Orally-bioavailable CDK9/2 inhibitor shows mechanism-based therapeutic potential in
 MYCN-driven neuroblastoma.

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### 47 **Conflict of Interest**:

E.P., Y.J., C.K., K.B., A.H., G.B., M.P.L., G.L., B.M.C, M.V., A.D.H.B., H.W., G.A., R.C., G.B., A.F., J.B., 48 49 P.A.C., J.D.B., S.P.R., S.A.E., P.W., I.V., and L.C., are employees of ICR which has a Rewards to Inventors 50 scheme and has a commercial interest in the development of inhibitors of CDKs, with intellectual property 51 licensed to, and research funding provided by Merck and Cyclacel Pharmaceuticals. C.Y.L. has equity in 52 and intellectual property licensed to Syros Pharmaceuticals. T.L and C.Y.L have equity in Kronos Bio, Inc. 53 P.W. is or has been a consultant/scientific advisory board member for Cyclacel, Astex Pharmaceuticals, 54 CV6 Therapeutics, Nuevolution and Nextechinvest; received grant funding from Cyclacel; is a non-executive 55 director of Storm Therapeutics; and holds equity in Chroma Therapeutics, Nextech and Storm. D.Z. is an 56 employee of Cyclacel Ltd. J.B. holds equity in Neophore Ltd and in Azeria Therapeutics. All other authors 57 declare that they have no conflict of interest regarding the content and publication of this article.

# 59 Abstract

60 The undruggable nature of oncogenic Myc transcription factors poses a therapeutic challenge in neuroblastoma, a paediatric cancer in which MYCN amplification is strongly associated with unfavorable 61 outcome. Here, we showed that CYC065 (fadraciclib), a clinical inhibitor of CDK9 and CDK2, selectively 62 targeted MYCN-amplified neuroblastoma via multiple mechanisms. CDK9 - a component of the 63 64 transcription elongation complex P-TEFb — bound to the MYCN-amplicon super-enhancer and its inhibition 65 resulted in selective loss of nascent MYCN transcription. MYCN loss led to growth arrest, sensitizing cells 66 for apoptosis following CDK2 inhibition. In MYCN-amplified neuroblastoma, MYCN invaded active 67 enhancers driving a transcriptionally encoded adrenergic gene expression program that was selectively 68 reversed by CYC065. MYCN overexpression in mesenchymal neuroblastoma was sufficient to induce 69 adrenergic identity and sensitize cells to CYC065. CYC065 used together with temozolomide, a reference 70 therapy for relapsed neuroblastoma, caused long-term suppression of neuroblastoma growth in vivo, 71 highlighting the clinical potential of CDK9/2 inhibition in the treatment of MYCN-amplified neuroblastoma.

### 72 Introduction:

The prominent role of Myc-family proto-oncogene transcription factors (*MYC*, *MYCN*, *MYCL*) in the genesis of adult and childhood cancers makes these transcription factors (TFs) attractive targets for drug discovery and development(1). However, the intrinsically disordered structure of Myc proteins, and an incomplete understanding of how Myc, a master regulator of the transcriptomic and epigenetic landscape, co-opts oncogenesis to drive cellular transformation, are two major issues that impede traditional drug discovery approaches(1).

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80 Across many cancers, a singular feature of oncogenic Myc activity is an increase in the abundance of its 81 full length protein(2). This suggests that Myc protein dosage itself is transforming. Strategies to attenuate 82 Myc levels may be sufficient to achieve a therapeutic index in tumors versus normal tissues by selectively 83 targeting oncogenic programmes rather than tissue maintenance programmes where Myc regulates 84 homeostatic ribosome biogenesis and cellular proliferation(3, 4). In both normal and tumor cells, Myc 85 functions primarily as an activator of transcription. When bound to DNA, Myc increases proximal chromatin 86 accessibility via recruitment of histone acetyltransferases(5) and drives transcription elongation through 87 recruitment of the elongation factor P-TEFb (cyclinT1:CDK9)(6) and RNA Polymerase II (RNAPII) 88 associated topoisomerases(7). When deregulated, a consensus has emerged that Myc proteins act as 89 selective amplifiers of gene expression(8, 9). Although Myc deregulation leads to a global increase in 90 cellular mRNA in an oncogenic context, transformation driven by Myc in neuroblastoma (NB), a 91 developmental tumor of neural crest origin, is associated with selective (and enhancer dependent) 92 upregulation of a limited set of lineage-related genes, expression of which normally constitutes a 93 transcriptomic core-regulatory circuit that underlies neural identity and deregulation of which drives growth 94 and proliferation of these tumors(10-12).

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We and others have found that MYCN globally upregulates and reshapes the NB gene expression landscape through the invasion of tissue-specific active enhancers that establish NB identity(13). In particular, large super-enhancers which are adjacent to several TFs that demarcate the recently described adrenergic state of NB, exhibit strong MYCN binding and are selectively regulated by MYCN(13). Expression of these TFs, including *GATA3*, *PHOX2A*, *PHOX2B*, *HAND2/TWIST1*, *TBX2* and *ISL1*, is

essential in *MYCN*-amplified NB(11, 13) suggesting that an oncogenic feed-forward interaction between
 MYCN, tissue-specific enhancers, and additional TFs constitute a core regulatory circuitry that underlies
 maintenance of lineage-related NB gene-expression programs.

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105 In MYCN-amplified NB, the expression of MYCN itself is regulated by large super-enhancers that maps to 106 the MYCN amplicon(13). This has spurred renewed efforts to target MYCN transcription through inhibition 107 of transcriptional co-regulators that are enriched at enhancers and super-enhancers, including the BET-108 bromodomain transcriptional co-activators and some of the transcriptional cyclin-dependent kinases 109 (CDK7/9/12/13)(14-18). In NB and other cancers, targeting these transcriptional components leads to 110 selective downregulation of super-enhancer associated genes such as MYC or MYCN that are 111 characterized by high transcription levels and rapid turnover of RNA. These observations have spurred 112 further pre-clinical investigation of transcriptional inhibition in NB. However, as almost all cells have super-113 enhancers at key identity genes, it remains unclear how transcriptional inhibition can achieve selectivity, 114 how Myc addiction is subverted by transcriptional inhibition to kill tumor cells, and how a therapeutic strategy for transcriptional inhibition can be implemented. 115

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Here in order to accelerate the clinical implementation of transcriptional inhibitors in NB, we investigate the ability of CYC065 (fadraciclib), a selective inhibitor of CDK9 and CDK2 that has reached clinical early-phase trials, to selectively target *MYCN*-amplified tumors via multiple mechanisms. CYC065(19) and its analogue CCT068127(20) were discovered in a research programme aimed at identifying derivatives of seliciclib(21) with greater potency, solubility and metabolic stability(22).

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#### 124 Results:

# 125 CDK9 inhibition downregulates MYCN and is selective against *MYCN*-amplified NB.

We evaluated a series of clinical candidate drugs and toolkit compounds with potent and selective activity against CDK9 and varying activity against other CDKs. We observed that compounds with prominent activity against CDK9 are efficient in downregulating MYCN to varying degrees and inducing apoptotic cell death as indicated by induction of PARP cleavage (Supplemental Figure 1A, 2B). Of these compounds, we 130 selected the chemical probe CCT68127(22), and its further optimized derivative CYC065 that is in early 131 phase clinical trials — both with significant selectivity for CDK9/2(20, 23) (Supplemental Figure 2A-B). We evaluated CYC065 and CCT68127 across a set of NB cell lines (Figure 1A; Supplemental Figure 2D,E) 132 133 varying in MYCN amplification and protein levels and characterized for MYCN or MYC dependence(15). 134 MYCN-driven cell lines exhibited time- and concentration-dependent growth inhibition, increased cell death 135 (by sub G1 population) and induction of apoptosis (caspase-3 and PARP cleavage), resulting in loss of cell 136 viability and with prolonged treatment, blockade of colony-formation (Figure 1B-E; Supplemental Figure 137 2C,E-H). These effects occurred at concentrations of CYC065 and CCT68127 coinciding with a reduction 138 in MYCN protein, and a reduction in phosphorylation of RNAPII Serine 2 (RNAPII Ser2P), a canonical 139 substrate of CDK9 (Figure 1C; Supplemental Figure 2H). Notably, in non-MYCN-amplified NB (SH-EP, SH-140 SY5Y and SK-N-AS cells), CYC065 still potently reduced RNAPII Ser2P at compound concentrations that 141 have no or little impact on apoptosis (Supplemental Figure 2I,J) suggesting that transcriptional inhibition is 142 not lethal in these non-MYCN-amplified cells.

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At the cellular GI50, a concentration at which cell growth is inhibited by 50%, CYC065 and CCT68127 144 145 primarily cause cell growth arrest and apoptosis in MYCN-amplified NB cells (Figure 1A-B; Supplemental 146 Figure 2D-F). Contrary to prior reports in lung cancer cells that CCT68127 caused anaphase 147 catastrophe(18), NB cells treated with CYC065 at low concentrations exhibited intact mitotic spindle formation, and at higher concentrations or with prolonged treatment exhibit DNA fragmentation consistent 148 149 with apoptosis (Supplemental Figure 3A). These data suggested that at concentrations close to the GI50, and therefore relevant to the mechanism of action for CDK9 and 2, CYC065 treatment results in growth 150 151 arrest and apoptosis in MYCN-amplified cells.

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# 153 Cell-death upon CDK9-inhibition is enhanced by concomitant blockade of CDK2 activity.

We observed that in comparison to mono-selective inhibitors of CDK9, such as Compound 3, which is able to induce PARP cleavage at high concentrations, CYC065 caused a high level of apoptotic cell death at cellular GI50 concentrations in *MYCN*-amplified NB cells, presumably due to concomitant inhibition of CDK2, itself a major regulator of apoptotic cell death. Apoptosis occurred concomitant with a marked reduction in MCL-1 (Supplemental Figure 1A, 2H), a transcriptional target of CDK9 with prominent prosurvival activity dependent on CDK2 phosphorylation(24). Using a fluorescence-based cellular sensor that measures phosphorylation of substrate by CDK2(25), we confirmed that at the GI50 concentration, CYC065 reduced CDK2-driven kinase activity (Figure 2A), blocked phosphorylation of Histone H1 (a direct substrate of CDK2, Figure 2B) and upregulated the pro-apoptotic CDK2 targets (Supplemental Figure 4A). In contrast, NVP-2, a highly potent and selective CDK9-only inhibitor failed to decrease CDK2 substrate phosphorylation to the same degree (Figure 2a).

