2—figure supplement 3**). Tumours formed from iSOX11  
198 DCIS cells grew out quickly when (1250 or 2000 ppm) DOX-chow was  
199 replaced with normal chow, suggesting that high levels of SOX11 could keep  
200 tumours in a non-proliferative state and that upon DOX withdrawal,  
201 proliferation resumed and the ostensible quiescent state is a reversible  
202 condition (**Figure 2—figure supplement 3**).

203 When injected directly into the mammary duct, iSOX11 DCIS cells  
204 formed slightly larger tumours, with similar bioluminescence levels as iEV  
205 tumours, which indicates the microenvironment highly influences behaviour of  
206 SOX11+ tumour cells (**Figure 2I-K**). A greater proportion of mammary  
207 tumours formed from iSOX11 cells expressed moderate to high levels of  
208 ALDH1 (6/6) compared to control tumours (1/8). In addition, CD24+ cells were  
209 observed at greater frequency in iSOX11 tumours compared to control  
210 tumours (**Figure 2—figure supplement 4**). Of note, we observed nuclear  
211 CD24 staining in iSOX11 tumour cells, but not in iEV, niEV, or niSOX11  
212 tumours, in line with the observed *in vitro* result (**Figure 2—figure**  
213 **supplement 4**). It has been suggested that cells designated CD24- using flow  
214 cytometry maybe expressing CD24 in the nucleus and this promotes  
215 aggressive tumour properties, since it has been shown that nuclear CD24 is  
216 able to drive tumour growth (Duex et al., 2017).

217

### 218 **SOX11 expression promotes expression of developmental pathways** 219 **frequently activated in cancer**

220 To identify potential SOX11 targets that could confer features of embryonic,  
221 epithelial/mesenchymal hybrid state, and metastasis-promoting features to  
222 mature breast cells, RNA from iEV, niEV, or niSOX11 control and iSOX11  
223 cells grown in 2D and in 3D (from spheroids at two time-points: 2 days and 5  
224 days after spheroid formation) were sequenced (**Figure 3A, Supplementary**  
225 **File 1 and Figure 3—figure supplement 1**). Uninduced niSOX11 control  
226 cells express very low levels of *SOX11*, and suggest the vector is slightly  
227 leaky. iSOX11 DCIS cells cultured in 2D showed enrichment of genes

228 regulating actin filament sequestration, phospholipid catabolism, ERBB  
229 signaling, chemotaxis, and epithelial differentiation (**Figure 3B and**  
230 **Supplementary File 2**). iSOX11 DCIS cells grown in 3D showed enrichment  
231 of genes regulating ECM disassembly, collagen biosynthesis,  
232 glycosaminoglycan metabolism, and platelet degranulation (**Figure 3—figure**  
233 **supplement 1 and Supplementary File 3**). Platelet activation is one of the  
234 first steps of tissue repair as part of the wound healing process and Sox4 and  
235 Sox11 have recently been shown to reactivate an embryonic epidermal  
236 programme during wound repair in mice (Miao et al., 2019). We found  
237 substantial overlap of the embryonic wound signature that was shown to be  
238 directly regulated by Sox11 and Sox4 (Miao et al., 2019) with iSOX11  
239 spheroids (**Table 1**). In particular, we detected upregulation of embryonic  
240 wound signature components with links to actin polymerization and cell  
241 adhesion, and one known regulator of embryonic stem cell pluripotency,  
242 *RCOR2*, which can function with other transcription factors to induce  
243 pluripotent stem cells (**Figure 3—figure supplement 1**) (P. Yang et al.,  
244 2011).

245 RNA sequencing analysis detected *CDH2* (encoding N-Cadherin)  
246 expressed at significantly higher levels in iSOX11 cells grown in 2D and as  
247 spheroids, whilst other EMT markers, including *CDH1* (encoding E-cadherin)  
248 and *VIM* were not significantly changed compared to control cells  
249 (**Supplementary File 1**). Other notable downstream targets of SOX11  
250 included *MEX3A*, which encodes an RNA binding protein, that marks slowly  
251 proliferating multipotent stem cells in mouse intestine (Barriga et al., 2017)  
252 and totipotent cells *in C elegans* (Pereira et al., 2013); *MMP11*,  
253 *ST6GALNAC5*, and *TUBB3*, which are highly expressed in breast cancers  
254 that metastasize to brain (Bos et al., 2009; Kim et al., 2015; Lee et al., 2016).  
255 We confirmed that a number of putative SOX11 targets of interest (**Figure 3C**  
256 **and Figure 3—figure supplement 1, Supplementary File 2**), including  
257 *MEX3A* and *TUBB3* were upregulated in iSOX11 cells when measured by  
258 qPCR (**Figure 3D**). We also detected upregulation of *MEX3A* and *TUBB3*  
259 protein in iSOX11 cells (**Figure 3E-F**). We found many SOX11+ breast cancer  
260 cell lines express high levels of *MEX3A* or *TUBB3* compared to DCIS.com cell  
261 line (**Figure 3G**). *MEX3A* and *TUBB3* levels are correlated with *SOX11*

262 expression in breast cancers in the TCGA dataset (**Figure 3H**) and in breast  
263 cancer cell lines in the Broad dataset (Ghandi et al., 2019) (**Figure 3—figure**  
264 **supplement 1**). Notably, with greater increases of *SOX11* levels, a higher  
265 percentage of samples with increased *MEX3A* are observed. These findings  
266 support a role for *SOX11* in mediating developmental signals during breast  
267 cancer progression.

268

#### 269 **DCIS cells expressing SOX11 show alterations in metastatic tropism**

270 To explore whether sustained reactivation of *SOX11* promotes tumour  
271 progression, we injected luciferase-tagged iEV and iSOX11 cells into the  
272 mammary fat pad. Four weeks after orthotopic xenografting with iSOX11 cells,  
273 brain micrometastases were detected in two out of six mice by IVIS imaging,  
274 whilst none were observed in six mice xenografted with control cells (**Figure**  
275 **4A**). Liver and lung micrometastases were detected in both cohorts when  
276 assessed by IVIS imaging.

277 After injection into the tail vein, IVIS imaging detected tumour cells in  
278 lungs in seven out of the eight mice, bone in three out of eight mice, and in  
279 brain in one of eight mice xenografted with iSOX11 cells, whilst no bone or  
280 brain metastases were observed in eight mice xenografted with control cells  
281 (**Figure 4B**). It was noted that the frequencies of mice with lung metastasis  
282 were similar, but a significant reduction of iSOX11 DCIS cells accumulated in  
283 the lungs was observed when compared to mice engrafted with iEV cells  
284 when quantified by IVIS (**Figure 4C-D**). Macroscopic examination of  
285 metastatic lesions confirmed the reduction in tumour burden (data not shown).

286 *SOX11* expression in primary breast cancer is associated with  
287 increased metastasis formation at distant sites (**Figure 4E**). *SOX11* is highly  
288 expressed in brain metastases and is also detected in bone metastasis from  
289 breast cancer patients (**Figure 4—figure supplement 1**). *SOX11*  
290 amplification and overexpression has been detected in breast cancer brain  
291 metastasis in patients with ER-, ER+, and *BRCA1*<sup>-/-</sup> tumours in a recent study  
292 that comprehensively profiled a small number of cases (**Figure 4—figure**  
293 **supplement 1**) (Saunus et al., 2015). In another dataset in which samples  
294 from 21 breast cancer brain metastasis (BCBM) patients were transcriptionally  
295 profiled by RNA sequencing, elevated levels of *SOX11* are detected in the



296 brain metastasis in one third of cases compared to the primary tumour  
297 (**Figure 4—figure supplement 2**) (Vareslija et al., 2018).

298

### 299 **SOX11+ DCIS.com cells from brain metastasis display a colonisation** 300 **and growth advantage after intracranial xenografting**

301 iSOX11 cells were isolated from mouse brain after orthotopic injections and  
302 expanded (designated iSOX11Br). Induction of SOX11 with DOX led to  
303 increased expression of SOX11, MEX3A and TUBB3 compared to untreated  
304 cells (**Figure 5A**). Other cells isolated and expanded from bone and lung  
305 metastasis after orthotopic injections of iSOX11 did not express SOX11 after  
306 induction with DOX.

307 After xenografting iSOX11Br cells into the tail vein, mice that had been  
308 fed normal chow had a greater metastatic burden in the lungs compared to  
309 mice fed DOX-containing chow to induce SOX11 expression, similar to results  
310 observed using the parental iSOX11 DCIS cell line, (**Figure 5B-D**). After  
311 intracranial injections of iSOX11Br cells, higher levels of bioluminescence  
312 were detected in the brains of mice fed DOX chow (**Figure 5E**). Induction of  
313 SOX11 expression led to larger tumour burden in the brain and reduced  
314 survival (**Figure 5F**). These results indicate that iSOX11Br cells have a  
315 colonisation and growth advantage in the brain compared to that niSOX11Br  
316 cells lacking SOX11 expression.

317

### 318 **SOX11 regulates proliferative state of ER- breast cancer cells**

319 Next, we examined the effect of reducing SOX11 levels in CAL-148 ER-  
320 breast cancer cell line that expresses very high SOX11 levels. Using DOX-  
321 inducible lentiviral vectors containing shRNAs to *SOX11* and control non-  
322 targeting shRNAs, reductions in *SOX11* levels were obtained, as well as  
323 decreases of *TUBB3*, *MEX3A*, *GPC2*, *MPK4*, *OLFM2*, *ST6GALNAC5*, and  
324 *NCAD* levels and an increase in *SERPINA3* in CAL-148 cells after *SOX11*  
325 knockdown when compared to control (**Figure 6A**). Reduced levels of  
326 SOX11, MEX3A and TUBB3 protein were detected after *SOX11* knockdown  
327 (**Figure 6B**). Cell viability assays detected greater cell numbers after *SOX11*  
328 knockdown in CAL-148 cells, compared to control cells (**Figure 6C**). Colony  
329 formation assays detected an increase in clonogenic potential of CAL-148

330 cells with reduced *SOX11* levels (**Figure 6D**). These results suggest *SOX11*  
331 could regulate proliferative state of stem cells in ER- breast cancer cells.

332

### 333 **TUBB3, an established *SOX11* target, regulates proliferation and** 334 **invasion of ER- breast cancer cells**

335 As with *SOX11*, both distant metastasis-free survival and overall survival of  
336 breast cancer patients are reduced when high levels of *TUBB3*, an  
337 established *SOX11* target in neural cells, are expressed in primary tumours  
338 (**Figure 7—figure supplement 1**). We next examined the effects of *TUBB3*  
339 on triple negative breast cancer (TNBC) growth and invasion. Using siRNA-  
340 mediated knockdown, we found that reducing *TUBB3* levels in BT-20 cells  
341 resulted in reduced growth in both 2D culture and spheroid culture (**Figure**  
342 **7A-C**). More cells were arrested in G2/M phase of the cell cycle when *TUBB3*  
343 levels were reduced (**Figure 7D and Figure 7—figure supplement 2**),  
344 consistent with a known role of *TUBB3* in regulating cell cycle progression of  
345 tumour cells. As a result of cell cycle arrest, an increase of multinucleated  
346 cells in the >G2/M phase and a higher proportion of dead cells in sub-G1  
347 phase were detected. Spheroid invasion assays detected less invasion when  
348 *TUBB3* levels were reduced (**Figure 7E-F**). These results suggest *SOX11* can  
349 regulate proliferation and invasive growth through *TUBB3* in ER- breast  
350 cancer cells.

351

### 352 ***MEX3A*, a novel potential *SOX11* downstream effector, regulates cell** 353 **growth and E/M state of ER- breast cancer cells.**

354 Both distant metastasis-free survival and overall survival of breast cancer  
355 patients are reduced when high levels of *MEX3A* are expressed in primary  
356 tumours, as would be expected for a *SOX11* target (**Figure 7—figure**  
357 **supplement 1**). Due to *MEX3A*'s established links with regulation of both  
358 EMT and proliferation of intestinal and various types of cancer cells, we  
359 knocked down *MEX3A* in ER- BT-20, CAL-148 and HCC1187 breast cancer  
360 cells to determine if *MEX3A* regulates similar processes. In HCC1187 and BT-  
361 20 cells, a slight but significant reduction of invasive growth was detected  
362 when *MEX3A* levels were reduced with some, but not all siRNAs (**Figure 8A-**  
363 **B and Figure 8—figure supplement 1**). An increase in cell numbers were

364 observed after knockdown of *MEX3A* in both CAL-148 and HCC1187 cells  
365 grown in 2D or 3D (**Figure 8C-D**). Cell cycle analysis detected a reduction in  
366 S phase after *MEX3A* knockdown in both cell lines (**Figure 8E, Figure 8—**  
367 **figure supplement 1**). Several candidate cell cycle regulators from a  
368 consensus stem cell quiescence signature (Cheung & Rando, 2013), were  
369 downregulated in iSOX11 cell signatures, including *RRM2* and *SURVIVIN*  
370 (**Figure 8—figure supplement 1 and Supplementary File 3**). Western  
371 blotting found that both *RRM2* and *SURVIVIN* were upregulated when *MEX3A*  
372 levels were reduced in CAL-148 and BT-20 cells (**Figure 8F and Figure 8—**  
373 **figure supplement 1**). High expression levels of *MEX3A* co-occur with  
374 increased levels of *E2F3*, *CCNE1* and *CDKN2A*, and decreases in *RB1* levels  
375 in the TCGA dataset (**Figure 8—figure supplement 1**); *MEX3A* levels show  
376 strong correlation with the levels of *E2F3* and *CCNE1* (**Supplementary File**  
377 **4**). The addition of EGF to CAL-148 cells growing in either serum-free or low-  
378 serum media led to reduction of *MEX3A* levels (**Figure 8—figure**  
379 **supplement 2**). CAL-148 cells normally form aggregates when grown in 2D  
380 culture conditions and after *MEX3A* knockdown, CAL-148 cells displayed a  
381 reduced ability to form aggregates and an acquired ability to adhere to plastic  
382 (**Figure 8G**). After *MEX3A* knockdown, CAL-148 cells displayed increased  
383 expression of E-CADHERIN and EPCAM (**Figure 8H**). BT-20 and HCC1187  
384 cells lack expression of E-CADHERIN but showed an increase in EPCAM  
385 levels after *MEX3A* knockdown (**Figure 8—figure supplement 2**). Together,  
386 these results are consistent with roles for *MEX3A* in regulation of cell growth  
387 and EMT in SOX11+ ER- breast cancer cells.

388

389 **Discussion**

390 We previously showed that SOX11 promotes invasive transition of DCIS  
391 cells using both *in vitro* and *in vivo* models where SOX11 is expressed in  
392 DCIS.com cells under the control of a constitutive CMV-driven promoter  
393 (Oliemuller et al., 2017). Here, we investigated the role of SOX11 in breast  
394 cancer progression after tumour formation using a doxycycline-inducible  
395 EF1A promoter to express SOX11 in DCIS.com cells at a level comparable to  
396 that observed in clinical DCIS and breast cancer samples. DCIS cells induced  
397 to express SOX11 prior to spheroid formation form smaller spheroids, which  
398 display more invasion compared to control spheroids, recapitulating the  
399 phenotypes we observed with constitutive expression of SOX11 (Oliemuller et  
400 al., 2017). In addition, we observed unique phenotypes using these  
401 experimental conditions, including substantial morphological changes (cell  
402 detachment and formation of multiple satellite spheroids indicative of a highly  
403 invasive phenotype without hydrogel), suggesting breast lesions expressing  
404 very high levels of SOX11 possess an inherent high potential to form  
405 metastatic lesions.

406 Stem cells and progenitors may serve as a cell of origin for breast cancers.  
407 Many SOX (SRY-related HMG-box) transcription factors are expressed in the  
408 postnatal breast and some have been shown to control normal and/or cancer  
409 stem cells (Domenici et al., 2019; Kogata et al., 2018; Mehta, Khanna, &  
410 Gatza, 2019). SOX11 is a unique transcription factor, since it does not appear  
411 to be expressed in normal mammary epithelial cells after birth in either mouse  
412 or human and therefore is well-poised to reactivate developmental pathways  
413 when expressed in breast cancers (Oliemuller et al., 2017; Tsang, Oliemuller,  
414 & Howard, 2020; Wansbury et al., 2011; Zvelebil et al., 2013).

415 CD24 and ALDH1 are widely used cancer stem cell (CSC) markers in  
416 breast cancer (Liu et al., 2014). It is now widely accepted that stem cell states  
417 fluctuate and are not fixed (Liu et al., 2014) and cells transition between the  
418 two states (Liu et al., 2014; O'Brien-Ball & Biddle, 2017). Our results suggest  
419 a dose-dependent effect of SOX11 on CD24 levels. It is possible that CMV  
420 driven versus EF1A-driven SOX11 has distinct cell context effects. Lower  
421 levels of CMV-driven SOX11 expression results in expansion of the  
422 ALDH+/CD24- CSC population in DCIS.com cells (Oliemuller et al., 2017).

423 Meanwhile, EF1A-driven inducible SOX11 expression, presented here, leads  
424 to expansion of the CD24+ CSC population and higher levels of SOX11 are  
425 associated with an increased size of the ALDH+/CD24+ CSC population.  
426 Triple negative breast cancer (TNBC) cells exhibit robust expression of *CD24*,  
427 suggesting that the inducible model described here mimics what is observed  
428 in human TNBC and may present a potential therapeutic opportunity for some  
429 SOX11+ breast cancers (Barkal et al., 2019).

430 *Sox11* is highly expressed in prenatal mammary epithelial cells from the  
431 time the mammary organ initially forms (Zvelebil et al., 2013). scRNA-  
432 sequencing also detects *Sox11* expression by the majority of Lgr5+ embryonic  
433 mammary epithelial cells isolated at E14.5, a stage when embryonic  
434 mammary epithelial cells are multipotent and most cells express markers  
435 associated with the two major mammary lineages (the basal/myoepithelial and  
436 luminal lineages) (Lilja et al., 2018; Wuidart et al., 2018). Prenatal human  
437 breast tissues also express markers associated with both basal and luminal  
438 mammary lineages (Jolicoeur, Gaboury, & Oligny, 2003). Co-expression of  
439 basal and luminal markers are observed in iSOX11 cells and spheroids  
440 formed from them. Our findings are consistent with SOX11 marking  
441 populations enriched with embryonic mammary cell phenotypes (Bland &  
442 Howard, 2018; Wuidart et al., 2018).

443 Epithelial cells with mesenchymal features have been detected at high  
444 frequency during organogenesis in the developing mouse embryo using single  
445 cell RNA-seq analysis. *Sox11* positively regulates expression of mesenchymal  
446 markers, including *N-cadherin (Cdh2)* and *Fibronectin1 (Fn1)* in several  
447 developing organs, including intestine, liver, lung, and skin (Dong et al., 2018;  
448 Halbleib & Nelson, 2006). A significant association of *SOX11* and *N-*  
449 *CADHERIN (CDH2)* expression is also observed in genomic analyses across  
450 six cancer types, suggesting that mesenchymal pathways may be activated  
451 by SOX11 in both normal and cancer cells (Vervoort, Lourenco, van Boxtel, &  
452 Coffey, 2013). SOX11 has previously been implicated in participating in the  
453 regulation of epithelial-mesenchymal transition (EMT) (Venkov et al., 2011).  
454 EMT, stemness and plasticity are intertwined (Nieto, Huang, Jackson, &  
455 Thiery, 2016; Wahl & Spike, 2017) and SOX11 is well poised to function as a

456 key regulator of epithelial/mesenchymal cell states during development and in  
457 cancers.

458 The regulation of N-CADHERIN (CDH2) by SOX11 is significant since  
459 CDH2 promotes motility in human breast cancer cells regardless of their E-  
460 CADHERIN expression (Nieman, Prudoff, Johnson, & Wheelock, 1999).  
461 CDH2 is a predictive biomarker for distant metastasis in early-stage breast  
462 cancer (Aleskandarany et al., 2015), that is commonly detected in breast  
463 cancer cells and provides a mechanism for transendothelial migration (Qi,  
464 Chen, Wang, & Siu, 2005).

465 Micrometastasis to brain is detected in ~30% of mice within 1 month of  
466 xenografting DCIS cells induced to express high levels of SOX11. *SOX11* is  
467 amplified and overexpressed in ~30% of brain metastases in a recent study of  
468 a small cohort of breast cancer patients that were profiled using an integrated  
469 genomic and transcriptomic analysis of fresh frozen tumour samples (Saunus,  
470 McCart Reed, Lim, & Lakhani, 2017; Saunus et al., 2015) and highly  
471 expressed by ~30% of BCBM in another independent study (Vareslija et al.,  
472 2018). Our finding that iSOX11 mammary tumours spontaneously  
473 metastasize to the brain is clinically relevant.

474 We have identified a number of genes that are regulated by SOX11 in our  
475 mouse models of breast cancer that are also associated with SOX11  
476 expression in both primary breast cancer and breast cancer brain metastasis.  
477 A number of these, such as *TUBB3*, encode targetable molecules that are  
478 being used in novel pharmacogenetic approaches in combination with other  
479 factors (Karki et al., 2013). Others, such as *MEX3A*, may provide novel  
480 biomarkers or therapeutic targets (Bufalieri et al., 2020; Wang et al., 2020; D.  
481 Yang, Jiao, Li, & Fang, 2020). *MEX3A* controls the polarity and stemness and  
482 affects the cell cycle of intestinal epithelial cells through the downregulation of  
483 the mRNA encoding the CDX2 transcription factor (Pereira et al., 2013) and,  
484 in addition, exhibits a transforming activity when overexpressed in gastric  
485 epithelial cells (Jiang et al., 2012). The intestine has a reservoir of quiescent  
486 stem cells that are resistant to chemotherapy that are marked by *Mex3a* and  
487 are multipotent so have the capacity to produce any kind of intestinal cell and  
488 contribute to tumour heterogeneity (Barriga et al., 2017). *MEX3A* may mark a

489 rare mammary stem cell in the human breast that could escape traditional  
490 chemotherapy treatments, but this remains to be demonstrated.