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166 With extended exposure to CYC065 (8hr), we also observed a reduction in phosphorylation of Rb Serine 167 780 (Figure 2C), and an accumulation of total and phosphorylated p53 (Supplemental Figure 2H, 4B-D, 168 5H), both known consequences of CDK2 inhibition(15). In CDK9-inhibited and MYCN-dependent cells, 169 activation of apoptotic cell-death is CDK2-dependent, as the mono-selective CDK9 chemical probe 170 (Compound 3)(26), the clinical mono-selective CDK9 inhibitor BAY1143572(27) (atuveciclib) and 171 knockdown of CDK2 with siRNA each failed to induce robust apoptosis (Figure 2D, Supplemental Figure 172 4E, 4G). In contrast to mono-specific inhibition of CDK9 or CDK2, the combination of selective CDK9 inhibition (Compound 3 or BAY1143572) with siRNAs directed at CDK2 resulted in enhanced PARP 173 174 cleavage (Figure 2D; Supplemental Figure 4E, 4G). Since the siRNA knockdown of CDK2 is modest, we performed CRISPR Cas9-mediated knockout of CDK2 in MYCN-amplified Kelly cells (Supplemental Figure 175 176 5G), which elicited minimal effects on apoptosis or cell cycle distribution (Supplemental Figure 4G), and in parental MYCN-amplified Kelly cells, selective chemical inhibition or genetic knockdown of CDK9 or CDK2 177 alone failed to phenocopy the growth inhibitory effects of CYC065 (Figure 2E; Supplemental Figure 4G). 178 Finally, we observed that only in CDK2 knockout cells, did Compound 3 treatment or CDK9 degradation 179 180 using THAL-SNS-032(28) (a potent and selective CDK9 degrading PROTAC) result in an increase in subG1 apoptotic cells (Supplemental Figure 4F-G) and growth inhibitory effects (Figure 2E). Taken together these 181 182 data confirm that in the setting of CDK9-induced MYCN blockade, activation of apoptotic cell-death in 183 MYCN-amplified NB requires concomitant diminution of CDK2 activity.

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# 185 CDK9 inhibition blocks nascent transcription of *MYCN* and other highly transcribed genes.

Together with cyclin T1, CDK9 forms P-TEFb, which promotes transcriptional elongation via direct
 phosphorylation of Ser2 in the carboxy-terminal repeat (CTD) of RNAPII(29-33). Consistent with its property

to inhibit CDK9, CYC065 at GI50 concentration blocked phosphorylation of RNAPII Ser2, while RNAPII
Ser5, a target of CDK7, was inhibited only at higher concentrations (Supplemental Figure 1A, Figure 3A-B).
Short-term treatment (1hr) with CYC065 or CCT68127 globally reduced, but did not totally block, nascent
RNA synthesis as determined by *in situ* staining (Figure 3C-D). In comparison, Actinomycin D (ActD), which
inhibits transcription initiation, completely abrogated nascent transcription at a 0.5 µg/ml concentration.
These results suggest that CDK9 inhibition at least partially inhibits global transcription elongation.

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195 Using high resolution imaging, we noticed consistent overlap of nascent transcription foci at the MYCN 196 amplicon (visualized by DNA FISH) that were abrogated by the exposure to the GI50 concentration of 197 CYC065 (Figure 3E). Loss of MYCN transcript temporally coincided with global loss of nascent transcription 198 and chromatin acetylation as evidenced by H3K27ac levels (Figure 3F). This is consistent with the overall 199 role of Myc proteins in amplifying gene expression(8, 9) and maintaining open chromatin(34). Indeed, 200 nascent transcription of highly expressed, high turnover transcripts like MYCN and MCL-1 is almost 201 completely inhibited within 15 minutes of CYC065 treatment (Figure 3G), and overall, short half-life genes 202 like MYCN (Supplemental Figure 5A) are selectively depleted from the cellular mRNA pool (Figure 3H). In 203 contrast, CYC065 had only modest effect on MYCN protein turnover (Supplemental Figure 5B-C). MYCN 204 loss was phenocopied by selective degradation of CDK9 by THAL-SNS-032 and genetic depletion of CDK9 205 or to a lesser extent CDK7 (Supplemental Figure 5D-F), consistent with a general sensitivity of MYCN to transcriptional inhibition. Knockdown of CDK2 did not affect MYCN levels (Figure 2D, Supplemental Figure 206 4F, 5G). Notably, when MYCN was exogenously expressed, its levels were no longer sensitive to CYC065 207 or CCT68127 (Supplemental Figure 5H). These data confirm that nascent transcription of the MYCN 208 209 amplicon is uniquely sensitive to transcriptional perturbation, and to inhibition of CDK9.

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Further analysis of mRNA levels upon CYC065 treatment across a panel of *MYCN*-amplified NB cell lines as well as tumors from the MYCN-driven TH-*MYCN* mouse model revealed a selective depletion of Myc target gene expression (Figure 3I, Supplemental Figure 6E). This effect was confirmed at individual genes, on a Myc target luciferase reporter, and by showing depletion of MYCN from a target gene promoter by ChIP (Supplemental Figure 5A,I-J). Although MYCN depletion was much more pronounced in *MYCN*amplified NB (Supplemental Figure 6B), depletion of Myc-driven house-keeping gene expression was also

consistently observed in non-*MYCN*-amplified NB (Figure 3I, Supplemental Figure 6A-E), suggesting that
 irrespective of *MYCN* amplification status, CDK9 inhibition targets canonical Myc target gene signatures
 associated with growth and biogenesis.

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# 221 MYCN enhancer invasion shapes NB-specific responses to CYC065.

Although CYC065 downregulated canonical Myc target gene expression in both *MYCN* and non-*MYCN*amplified NB, its highly-selective effects on *MYCN*-amplified NB growth spurred us to further investigate why and how MYCN expression or amplification induces this dependency in NB. We considered two hypotheses: First, in *MYCN*-amplified NB co-amplification of the *MYCN* gene locus and of distal regulatory regions is frequently observed within a roughly 1Mb amplicon(13, 16). Second, when amplified, hyperabundant MYCN protein saturates high-affinity binding sites at promoters of house-keeping genes and in turn invades lower affinity sites at the promoters and enhancers of tissue-specific genes(13).

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230 To test these two hypotheses, we performed ChIP-Seq for CDK9 and integrated its genome-wide 231 occupancy with our MYCN and chromatin landscapes(35) in MYCN-amplified NB. Addressing the first 232 hypothesis, we identify strong enrichment for CDK9 at both the MYCN promoter and the distal super-233 enhancer (Figure 4A). Investigating the second hypothesis of MYCN global effects, we observe widespread 234 binding of MYCN to both promoters and enhancers co-incident with binding of CDK9 (Figure 4B). We and others have shown that the effect of Myc protein transcriptional regulation at target genes is proportional to 235 the amount of Myc present at the promoter and nearby enhancers(13, 36). At individual loci in Kelly cells, 236 237 we observed a concentration-dependent relationship between overall MYCN occupancy, and the magnitude 238 of expression downregulation caused by CYC065 treatment at 1hr. GATA2, a developmental TF associated with the adrenergic state of MYCN-driven NB(10, 12), possesses abundant MYCN and CDK9 binding at 239 240 upstream enhancers. Its gene expression was potently down-regulated by CYC065 without substantial perturbation of CDK9 occupancy (Figure 4C). In contrast, SRSF6 and BRD3, genes with decreasing MYCN 241 and CDK9 promoter/enhancer occupancy respectively, exhibited more modest sensitivity to CYC065 242 (Figure 4D-E). Overall, CYC065 treatment leads to global downregulation of gene expression as 243 244 significantly downregulated genes outnumber upregulated genes by ~10:1 (Figure 4F). Ranking the top 245 5.000 genes by MYCN occupancy, we find that CYC065's effect on gene expression is concordant with MYCN occupancy (Figure 4G). Consistent with our two hypotheses, these data suggest that CDK9 occupies *MYCN's* own super-enhancer and that CYC065 treatment selectively down-regulates genes with elevated MYCN binding at their promoters and enhancers.

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Previously, NB tumors have been shown to adopt and interconvert between two lineage-derived and 250 251 transcriptionally encoded states (adrenergic or mesenchymal core-regulatory circuits, CRC), expression of 252 which is maintained by interactions between groups of TFs and enhancers and super-enhancers(11, 33, 253 34). Interestingly TFs that comprise the adrenergic CRC show strong interactions with MYCN. MYCN binds 254 the enhancers of these TFs, co-binds with these TFs at other enhancers across the genome, and 255 knockdown of these adrenergic CRC TFs downregulates MYCN regulation of tissue specific gene 256 expression(10-12). With CYC065, we observed a selective depletion of CRC TFs driving the adrenergic state of NB, as compared with the perturbation of mesenchymal master regulator TFs (Figure 4H). Overall 257 258 these data are consistent with the ability of CYC065 to selectively deplete MYCN and thus preferentially 259 downregulate these highly MYCN-occupied genes which cross-correlate with the MYCN-associated 260 adrenergic gene expression program that is essential for NB growth.

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# 262 CYC065 targets the adrenergic state

263 Observing that CYC065-mediated downregulation of MYCN selectively targets TFs defining adrenergic NB state, we next sought to see if the converse was true — would MYCN overexpression convert mesenchymal 264 NB into a more adrenergic state? Here, we utilized the SH-EP NB cell line which has demonstrated 265 mesenchymal identity(12) and no evidence of MYCN genomic amplification or expression. Using retroviral 266 267 transgene expression systems, we created stable SH-EP cells overexpressing wild type MYCN as well as the phosphorylation-deficient mutants (T58A, S62A, and the combined T58A S62A double mutant) all under 268 the control of an exogenous promoter (Figure 5A). Phosphorylation of Myc proteins at both the highly 269 conserved T58 and S62 residues is required for their proteasome-dependent turnover and these mutants 270 (especially the T58A) are considered to be more stable and oncogenic(37). In contrast to endogenously 271 272 MYCN-amplified NB, treatment with CYC065 failed to decrease exogenous MYCN levels in these cells 273 (Figure 5A). Across MYCN phosphorylation-deficient mutant variants, MYCN binding at promoters and 274 enhancers was unchanged upon CYC065 treatment (Supplemental Figure 7A,B), with the exception of the T58A S62A double mutant, which exhibited a global decrease in MYCN occupancy (Supplemental Figure
7C,D). These data are consistent with our prior conclusions that CYC065 selectively targets nascent *MYCN*transcription specifically in the context of endogenous *MYCN* amplification.