491 The analysis of co-occurrence of *SOX11* with *MEX3A* and *TUBB3* in  
492 breast cancers profiled in TCGA suggests that *MEX3A* is expressed  
493 predominantly in samples with higher levels of *SOX11*. The differences in cell  
494 growth observed both *in vitro* and *in vivo* with increasing levels of *SOX11*  
495 suggest a dose-dependent regulation of *SOX11* downstream targets and/or a  
496 possible post-translational modification. Also, the discrepancies between the  
497 tumour size observed after xenografting *iSOX11* cells via the mammary fat  
498 pad model and the MIND model, as well as the finding that EGF can decrease  
499 *MEX3A* levels, suggest an influence of microenvironmental factors on *SOX11*  
500 tumour cell behaviour. This is in agreement with differential expression of  
501 *TUBB3* and *MEX3A*, depending if DCIS-*SOX11* cells were xenografted in the  
502 mammary fat pad or via MIND (Oliemuller 2017). Together, this data suggests  
503 it may be necessary to classify *SOX11*+ tumours, depending on *SOX11*  
504 expression level, as well as the expression of its effectors in order to stratify  
505 breast cancer patients. The subcellular localisation of *SOX11* can be  
506 informative for cancer classification since high *SOX11* mRNA levels and  
507 detection of the nuclear protein are reliable markers of mantle cell lymphoma  
508 (Mozos et al., 2009). During neurogenesis, *SOX11* is detected in both the  
509 nucleus and cytoplasm (Balta et al., 2018). Phosphorylation of specific serine  
510 residues can modulate *SOX11* subcellular localization and prevent its nuclear  
511 localization (Balta et al., 2018). We have not determined whether *SOX11*  
512 localises to the cytoplasm, as well as the nucleus, in breast cancer case  
513 samples, and if *SOX11* localisation could be useful for classification of breast  
514 cancers.

515 *SOX11*+ breast cancer cells express markers indicative of phenotypic  
516 plasticity and have a high tendency to undergo metastasis (O'Brien-Ball &  
517 Biddle, 2017). Together, these data suggest that patients whose DCIS and  
518 primary breast cancers express high levels of *SOX11* are among a high-risk  
519 metastasis subgroup that should be considered for aggressive therapies in  
520 neo-adjuvant settings.

521

522

523 **Methods**

524 **Cell culture**

525 DCIS.com-Luc cells were generated by transducing cells with lentiviral  
526 expression particles for firefly luciferase 2 (LVP325; Amsbio, Abingdon, UK).  
527 DCIS.com-Luc-mCherry were created by lentiviral transduction of the  
528 mCherry sequence in the DCIS.com-Luc cells. Supplementary material  
529 (**Supplementary File 6**) provides details and sources of cell lines and media  
530 used. All cell lines were tested and were mycoplasma-free. The DCIS.com  
531 have been extensively profiled in (Maguire et al., 2016) and we confirmed  
532 PIK3CA mutation status by PCR and Western blotting. Cell lines were  
533 authenticated by STR profiling (Eurofins).

534

535 **Expression vectors**

536 The SOX11 coding sequence (GENEID: 6664) from clone HsCD00295480 19  
537 in the pENTR223.1 plasmid (DNASU) 20 was subcloned into pInducer21  
538 (ORF-EG) plasmid (gift from Stephen Elledge & Thomas Westbrook  
539 (Addgene plasmid # 46948; [http://n2t.net/addgene:](http://n2t.net/addgene:46948) 46948;  
540 RRID:Addgene\_46948) (Meerbrey et al., 2011). pInducer13-shRNA and  
541 pInducer13-NS shRNA were made by subcloning the specific shRNA174 for  
542 SOX11 and the NS shRNA from pGIPZ plasmids from Horizon into  
543 pInducer13.

544

545 **RNA isolation**

546 RNA from cells grown in 2D for 48h in presence or absence of 1 $\mu$ M  
547 doxycycline (DOX) and from spheroids treated for 2 or 5 days (DOX 2days or  
548 DOX 5days) or not treated with DOX (DOX 0Days) (n = 3 for each time point)  
549 was isolated with an RNAeasyPlus Micro kit (74034; Qiagen, Manchester,  
550 UK) and DNase treatment. RNAClean and concentrator-5 (Zymo Research,  
551 Irvine, CA, USA) were used. RNA concentration and purity were determined  
552 with a Qubit fluorometer (Invitrogen, Carlsbad, CA, USA) and a nanodrop  
553 spectrophotometer. RNA integrity number was measured with a bioanalyzer  
554 and an Agilent RNA Pico kit (Agilent Technologies, Cheshire, UK).

555



556 **cDNA synthesis and qPCR**

557 One microgram of each RNA sample was reverse transcribed with QuantiTect  
558 Reverse Transcription kit (Qiagen, Manchester, UK) in a final volume of 20µl.  
559 cDNA was diluted ten times for subsequent quantitative polymerase chain  
560 reaction (qPCR) analysis, as described previously (Oliemuller et al., 2017),  
561 with the probes and methods listed in supplementary material  
562 **(Supplementary File 7)**.

563 **Western blotting**

564 Western blotting was performed as previously described (Zvelebil et al.,  
565 2013). Details of the antibodies used are provided in supplementary material  
566 **(Supplementary File 8)**.

567

568 **Immunohistochemistry (IHC)**

569 IHC was performed on formalin-fixed paraffin embedded samples. Samples  
570 were stained with antibodies as described previously (Oliemuller et al., 2017).

571

572 **Immunofluorescence**

573 Antibodies and staining protocols are detailed in supplementary material  
574 **(Supplementary File 9)**. EVOS fluorescence microscope was used for  
575 imaging. Confocal images were captured with a Leica Microsystems  
576 (Cambridge, UK) TCS- SP2 confocal microscope.

577

578 **Spheroid formation**

579 Five thousand cells, untreated or treated with 1µM doxycycline (DOX) for 48h,  
580 were plated per well in 96-well ultra-low-attachment plates (Corning 7007,  
581 Corning, NY, USA) in media containing DOX or not. After 24h, when the  
582 spheroids were formed, new media containing 1µM doxycycline was added  
583 for 48h to spheres formed in absence of DOX for 2 days (DOX 2days) or  
584 spheres that were formed in presence of DOX to a total of 5 days (DOX  
585 5days). Media without doxycycline was added to the control spheroids (No  
586 DOX). Images were obtained with a Celigo cytometer (Nexcelom,  
587 Manchester, UK).

588

### 589 **Colony formation assays**

590 DCIS.com cells were plated at 250 per well in six-well (Falcon F3046,  
591 Corning, NY, USA) plates. After 7 days, plates were stained with 0.2% crystal  
592 violet dissolved in 20% methanol in PBS. Area was measured, and the  
593 percentage relative to number of cells plated was calculated.

594 For single cell colony assays and single cell mammosphere assays,  
595 cells were FACS sorted and a single living cell plated per well in a 96well or  
596 ultra-low attachment plate respectively. After 14 days, plates were analysed in  
597 a Celigo cytometer (Nexcelom, Manchester, UK).

598 For mammosphere assays, 5000 DCIS cells/ml were plated in low-  
599 attachment six-well plates (Corning 3471) and incubated in medium  
600 supplemented with 2% NeuroCult SM1 without vitamin A (StemCell  
601 Technologies) and 0.65% methylcellulose (R&D Systems, Abingdon, UK).  
602 After 14 days, wells were scanned with a Celigo cytometer. Mammosphere-  
603 forming efficiency was calculated by dividing the number of mammospheres  
604 by the number of cells plated per well.

605

### 606 **Cell viability assays**

607 Three thousand cells per well were plated in 96-well plates (655098; Greiner  
608 Bio-one, Stonehouse, UK) or in ultra-low attachment plates for 24 h before  
609 starting the experiments. CellTiter-Glo (Promega, Southampton, UK) was  
610 used according to the manufacturer's protocol. Luminescence was measured  
611 with a Victor X5 58 plate reader (Perkin-Elmer, Seer Green, UK). In DCIS.com  
612 cells, CellTiter-Glo assays were performed at the time of adding the  
613 doxycycline and after 1, 2 and 3 days. When cells were transfected with  
614 siRNAs, the transfection was done overnight and next day (day 1), full  
615 supplemented media was added. CellTiter-Glo assays were performed on day  
616 1 and 5 to allow time for the siRNAs to knock down the genes of interest.  
617 CAL-148 cells stably transduced with shRNAs were measured at 0, 3 and 7  
618 days, since this cell line has a long doubling time.

619

### 620 **Invasion assays**

621 3 days after plating 5000 DCIS.com cells, spheroids were embedded in  
622 collagen I (354249; Corning) at 2.2 mg/ml diluted in medium. Complete  
623 medium was added on top after 1 h. Images were acquired at this time and  
624 after 48 h with a Celigo cytometer. The total area of matrix invaded by cells  
625 was calculated with ImageJ after marking of the area manually. For BT-20  
626 and HCC1187 cells, the spheres used in the invasion assays were formed at  
627 the same time that they were transfected with the *TUBB3* or *MEX3A* specific  
628 siRNAs in Opti-MEM media supplemented with 10% FBS. After 24h, this  
629 media was changed for the normal media of this cells. The cells were invaded  
630 in collagen 72h after plating.

631

### 632 **Transfections and siRNA**

633 BT-20, HCC1187 and CAL-148 cells were transfected with 50 pmol of each  
634 *TUBB3* or *MEX3A* siRNA (siGENOME SMARTpool and four individual  
635 siRNAs), control nontargeting siRNA (Thermo Scientific, Waltham, MA, USA)  
636 by using Lipofectamine RNAiMAX (Invitrogen, Life Technologies Corporation,  
637 Carlsbad, CA, USA) in Opti-MEM media supplemented with 10% FBS (Gibco,  
638 Life Technologies Corporation, Carlsbad, CA, USA) media according to the  
639 manufacturer's instructions for 16h in a six-well or 96-well plate, depending on  
640 the experiment, and then incubated with complete media. For sphere  
641 transfections, 5000 cells per well were incubated with 2.5 pmol of each  
642 specific siRNA in 150ul of normal media per well in an ultra-low attachment  
643 plate for 16h. The next day, media was replaced with fresh media.

644

### 645 **Flow cytometry analyses and fluorescence-activated cell sorting (FACS)**

646 Aldehyde dehydrogenase (ALDH) activity was measured with the Aldefluor  
647 assay (StemCell Technologies, Cambridge, UK) as described before  
648 (Oliemuller et al., 2017). Cells were also co-stained with Aldefluor and anti-  
649 CD24–PE–Cy7 (561646) (1:100) and anti-CD44–APC (559942) (1:20) (BD  
650 Biosciences, Oxford, UK). A BD FACS LSRII flow cytometer was used and  
651 samples were analysed with BD FACS Diva software (BD Biosciences).  
652 mCherry+ DCIS cells from the tumours in the tail vein xenograft experiments  
653 were sorted by a FACSAriaIII (BD). FACSAriaIII was used for clonogenic and

654 mammosphere assays that required plating single cells per well. Living and  
655 dead cells were distinguished with DAPI 1:5000. Cell cycle analysis was  
656 described previously (Zvelebil et al., 2013).

657

#### 658 **PKH staining**

659  $2 \times 10^{-6}$  DCIS-LacZ or DCIS-SOX11 cells were resuspended in Diluent C and  
660 stained with PKH26 Dye Solution to a final concentration of 5mM following the  
661 manufacturer's protocol (MINI26, Sigma). After confirming by flow cytometry  
662 that the 100% of the population stained, spheres with 5000 cells were formed  
663 in ultra-low attachment 96-well plates.

664

#### 665 **Animal experiments**

666 All animal work was carried out under UK Home Office project and personal  
667 licenses following local ethical approval from The Institute of Cancer  
668 Research Ethics Committee and in accordance with local and national  
669 guidelines.

670 For  
671 xenograft tumour assays, DCIS.com labelled with Luc2-mCherry and stably  
672 transduced with empty vector (EV) control or SOX11 (in pInducer21  
673 backbone) were resuspended in PBS for implantation into female NSG-  
674 Foxn1<sup>null</sup> mice.  $1.0 \times 10^6$  cells/site in both sides were injected in each mammary  
675 fat pad number 4 and  $2.0 \times 10^4$  cells/site into the mammary duct via the nipple  
676 of mammary gland number 4 as previously described (Oliemuller et al., 2017).

677 Intracranial injections were performed using a stereotaxic frame by  
678 injecting  $1 \times 10^{-5}$  cells (Stoelting, Wood Lane, IL, USA) into the striatum (2-mm  
679 right from the midline, 2-mm anterior from bregma, 3-mm deep). Six NSG-  
680 Foxn1null mice were used per for each condition.

681 For tail vein injections, the mice were placed into a hot box set at 38°C  
682 for up to 5 minutes, to dilate the tail veins. The mice were then placed into a  
683 restrainer, the lateral tail vein identified, and  $2.5 \times 10^5$  cells were slowly infused  
684 (over 30 seconds) through the tail vein using a venoflux 25g butterfly needle.  
685 Before treatment, mice used were randomized in groups based on their  
686 weight.

687 For the doxycycline induction experiments using iSOX11 or iEV, a  
week before the injections, the animals injected with transduced cells were

688 separated into two cohorts and maintained with or without chow containing  
689 doxycycline (0.2 g/kg, 0.625, 1.250 or 2.0 g/kg from Envigo) for the duration  
690 of the experiment.

691 For *in vivo* imaging, mice were injected with 200 $\mu$ l of luciferin  
692 (XenoLight D-Luciferin Potassium Salt, Perkin Elmer, 30mg/mL). After 5  
693 minutes the mice were anesthetized with isoflurane and the bioluminescence  
694 was measured at least at 3 different time points on an IVIS Lumina imaging  
695 systems (Perkin-Elmer). For *ex vivo* imaging the organs were resected and  
696 imaged for 3 minutes to detect any signal. In the analysis, identical square  
697 regions of interest (ROI) were drawn around tumours to measure total and  
698 average bioluminescence signal.

699

#### 700 **Tumour dissociation**

701 iSOX11 or iEV cells from brain, bone and lung metastasis were isolated with a  
702 cell dissociation kit following the manufacturer instructions (Miltenyi Biotec,  
703 Bergisch Gladbach, Germany) and using the gentleMACS™ Octo Dissociator  
704 with Heaters and its 37°C\_h\_TDK\_3 protocol. To select human cells and  
705 discard murine cells, the result of this dissociation was FACS sorted in a  
706 FACSAriaIII and mCherry+ cells were selected and grown in normal DCIS  
707 media.

708

#### 709 **RNA sequencing**

710 cDNA library preparation was carried out at Oxford Genomics Centre, The  
711 Wellcome Trust Centre for Human Genetics using PolyA+ RNA enrichment  
712 method for total RNA from cultured cells. mRNA fraction was selected from  
713 the total RNA before conversion to cDNA. Second strand cDNA synthesis  
714 incorporated dUTP. The cDNA was end-repaired, A-tailed and adapter-  
715 ligated. Prior to amplification, samples underwent uridine digestion. The  
716 prepared libraries were size selected, multiplexed and quality checked before  
717 paired end sequencing over four lanes of a flow cell.

718 Sequence files were trimmed by the use of trim\_galore  
719 ([http://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore/](http://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)) with default  
720 settings. Trimmed data were separately mapped to the GRCh38 and

721 GRCm38 genome assemblies by the use of hisat2 (v2.0.5) with options --sp  
722 1000,1000 -- omixed--no-discordant, and were filtered to remove non-primary  
723 alignments. Species-specific read sets were generated by removing any read  
724 that produced a valid alignment in both human and mouse from the results for  
725 both species. The remaining data were imported into SeqMonk  
726 (<http://www.bioinformatics.babraham.ac.uk/projects/seqmonk/>) with a filter of  
727 mapping quality (MAPQ) score  $\geq 20$ . Reads were quantified over the  
728 transcript set from Ensembl v78 with annotated mis-spliced, pseudogene and  
729 unannotated transcripts removed. Initial quantification was raw read counts  
730 from the opposing strand to the transcript, with all exons for each gene being  
731 collated into a single measure. This allowed gene-level differential expression  
732 to be assessed by the use of DESeq2  
733 (<https://bioconductor.org/packages/release/bioc/html/DESeq2.html>), with a  
734 cutoff of a false discovery rate of  $< 0.05$ . Subsequent visualization was  
735 performed by requantifying expression as log2 fragments per million reads of  
736 library. RNA sequencing files were submitted to ArrayExpress.

737

### 738 **Survival analysis**

739 The prognostic importance of *SOX11* mRNA expression was assessed by the  
740 use of survival data using the cBioPortal for Cancer Genomics  
741 (<http://cbioportal.org>) (Cerami et al., 2012; Gao et al., 2013). Data obtained  
742 from The Cancer Genome Atlas (<https://www.cancer.gov/tcga>) was examined  
743 by use of the Kaplan–Meier Plotter survival analysis tool (<http://kmplot.com>)  
744 and METABRIC (Curtis et al., 2012), and statistical significance was  
745 determined with the Wald test.

746

### 747 **Statistical Analysis**

748 The data in the graphs are presented as mean and standard deviation, unless  
749 specified otherwise. Experiments were analysed with a two-tailed Student's t-  
750 test with a confidence interval of 95% when the number of groups equalled 2,  
751 or with a parametric ANOVA and *post hoc* test when the number of groups  
752 was  $>2$ , unless otherwise specified. *P-value*  $\leq 0.0001$  is considered as  
753 extremely significant (\*\*\*\*),  $P \leq 0.001$  as highly significant (\*\*\*),  $P \leq 0.01$  as

754 very significant (\*\*),  $P \leq 0.05$  as significant (\*), and  $P > 0.05$  as not significant  
755 (ns), respectively.

756

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769 processing of the sequencing data.

770

### 771 **Conflict of interest**

772 The authors declare no conflict of interest.

773

774

775 **Figure 1. Inducible expression of SOX11 leads to changes in cell state**  
776 **profiles of DCIS.com cells.**

777 **A.** Western blot of SOX11 in cytoplasmic and nuclear fractions of DCIS.com  
778 cells containing the pInducer21 empty vector in presence (iEV) or absence  
779 (niEV) of 1 $\mu$ M Doxycycline (DOX) or the pInducer21SOX11 with (iSOX11) or  
780 without DOX (niSOX11). GAPDH and LAMIN B1 were used as loading control  
781 of cytoplasmic and nuclear fractions, respectively. Densitometry results  
782 normalised against niSOX11 are shown in brackets.

783 **B.** SOX11 expression detected in iSOX11 cells stained by IF after 48 hours of  
784 DOX induction. Scale Bar: 200 $\mu$ m.

785 **C.** ER- DCIS case sample showing SOX11 staining in DCIS and adjacent  
786 normal breast tissue. Scale Bar: 200 $\mu$ m.

787 **D.** Results from flow cytometry analysis of Aldefluor assays of niEV and  
788 niSOX11 cells (day 0) and iEV and iSOX11 after 2 days treatment with 1 $\mu$ M  
789 DOX. Results show the % of ALDH<sup>+</sup> cells normalised against niEV. Error bars  
790 represent SD. \* p = 0.0223. n=5.

791 **E.** Results from flow cytometry analysis of CD24 and CD44 of niEV and  
792 niSOX11 cells (day 0) and iEV and iSOX11 after treatment with 1 $\mu$ M DOX for  
793 2 days. Results show the average % of cells CD44<sup>+</sup>/CD24<sup>+</sup> in each condition.  
794 Error bars represent SD. \*\*\* p = 0.0005 (iSOX11 vs niSOX11) and p=0.0009  
795 (iSOX11 vs iEV) n=3.

796 **F.** Western blot of CD24 in cytoplasmic and nuclear fractions of niEV,  
797 niSOX11, iEV and iSOX11 cells. GAPDH and LAMIN B1 were used as  
798 loading control of cytoplasmic and nuclear fractions, respectively. In brackets  
799 densitometry results normalised against niSOX11.

800 **G.** Confocal images of IF staining of E-CADHERIN, N-CADHERIN, VIMENTIN  
801 in niSOX11 and iSOX11 cells. Cells were grown in slides covered with  
802 Collagen I. Scale Bar: 200 $\mu$ m.

803 **H.** Western blot of N-CADHERIN and VIMENTIN in cytoplasmic and nuclear  
804 fractions of niEV, niSOX11, iEV and iSOX11 cells. GAPDH and LAMIN B1  
805 were used as loading control of cytoplasmic and nuclear fractions  
806 respectively. Densitometry results normalised against niSOX11 are shown in  
807 brackets.



808 I. Confocal IF images of iEV and iSOX11 spheroids treated with 1 $\mu$ M DOX,  
809 stained with luminal marker, K8, and basal markers K14 or SMA, and DAPI.  
810 Scale bar: 200 $\mu$ m.

811 DOX: doxycycline, IF: Immunofluorescence.

812

813 **Figure 2. DCIS cells expressing SOX11 grow more slowly and form more**  
814 **invasive spheroids.**

815 **A.** Cell growth assays results for iEV or iSOX11 DCIS cells (induced with 1 $\mu$ M  
816 DOX for 72h). Experiments were performed 5 times. Error bars represent  
817 SEM. \* p=0.0450 and \*\*\*\* p<0.0001.