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279 Overexpression of MYCN in SH-EP cells increases cellular growth rate, but also renders these cells more 280 sensitive to growth inhibition induced by CYC065 treatment (Figure 5B; Supplemental Figure 2D,7E). This 281 result is surprising given that MYCN levels were not depleted in the context of exogenous MYCN expression. 282 The effect was more obvious in the hyperstabilized, MYCN phosphorylation-deficient mutants. For mutants 283 containing T58A, sensitivity to CYC065 treatment correlated with increased PARP cleavage (Figure 5B-D). 284 These observations led us to hypothesize that MYCN overexpression altered the underlying cell state of 285 SH-EP cells, potentially inducing a mesenchymal to adrenergic cell state transition. Using RNA-Seq, we 286 profiled the transcriptomes of the various MYCN-overexpressing SH-EP cells and compared them to 287 parental SH-EP cell gene expression profiles. Across all MYCN overexpression variants, we observed 288 downregulation of genes encoding for mesenchymal identity as defined from more general molecular 289 signature databases (Figure 5E) and specifically defined in mesenchymal NB subtypes (Figure 5F). Loss of 290 mesenchymal gene expression coincided with an increase in expression of adrenergic-specific NB genes 291 (Figure 5F). Using cell count-normalized gene expression, we again observed that CYC065 treatment 292 globally downregulates gene expression with >95% of active genes downregulated. Only a small number of lowly expressed genes (< 5 FPKM) are appreciably upregulated (left edge Figure 5G). Amongst 293 downregulated genes, mesenchymal gene signatures were the least downregulated (Figure 5G), 294 suggesting that mesenchymal-encoding genes are not strongly occupied by MYCN. This finding is 295 296 reinforced in Figure 5H-I showing that adrenergic signatures are more strongly downregulated than 297 mesenchymal signatures by CYC065. These data suggest that MYCN overexpression converts NB to an 298 adrenergic state and that CYC065 is able to target this state independent of any direct action against MYCN, 299 by selectively downregulating MYCN-induced adrenergic gene expression.

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#### 301 CYC065 selectively inhibits growth of *MYCN*-amplified NB in vivo

As CYC065 is currently in early phase clinical evaluation in adults, we investigated its efficacy in murine models of NB. CYC065 induced significant tumor growth inhibition and increased overall survival in mice 304 carrying MYCN-amplified Kelly NB tumor xenografts but had weaker effects against non-MYCN expressing 305 SK-N-AS NB tumor xenografts (Figure 6A-B), consistent with the modest effect on c-Myc level (Supplemental Figure 8F). CYC065 has no effect on tumor xenografts from H128 cells (Supplemental Figure 306 307 8A), which is a non-Myc driven small cell lung cancer(9). In the extensively studied TH-MYCN murine model 308 of NB where MYCN is expressed under control of the tyrosine hydroxylase promoter, we administered 309 CYC065 either orally or by intraperitoneal injection (Figure 6C, Supplemental Figure 8B-C). Here, single-310 agent CYC065 treatment resulted in robust inhibition of tumor growth, and together with the DNA-damaging 311 agent temozolomide, which is commonly used in the setting of treatment-refractory NB, we observed tumor 312 eradication and remarkable extension of overall survival. Finally, we tested CYC065 in an established 313 transgenic model of NB, in which co-expression of hyperactivated anaplastic lymphoma kinase (ALK<sup>F1174L</sup>, 314 a clinical mutation that co-segregates with MYCN amplification in NB patients) drives transcriptional activation of MYCN and formation of aggressive NB(38). In the TH-ALK<sup>F1174L</sup>/TH-MYCN genetically 315 316 engineered mouse model (which expresses very high levels of murine MYCN as a consequence of direct 317 activity of ALK on the endogenous Mycn promoter)(38), we observed tumor regression and a dramatic increase in overall survival compared to vehicle control (Figure 6D). These effects occurred at well-tolerated 318 319 doses of CYC065 (Supplemental Figure 8D) suggesting a clear therapeutic index for CYC065 in the most 320 highly aggressive MYCN-deregulated forms of NB.

321

We next determined whether CYC065 inhibition depleted endogenous MYCN, decreased transcriptional 322 elongation, and induced apoptosis in our animal models. In MYCN-amplified Kelly NB tumor xenografts, we 323 observed rapid loss of MYCN protein, induction of apoptosis and decreased RNAPII Ser2P (Figure 6F, 324 Supplemental Figure 8E). In TH-ALK<sup>F1174L</sup>/TH-MYCN tumors, we observed selective loss of the endogenous 325 murine *Mycn* allele and a less pronounced effect on the exogenous human *MYCN* allele (Figure 6E). These 326 327 data are consistent with our prior results(13) establishing CDK9 as a critical regulator of endogenous MYCN transcription. As with the previous in vitro studies, we observed increases in caspase 3 and PARP cleavage 328 concomitant with MYCN loss in both Kelly NB tumor xenograft and TH-MYCN tumors following treatment 329 330 with CYC065 (Figure 6F-H). Pharmacodynamic effects of CYC065 treatment were also characterized by a 331 change in the non-invasive functional magnetic resonance imaging (MRI) spin lattice relaxation time  $(T_1)$ 332 and apparent diffusion coefficient (ADC), which reflect a change in tissue integrity(39) and were indicative

of further rapid reduction in tumor burden (Figure 6I,J; Supplemental Figure 8G-I). Taken together, the data establish that the in vivo activity of CYC065 against MYCN-dependent NB tumor progression proceeds largely through transcriptional depletion of MYCN, leading to increased apoptosis and rapid loss of tumor burden. In other cancers with Myc deregulated and non-deregulated subtypes, we observe similar trends with selective inhibition of Myc-deregulated tumors coinciding with loss of Myc (Supplemental Figure 8J).

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# 339 Discussion

340 In this study, we establish that MYCN-amplified or MYCN-deregulated NB can be selectively targeted via 341 combined CDK9/2 inhibition using CYC065, an orally bioavailable and clinically well-tolerated compound 342 for which testing in paediatric patient population is now warranted. In the preclinical setting, several multi-343 CDK inhibitors that also inhibit both CDK2 and CDK9 have been shown to have varying ability to 344 downregulate MYCN and kill NB cells(17, 40). Our data build upon previous preclinical(17, 40) and clinical 345 studies of CDK inhibition in NB including: 1) dinaciclib, a broad spectrum, but poorly-tolerated clinical 346 inhibitor of CDKs (including CDK 1.2.5.9), which exhibited antiproliferative activity as a single agent and 347 together with chemotherapy in NB cell-lines and in vivo models; and 2) seliciclib (CYC202, *R*-roscovitine), 348 an inhibitor of CDK2/5/7/9 that exhibited only partial activity against MYCN and was further limited by lack 349 of potency and rapid clearance(22, 23). Here, we show that the developmental clinical drug CYC065 — a 350 potent and selective CDK9/2 inhibitor with enhanced pharmacokinetic and pharmacodynamics properties - is highly effective against NB. Furthermore, we demonstrate mechanistically that CYC065 effects against 351 high-risk MYCN-driven NB are a result of CDK9 inhibition resulting in selective loss of MYCN nascent 352 transcription, which in turn leads to cell growth arrest, and in addition sensitizes NB cells to apoptosis upon 353 354 concomitant inhibition of CDK2 by the drug.

355

Recent work to characterize chromatin and transcriptional states in NB has more clearly defined how amplified *MYCN* invades enhancers and super-enhancers of tissue specific TFs to reshape gene expression and thereby enforce expression of a lineage-associated adrenergic state(10, 12). This invasion occurs only at oncogenic levels of MYCN and results in a highly interconnected and auto-regulatory transcriptional circuitry in which MYCN regulates multiple adrenergic identity TFs (such as *GATA2*) that in turn also regulate both *MYCN* itself and tissue-specific enhancers invaded by MYCN(10, 11). Our data support a model in

362 which CYC065 selectivity arises in part from the ability of CDK9 inhibition to collapse this transcriptional regulatory circuitry and break the autoregulatory feedback loop maintaining MYCN expression and 363 adrenergic gene expression. Our results provide a mechanistic basis for the observed 'transcriptional 364 365 addiction' of these NB cells and further reinforce the emerging idea that drugs targeting core components 366 of the transcriptional machinery can have a therapeutic index, especially in Myc-deregulated tumors(41). In 367 addition to canonical enhancer or E-box driven MYCN transcription, increased expression of MYCN could 368 also be mediated by induction of MYCNOS (also known as NYCM), a regulatory antisense RNA, or other 369 well-characterised IncRNA located within the MYCN amplicon. MYCNOS transcript modulates the MYCN 370 locus by recruiting chromatin modifiers and TFs, resulting in enhanced MYCN expression, and therefore 371 logically could be inhibited by CYC065 treatment(42). Detailed study of these mechanisms is a future 372 priority. Oncogenic dysregulation of Myc has also been directly associated with increased translational activity either through direct upregulation of rRNA and tRNA transcription(43-45), increased expression of 373 374 core ribosomal proteins(46), or with perturbation of more selective mechanisms such as targeting of eIF4A 375 mediated translational initiation(47). Additionally, rate-limiting control of translation taking place under 376 conditions of normal tissue homeostasis is derepressed by oncogenic levels of Myc.

377

Additionally, the ability of NB tumors to interconvert between adrenergic and mesenchymal identity also 378 379 implicates cell state change as an anticipatable mechanism for achieving CYC065 resistance that could potentially be overcome by selective targeting of mesenchymal identity. Mesenchymal NB tumors are 380 characterized by activated NOTCH signalling, and NOTCH-inhibiting gamma secretase inhibitors have 381 demonstrated some efficacy against NB models(48, 49). Whether combined targeting of adrenergic and 382 383 mesenchymal identity is sufficient to establish antagonistic pleiotropy and further collapse NB tumors remains to be seen. Moreover, these data suggest that transcriptional inhibitors like CYC065 will be more 384 385 effective when used in combination rather than as a single agent — a conclusion supported by multiple 386 observations of epigenetic and cell-state mediated resistance to the BET-bromodomain family of 387 transcriptional inhibitors(50). In NB, the strong combined effect we observed with CYC065 in combination 388 with temozolomide, which is used for therapy resistant NB, supports the addition of CYC065 as a means to 389 selectively target MYCN-driven adrenergic identity.

390

391 Overall, we demonstrate that dual inhibition of CDK9 and CDK2 attacks MYCN dependence in NB through 392 several mechanisms including: 1) selective blockade of CDK9 and super-enhancer-regulated nascent endogenous MYCN transcription; 2) induction of CDK9/2-mediated pro-apoptotic pathways; and 3) selective 393 targeting of MYCN-regulated adrenergic gene expression in NB. Importantly, both CDK9 and CDK2 394 inhibition are required for maximal effect of CYC065 as CDK9 inhibition alone downregulates MYCN, but 395 396 fails to induce robust apoptosis and CDK2 knockout alone is well-tolerated in NB cells. Promising results from Mosse and colleagues(51) and our own recent work(20) additionally suggests the ability of 397 proapoptotic agents such as BCL2 inhibitors (eg. venetoclax) to further enhance effects of transcriptional 398 399 inhibition. Together, these data establish a compelling therapeutic rationale for rapid clinical evaluation of 400 dual CDK9/2 inhibitors, and specifically the oral developmental drug CYC065, in MYCN-driven high-risk NB.

402 Methods:

403 Cell culture. Cell lines were LGC standards and purchased from the European Collection of Authenticated 404 Cell Cultures (ECACC), the American Type Culture Collection (ATCC) and Leibniz Institute DSMZ-German 405 Collection of Microorganisms and Cell Cultures, and were cultured in RPMI-1640 (Sigma-Aldrich) or DMEM 406 (Sigma-Aldrich) as recommended by the suppliers, supplemented with 10% fetal calf serum (FCS) (Gibco), 407 and were maintained at 37°C under 5% CO<sub>2</sub> in air. All cell lines were verified by STR profiling and routinely 408 tested for mycoplasma contamination.

409

410 Reagents. CYC202 (seliciclib, *R*-Roscovitine), CCT68127 and CYC065 were kindly provided by Cyclacel 411 Ltd, Dundee, Scotland, UK. Cycloheximide (C4859) and Actinomycin D (A9415) were purchased from 412 Sigma-Aldrich and MG132 (1748) from Tocris Bioscience. Temozolomide, Flavopiridol, Palbociclib, 413 Dinaciclib and SNS-032 was purchased from SelleckChem. BAY 1145372 was purchased from 414 Activebiochem. Compound 3 was kindly provided by Keith Jones, ICR, London, UK. THZ1 (A8882) was 415 purchased from Stratech. NVP-2 was obtained from by Calla Olson, Baylor College of Medicine, Houston, 416 Texas, USA. THAL-SNS-032 was synthesized in the Gray lab.

417

**Tumor Cell Proliferation Assays.** Cell proliferation assays were performed as described(52) using the Sulforhodamine B (230162; SRB) assay or using CellTiter-Glo Luminescent Cell Viability Assay (G7571; Promega) and read on a Synergy HT Multi-Mode Microplate Reader (Biotek). GI50 values were calculated in PRISM GraphPad and the GI50 was defined as the compound concentration at which tumor cell growth was inhibited by 50% compared with the vehicle control. Percentage of viable cells was analysed using trypan blue exclusion method.

424

425 CDK2 activity detection. The lentivirus construct of CDK2 sensor is kindly provided by Dr. Sabrina L.
426 Spencer, Boulder, U.S.A. The CDK2 sensor lentiviral particles were produced using second-generation
427 packaging plasmids psPAX2 and pMD2.G obtained from Addgene (a gift from Thomas F. Westbrook, Baylor
428 College of Medicine, Addgene plasmids 1226 and 12259). 293T cells were cultured in DMEM (Sigma429 Aldrich) supplemented with 10% FCS and transfected using TransIT<sup>®</sup>-293 Transfection Reagent (MIR 2704;
430 Mirus). Viral supernatant was collected 48 and 72hr after infection, filtered through a 0.45-μm low protein

binding filter (HAWP04700; EMD Millipore), and concentrated with a Lenti-X concentrator (631232; Clontech). Kelly and BE(2)C cells were transduced with concentrated virus in the presence of 8µg/ml polybrene. After 24hr, cells were fed with DMEM with 10% FCS. mVenus positive cells were collected using flow cytometry after 72hr infection. mVenus positive cells were plated in glass bottom 96-well microplate (655892; Greiner Bio-One). After 24hr, cells were treated with DMSO, 1xGl50 NVP-2, 2xGl50 NVP-2 or 1xGl50 CYC065 for 2hr, 4hr, 6hr or 8hr. Cells were fixed by 4% paraformaldehyde and followed by DAPI staining. mVenus fluorescence was imaged by IC200 cytometer (ValaSciences).

438

Immunofluorescence. Immunofluorescence analysis was performed as described(52). Briefly, cells were fixed with ice-cold 4% paraformaldehyde, permeabilized with 0.2% Triton X-100 in PBS, incubated with primary antibody, Alexa Fluor® 488 or Alexa Fluor® 568 secondary antibody (Life Technologies) and visualized with a Leica DM2500 microscope or quantified with the InCell Analyzer 1000.

443

444 Click-iT RNA imaging Kit. The Click-iT RNA imaging assay (C10330, ThermoFisher) was used to detect 445 newly synthesized RNA, by incorporating an alkyne-containing nucleoside into the newly synthesized RNA, 446 which was detected by an azide containing a fluorescent dye. The assay was conducted according to 447 manufacturer protocol. Briefly, cells were co-treated with 1mM 5-ethynyl uridine and either DMSO, 1µM 448 CYC065 or 1µM CCT68127 for 60 minutes. As a positive control, the general transcription inhibitor 449 Actinomycin D (0.5µg/ml, 60 minutes incubation) was used. The cells were fixed and permeabilized as described above, incubated with Click-iT reaction cocktail and nuclei stained with DAPI. Fluorescence was 450 visualized with a Leica DM2500 microscope and quantified with the InCell Analyzer 1000. Green 451 452 fluorescence indicated newly synthesized RNA. Nascent RNA was isolated using the Click-iT Nascent RNA Capture Kit (C10365, ThermoFisher), followed by gPCR. Primers for PCR is listed in Table 1. 453

454

Fluorescence *in situ* hybridisation (FISH). MYCN FISH (05J50-001, Abbott Molecular) was conducted according to the manufacturer's protocol. Briefly, Kelly cells were treated with CYC065, fixed with Carnoy's solution and co-denatured with LSI N-MYC (2q24) Spectrum Orange probe. The melting temperature set at 73°C (2 minutes) and hybridisation temperature at 37°C (overnight). The cells were visualized using a Leica DM2500 microscope. Non *MYCN*-amplified cells, SK-N-AS and SH-EP, were used as controls.

460

Western Blot. Western blot analysis was performed as described(52) using NuPAGE Novex 4-12% and
the membranes were exposed using a Fujifilm LAS-4000 Imager, with the Amersham ECL Prime Western
Blotting Detection Reagent (GE Healthcare). Antibodies for immunoblots is listed in Table 2.

464

shRNA knockdown. shRNA knockdown experiment was performed SKNBE cells, protein was harvested
 96hr after virus transduction and subjected to Western blot analysis. shRNA for CDK7 and CDK9 (shRNA
 TRC library) were purchased from Sigma-Aldrich and listed in Table 3. SHC002 MISSION pLKO.1-puro
 Non-Mammalian shRNA Control was used as negative control.

469

siRNA knockdown. siRNA knockdown experiment was performed Kelly cells, protein was harvested 96hr
after transfection with siRNA and Dharmafect (Dharmacon) and subjected to Western blot analysis. siRNA
for CDK2 (J003236-12/14) and CDK9 (J003243-14) were purchased from Dharmacon. Non-targeting siRNA
control was used as negative control.

474

Generation of CDK2 CRISPR cell lines. To generate Cas9 stable cell lines, Kelly cells transduced with 1ml virus and and 8 µg/ml Polybrene (Merck Millipore) for 48hr, selected with 10µg/ml blasticidin for 10 days, sorted into single cells and check for expression of Cas9. Virus were created by transfection of 293T cells with Viral Power mix (Invitrogen) and a pLenti-Cas9-2A-Blast plasmid(53) (a gift from Jason Moffat, University of Toronto, Addgene #73310).

480

481 To generate CDK2 CRISPR stable cell lines, Cas9 stable Kelly cells were transduced with 1ml virus and and 8µg/ml Polybrene (Merck Millipore) for 48hr, selected with 1µg/ml puromycin for 10 days, sorted into 482 single cells and check for loss of expression of CDK2. Virus were created by transfection of 293T cells with 483 484 Viral Power mix (Invitrogen) and CDK2 sgRNA (Invitrogen LentiArray Human CRISPR Library CRISPR id 485 692363). To validate CDK2 knockout, genomic DNA was extracted (Zymo Quick-DNA microprep, Zymo 486 Research D3020) and sequences of the locus around the putative edit were PCR-amplified using target-487 specific primers (CDK2 sgRNA (CRIPSR ID 692363) forward: 5'-CACCCTGACTACCCAAGAATTAG-3', 488 reverse: 5'-TGTCAGCCCAGAGAGGATAA-3). The resulting PCR products were purified (DNA clean and 489 concentrator-25, Zymo Research D4033) and submitted to Sanger sequencing and analyzed using online
 490 ICE CRISPR Analysis Tool (<u>https://www.synthego.com/products/bioinformatics/crispr-analysis</u>).

491

Flow cytometry. Cells were treated with CYC065 or CCT68127, fixed in cold 70% ethanol, treated with
40ug/ml propidium iodide (P4864; Sigma Aldrich) and 100ug/ml RNase A (19101; Qiagen) before analysed
using LSR II flow cytometer (BD Bioscience).

495

496 Promoter Activity Luciferase Reporter Assay. IMR-32 cells were transfected with a MYCN promoter 497 Renilla luciferase construct and Cypridina TK control construct (SN0322s; Switchgear Genomics), re-plated 498 to 96-well plates and treated with compounds (1 µM) for 6hr at 48hr post transfection. Luciferase reading 499 was normalized to the Cypridina TK control signal.

500

Tandem ubiquitin binding entity (TUBE) pulldown. Kelly cells were treated with either DMSO or 1uM CYC065 for the indicated time, lysed in 50mM Tris-HCl pH 7.5, 150mM NaCl, 1mM EDTA, 1% NP-40, 10% glycerol and 200ug/ml GST-TUBE2 (UM102; Biosensors, 2BScientific) or in the absence of GST-TUBE2 for control pulldown. Pierce Glutathione Magnetic Beads (88821; Thermo Scientific Fisher) were used to pulldown ubiquitinated proteins from cell lysates according to manufacturer instructions. Ubiquitinated proteins were eluted by boiling beads in Laemmli buffer and resolved by SDS-PAGE.

507

508 **Quantitative RT-PCR and ChIP.** Quantitative RT-PCR and ChIP analysis was performed as described(52). 509 Fluorescence was read using Step One Plus Real-Time PCR system (Applied Biosystems) using the 510 TaqMan CT/CT program. Analysis was performed using the Step One software. Taqman assays for qPCR 511 is listed in Table 1. The error bars show SD of representative replicate. Primers specific for APEX gene 512 were forward: TGAAGCGGGTGTTAGTATGATCT and reverse: ACCACAAACAACAGAACGAATCT.