818 **B.** Cell growth assays results for spheroid formed with iEV or iSOX11 DCIS  
819 cells induced with 1 $\mu$ M DOX for 3 or 7 days. Experiments were performed 3  
820 times. Error bars represent SEM. p-values (3 days): \* p=0.0374 (niEV vs  
821 niSOX11), \* p=0.0221 (niSOX11 vs iSOX11) \*\*\* p=0.0002. p- values (7days):  
822 \*\*\* p=0.0004 and \*\*\*\* p<0.0001

823 **C.** Examples of DCIS iEV and DCIS iSOX11 spheroids grown on low  
824 attachment plates. Graph shows the number of microsatellites per sphere. \*\*\*\*  
825 p<0.0001.

826 **D.** Invasion assay after overlaying niEV, iEV, niSOX11 and iSOX11 DCIS  
827 spheroids with Collagen I. Scale bar: 200 $\mu$ m. Graph shows the area invaded  
828 in pixel<sup>2</sup> normalised against niEV. Both \*\*\*\* p < 0.0001.

829 **E-G.** IVIS imaging, tumour volumes and luminescence total flux/volume  
830 results after mammary fat pad xenografts. P-value in F: \* p=0.0331. p-values  
831 in G: \* p=0.0252 (niSOX11 vs iSOX11 625ppm), \* p=0.0285 (iEV 625ppm vs  
832 iSOX11 625ppm), \*\* p=0.0082.

833 **H.** Representative images of IHC staining to detect Cleaved Caspase 3 and  
834 H&Es of tumours resected from mice injected with iEV and iSOX11 cells.  
835 Scale bar: 500 $\mu$ m.

836 **I-K.** IVIS imaging, tumour volumes and luminescence total flux after mammary  
837 intraductal injection (MIND) xenografts. \* p=0.0286 (U Mann Whitney).

838 DOX: doxycycline, IHC: Immunohistochemistry

839

840 **Figure 3. SOX11 expression promotes expression of developmental**  
841 **pathways frequently activated in cancer.**

842 **A.** Volcano plot representing the RNAs with a log<sub>2</sub> fold-change > +/-0.585 in  
843 the RNA-sequencing results of iSOX11 cells grown in 2D compared with the  
844 controls [(iSOX11-niSOX11)- (iEV-niEV)] to account for effects of DOX  
845 treatment on DCIS.com cells.

846 **B.** Gene ontology results from A.

847 **C.** List of genes overexpressed log<sub>2</sub> fold-change > +/- 1.585 times in all 3  
848 RNA-sequencing (cells grown in: 2D, 3D for 2 days, 3D for 5 days) results  
849 comparing iSOX11 versus iEV.

850 **D.** qRT-PCR results for several potential SOX11 targets in EV and SOX11  
851 cells with and without DOX induction in cells grown in 2D. Experiment was  
852 repeated 3 times.

853 **E.** Western blot of MEX3A and TUBB3 in cytoplasmic and nuclear fractions of  
854 EV or SOX11 cells in presence or absence of 1 $\mu$ M DOX. GAPDH and LAMIN  
855 B1 were used as loading control of cytoplasmic and nuclear fractions  
856 respectively. In brackets, densitometry results normalised against niEV and  
857 niSOX11.

858 **F.** IF staining of DCIS iEV and DCIS iSOX11 cells with TUBB3 (green) and  
859 MEX3A (red). Scale: 200 $\mu$ m.

860 **G.** Western blot of MEX3A and TUBB3 in SOX11+ breast cancer cell lines  
861 and SOX11- DCIS.com and MCF10A from the MCF10A mammary cell  
862 progression series.

863 **H.** Pie charts representing the percentage of breast cancer samples with a  
864 log<sub>2</sub> fold-change greater than 2 in the levels of *MEX3A* or *TUBB3* RNA when  
865 *SOX11* increased between 0.5 and 2-fold, 2 and 4-fold, or greater than 4-fold  
866 in the TCGA dataset.

867 DOX: doxycycline, qRT-PCR: Quantitative real time PCR, IF:  
868 Immunofluorescence.

869

870 **Figure 4. DCIS cells expressing SOX11 show alterations in metastatic**  
871 **tropism.**

- 872 **A.** Tabulated results of micrometastasis assessed by *ex vivo* IVIS imaging  
873 after orthotopic mammary fat pad xenografting of iEV and iSOX11 cells.
- 874 **B.** Tabulated results of micrometastasis assessed by *ex vivo* IVIS imaging  
875 after xenografting iEV and iSOX11 cells via the tail vein.
- 876 **C.** Representative *in vivo* IVIS image 7 days after tail vein injections of iEV  
877 and iSOX11 cells.
- 878 **D.** Quantification of *in vivo* lung metastatic burden at day 31. Graph shows the  
879 luminescence total flux (p/s) in the lungs 31 days after tail vein injections. \*\*  
880  $p=0.0011$ .
- 881 **E.** Distance metastasis-free survival curve for *SOX11* in breast cancer  
882 patients in the Wang cohort (GSE2034).  
883

884 **Figure 5. SOX11+ DCIS cells isolated from brain metastasis display a**  
885 **colonisation and growth advantage after intracranial xenografting.**

- 886 **A.** Western blot of SOX11, MEX3A and TUBB3 in total cell lysates of EV and  
887 SOX11 cell lines isolated from primary metastasis at indicated sites in  
888 presence or absence of DOX.
- 889 **B.** Representative *in vivo* IVIS imaging 7 days after tail vein injections of  
890 iSOX11 cells that were isolated from the brain metastasis (SOX11Br) in  
891 presence or absence of DOX.
- 892 **C.** Tabulated results of micrometastasis from *in vivo* IVIS imaging 7 days after  
893 tail vein injections of SOX11Br cells.
- 894 **D.** Tabulated results of micrometastasis from *ex vivo* IVIS imaging of the tail  
895 vein injections of SOX11Br cells.
- 896 **E.** IVIS imaging of mice fed normal chow or DOX-containing chow 10 days  
897 after intracranial injections of SOX11Br cells.
- 898 **F.** Survival curve for mice shown in E. \*  $p=0.0195$ .  
899 DOX: doxycycline

900

901 **Figure 6. SOX11 regulates growth of ER- breast cancer cells.**

- 902 **A.** qRT-PCR results for several potential SOX11 targets in CAL-148 cells  
903 transduced with shRNA to *SOX11* or shRNA NS cells with and without DOX  
904 induction in cells grown in 2D.

905 **B.** Western blot of SOX11, MEX3A and TUBB3 in total cell lysates of CAL-  
906 148 cells transduced with shRNA *SOX11* in presence or absence of 1 $\mu$ M  
907 DOX after 48h. GAPDH was used as loading control. Densitometry results  
908 normalised against no DOX are shown in brackets.

909 **C.** Cell growth assay results for CAL-148 shRNA *SOX11* cells induced with  
910 1 $\mu$ M DOX at 3 and 7 days. Experiments were performed 3 times. Error bars  
911 represent SEM. \* p=0.0106 (day 7).

912 **D.** Quantification of clonogenicity in 2D and 3D from single CAL-148 shRNA  
913 *SOX11* cells plated in presence or absence of DOX after 21 days. The  
914 number in brackets represents the mean in each group of the 3 experimental  
915 replicates.

916 DOX: doxycycline, NS: non-silencing.

917

918 **Figure 7. TUBB3, an established SOX11 target, regulates cell growth and**  
919 **invasive potential of ER- breast cancer cells.**

920 **A.** Western blot of TUBB3 in total cell lysates of BT-20 cells transfected with  
921 siRNAs specific for *TUBB3*. B-ACTIN was used as loading control.  
922 Densitometry results normalised against NS siRNA are shown in brackets.

923 **B.** Cell growth assay results 5 days after BT-20 cells were transfected with  
924 siRNA specific for *TUBB3* (since siRNAs require 48 hours for efficient  
925 knockdown). Results relative to NS siRNA are shown. Experiments performed  
926 3 times. \* p=0.0297, \*\*\* p=0.0001, \*\*\*\* p<0.0001.

927 **C.** Sphere size measured 3 and 5 days after BT-20 cells were transfected with  
928 siRNA specific for *TUBB3*. Results relative to NS siRNA are shown.  
929 Experiments performed 3 times. \*\*\*\* p<0.0001.

930 **D.** Cell cycle analysis performed by flow cytometry at day 2 after siRNA  
931 transfection specific for *TUBB3* in BT-20 cells. Graph shows % of cell in each  
932 phase of the cell cycle. \* p=0.0396 (Phase G2/M siRNApool vs siRNA NS)  
933 and \*p=0.0243 (Phase G2/M siRNA5 vs siRNA NS). Experiments were  
934 performed 3 times.

935 **E.** Invasion assay after overlaying BT-20 spheroids with Collagen I at day 2  
936 after siRNA transfection specific for *TUBB3*. Graph shows the area invaded in  
937 pixel<sup>2</sup> normalised against NS siRNA. \* p=0.0444, \*\* p=0.0014, \*\*\*\* p<0.0001.

938 **F.** Representative images of BT-20 spheroids transfected with indicated  
939 siRNAs to *TUBB3* 48h after adding Collagen I and (in small insets) at time 0h  
940 (2 days after transfection). Scale bar: 200 $\mu$ m.

941 NS: non-silencing.

942

943 **Figure 8. MEX3A, a novel SOX11 downstream effector, regulates cell**  
944 **growth and (E/M) state of ER- breast cancer cells.**

945 **A.** Invasion assay results after overlaying BT-20 and HCC1187 spheroids with  
946 Collagen I, at day 2 after siRNA transfection specific for *MEX3A* or control  
947 (NS). Graph shows the area invaded in pixel<sup>2</sup> normalised against NS siRNA. \*  
948 p=0.0181, \*\* p=0.0014 for BT-20 and \* p= 0.0220 for HCC1187 cells.

949 **B.** Representative images of BT-20 spheroids 48h after adding Collagen I and  
950 at time 0h (shown in small inset, 2 days after transfection) made from cells  
951 transfected with either control siRNA or *MEX3A* siRNAs. Scale bar: 200 $\mu$ m.

952 **C.** Cell growth assays 5 days after CAL-148 and HCC1187 cells were  
953 transfected with siRNA specific for *MEX3A* or NS controls (siRNAs require 48  
954 hours for efficient knockdown; this was taken into account to select day 5 as  
955 final point). Relative results to NS siRNA are shown. Experiments performed 3  
956 times. \* p=0.0342, \*\* p= 0.0052 \*\*\* p=0.0005 (siRNA2), \*\*\*\* p<0.0003  
957 (siRNA3) for CAL-148 cells and \* p=0.0337 (siRNApool) and \*p=0.0477 for  
958 HCC1187 cells.

959 **D.** Sphere size measured 3, and 5 days after HCC1187 cells were  
960 transfected with siRNA specific for *MEX3A*. Relative results to NS siRNA are  
961 shown. Experiments performed 3 times \* p=0.0240.

962 **E.** Cell cycle analysis performed by flow cytometry at day 4 after siRNA  
963 transfection specific for *MEX3A* in CAL-148 cells. Graph shows % of cell in  
964 each phase of the cell cycle. \* p=0.0147 (phase S siRNApool vs siRNA NS)  
965 and \* p=0.0094 (phase S siRNA3 vs siRNA NS) and \* p=0.0419 (phase  
966 subG1 NS vs siRNA3). Experiments performed 4 times.

967 **F.** Western blot of RRM2 and SURVIVIN in total cell lysates of CAL-148 at  
968 day 4 after siRNA transfection specific for *MEX3A*.  $\beta$ -ACTIN was used as  
969 loading control. Densitometry results normalised against NS siRNA are shown  
970 in brackets.

971 **G.** Examples of morphological changes observed in CAL-148 cells after 4  
972 days of *MEX3A* knockdown compared to NS control. Scale bar: 200 $\mu$ m.

973 **H.** Western Blot of EPCAM and E-CADHERIN in CAL-148 cells transfected  
974 with siRNA specific for *MEX3A* or NS control.  $\beta$ -ACTIN was used as loading  
975 control. Densitometry results normalised against NS siRNA are in brackets.

976 NS: non-silencing.

977

978

979 **Table 1. iSOX11 spheroids express reactivated embryonic wound**  
 980 **signature.**  
 981 Genes upregulated in mouse epidermal cells at E13.5 and at wound edge that  
 982 are directly regulated by Sox11 and Sox4 in both E16.5 epidermis and  
 983 keratinocytes in (Miao et al., 2019) are significantly upregulated in iSOX11  
 984 cells grown as spheroids.

3D (2 days)	log2 fold-change	p-value
GNG2	2.30823519	4.85E-05
RCOR2	1.57478431	2.90E-05
MARCKSL1	1.06239497	7.15E-08
EVL	0.8482472	9.60E-06
SNN	0.78560135	3.11E-05
FBLIM1	0.78250288	3.12E-05
ETV4	0.77064674	8.27E-05
VCAN	0.67955833	0.00094939
TWIST2	0.64425385	0.01949167
PXDN	0.63841224	1.14E-05
ARHGEF2	0.58601526	2.88E-06
TMSB10	0.56607317	0.00030783
C4orf48	0.54288141	0.03406946

985  
 986

987 **Supplementary Information**

988 **Supplementary Files:**

989 **Supplementary File 1.** RNA-seq results when SOX11 is induced in cells  
990 grown in 2D, 3D for 2 days or 3D for 5 days.

991 **Supplementary File 2.** Gene ontology analysis of the genes differentially  
992 expressed in the 3 RNA-seq datasets when SOX11 is induced in cells grown  
993 in 2D, 3D for 2 days or 3D for 5 days.

994 **Supplementary File 3.** Genes differentially expressed the 3 RNA-seq  
995 datasets when SOX11 is induced in cells grown in 2D, 3D for 2 days or 3D for  
996 5 days.

997 **Supplementary File 4.** Expression values of the genes from stem cell  
998 quiescence signature in the 3 datasets obtained in DCIS when SOX11 is  
999 induced in cells grown in 2D, 3D for 2 days or 3D for 5 days.

1000 **Supplementary File 5.** Co-occurrence and correlation of *MEX3A* RNA levels  
1001 with cell cycle related genes in TCGA breast cancer dataset.

1002 **Supplementary File 6.** Cell lines and culture media.

1003 **Supplementary File 7.** qPCR probes.

1004 **Supplementary File 8.** Antibodies used for western blotting.

1005 **Supplementary File 9.** Antibodies used for IF and IHC.

1006

1007

1008



1009 **Figure Supplements:**

1010 **Figure 1—figure supplement 1.**

1011 **A.** Western Blot to detect SOX11 in nuclear proteins of DCIS.com cells  
1012 transduced with pLenti6.3 LacZ or SOX11.

1013 **B.** Western blot to detect SOX11 in total cell lysates to determine the  
1014 concentration of DOX needed to induce SOX11.

1015 **C.** Western blot to detect SOX11 with total cell lysates induced with 1 $\mu$ M DOX  
1016 at different times.

1017 **D.** Western blot to detect SOX11 with total cell lysates induced with 1 $\mu$ M DOX  
1018 for 24h and cultured in media without DOX at different times afterwards.

1019 **E.** Representative flow cytometry analysis of CD44/CD24 cell populations of  
1020 EV and SOX11 cells before and after 2day treatment with DOX. Experiments  
1021 were performed 3 times.

1022 **F.** Fluorescence levels of iEV and iSOX11 after treatment with DOX stained  
1023 by IF with CD24. The positively stained area for CD24 at different DOX  
1024 concentrations was normalised by the area stained with DAPI. The results are  
1025 shown normalised against no DOX.

1026 DOX: Doxycycline, IF: Immunofluorescence.

1027

1028 **Figure 1—figure supplement 2.**

1029 **A.** ALDH activity levels in EV and SOX11 cells before and after 2 days  
1030 treatment with DOX were detected with the Aldefluor assay. Cells were  
1031 stained and sorted with CD44 and CD24 antibodies, and ALDH activity was  
1032 measured with the Aldefluor kit. Representative ALDH activities after flow  
1033 cytometry analysis in CD44/CD24+ EV and SOX11 cell populations before  
1034 and after 2 days treatment with DOX are shown. +DEAB plots display the  
1035 negative control; cells incubated with diethylaminobenzaldehyde (DEAB), the  
1036 specific inhibitor of ALDH, were used to establish the baseline fluorescence of  
1037 these cells are shown in insets. Experiments were performed 5 times.

1038 **B.** The frequency of CD44+/CD24+ALDH cells are shown in EV and SOX11  
1039 cells before and after 2 days treatment with DOX. Error bars represent SD.  
1040 Experiment performed 5 times. \*\* p=0.0029.

1041 DOX: Doxycycline.

1042

1043 **Figure 1—figure supplement 3.**

1044 **A.** E-CADHERIN, N-CADHERIN, VIMENTIN IF staining of EV or SOX11 cells  
1045 grown in collagen induced with and without DOX treatment. Scale Bar:  
1046 200 $\mu$ m.

1047 **B.** Fluorescence levels of iEV and iSOX11 stained by IF with SOX11, VIM, K5  
1048 and K8. The positively stained area for each protein was normalised by the  
1049 area stained with DAPI. The results are shown normalised against niEV and  
1050 niSOX11.

1051 **C.** VIMENTIN IF and DAPI staining of EV or SOX11 cells induced with DOX  
1052 and without DOX treatment. Scale Bar: 200 $\mu$ m. DOX: Doxycycline, IF:  
1053 Immunofluorescence.

1054

1055 **Figure 1—figure supplement 4.**

1056 Confocal images of spheres formed with niEV, niSOX11, iEV and iSOX11  
1057 cells induced for 2 or 5 days with 1 $\mu$ M DOX, and stained by IF with K14, K8  
1058 and SOX11. Scale Bar: 200 $\mu$ m.

1059 DOX: Doxycycline, IF: Immunofluorescence.

1060

1061 **Figure 1—figure supplement 5.**

1062 Confocal images of spheres formed with niEV, niSOX11, iEV and iSOX11  
1063 cells induced for 2 or 5 days with 1 $\mu$ M DOX, and stained by IF with K14, SMA  
1064 and SOX11. Scale Bar: 200 $\mu$ m.

1065 DOX: Doxycycline, IF: Immunofluorescence.

1066

1067 **Figure 2—figure supplement 1.**

1068 **A.** Representative colony forming assay results using niEV, iEV, niSOX11 and  
1069 iSOX11 cells. The area stained with crystal violet was quantified and  
1070 normalised by the area of niEV or niSOX11 respectively. Representative  
1071 photo is shown. Experiment was performed 3 times with 3 replicates each.

1072 **B.** Percentage of iEV and iSOX11 cells plated in two-dimensional (2D) culture  
1073 that form colonies. Cells were sorted by FACS and single cells were plated  
1074 per well in a 96-well. Results are shown as the % of clones obtained divided

1075 by the cells seeded in each cell line. Experiment was performed twice with 30  
1076 cells for each cell line.

1077 **C.** Percentage of DCIS-LacZ and DCIS-SOX11 cells plated in two-  
1078 dimensional (2D) culture that form colonies. Cells were sorted by FACS  
1079 sorted and single cells were plated per well in a 96-well. Results are shown as  
1080 the % of clones obtained divided by the cells seeded in each cell line.  
1081 Experiment was performed 3 times with 30 cells for each cell line. Typical  
1082 morphologies observed for DCIS-LacZ and DCIS-SOX11 clones are shown.

1083 **D.** Quantification of sphere-initiating capacity of DCIS-LacZ and DCIS-SOX11  
1084 cells plated in three-dimensional (3D) culture that form spheres grown in  
1085 methylcellulose and ultra-low attachment plates. Results are shown as the %  
1086 of clones larger than 50um (upper graph) or as a percentage of total clones  
1087 (down) obtained divided by the cells seeded in each cell line. Experiments  
1088 were performed twice with six biological replicates each. 10,000 cells were  
1089 plated per well. Typical morphologies observed for spheroid formed from  
1090 DCIS-lacZ and DCIS-SOX11 cells grown in methylcellulose and ultra-low  
1091 attachment plates.

1092 **E.** Representative images showing PKH26 dye labelling of DCIS-lacZ and  
1093 DCIS-SOX11 spheres grown in ultra-low attachment 96 well plates after 14  
1094 days. Graphs represent the size of the spheres formed (pixel<sup>2</sup>) and the  
1095 percentage of the area that is PKH+ in each sphere. Experiment was  
1096 replicated twice (n=35).

1097

1098 **Figure 2—figure supplement 2.**

1099 *SOX11* levels in breast cancer METABRIC dataset.

1100

1101 **Figure 2—figure supplement 3.**

1102 **A.** Average luminescence results in IVIS images after mammary fat pad  
1103 xenografts of iEV or iSOX11 in mice that were fed with high doses of DOX.

1104 **B.** Tumour volumes after mammary fat pad xenografts.

1105 **C.** Representative images of IHC to detect Cleaved Caspase 3 in tumours  
1106 resected from mice injected with iEV and iSOX11 tumours. Scale bar: 500µm.

1107 **D.** Average luminescence results in IVIS images of mice xenografted with iEV  
1108 and iSOX11 cells at day 6, 14, 21 and 28 after placing half on DOX or off  
1109 DOX diet after an initial 42 days on DOX.

1110 DOX: Doxycycline.

1111

1112 **Figure 2—figure supplement 4.**

1113 Panel shows photomicrographs of primary fat pad tumours following  
1114 immunohistochemical detection of SOX11, ALDH1A1, CD24, and H&E  
1115 staining. Data shown are from mice xenografted with iEV and iSOX11 cells  
1116 and fed DOX chow.