513

p53 mutational analysis. Genomic DNA was extracted from cell lines (Qiagen QIAamp DNA kit). PCR
amplification of exons 5 – 9 was performed using the primers shown in Table 4. Products were sequenced
with the original PCR primers using the BigDye Terminator Cycle Sequencing Kit and an ABI 3730 Genetic

517 Analyzer (Applied Biosystems). Sequences were analysed using Mutation Surveyor software v3.97 518 (SoftGenetics).

519

**RNA sequencing.** RNA extraction was performed by Direct-zol RNA miniprep kit (R2050: Zvmo Research) 520 521 with recommended DNase I digestion according to the manufacturer's instructions. All samples were 522 subjected to quality control on a Tapestation instrument and only RNA with RIN (RNA Integrity Number) > 523 8 were used for sequencing. External RNA spike-ins (ERCC, Ambion) were added to total RNA based on 524 cell number. Total RNA and ERCC were subjected to poly(A) selection (E7490; New England BioLabs Inc.). 525 Library preparation of RNA sequencing is performed by using NEBNext<sup>®</sup> Ultra<sup>™</sup> Directional RNA Library 526 Prep Kit for Illumina<sup>®</sup> (E4720L; New England BioLabs Inc.). RNA sequencing libraries were sequenced on 527 a NextSeq 500 (Illumina, San Diego, Calif). GEO session information of RNA-Seq experiments is in Table 528 7.

529

530 **Chromatin immunoprecipitation using tagmentation (ChIPmentation).** Antibodies for ChIPmentation 531 were purchased as follows: MYCN (sc-791; Santa Cruz Biotechnology), H3K27ac (8173S; Cell signaling 532 Technology). ChIPmentation was performed as previously described(54). ChIPmentation libraries were 533 sequenced on a NextSeq 500 (Illumina, San Diego, Calif).

534

ATAC-Seq analysis. For each cell line, 50,000 cells were lysed for 10 minutes at 4 °C in lysis buffer (10mM 535 536 Tris-HCl pH 7.4, 10mM NaCl, 3mM MgCl2, and 0.1% IGEPAL CA-360). After lysis, the pellets were 537 subjected to a transposition reaction (37 °C, 60 minutes) using 2x TD buffer and transposase enzyme 538 (Illumina Nextera DNA preparation kit, FC-121-1030). The transposition mixture was purified using a Qiagen MinElute PCR purification kit. Library amplification was performed using custom Nextera primers, and the 539 540 number of total cycles was determined by running a SYBR-dye-based qPCR reaction and calculating the 541 cycle number that corresponded to one-fourth the maximum. Amplified libraries were purified using a Qiagen PCR purification kit and sequenced on a single lane of an Illumina NextSeq. 542

543

544 **ChIP-Seq analysis.** MYCN and H3K27ac ChIP-Seq data in the Kelly cell line were obtained from Zeid et. 545 al., *Nature Genetics*, 2018. Briefly, raw reads were aligned using Bowtie2 (version 2.2.1) to build version NCBI37/HG19(55). Alignments were performed using all default parameters except for –N 1. These criteria
preserved only reads that mapped uniquely to the genome with one or fewer mismatches. All analyses were
performed using HG19 RefSeq gene annotations.

549

Normalized read density of a ChIP-Seq dataset in any genomic region was calculated using the Bamliquidator read density calculator (<u>https://github.com/BradnerLab/pipeline/wiki/bamliquidator</u>). ChIP-Seq reads aligning to the region were extended by 200bp and the density of reads per base pair (bp) was calculated. The density of reads in each region was normalized to the total number of million mapped reads producing read density in units of reads per million mapped reads per bp (rpm/bp).

555

Regions of H3K27ac and MYCN enrichment were defined using MACS version 1.4.1 (Model based analysis of ChIP-Seq) peak finding algorithm at a p-value threshold of 1e-9(56). Active promoters were defined as those with an enriched H3K27ac peak in the +/-1kb region flanking the transcription start site (TSS). Active enhancers were defined as regions of H3K27ac outside of this +/-1kb TSS region. For each gene, MYCN promoter and enhancer load was quantified as the cumulative area under curve MYCN signal in the +/-1kb region (promoter) or within +/-50kb of the TSS (enhancer).

562

To correlate expression change with MYCN load in Kelly, we first defined active transcribed and expressed genes as those with H3K27ac present in the +/-1kb TSS region and expression in the top 50% of all genes. We ranked these genes by promoter + enhancer MYCN load and binned the top 5,000 genes into 5 bins of 1,000 genes each. For each bin, average MYCN load was calculated as was the average log<sub>2</sub> change in mRNA levels after 1hr CYC065 treatment (Figure 4G). Error bars represent the 95% confidence intervals of the mean as empirically determined by resampling of the data with replacement (10,000 iterations). Sequencing depth of ChIP-Seq experiments is in Table 5.

570

571 **ChIPmentation analysis.** MYCN and H3K27ac ChIPmentation data in SH-EP MYCN cells were analyzed 572 using AQUAS TF and histone ChIP-Seq pipeline (<u>https://github.com/kundajelab/chipseq pipeline</u>). All 573 analyses were performed using HG19 RefSeq gene annotations. Normalized read density of a 574 ChIPmentation dataset in any genomic region was calculated as described in ChIP-Seq analysis. Regions 575 of H3K27ac and MYCN enrichment were defined using MACS2 peak finding algorithm built in AQUAS TF 576 and histone ChIP-Seq pipeline at a p-value threshold of 1e-5. Active promoters and active enhancers were 577 defined as described in ChIP-Seq analysis.

578

579 Gene expression analysis. Total RNA was isolated from cells and tumor tissue using the RNAeasy plus 580 minikit (Qiagen), labelled and hybridized to Gene Chip® human or mouse transcriptome expression array 581 (Affymetrix). Samples were RMA normalized using the "limma" package from R and differentially expressed 582 genes were called using a linear model and empirical Bayes statistics from the "affy" package. For heat 583 maps showing gene expression changes, genes were filtered based on average expression (log<sub>2</sub> intensity 584 value >5) and hierarchical clustering using Manhattan distance with complete linkage was done in R. Gene 585 set enrichment analyses (GSEA)(57) were performed with the C2 and Hallmark gene set collections from MSigDB, signal2noise metric and 1000 permutations. mRNA half-lives were taken from Schwannhäuser et 586 al., grouped in short (<5h) and long (>18h) half-life and the log<sub>2</sub> fold change in mRNA expression upon 587 588 CYC065 treatment was illustrated as box plot. Boxes represent the first and third quartile, the middle line reflects the median and whiskers extend to 1.5x interquartile range. Outliers are shown as dots. p-values 589 590 were calculated with a two-tailed Wilcoxon rank sum test. The log<sub>2</sub> fold change of median of ADRN CRC or 591 MES CRC upon DMSO group was represent using heatmap.

592

RNA sequencing analysis of SH-EP MYCN cell lines. Reads were aligned to the human reference 593 genome hg19/GRCh37 using HISAT2 with parameter --no-unal. Gene expression values (FPKM=fragments 594 per kilobase per million reads) were computed by Cufflinks v2.2.1 using library type fr-firststrand. Cell 595 596 number normalized FPKM were calculated based on ERCC RNA spikein. ADRN and MES gene sets were taken from Van et. al. 2017 Nat. Genet and the log<sub>2</sub> fold change in mRNA expression upon SH-EP or DMSO 597 598 group was illustrated as box plot. Boxes represent the first and third quartile, the middle line reflects the 599 median and whiskers extend to 1.5x interquartile range. The P-values were calculated with a two-tailed Welch's t-test. Gene set enrichment analyses (GSEA)(57) were performed with the C2 and Hallmark gene 600 601 set collections from MSigDB, Signal2Noise metric and 1000 permutations. The log<sub>2</sub> fold change of median 602 of ADRN CRC or MES CRC upon DMSO group was represent using heatmap.

603

**Study Approval.** All experimental protocols were monitored and approved by ICR Animal Welfare and Ethical Review Body, in compliance with guidelines specified by the U.K. Home Office Animals (Scientific Procedures) Act 1986 and the United Kingdom National Cancer Research Institute Guidelines for the Welfare of Animals in Cancer Research(58).

608

609 In vivo efficacy of CYC065 in human NB xenograft models and TH-MYCN and GEM mice. Female CrTac:NCr-Foxn1<sup>nu</sup> athymic nude mice (Taconic, USA) (6 weeks of age) were injected with either Kelly 610 (5.10<sup>6</sup> cells), SK-N-AS (5.10<sup>6</sup> cells) or H128 (5.10<sup>6</sup> cells) subcutaneously in one flank and allowed to 611 612 establish. Mice bearing NB xenografts with a mean diameter of 5mm were treated with 75mg/kg/day CYC065 or vehicle (saline) p.o., with a 'five days on, two days off' schedule for up to 3 weeks. Tumor 613 614 volumes were measured by Vernier caliper across two perpendicular diameters, and volumes were 615 calculated according to the formula:  $V = 4/3\pi \left[ (d1 + d2) / 4 \right]^3$ . Transgenic TH-MYCN or TH-ALK<sup>F1174L</sup>/TH-616 MYCN mice were genotyped to detect the presence of human MYCN or ALK transgene (59). Male or 617 female mice with palpable tumors (30-50 days old) treated with 50mg/kg of CYC065, CCT68127, vehicle (saline), freshly prepared 6mg/kg temozolomide or with a combination of either 50mg/kg of CYC065 or 618 619 50mg/kg of CCT68127 with freshly prepared 6mg/kg temozolomide for two consecutive weeks. CYC065 620 or CCT68127 were dosed in a 'five days on, two days off' schedule. Mice were allowed access to sterile 621 food and water ad libitum.

622

623 **MRI.** Changes in tumor volume in the TH-*MYCN* or TH-*ALK*<sup>F1174L</sup>/*TH-MYCN* mice were quantified using MRI 624 on a 7T horizontal bore MicroImaging system (Bruker Instruments) using a 3 cm birdcage coil. Anatomical 625  $T_2$ -weighted coronal images were acquired through the mouse abdomen, from which tumor volumes were 626 determined using segmentation from regions of interest (ROI) drawn on each tumor-containing slice. The 627 spin-lattice relaxation time (T<sub>1</sub>) and the apparent diffusion coefficient (ADC), two functional MRI parameters, 628 were also measured(39). At trial end, tumors were dissected and fixed with 4% paraformaldehyde or snap 629 frozen in liquid nitrogen for further analysis.

630

Pathology. Tissue sections were stained with haematoxylin and eosin or specific antibodies.
Immunohistochemistry was performed using standard methods. Briefly, 5µm sections were stained with

antibodies, including heat-induced epitope retrieval of specimens using citrate buffer (pH 6) or EDTA buffer,

and scored by a consultant histopathologist.

635

Tumor or spleen tissue was homogenized using T-PER buffer (Thermo Scientific Fisher) containing proteinase inhibitor (Roche) and a cocktail of phosphatase inhibitors (Santa Cruz). Protein (30 mg) was denatured in lithium dodecyl sulfate sample buffer (Invitrogen), separated on precast 4%–12% Bis-Tris gels (Invitrogen), and transferred to nitrocellulose membranes for western blotting. Immunoblots were recorded electronically on a Fujifilm LAS-4000 scanner.

641

642 Statistical analysis. Data were visualized, and statistical analyses performed using GraphPad Prism 643 (version 6; GraphPad Software Inc.) or R statistical package. For each group of data, estimate variation was taken into account and is indicated in each figure as S.D. or S.E.M. If single data are presented, these data 644 645 are representative of biological or technical triplicates, as indicated. Statistical analyses between groups 646 with comparable variance was performed using a two-tailed unpaired Student's t-test unless otherwise indicated. Pearson's tests were used to identify correlations among variables. Significance for all statistical 647 648 tests was shown in figures or legends. P<0.05 is considered significant. No samples or animals were 649 excluded from analysis, and group sizes was determined by power analyses using data previously 650 shown(39, 52). Animals were randomly assigned to groups. Studies were not conducted blinded, with the exception of all histopathological scoring. 651

652

Data Availability. ChIP-seq and RNA-seq data is available in GEO series GSE107126, GSE80151,
GSE128330, GSE145068.

# 655 Author Contributions:

- 656 Conception and design: E.P., T.L., Y.J., C.Y.L., and L.C.
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- 659 R.C., G.B., M.W.R., G.B., A. F., R. B., P.A.C., J.D.B., N.S.G., J.B., S.P.R., S.A.E., D.Z., P.W., J.E.B., J.M.,
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- 662 All authors read and approved the final manuscript.

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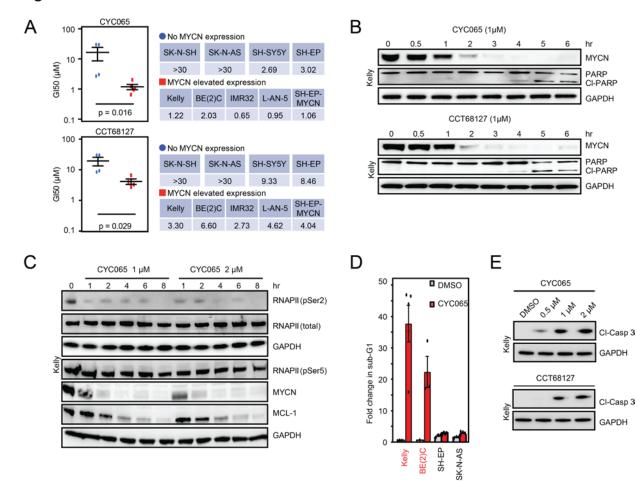
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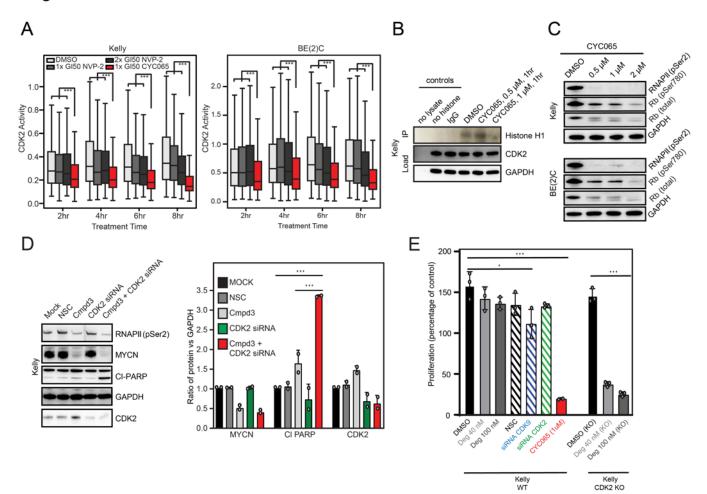
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# Figure 1



- 823 Figure 1: CDK9 and CDK2 are selectively essential for *MYCN*-amplified neuroblastoma.
- A, GI50 of CCT68127 and CYC065 in a panel of NB cells. Cells were treated for 8hr, washed off and
- replaced with normal growth medium. GI50 values( $\mu$ M) were calculated after 72hr(n=3). Significance was
- 826 calculated using two-tailed unpaired Student's t-test.
- **B**, Kelly cells were treated with CYC065 or CCT68127 for 0.5-6hr(1μM). Immunoblots illustrate expression
- 828 of PARP cleavage(n=2).
- C, Immunoblots showing expression of p-RNAPII-Ser2 and -Ser5, MYCN and MCL-1 at the indicated time
   after treatment with CYC065 (1-2μM, 1-8hr) in Kelly cells(n=2).
- 831 **D**, Flow cytometry analysis showing sub-G1 level of *MYCN*-amplified (Kelly, BE(2)C) and non-amplified
- 832 (SH-EP, SK-N-AS) cells in response to CYC065 or CCT68127(1µM; 8hr) (+/-S.D. of three independent
- 833 experiments.
- **E**, Kelly cells were treated with CYC065 or CCT68127 at the indicated concentrations (0.5-2μM) for 6hr.
- 835 Immunoblots depict expression of cleaved caspase 3(n=2).

Figure 2



#### 837 Figure 2: CDK9 and CDK2 synergistically maintain *MYCN*-amplified neuroblastoma cells.

A, CDK2 activity is obtained by measuring the cytoplasmic to nuclear ratio of DHB-mVenus. Cell nuclei
were identified using DAPI staining. Bold line represents median, box represents the interquartile range
(IQR), whiskers represent 1.5 times the IQR, and outliers are not shown. Significance is indicated (Welch's
two-tailed t test with Benjamini and Hochberg correction for multiple comparison; \*\*\*P<1x10<sup>-8</sup>).

**B**, Kelly cells were treated with CYC065 or DMSO and harvested after 1hr. CDK2 complexes were immunoprecipitated from cell lysates followed by an in vitro kinase assay using histone H1 as a substrate(n=2).

**C**, Kelly and BE(2)C cells were treated with CYC065 for the indicated concentrations (0.5-2µM) for 8hr.
Immunoblots show expression of the Rb protein(n=2).

**D**, Immunoblots and bar plots showing expression of MYCN and cleaved PARP when cells were treated with Compound 3 (Cmpd 3) at 1xGI50 and/or siRNA directed to CDK2 (+/-S.D. of two independent experiments; two-tailed unpaired Student's t-test with Benjamini and Hochberg correction for multiple comparison; \*P<0.05; \*\*P<0.01; \*\*\*P<0.001).

E, Proliferation of NB cells quantified using a Cell-titerGlo assay. Kelly cells with CRISPR Cas9-mediated
knockout of CDK2 (KO) or endogenous (WT) CDK2 were treated with CYC065 (8hr), Deg (THAL-SNS-032,
8hr) and siRNA against CDK9 or CDK2 for 48hr (+/-S.D. of three independent experiments, two-tailed
unpaired Student's t-test with Benjamini and Hochberg correction for multiple comparison; \*P<0.05;</li>
\*\*P<0.01; \*\*\*P<0.001).</li>

#### 857 Figure 3: Inhibition of CDK9 blocks transcription of MYCN and genes with short half-lives.

858 A-B, Immunoblot and graph showing effects of treatment with CYC065(6hr) on phosphorylation of RNAPII at Ser2 and Ser5 at the indicated concentrations in Kelly cells (+/-S.D. of three independent experiments, 859 two-tailed unpaired Student's t-test with Benjamini and Hochberg correction for multiple comparison; 860 861 \*\*\*P<0.001).

862 **C-D**, Click-IT<sup>™</sup> assay showing effect of CYC065 or CCT68127 (0.25-1µM, 1hr) on the abundance of newly synthesized nascent RNA in Kelly cells as illustrated in in green fluorescence (C) and the graph (D) (+/-S.D. 863 864 of four independent experiments, two-tailed unpaired Student's t-test with Benjamini and Hochberg 865 correction for multiple comparison; \*\*\*P<0.001). Scale bar: 10µm.

866 E, Immunofluorescence showing newly synthesized nascent RNA(green) as described in c, and FISH of 867 MYCN gene (red) and DAPI-stained nucleus (blue) following 1hr treatment with CYC065 in Kelly cells(n=3). Scale bar: 2µm.

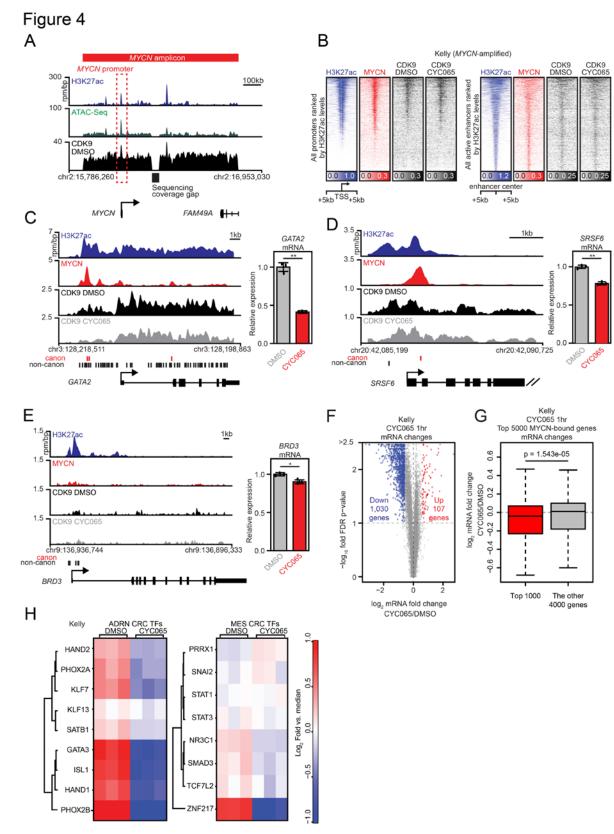
F, Immunoblot showing level of H3K27ac after treatment with CYC065(1µM) for 1hr and 6hr(n=1). 869

868

870 G, Quantitative PCR analyses showing the level of MYCN and MCL-1 genes extracted from the fluorescently labelled nascent RNA in Figure 3C(+/-S.D. of four independent experiments, two-tailed 871 872 unpaired Student's t-test with Benjamini and Hochberg correction for multiple comparison; \*\*\*P<0.001).