1117 DOX: Doxycycline, H&E: Haematoxylin/Eosin.

1118

1119 **Figure 3—figure supplement 1.**

1120 **A.** Volcano plots representing the genes with a log<sub>2</sub> fold-change > +/-0.585 in  
1121 the RNA-sequencing results of comparing iSOX11 and iEV cells grown in 3D  
1122 with DOX for 2 (left) or 5 days (right).

1123 **B.** Gene ontology results from A.

1124 **C.** List of genes overexpressed log<sub>2</sub> fold-change > +/- 0.585 times in all 3  
1125 RNA-sequencing (cells grown in: 2D, 3D for 2 days, 3D for 5 days) results  
1126 comparing iSOX11 versus iEV.

1127 **D.** Western blot of RCOR2 in cytoplasmic and nuclear fractions of EV or  
1128 SOX11 cells in presence or absence of 1 $\mu$ M DOX. GAPDH and LAMIN B1  
1129 were used as loading control of cytoplasmic and nuclear fractions,  
1130 respectively. Densitometry results normalised against niEV and niSOX11 are  
1131 shown in brackets.

1132 **E.** Pie charts representing the percentage of samples with a log<sub>2</sub> fold-change  
1133 greater than 2 in the levels of *MEX3A* or *TUBB3* RNA when SOX11 is  
1134 increased between 0.5 and 2-fold, 2 and 4-fold, or greater than 4-fold in the  
1135 Broad cell line dataset.

1136 DOX: Doxycycline.

1137

1138 **Figure 4—figure supplement 1.**

1139 **A.** *SOX11* expression in breast cancer metastasis (Zhang cohort:  
1140 GSE140200).

1141 **B.** *SOX11* is amplified and highly expressed in ~30% breast cancer brain  
1142 metastasis (BCBM) from ER-, ER+, HER2+ and *BRCA1*-/- breast cancer  
1143 patients in a study by (Saunus et al., 2015).

1144

1145 **Figure 4—figure supplement 2.**

1146 **A.** Graph showing the log<sub>2</sub> values of *SOX11* in primary tumours and its  
1147 correlative log<sub>2</sub> values in the brain metastasis dataset from (Vareslija et al.,  
1148 2018).

1149 **B.** Table resuming the *SOX11* log<sub>2</sub> fold-change between the values in the  
1150 brain metastasis and the primary tumour and the ER, PR and HER2 status of  
1151 each case.

1152

1153 **Figure 7—figure supplement 1.**

1154 **A.** Distant metastasis-free survival of breast cancer patients with low or high  
1155 expression of *CD24*, *TUBB3*, and *MEX3A*.

1156 **B.** Overall survival of breast cancer patients with low or high expression of  
1157 *CD24*, *TUBB3*, and *MEX3A*.

1158

1159 **Figure 7—figure supplement 2.**

1160 Representative flow cytometry histograms of cell cycle analysis of BT-20 cells  
1161 after transfection with specific *TUBB3* siRNAs.

1162

1163 **Figure 8—figure supplement 1.**

1164 **A.** Representative images of HCC1187 spheroids 48h after adding Collagen I  
1165 and at time 0h (shown in small inset, 2 days after transfection) made from  
1166 cells transfected with either control siRNA or *MEX3A* siRNAs. Scale bar:  
1167 200µm.

1168 **B.** Percentage of cells in S phase normalised against cells transfected with  
1169 the NS siRNA in CAL-148 cells at day 4. Experiments performed 3 times.

1170 **C.** Percentage of cells in S phase normalised against cells transfected with  
1171 the NS siRNA in HCC1187 cells at day 2. Experiments performed 4 times.

1172 **D.** Cell cycle analysis performed by flow cytometry at day 2 after siRNA  
1173 transfection specific for *MEX3A* in HCC1187 cells. Graph shows % of cell in  
1174 each phase of the cell cycle. Experiments performed 4 times.

1175 **E.** Representative flow cytometry histograms of cell cycle analysis of CAL-148  
1176 and HCC1187 cells after transfection with specific *MEX3A* siRNAs.

1177 **F.** Heatmap representing the values of a consensus stem cell quiescence  
1178 signature in the RNA-sequencing results of comparing iSOX11 and iEV cells  
1179 grown in 2D for 2 days or 3D with DOX for 2 or 5 days.

1180 **G.** Western blot of RRM2 and SURVIVIN in total cell lysates of BT-20 at day 2  
1181 after siRNA transfection specific for *MEX3A*.  $\beta$ -ACTIN was used as loading  
1182 control. Densitometry results normalised against NS siRNA are shown in  
1183 brackets.

1184 DOX: Doxycycline, NS: non-silencing.

1185

1186 **Figure 8—figure supplement 2.**

1187 **A.** Western Blot to detect *MEX3A* in CAL-148 cells that were grown in serum  
1188 free media or media supplemented with 0.2% FBS for 24h in presence or  
1189 absence of EGF 1ng/ml. GAPDH was used as loading control. Densitometry  
1190 results normalised against NS siRNA are in brackets.

1191 **B.** Western Blot to detect *MEX3A* and EPCAM in BT-20 cells transfected with  
1192 siRNA specific for *MEX3A* or NS control.  $\beta$ -ACTIN was used as loading  
1193 control. Densitometry results normalised against NS siRNA are in brackets.

1194 **C.** Western Blot to detect *MEX3A* and EPCAM in HCC1187 cells transfected  
1195 with siRNA specific for *MEX3A* or NS control. LAMIN B1 was used as loading  
1196 control. Densitometry results normalised against NS siRNA are in brackets.

1197 FBS: Fetal bovine serum, NS: non-silencing.

1198

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<b>Key Resources Table</b>				
<b>Reagent type (species) or resource</b>	<b>Designation</b>	<b>Source or reference</b>	<b>Identifiers</b>	<b>Additional information</b>
gene ( <i>Homo sapiens</i> )	<i>SOX11</i>	DNASU	Gene ID: 6664 Clone HsCD00295480 19	
strain, strain background ( <i>Mus Musculus</i> , Female)	NSG-Foxn1 <sup>null</sup>	in house		from breeding colony at ICR Biological Services Unit
cell line ( <i>Homo sapiens</i> )	MCF10DCIS.com	Dr. Gillian Farnie		
cell line ( <i>Homo sapiens</i> )	CAL-148	DMSZ	ACC 460	
cell line ( <i>Homo sapiens</i> )	BT-20	ATCC	HTB-19	
cell line ( <i>Homo sapiens</i> )	HCC1187	ATCC	CRL-2322	
cell line ( <i>Homo sapiens</i> )	MCF10A	ATCC	CRL-10317	
cell line ( <i>Homo sapiens</i> )	BT474	ATCC	HTB-20	
cell line ( <i>Homo sapiens</i> )	BT549	ATCC	HTB-122	
cell line ( <i>Homo sapiens</i> )	HCC202	Dr. Paul Huang, ICR, London	CRL-2316	
cell line ( <i>Homo</i> )	MX-1	DMSZ	CVCL_4774	

<i>sapiens</i> )				
cell line ( <i>Homo sapiens</i> )	UACC893	ATCC	CRL-1902	
transfected construct ( <i>Homo sapiens</i> )	pInducer21-SOX11	this paper	SOX11 coding sequence (GENEID: 6664) was subcloned into pInducer21 (ORF-EG) plasmid Cat# 46948 (See Expression vectors in Material and methods)	Lentiviral construct to transfect and express SOX11 sequence
transfected construct ( <i>Homo sapiens</i> )	pInducer13-SOX11 shRNA 174	this paper	specific shRNA174 for SOX11 from pGIPZ plasmids from Horizon was subcloned into pInducer13 (miR-LUP) plasmid Cat# 46936 (See Expression vectors in Material and methods)	Lentiviral construct to transfect and express the shRNA
transfected construct ( <i>Homo sapiens</i> )	pInducer13-shRNA NS	this paper	NS: non-silencing shRNA from pGIPZ plasmid from Horizon was subcloned into pInducer13 (miR-LUP) plasmid Cat# 46936 (See Expression vectors in Material and methods)	Lentiviral construct to transfect and express the shRNA
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME Non-Targeting siRNA #1	Horizon Discovery	D-001210-01-20	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME SMARTpool TUBB3 siRNA	Horizon Discovery	MQ-020099-03-0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME TUBB3 siRNA #3	Horizon Discovery	MQ-020099-03-0020	

transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME TUBB3 siRNA #4	Horizon Discovery	MQ-020099-03- 0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME TUBB3 siRNA #5	Horizon Discovery	MQ-020099-03- 0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME TUBB3 siRNA #19	Horizon Discovery	MQ-020099-03- 0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME SMARTpool MEX3A siRNA	Horizon Discovery	MQ-022355-01- 0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME MEX3A siRNA #1	Horizon Discovery	MQ-022355-01- 0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME MEX3A siRNA #2	Horizon Discovery	MQ- 022355- 01-0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME MEX3A siRNA #3	Horizon Discovery	MQ- 022355- 01-0020	
transfected construct ( <i>Homo sapiens</i> )	siRNA: siGENOME MEX3A siRNA #4	Horizon Discovery	MQ- 022355- 01-0020	
Antibody	Anti-SOX11 (Rabbit monoclonal)	Abcam	Clone EPR8191(2) Cat# ab78078	WB: (1:1000)
Antibody	Anti-SOX11 (Mouse monoclonal)	EBioscience	SOX11-C1 Cat# 50- 9773-82	IF: (1:200)
Antibody	Anti-TUBB3 (Mouse monoclonal)	Abcam	Clone 2G10 Cat# ab78078	WB: (1:1000) IF: (1:100)

Antibody	Anti-MEX3A (Rabbit polyclonal)	Abcam	Cat# ab79046	WB: (1:1000) IF: (1:100)
Antibody	Anti-CD24 (Mouse monoclonal)	Creative Biolabs	Cat# SWA11	WB: (1:1000)
Antibody	Anti-VIMENTIN (Rabbit monoclonal)	Abcam	Cat# Ab92547 (EPR3776)	WB: (1:1000) IF: (1:400)
Antibody	Anti-RCOR2 (CoREST2) (Rabbit polyclonal)	Abcam	Cat# ab37113	WB: (1:1000)
Antibody	Anti-N-CADHERIN (Rabbit monoclonal)	Cell Signaling	Cat# 13116	WB: (1:1000) IF: (1:50)
Antibody	Anti-E-CADHERIN (Mouse monoclonal)	BD Bioscience	Clone 36 Cat# 610182	WB: (1:1000) IF: (1:200)
Antibody	Anti-EPCAM (Rabbit monoclonal)	Cell Signaling	Clone D1B3 Cat# 2626	WB: (1:1000)
Antibody	Anti-RRM2 (R2) (Mouse monoclonal)	Santa Cruz	Clone A-5 Cat# sc-398294	WB: (1:1000)
Antibody	Anti-SURVIVIN (Rabbit monoclonal)	Cell Signaling	Clone 71G4B7 Cat# 2808	WB: (1:1000)
Antibody	Anti-GAPDH (Rabbit monoclonal)	Cell Signaling	Clone D16H11 Cat# 5174	WB: (1:5000)
Antibody	Anti-LAMINB1 (Rabbit polyclonal)	Abcam	Cat# ab16048	WB: (1:1000)
Antibody	Anti- $\beta$ -TUBULIN (Mouse monoclonal)	Sigma	Cat# T4026	WB: (1:1000)

Antibody	Anti- $\beta$ -ACTIN (Mouse monoclonal)	Cell Signaling	Clone 8H10D10 Cat# 3700	WB: (1:1000)
Antibody	Anti- $\beta$ -K5 (Rabbit polyclonal)	Biolegend	Cat# PRB-160P	IF: (1:200)
Antibody	Anti- $\beta$ -K14 (Rabbit polyclonal)	Biolegend	Cat# PRB-155P	IF: (1:200)
Antibody	Anti- $\beta$ -CD24 (Mouse monoclonal)	Invitrogen/ Thermofisher	Cat# SN3	IF: (1:50)
Antibody	Anti- $\beta$ -SMA (Rabbit monoclonal)	Invitrogen/ Thermofisher	EPR5368 Cat# Ab202509	IF: (1:50)
Antibody	Anti-CD24-PE- Cy7 (Mouse monoclonal)	BD Bioscience	Cat# 561646	Flow cytometry: (1:50)
Antibody	Anti-CD44-APC (Mouse monoclonal)	BD Bioscience	Cat# 559942	Flow cytometry: (1:50)
recombinant DNA reagent	pInducer21 (Plasmid)	Addgene	Cat# 46948	
recombinant DNA reagent	pInducer13 (Plasmid)	Addgene	Cat# 46936	
recombinant DNA reagent	Firefly Luciferase 2 lentiviral particles	Ambio	Cat# LVP325	
recombinant DNA reagent	pLV-mCherry (Plasmid)	Addgene	Cat# 36084	
sequence- based reagent	TaqMan probe SOX11	Thermofisher Scientific	Hs00846583_s1	
sequence- based reagent	TaqMan probe TUBB3	Thermofisher Scientific	Hs00801390_s1	



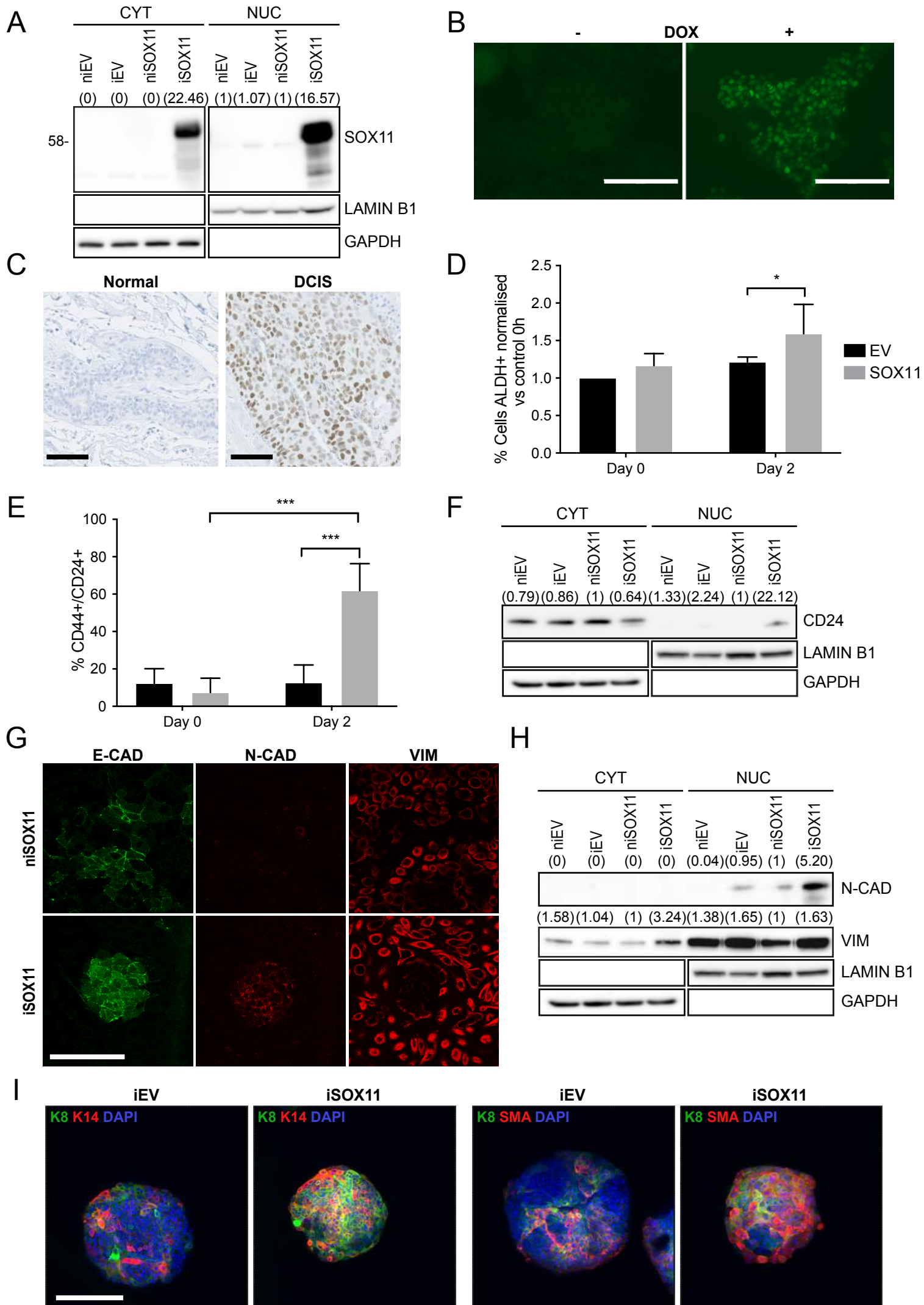
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sequence-based reagent	TaqMan probe GPC2	Thermofisher Scientific	Hs00415099_m1	
sequence-based reagent	TaqMan probe MAPK4	Thermofisher Scientific	Hs00969401_m1	
sequence-based reagent	TaqMan probe LBH	Thermofisher Scientific	Hs00368853_m1	
sequence-based reagent	TaqMan probe SERPINA3	Thermofisher Scientific	Hs00153674_m1	
sequence-based reagent	TaqMan probe OLFM2	Thermofisher Scientific	Hs01017934_m1	
sequence-based reagent	TaqMan probe N-CADHERIN	Thermofisher Scientific	Hs00983056_m1	
sequence-based reagent	TaqMan probe ST6GALNAC5	Thermofisher Scientific	Hs05018504_s1	
sequence-based reagent	TaqMan probe GAPDH	Thermofisher Scientific	Hs02786624_g1	
peptide, recombinant protein	Animal-Free Recombinant Human EGF	Peprotech	Cat# AF-100-15	
commercial assay or kit	RNAeasyPlus Micro kit	Qiagen	Cat# 74034	

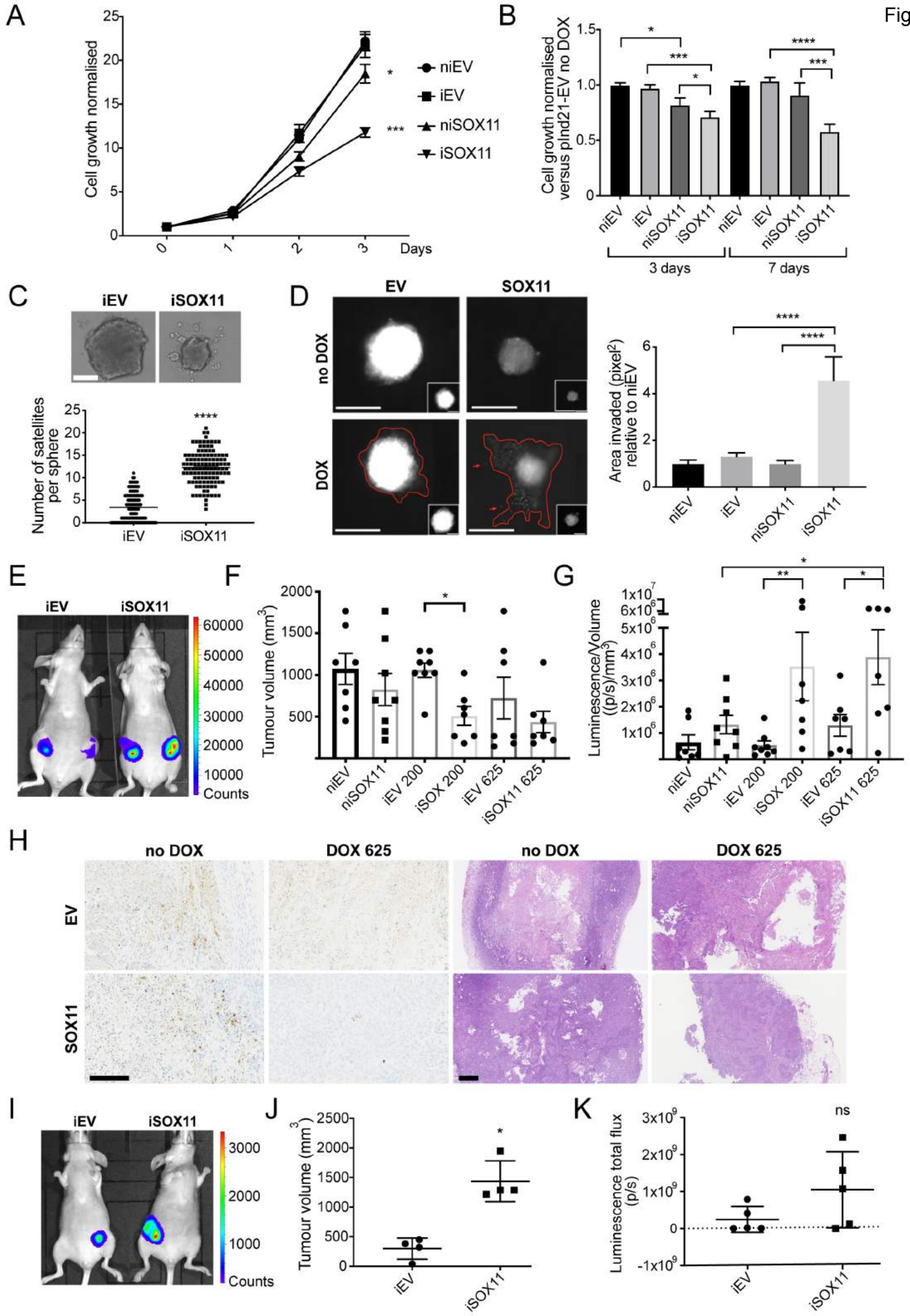
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commercial assay or kit	Agilent RNA Pico kit	Agilent Technologies	Cat# 5067-1513	
commercial assay or kit	QuantiTect Reverse Transcription kit	Qiagen	Cat# 205311	
commercial assay or kit	TaqMan™ Gene Expression Master Mix	Thermofisher Scientific	Cat# 4369016	
commercial assay or kit	Aldefluor assay	StemCell Technologies	Cat# 01700	
commercial assay or kit	PKH26 Dye Solution	SIGMA	Cat# MINI26	
commercial assay or kit	Tumour dissociation kit, human	Miltenyi	Cat# 130-095-929	
chemical compound, drug	Doxycycline hyclate	Sigma	Cat# D9891	
chemical compound, drug	NeuroCult SM1 without vitamin A	StemCell Technologies	Cat # 05731	
chemical compound, drug	Methylcellulose	R&D Systems	Cat # HSC002	
chemical compound, drug	Cell titer-Glo	Promega	Cat # G7572	
chemical compound, drug	Collagen I, High Concentration, Rat Tail	Corning	Cat # 354249	