873 H, Box plot documenting gene expression changes after CYC065 treatment(1µM, 1hr) of genes with 874 short(<5hr, n=386) and long(>18hr, n=380) mRNA half lifes(60) (two-tailed unpaired Wilcoxon rank sum 875 test).

I, Gene set enrichment analysis in MYCN-amplified(Kelly, BE(2)C), MYCN non-amplified(SK-N-AS, SH-876 SY5Y) NB cell lines and tumors from TH-MYCN mice after treatment with CYC065. Shown is the "MYC 877 878 target gene V2" gene set from the Hallmark collection of the MSigDB.



#### 880 Figure 4: Pharmacologic blockade of CDK9 targets the MYCN-dependent transcriptional landscape.

A, Gene tracks of chromatin accessibility(shown by ATAC-Seq, green), active chromatin marker:
 H3K27ac(blue) and CDK9(black) occupancy at *MYCN* amplicon in Kelly cells.

**B**, Heatmaps of H3K27ac(blue), MYCN(red), and CDK9(black) occupancy at all promoters(left) or enhancers(right) ranked by H3K27ac signal. Each row of heatmaps suggests one promoter region or enhancer region. The middle of heatmaps indicates the transcription start sites(TSS) or enhancer centers.

C-E, Left: gene tracks of H3K27ac(blue), MYCN(red), and CDK9(black) (+/-CYC065) occupancy at
individual loci. ChIP-Seq occupancy is provided in units of reads per million per base pair(rpm/bp).
Canonical MYCN binding sites(red lines) and non-canonical MYCN binding sites(black lines) are indicated
below gene tracks. Right: bar plots of corresponding gene expression normalized to control showing effect

of CYC065(1µM; 1hr) treatment (+/-S.D., two-tailed student t test: \*P<0.05, \*\*P<0.01).

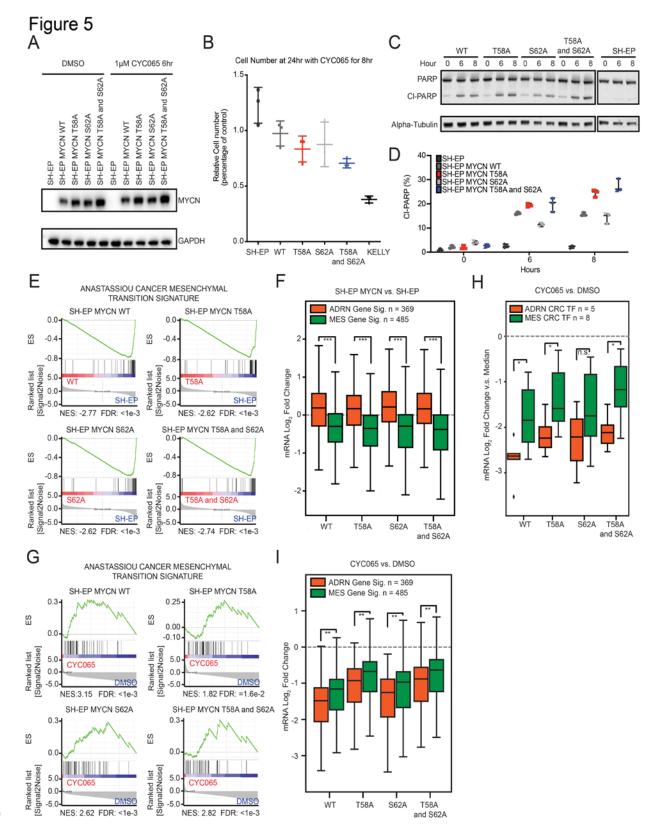
F, Scatter plot of log2 gene expression (FPKM) fold changes (CYC065; 1µM; 1hr) treatment vs. DMSO
control (x-axis) versus significance of the change (y-axis, -log10 FDR value). Genes with >=1.5-fold change
in expression at an FDR<=0.1 are considered differentially expressed(blue and red).</li>

**G**, The top 5,000 transcriptionally active, expressed and MYCN associated genes are ranked by MYCN load (promoter + enhancer MYCN). Box plot implicating the log2 mRNA fold change of the top 1000 genes and the log2 mRNA fold change of the other 4000 genes(two-tailed student t test).

897 **H**, Heatmap indicating the mRNA log2 FPKM fold change from the FPKM median of transcription factors

in adrenergic(ADRN) and mesenchymal(MES) core regulatory circuitries, with CYC065(1µM; 1hr)

899 treatment in Kelly cells.



#### 901 Figure 5: CYC065 directly blocks MYCN-driven adrenergic cell identity.

902 A, Immunoblots indicate stable MYCN expression in SH-EP MYCN system with CYC065 treatment(1µM;
903 6hr).

B, Potency against SH-EP and SH-EP MYCN cells in vitro. Cells are treated with 1µM CYC065 for 8hr
followed by twice PBS washes. Relative cell counts were calculated using Cell-titerGlo assays(+/-S.D. of
three independent experiments).

907 **C**, Immunoblots depict effect of 1µM CYC065 treatment in SH-EP and SH-EP MYCN cells for 6hr and 8hr.

908 **D**, Dot plot showing the quantification of PARP and cleaved PARP (CI-PARP) in **C**.(+/-S.D. of three 909 independent experiments).

E, Gene set enrichment analysis in SH-EP and SH-EP MYCN cell lines. "Anastassiou Cancer Mesenchymal
 Transition Signature" is from the Hallmark collection of the Molecular Signatures Database.

F, Box plot showing SH-EP MYCN mRNA log<sub>2</sub> fold change of adrenergic(ADRN) genes and
mesenchymal(MES) genes comparing with SH-EP cells. Bold line represents median, box represents the
interquartile range(IQR), whiskers represent 1.5 times the IQR. Outliers are not shown. Welch's two-tailed
t test, Benjamini and Hochberg correction for multiple comparison; \*\*\*P<1x10<sup>-8</sup>.

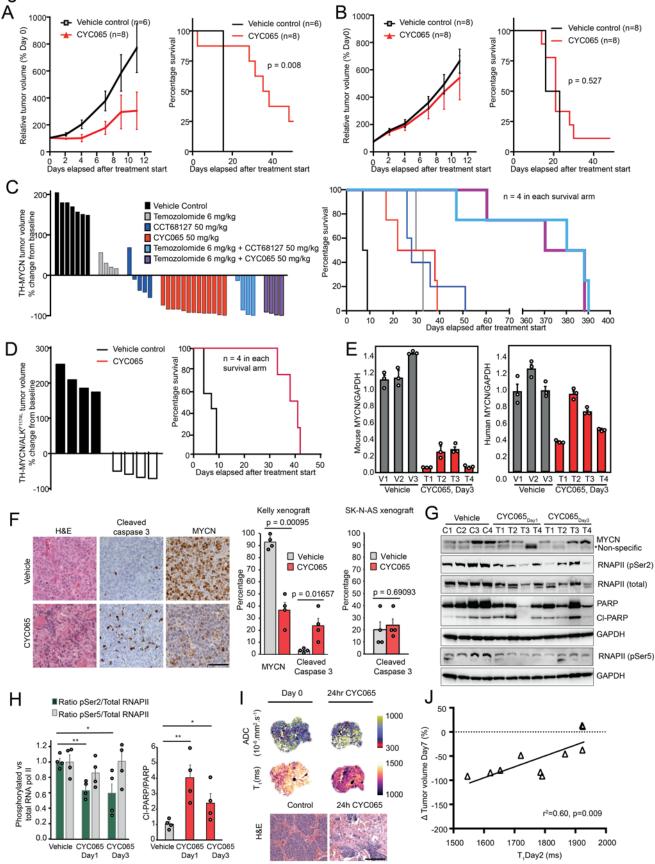
916 **G**, Gene set enrichment analysis in SH-EP and SH-EP MYCN cell lines after treatments with CYC065(1µM;
917 6hr).

918 **H**, Box plot showing with CYC065(1uM, 6hr), SH-EP MYCN mRNA log<sub>2</sub> fold change of transcription factors

919 from median in adrenergic and mesenchymal core regulatory circuitries. Outliers are represented as dots.

920 Welch's two-tailed t test and Benjamini and Hochberg correction for multiple comparison; \*P<0.05

921 **I,** Box plot showing with CYC065(1 $\mu$ M; 6hr) treatment, SH-EP MYCN mRNA log<sub>2</sub> fold change of 922 adrenergic(NB ADRN) genes and mesenchymal(NB MES) genes. Outliers are not shown. Welch's two-923 tailed t test, Benjamini and Hochberg correction for multiple comparison; \*\*P<1x10<sup>-4</sup>. Figure 6



#### 925 Figure 6: CYC065 and CCT68127 inhibit MYCN-driven neuroblastoma in vivo

Effects of CYC065 on the growth and survival of Kelly(*MYCN*-amplified) (A) and SK-N-AS(non-amplified)
(B) NB xenografts in mice. Data are expressed mean S.E.M(p, Log-Rank Mantel-Cox test with a 5% level
of significance).

**C**, Waterfall plot documenting relative changes in tumor volume at Day 7 in the TH-*MYCN* GEM model. All treatment arms versus control: p<0.001(two-tailed unpaired Student's t-test incorporating a Bonferroni correction(n=5) with a 1% level of significance). Kaplan-Meier plot documenting survival of TH-*MYCN* mice; all treatment arms versus control: p<0.01 and CYC065 or CCT68127 alone versus combination with Temozolomide: p=0.02 (Log-Rank Mantel-Cox test with a 5% level of significance).

D, Waterfall plot documenting relative changes in tumor volume at Day 7 in the TH-*ALK<sup>F1174L</sup>/MYCN* GEM
 model (p<0.001, two-tailed unpaired Student's t-test with a 5% level of significance), Kaplan-Meier plot</li>
 documenting survival of TH-*ALK<sup>F1174L</sup>/MYCN* mice(p<0.01, Log-Rank Mantel-Cox test with a 5% level of</li>
 significance).

E, Quantitative RT-PCR analyses showing the level of murine and human *MYCN* RNA in the TH *ALK*<sup>F1174L</sup>/*MYCN* tumor following treatment with CYC065 for 3 days(n=3).

F, Representative images and quantitative analysis of H&E and immunohistochemical staining for cleaved
capsase 3 and MYCN in the harvested tumors from a. and b. Scale bar: 50µm.