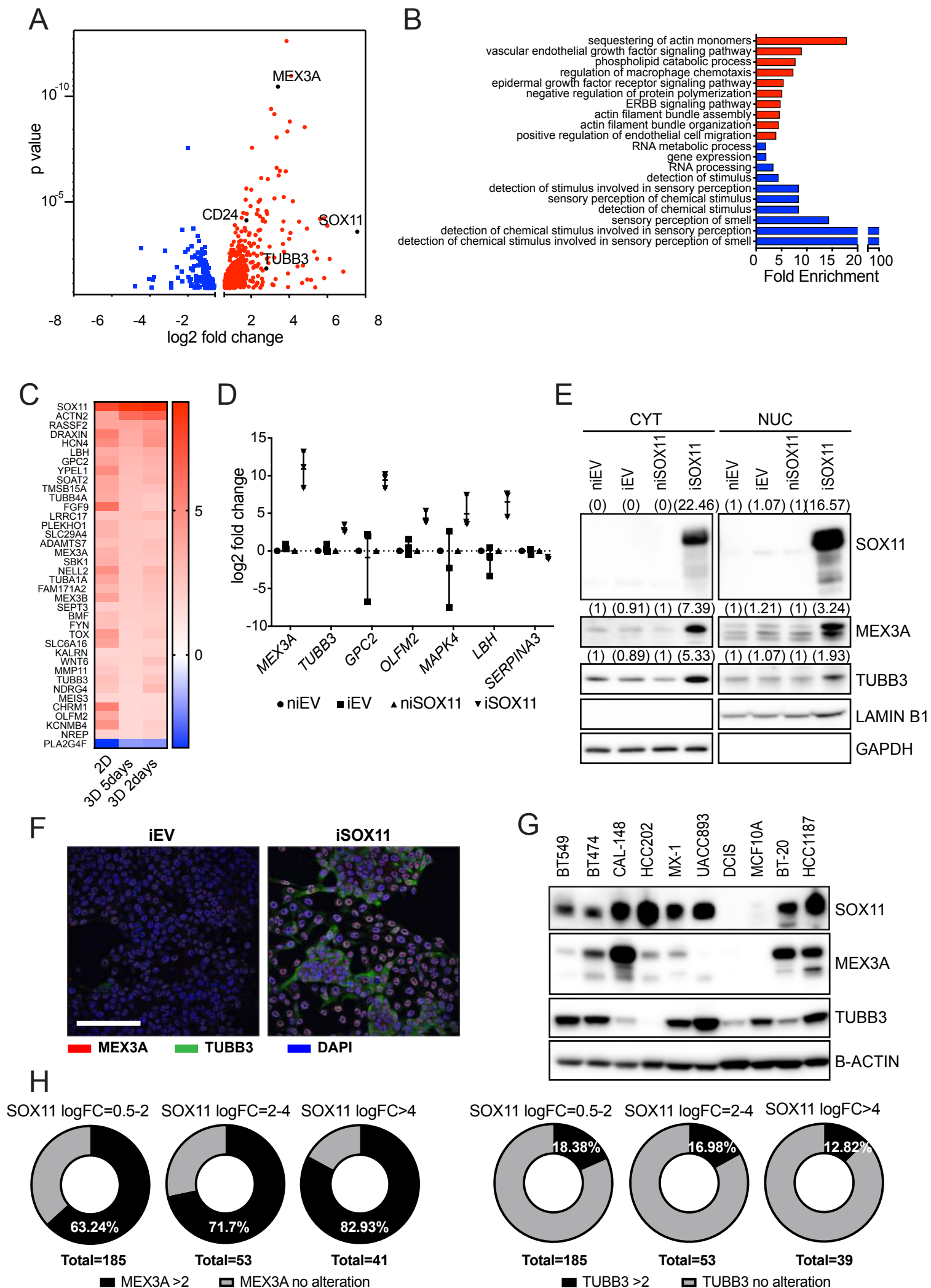
chemical compound, drug	XenoLight D-Luciferin Potassium Salt	Perkin Elmer	Cat # 122799	
chemical compound, drug	Lipofectamine 2000	Invitrogen	11668019	
chemical compound, drug	Lipofectamine RNAiMAX	Invitrogen	13778075	
software, algorithm	PRISM	Graphpad		
software, algorithm	BD FACS Diva software	BD Bioscience		
software, algorithm	Image J	National Institutes of Health (NIH)		
other	EVOS FL microscope	ThermoFisher Scientific		
other	Confocal microscope	Leica	Model TCS-SP2	
other	Celigo cytometer	Nexcelom		
other	96-well ultra-low-attachment plates	Corning	Cat # 7007	
other	Luminescence plate reader	Perkin Elmer	Victor X5 58	
other	FACS	BD Bioscience	FACSAriaIII	
other	Flow cytometer	BD Bioscience	BD FACS LSRII	
other	Stereotaxic frame	Stoelting		
other	IVIS Lumina imaging systems	Perkin Elmer		

other	gentleMACS™ Octo Dissociator with Heaters	Perkin Elmer		
other	DAPI	Sigma		IF: 1:5000 FC/FACS: 1:5000

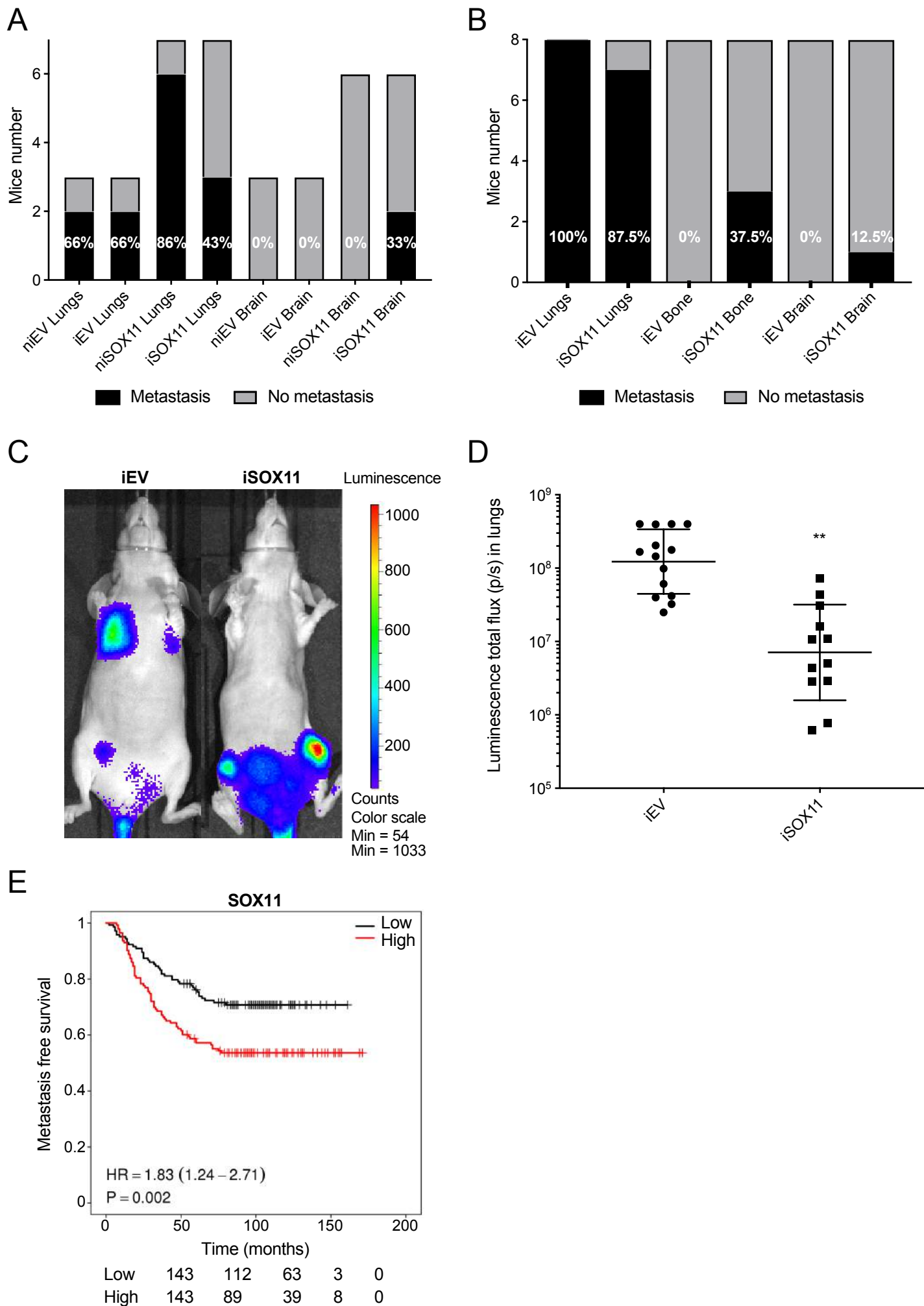
2  
3  
4  
5



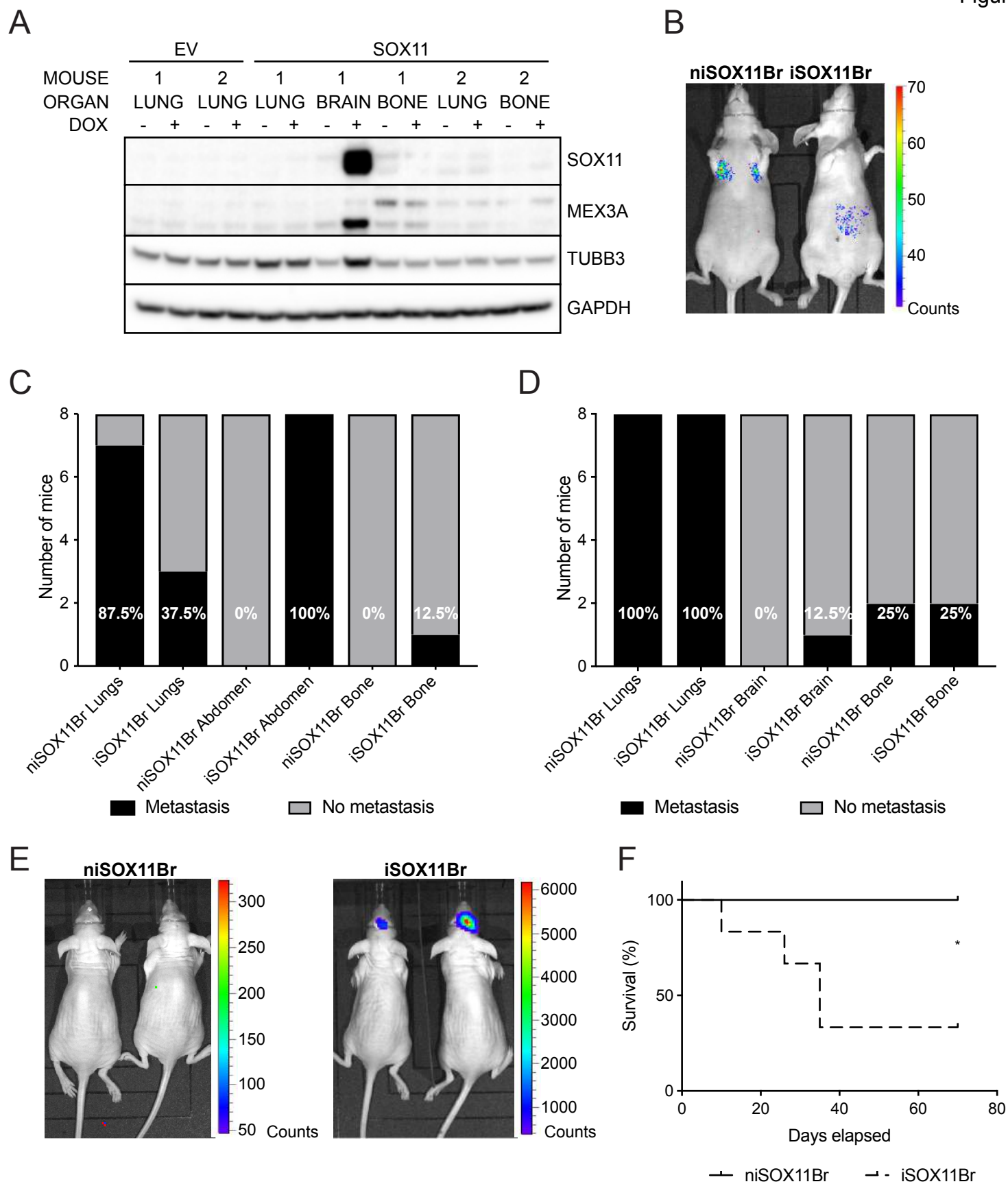


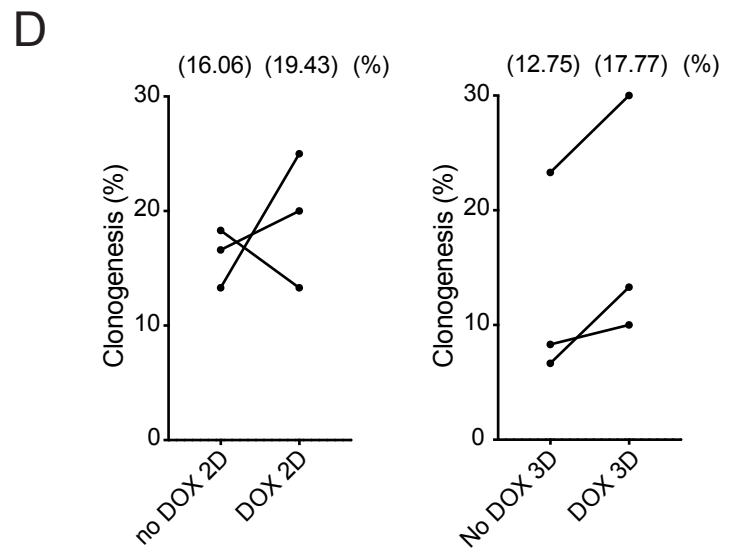
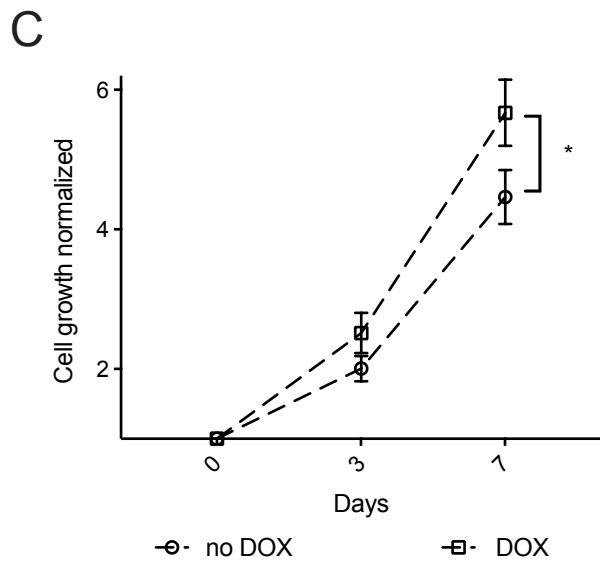
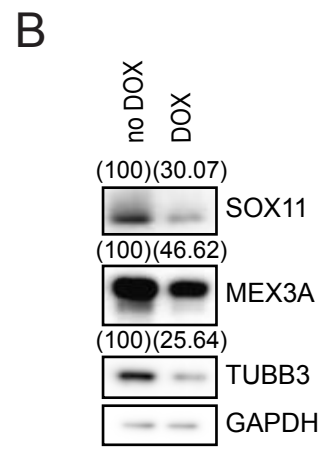
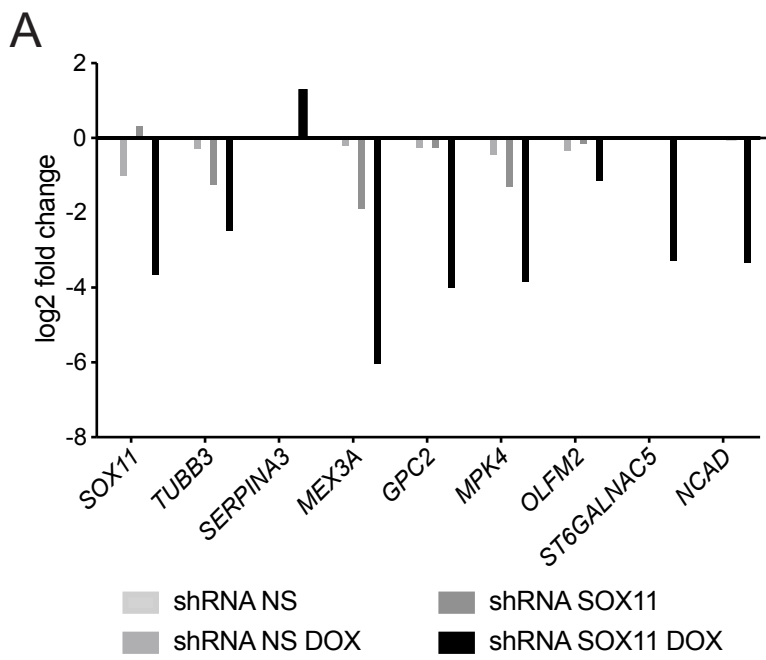


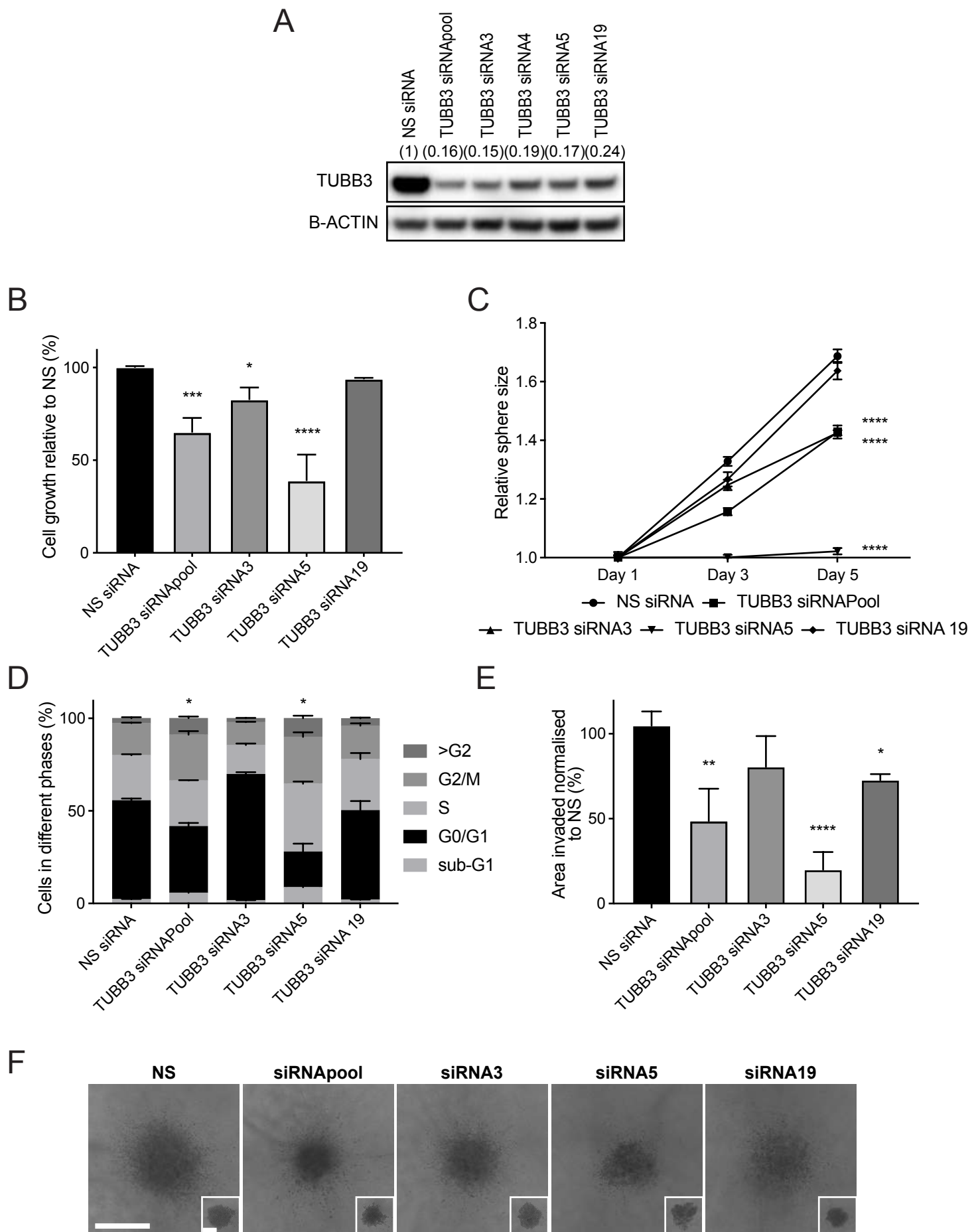


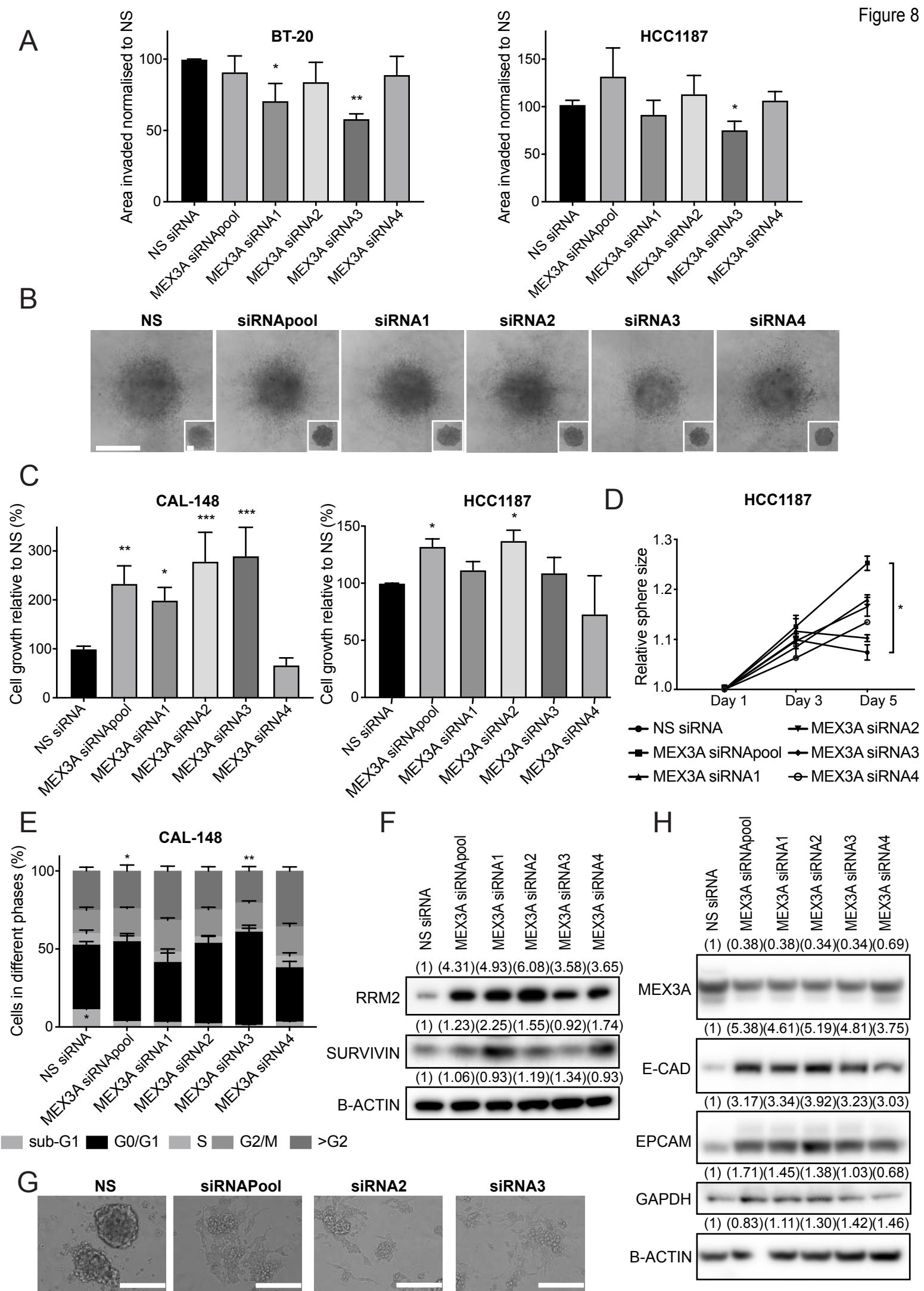




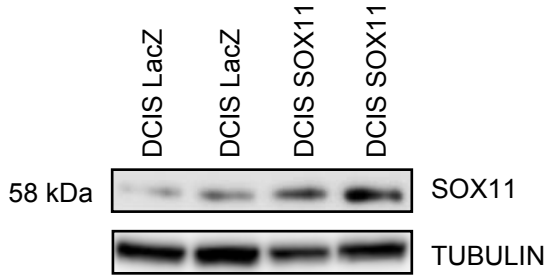




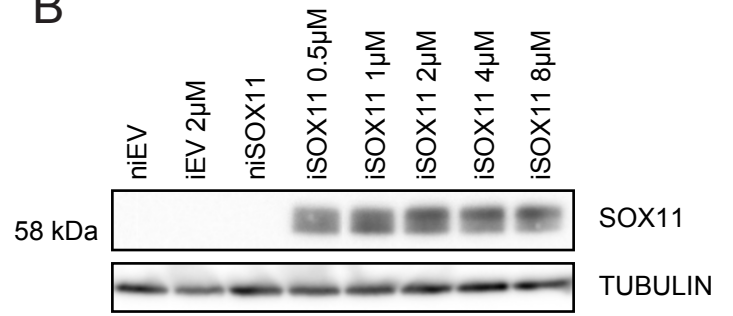




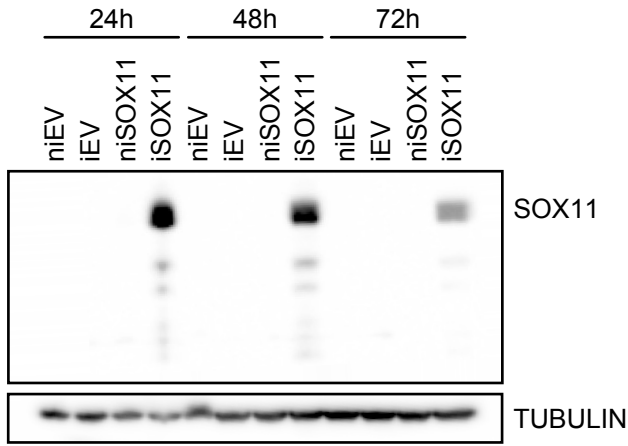
**A**



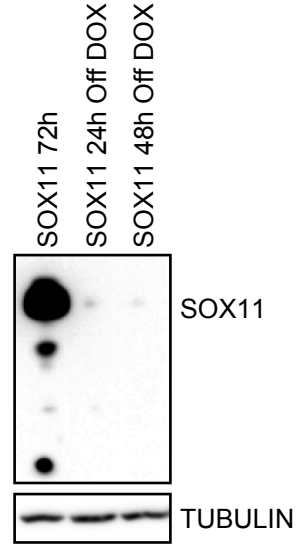
**B**



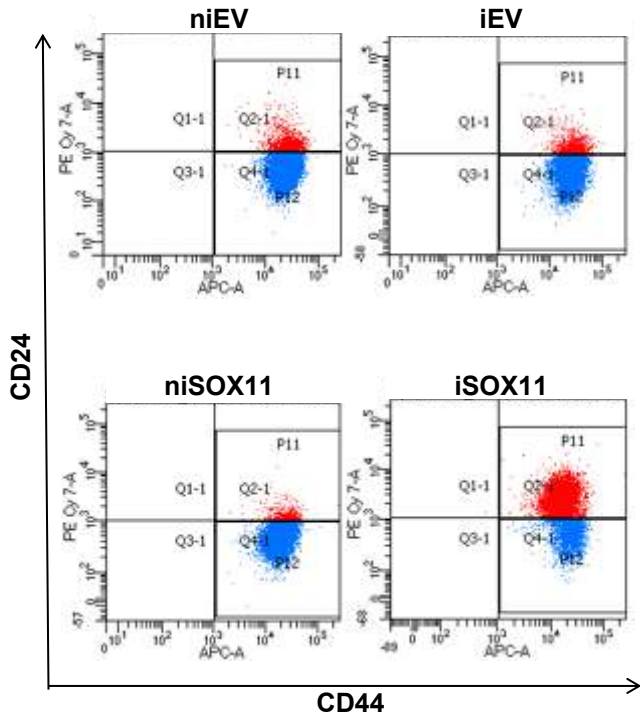
**C**



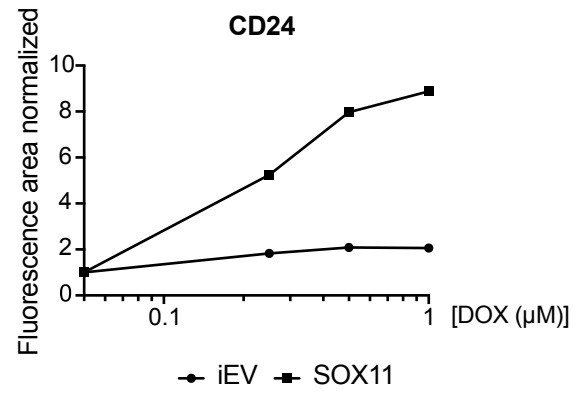
**D**



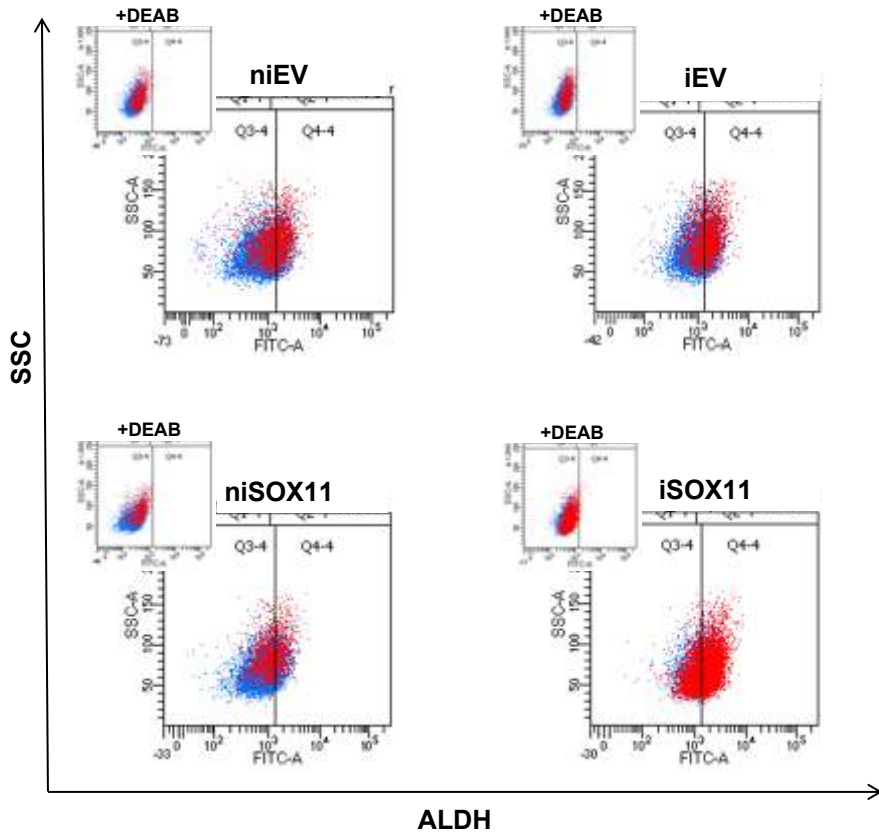
**E**



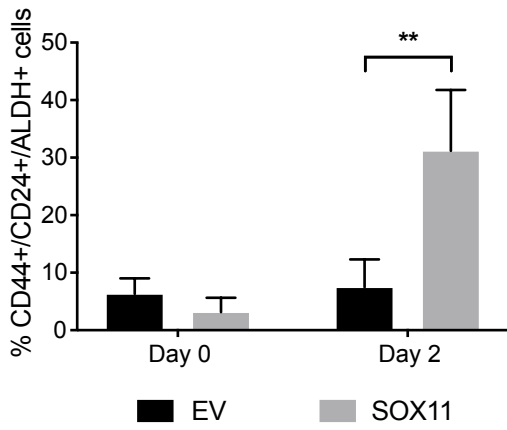
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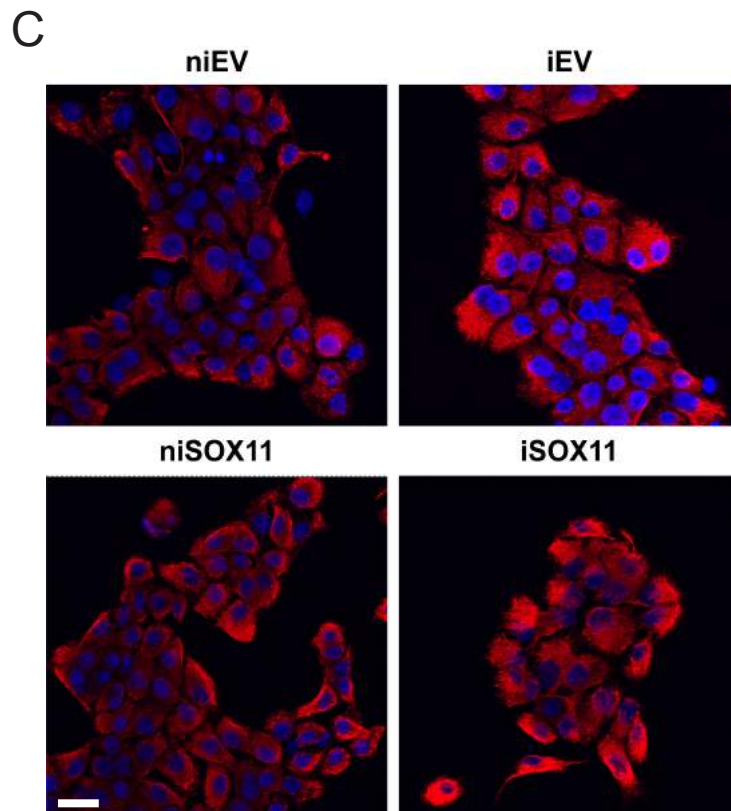
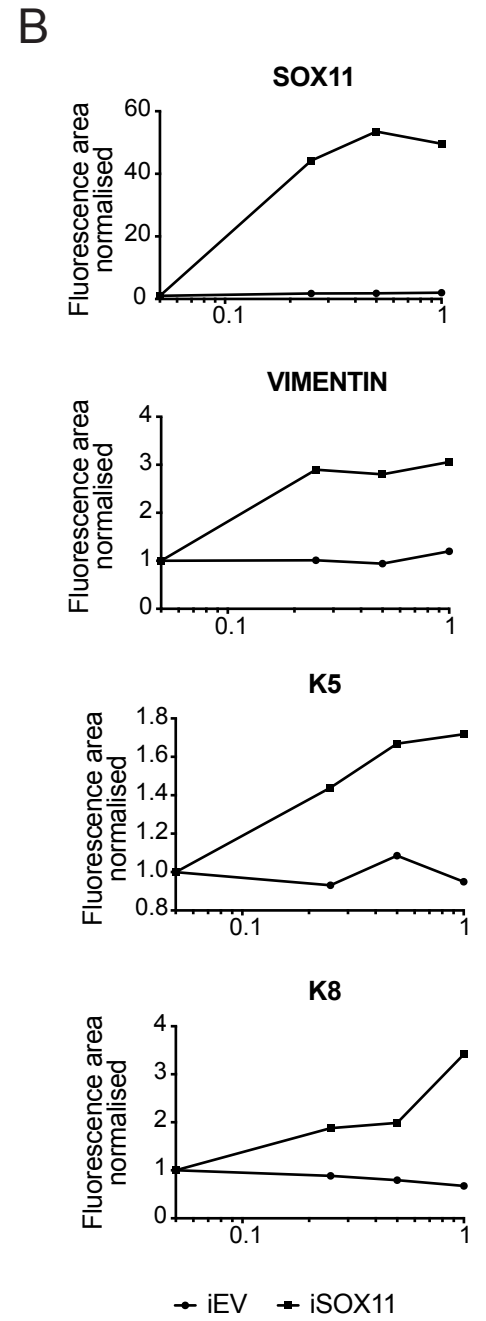
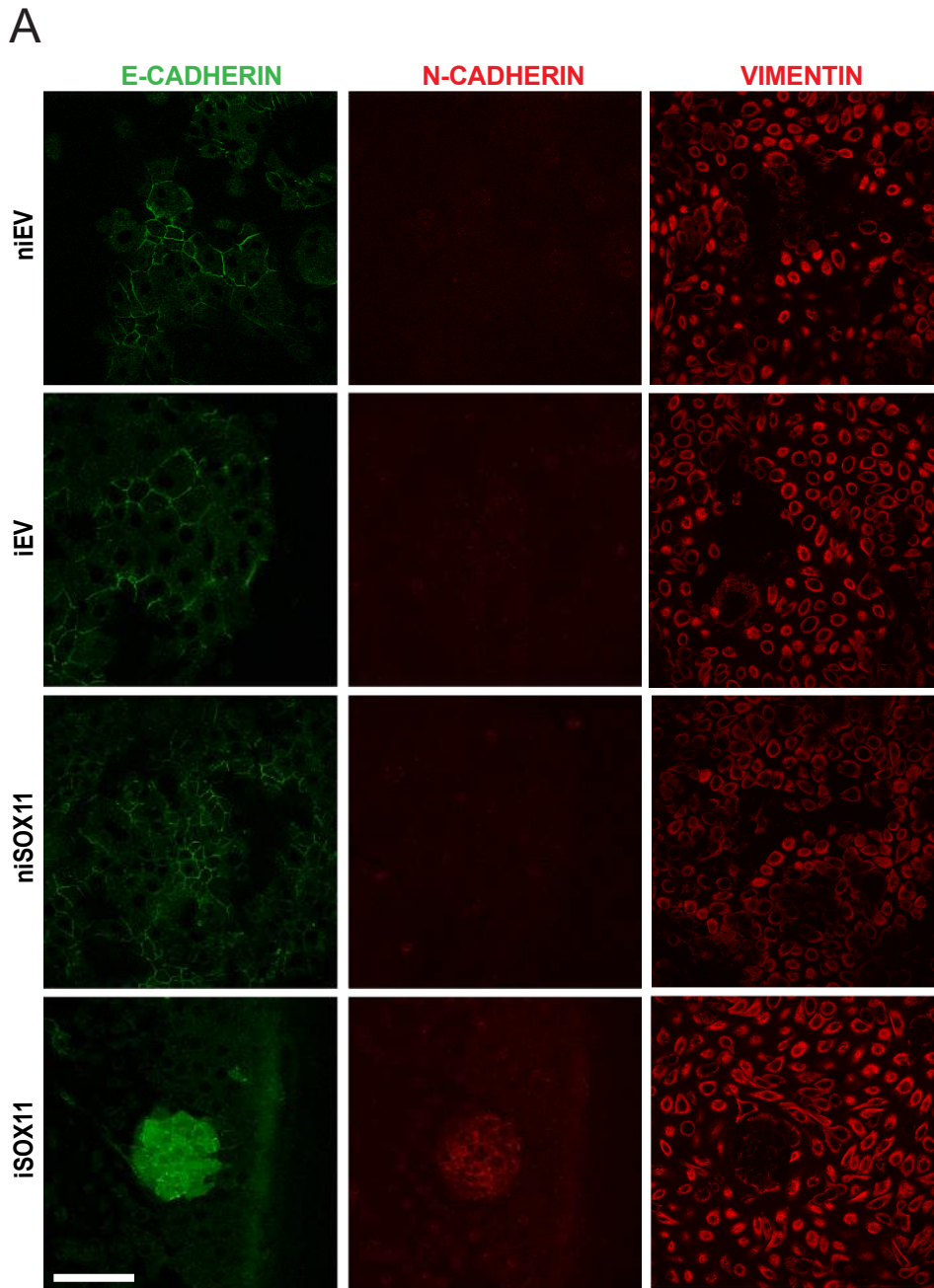
A

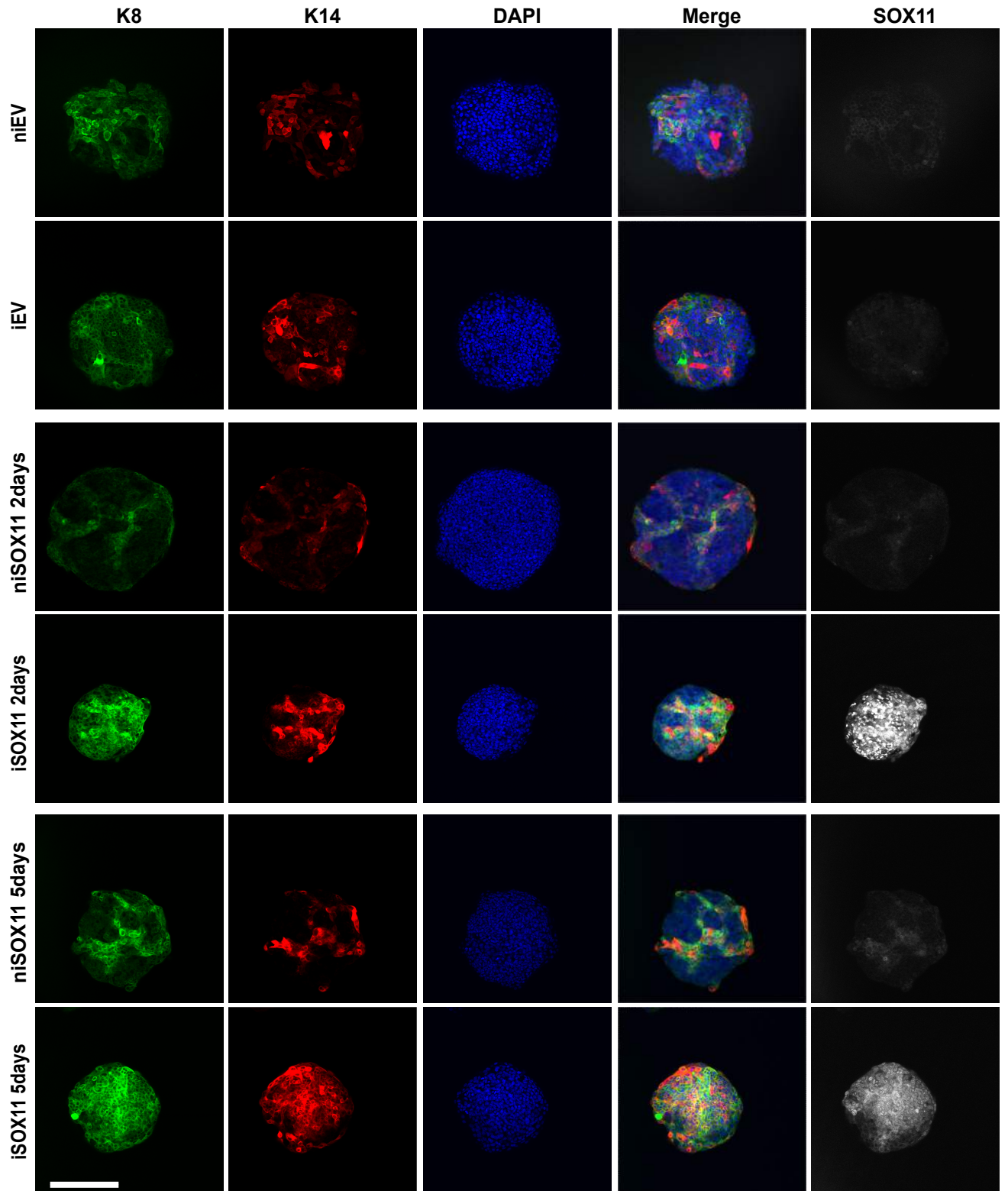


B

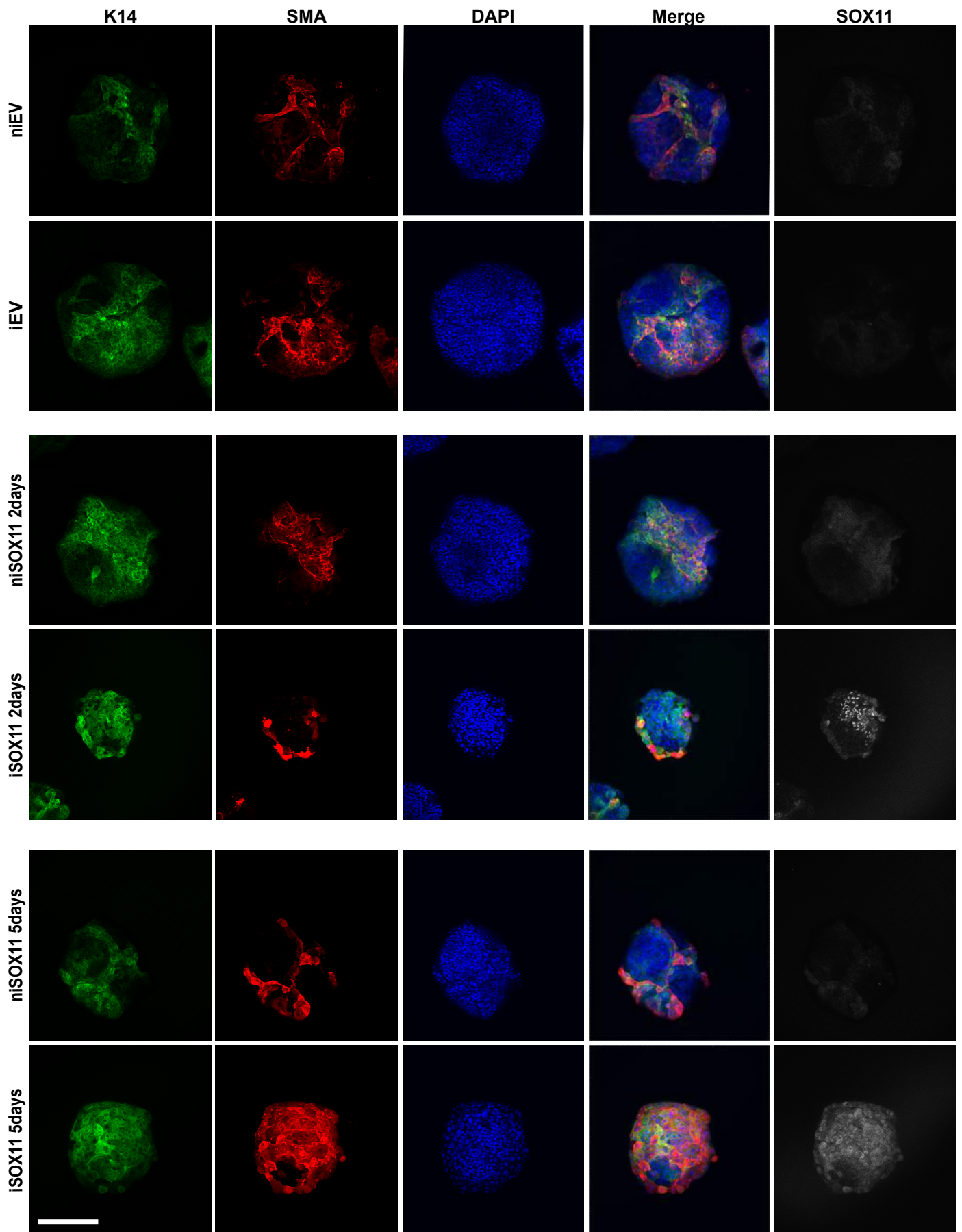


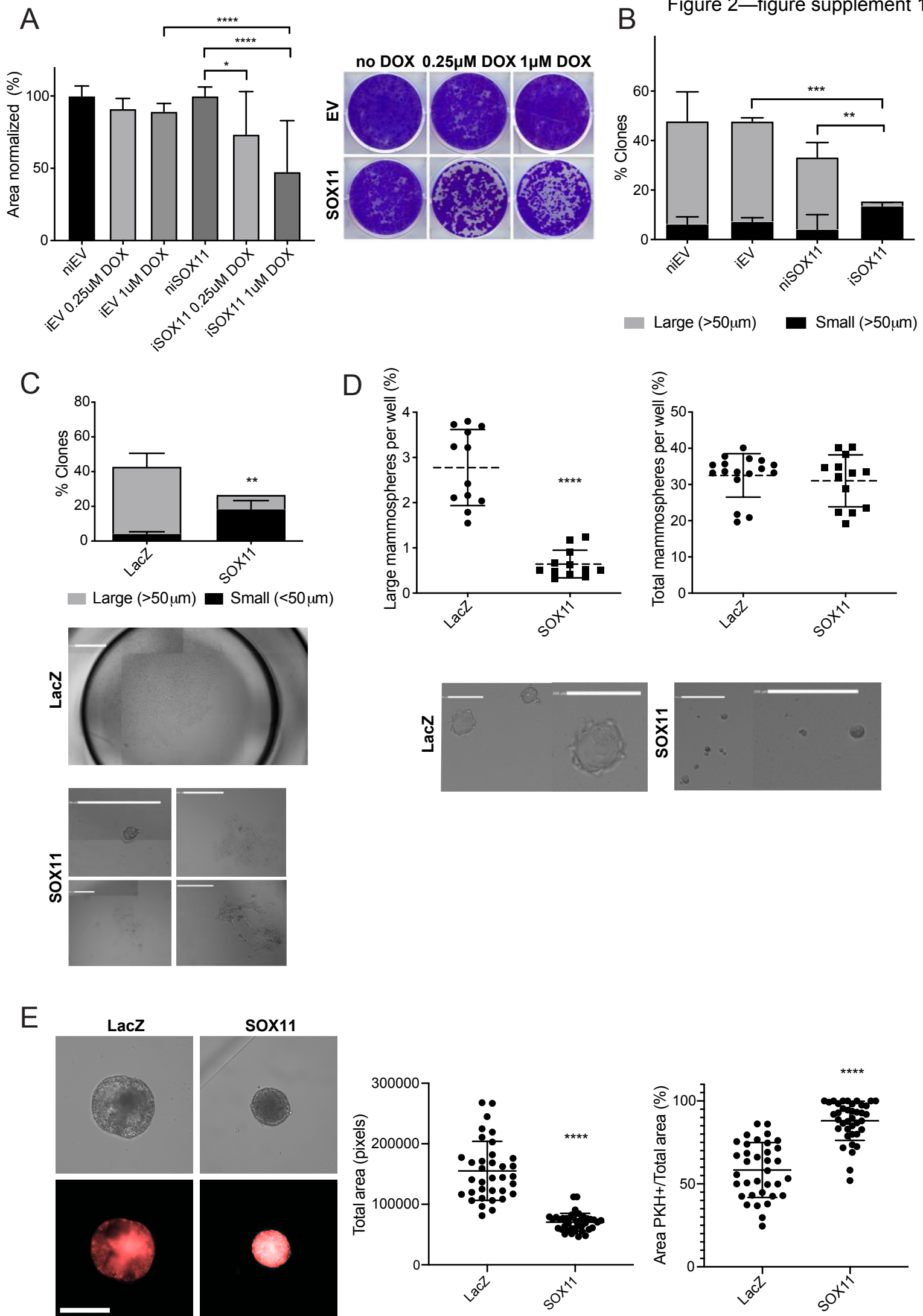


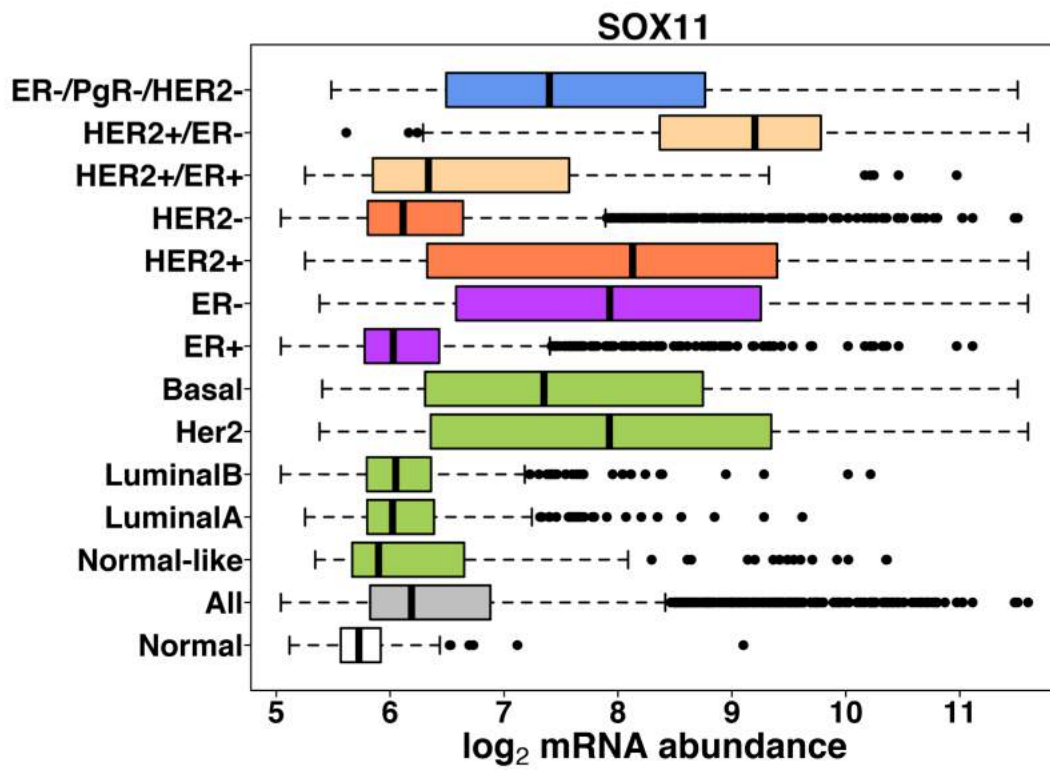


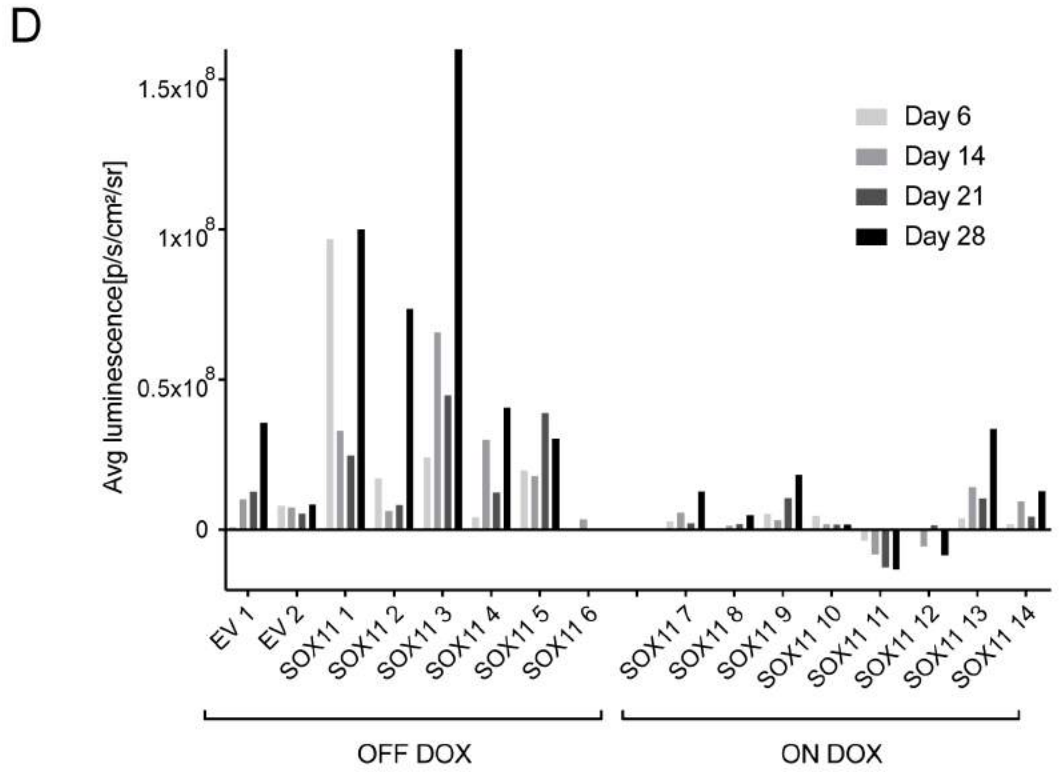
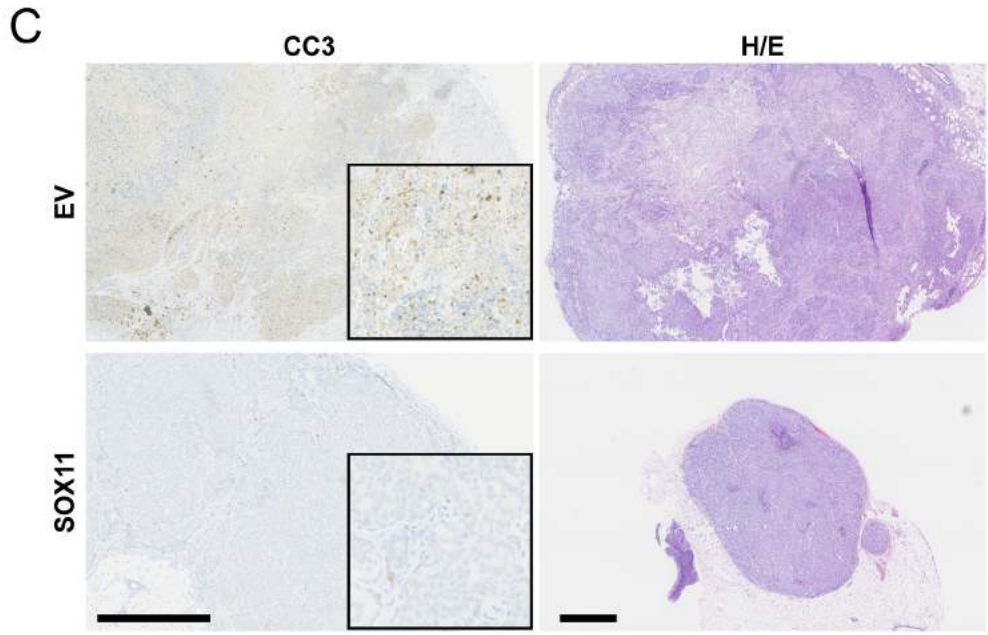
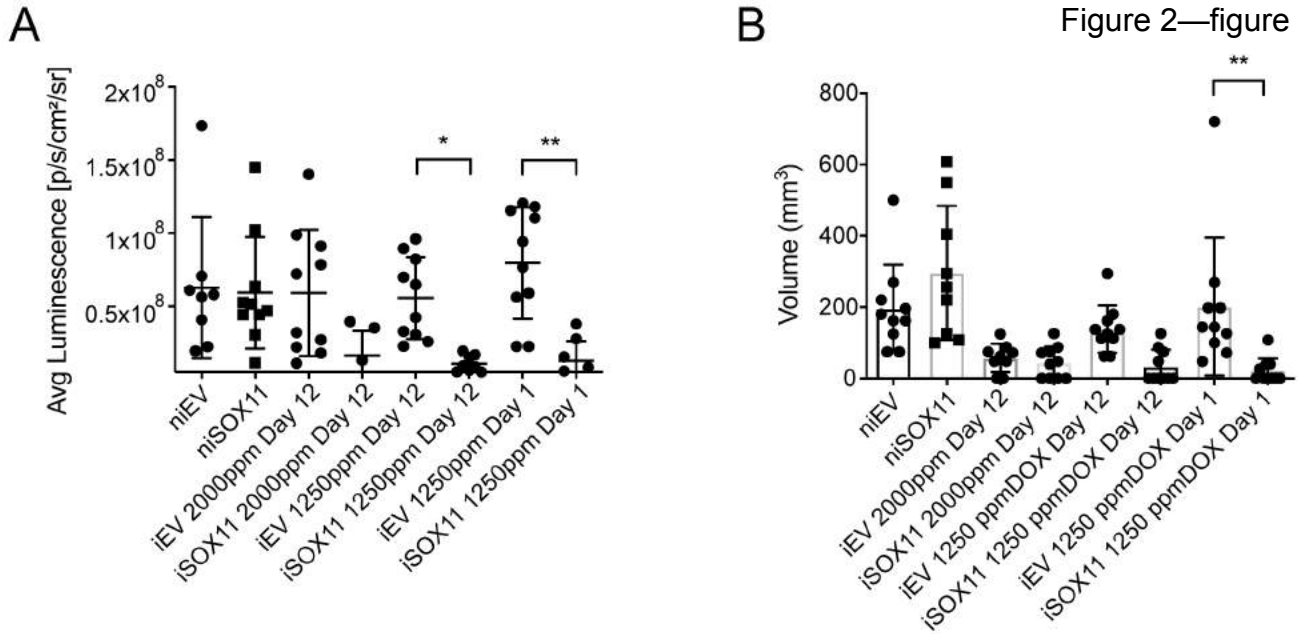


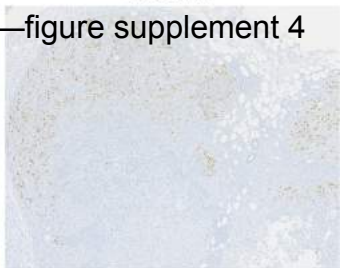
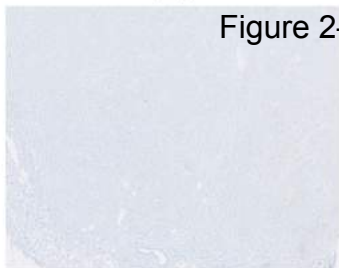
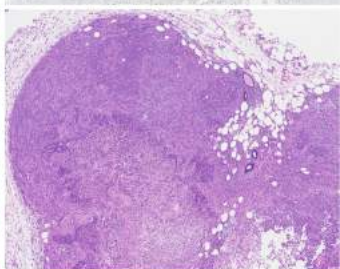
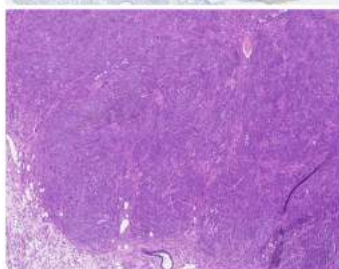




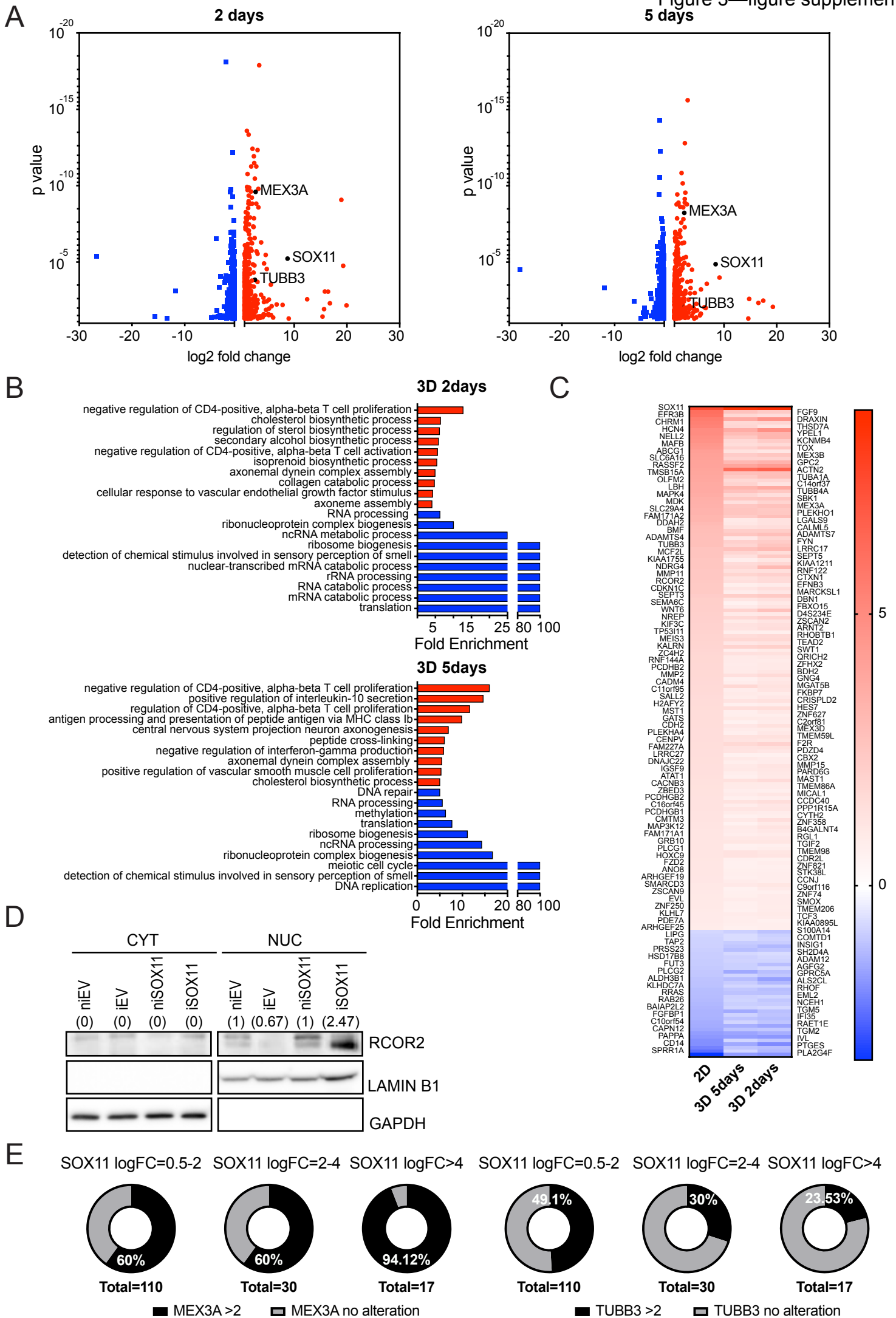




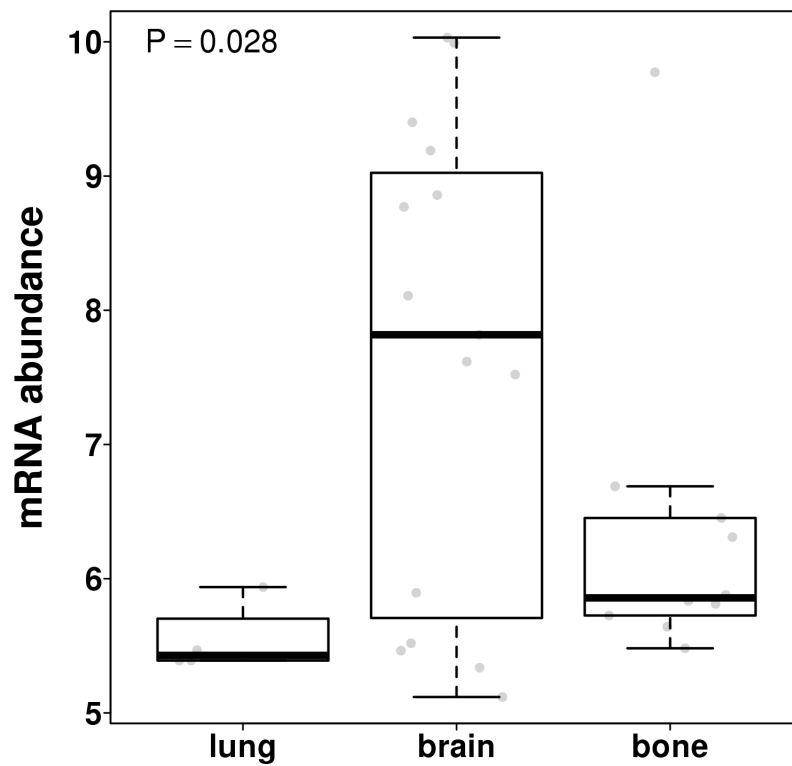


**iEV****iSOX11****Figure 2—figure supplement 4****SOX11****ALDH****CD24****H/E****ALDH****1/8 (12.5%)****6/6 (100%)**





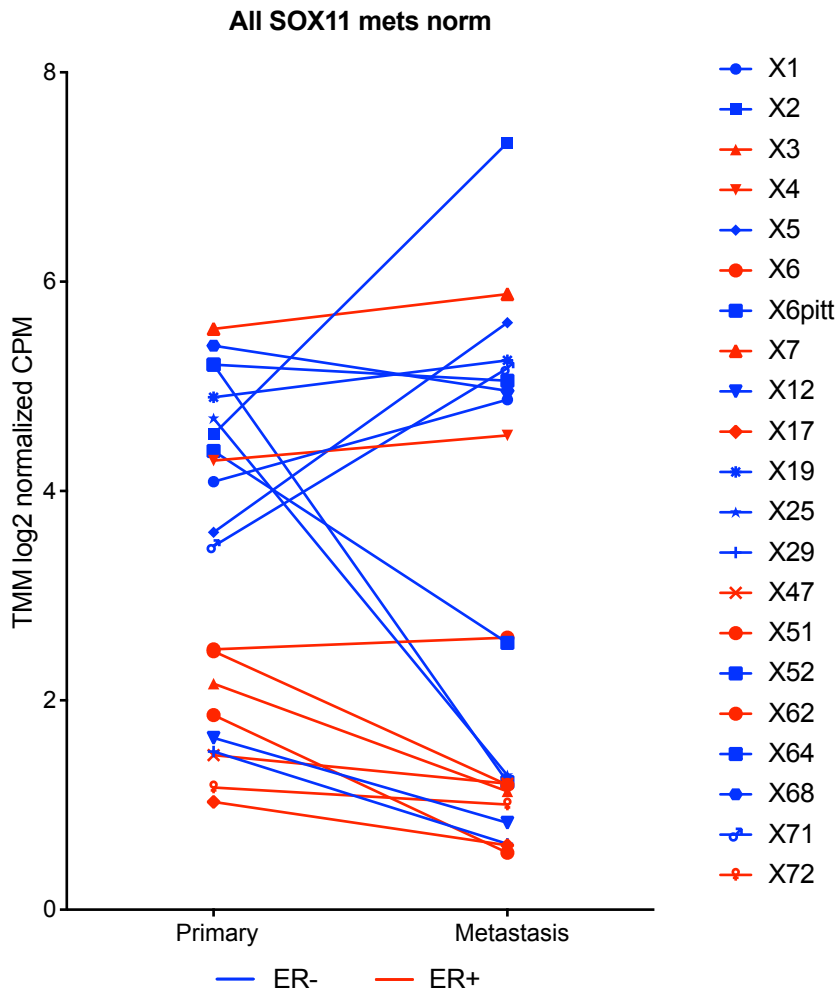
A



B

<i>SOX11</i>													
q values	Residual q values after removing segments shared with higher peaks	Amplitude Threshold	Q678_B	Q751_B	Q349_B	Q635_B	Q851_B	Q452_B	Q030_B	Q896_B	Q639_B	Q772_B	Q898_B
1.34E-21	2.68E-21	Actual Copy Change Given	0.5601	0.4731	-0.0071	0.40344	0.40894	0.0289	0.0944	0.3489	0.8643	0.0649	0.2906
1.34E-21	2.68E-21	0: t<0.1; 1: 0.1<t<0.9; 2: t>0.9	1	1	0	1	1	0	0	1	1	0	1
	primary breast cancer		ER+ HER2+	ER+ HER2?	ER+ HER2-	ER- HER2+	ER+ HER2-	ER- HER2+	ER- HER2+	ER- HER2+	ER- HER2-	ER? HER2-	ER- HER2-
	brain metastasis		ER+ HER2-	ER+ HER2-	ER+ HER2-	ER+ HER2+	ER+ HER2+	ER- HER2+	ER- HER2+	ER- HER2+	ER- HER2-	ER? HER2-	ER- HER2-
			<i>BRCA1</i> -/-					<i>BRCA1</i> -/-					
Amplitude levels are derived from GISTIC (copy-number analysis algorithm) and indicate the copy-number level per gene:													
0 is diploid													
1 or Gain indicates a low-level gain (a few additional copies copies, often broad)													

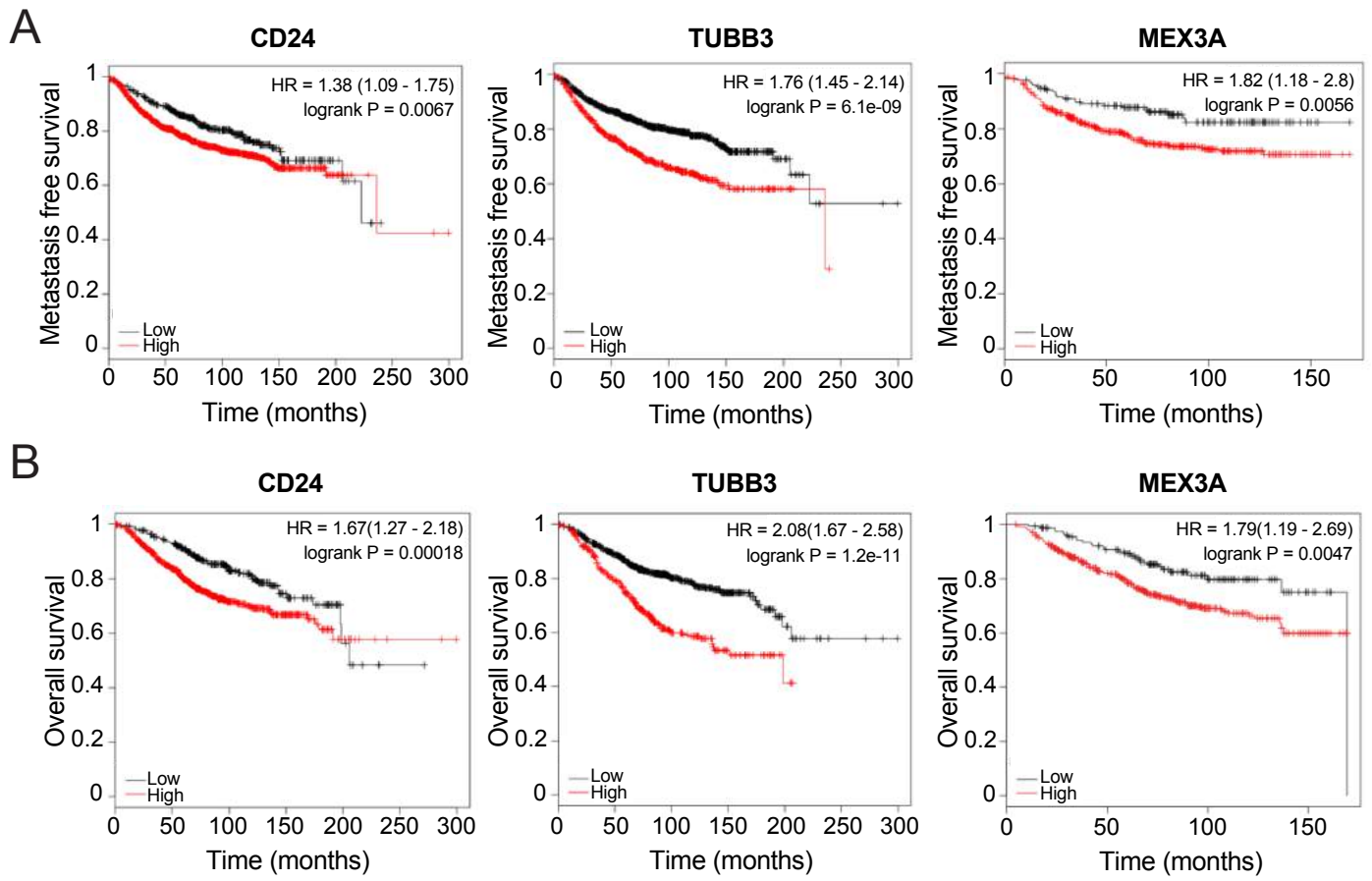
A



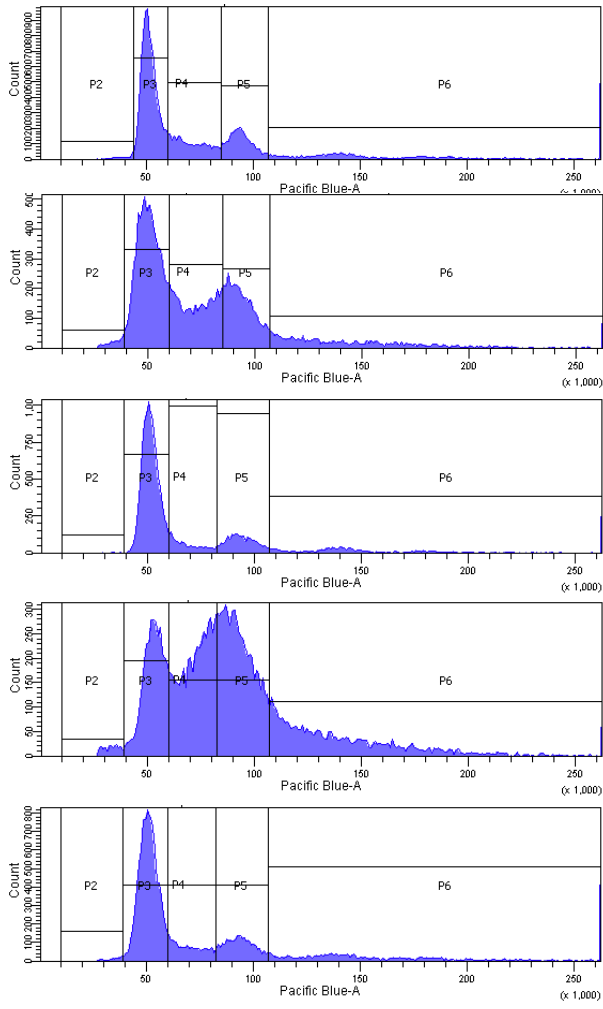
B

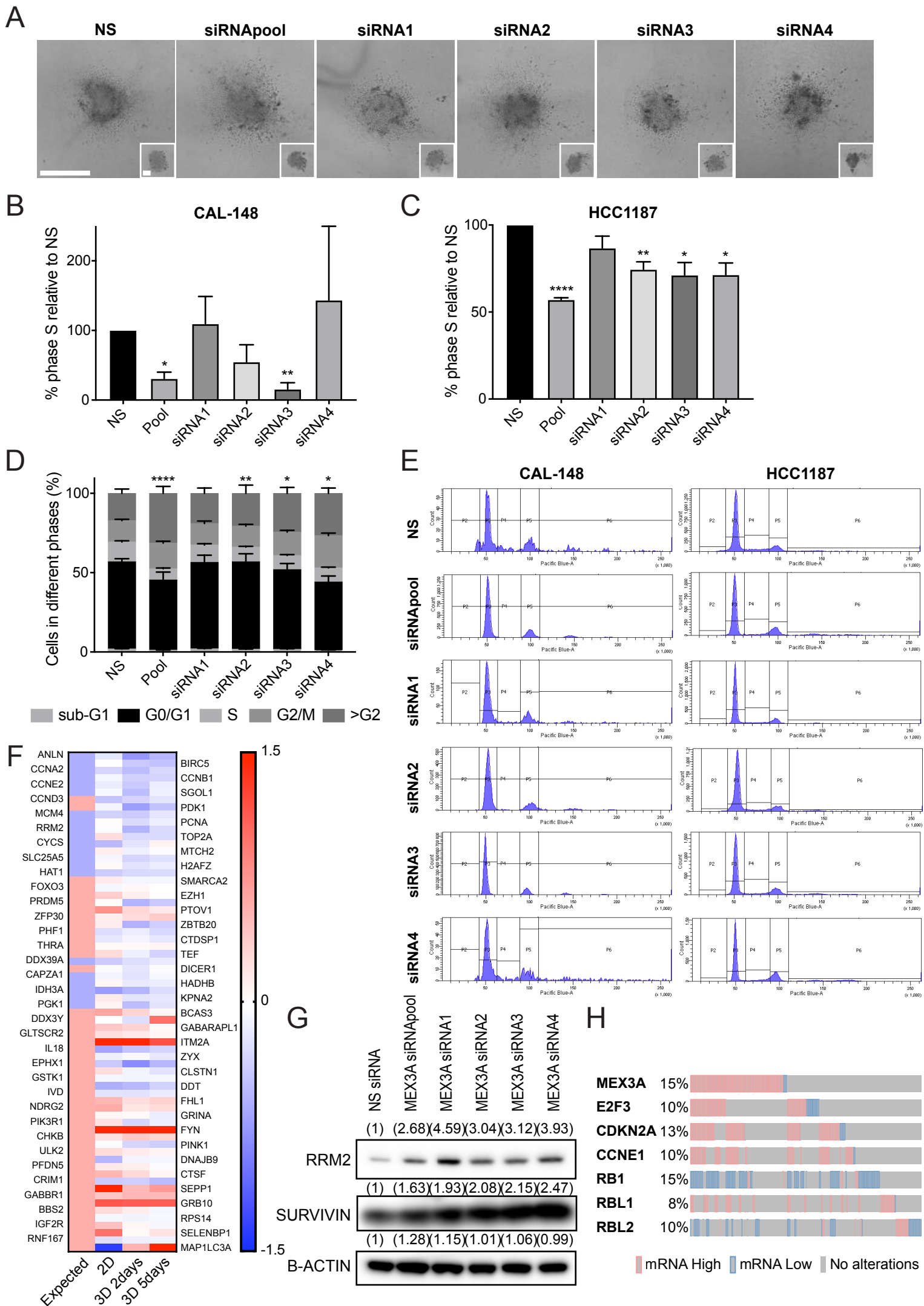
Case	SOX11 log2FC	ER	PR	HER2
<b>X2</b>	2.78232292	-	-	+
<b>X5</b>	2.004237269	-	-	-
<b>X71</b>	1.70187714	-	-	-
<b>X1</b>	0.782848917	-	-	+
X19	0.352422256	-	-	+
X7	0.33262191	+	-	+
X4	0.241737514	+	-	-
X51	0.113636244	+	-	-
X52	-0.151978814	-	+	+
X72	-0.161114792	+	+	-
X47	-0.271066812	+	+	+
X17	-0.41514682	+	-	+
X68	-0.430151202	-	-	-
X12	-0.810650677	-	-	-
X29	-0.881355706	-	-	-
X3	-1.028735668	+	-	+
X6	-1.277244249	+	-	-
X62	-1.316106927	+	+	-
X6pitt	-1.833267182	-	-	-
X25	-3.409142201	-	-	-
X64	-3.986661122	-	-	-



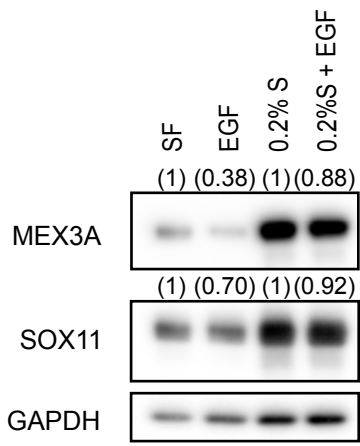


TUBB3 siRNA19 TUBB3 siRNA5 TUBB3 siRNA3 TUBB3 siRNAPool NS

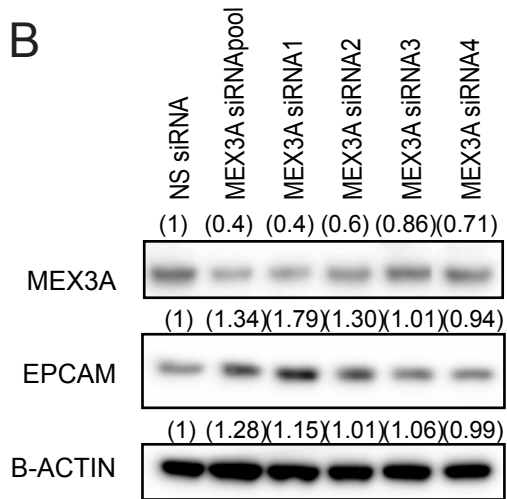




A



B



C