G-H, Immunoblot analyses of individual tumors from the TH-*MYCN* model treated with CYC065 for 1 or 3
days(+/-S.D. of four independent experiments, two-tailed unpaired Student's t-test with Benjamini and
Hochberg correction for multiple comparison; \*P<0.05; \*\*P<0.01).</li>

I, Parametric functional MRI maps showing a reduction of the tumor spin lattice relaxation time T<sub>1</sub> and an
increase in the apparent diffusion coefficient (ADC) 24hr after treatment with 50mg/kg CYC065, and their
corresponding haematoxylin and eosin staining. Scale bar:100µm.

J, Correlation between native tumor T<sub>1</sub> measured 24hr post treatment with 50mg/kg CYC065 or CCT68127

949 (% of pretreatment value) and relative changes in tumor volume following treatment with 50mg/kg CYC065.

Gene	Species	Catalogue Number	Company
MYCN	human	Hs00232074	Applied BioSystem
MYCN	mouse	Mm00627179_m1	Applied BioSystem
GAPDH	human	Hs02758991	Applied BioSystem
GAPDH	mouse	Mm03302249	Applied BioSystem
MDM2	human	Hs00242813_m1	Applied BioSystem
ODC1	human	Hs00159739	Applied BioSystem
MCL-1	human	Hs01050896	Applied BioSystem

951 Table 1: Taqman assays.

Antibody	Catalogue number	Source	Application
MYCN	OP13	Merck	WB, IF
MYCN	Ab-16898	Abcam	ChIP
MYCN	sc-791(rabbit)	Insight Biotechnologies	IP, ChIP
MYCN(pT58)	Ab-28842	Abcam	WB
MYCN(pS62)	Ab-51156	Abcam	WB
MCL-1	sc-819	Insight Biotechnologies	WB
GAPDH	2118L	New England Biolabs	WB
BAX	2772	New England Biolabs	WB
Bad	9292	New England Biolabs	WB
PARP	9542	New England Biolabs	WB
cl-parp	9541	New England Biolabs	WB
caspase-3	9662	New England Biolabs	WB
cl casp3	9661	New England Biolabs	IHC
p53	Ab-8	Thermo Scientific Fisher	WB
p-p53 Ser15	9284	New England Biolabs	WB
p- RNAPII-Ser2	MMS-129R-200	Covance	WB
p- RNAPII-Ser5	MMS-134R-200	Covance	WB

RNApollI	MMS-126R-500	Covance	WB
CDK9	2316	Cell Signaling Technology	WB
Cyclin T1	2098	Abcam	WB
Rb	9313	Cell Signaling Technology	WB
P-Rb-Ser780	9307	Cell Signaling Technology	WB
CDK7	2916	Cell Signaling Technology	WB
H3K27ac	Ab-4729	Abcam	WB
H3K27ac	8173S	Cell Signaling Technology	ChIP
H3	Ab-9715S	Abcam	WB
Actin	AC-15	Abcam	WB

953 Table 2: Antibodies information. WB: Western blot, IF: Immunofluorescence, IP: Immunoprecipitation, ChIP:

954 Chromatin immunoprecipitation, IHC: Immunohistochemistry.

- 955
- 956

shRNA	Catalogue number	Details
Cdk9 (1)	TRCN000000495	AGGGACATGAAGGCTGCTAAT
Cdk9 (2)	TRCN0000199780	GACGTCCATGTTCGAGTACTT
Cdk7 (1)	TRCN000000592	GCTGTAGAAGTGAGTTTGTAA
Cdk7 (2)	TRCN000000593	GCAGGAGACGACTTACTAGAT
SHC002	SHC002 MISSION	CAACAAGATGAAGAGCACCAA
	pLKO.1-puro Non-Mammalian shRNA Control	

957 Table 3: shRNA from TRC library (Sigma Aldrich, U.K.).

958

Exon	PCR Primer Forward	PCR Primer Reverse	Size (bp)
5-6	tgttcacttgtgccctgact	ttaacccctcctcccagaga	467
7	cttgccacaggtctccccaa	aggggtcagaggcaagcaga	237
8-9	ttgggagtagatggagcct	agtgttagactggaaacttt	445

959 Table 4: Primers used for testing the TP53 mutation status.

Sample name	Mapped	Mapped	PCR	Peaks	GEO series	GEO
	reads	%	cycles			accession
KELLY_CYC065_CDK9	41464643	96.89	12	1624	GSE107126	GSM2861546
KELLY_CYC065_H3K27AC	39901878	96.6	10	12421	GSE107126	GSM2861545
KELLY_CYC065_WCE	43932669	97.48	8	NA	GSE107126	GSM2861542
KELLY_DMSO_CDK9	43875937	96.27	13	1940	GSE107126	GSM2861544
KELLY_DMSO_H3K27AC	45167019	96.5	11	13215	GSE107126	GSM2861543
KELLY_DMSO_WCE	49526849	97.54	8	NA	GSE107126	GSM2861541
KELLY_MYCN	59487674	97.54	5	7074	GSE80151	GSM2113526
KELLY_WCE	44532043	92.32	5	NA	GSE80151	GSM2113525
KELLY_H3K27AC	77156218	94.71	5	31429	GSE80151	GSM2113524
SHEP_MYCN_WT_DMSO_MYCN	32072864	81.1	11	17452	GSE128330	GSM3671495
SHEP_MYCN_WT_CYC065_MYCN	34010613	80.47	12	18566	GSE128330	GSM3671496
SHEP_MYCN_T58A_DMSO_MYCN	33026439	80.58	11	12174	GSE128330	GSM3671497
SHEP_MYCN_T58A_CYC065_MYCN	29785344	81.29	12	12560	GSE128330	GSM3671498
SHEP_MYCN_S62A_DMSO_MYCN	30368111	80.55	12	13636	GSE128330	GSM3671499
SHEP_MYCN_S62A_CYC065_MYCN	34846800	80.79	12	17812	GSE128330	GSM3671500
SHEP_MYCN_T58A_and_S62A_DMSO_MYCN	31945930	91.67	13	18056	GSE128330	GSM3671493
SHEP_MYCN_T58A_and_S62A_CYC065_MYCN	22719761	91.56	13	9120	GSE128330	GSM3671494
SHEP_MYCN_WT_DMSO_WCE	34846800	80.79	8	NA	GSE128330	GSM3671501
SHEP_MYCN_WT_CYC065_WCE	26647343	79.58	10	NA	GSE128330	GSM3671506
SHEP_MYCN_T58A_DMSO_WCE	23149810	79.95	10	NA	GSE128330	GSM3671502
SHEP_MYCN_T58A_CYC065_WCE	35848374	80.34	9	NA	GSE128330	GSM3671507
SHEP_MYCN_S62A_DMSO_WCE	31935621	78.09	11	NA	GSE128330	GSM3671503
SHEP_MYCN_S62A_CYC065_WCE	35089754	80.63	10	NA	GSE128330	GSM3671508
SHEP_MYCN_T58A_and_S62A_DMSO_WCE	22139842	91.1	15	NA	GSE128330	GSM3671504
SHEP_MYCN_T58A_and_S62A_CYC065_WCE	25732969	90.63	13	NA	GSE128330	GSM3671509
SHEP_MYCN_WT_DMSO_H3K27AC	23186326	92.04	8	46200	GSE128330	GSM3671483
SHEP_MYCN_WT_CYC065_H3K27AC	26878855	92.12	8	51282	GSE128330	GSM3671484
SHEP_MYCN_T58A_DMSO_H3K27AC	28514145	92	8	43864	GSE128330	GSM3671485
SHEP_MYCN_T58A_CYC065_H3K27AC	26352153	92.6	8	47768	GSE128330	GSM3671486
SHEP_MYCN_S62A_DMSO_H3K27AC	24276534	92.04	8	48365	GSE128330	GSM3671487
SHEP_MYCN_S62A_CYC065_H3K27AC	24271796	92.54	8	50834	GSE128330	GSM3671488

SHEP_MYCN_T58A_and_S62A_DMSO_H3K27A	24707663	92.54	8	48304	GSE128330	GSM3671489
С						
SHEP_MYCN_T58A_and_S62A_CYC065_H3K27	23745960	92.82	9	50624	GSE128330	GSM3671490
AC						

961 Table 5: Sequencing depth of ChIP-Seq experiments.

Cell lines	Origin	Myc status
Kelly	Neuroblastoma	MYCN-amplified
BE(2)C	Neuroblastoma	MYCN-amplified
IMR32	Neuroblastoma	MYCN-amplified
L-AN-5	Neuroblastoma	MYCN-amplified
SK-N-SH	Neuroblastoma	No MYCN expression
SK-N-AS	Neuroblastoma	No MYCN expression
SH-SY5Y	Neuroblastoma	No MYCN expression
SH-EP	Neuroblastoma	No MYCN expression
SH-EP(MYCN WT)	Neuroblastoma	Exogenously-expressed wild-type MYCN protein
SH-EP(MYCN T58A)	Neuroblastoma	Exogenously-expressed MYCN protein mutated at T58A
SH-EP(MYCN S62A)	Neuroblastoma	Exogenously-expressed MYCN protein mutated at S62A
SH-EP(MYCN T58A and S62A)	Neuroblastoma	Exogenously-expressed MYCN protein mutated at T58A and S62A
H128	Lung	No MYC, MYCL or MYCN expression
H510A	Lung	Expressed MYCL protein
H526	Lung	Expressed MYCN protein
COLO-320	Colon	Expressed c-MYC protein
SKBR3	Breast	Expressed c-MYC protein
HCC1954	Breast	Expressed c-MYC protein

962 Table 6: Genetic characteristics of cell lines.

Sample name	GEO series	GEO accession
SHEP_MYCN_WT_DMSO_1	GSE145068	GSM4305685
SHEP_MYCN_WT_DMSO_2	GSE145068	GSM4305686
SHEP_MYCN_WT_DMSO_3	GSE145068	GSM4305687
SHEP_MYCN_WT_CYC065_1	GSE145068	GSM4305688
SHEP_MYCN_WT_CYC065_2	GSE145068	GSM4305689
SHEP_MYCN_WT_CYC065_3	GSE145068	GSM4305690
SHEP_MYCN_S62A_DMSO_1	GSE145068	GSM4305691
SHEP_MYCN_S62A_DMSO_2	GSE145068	GSM4305692
SHEP_MYCN_S62A_DMSO_3	GSE145068	GSM4305693
SHEP_MYCN_S62A_CYC065_1	GSE145068	GSM4305694
SHEP_MYCN_S62A_ CYC065_2	GSE145068	GSM4305695
SHEP_MYCN_S62A_ CYC065_3	GSE145068	GSM4305696
SHEP_MYCN_T58A_DMSO_1	GSE145068	GSM4305697
SHEP_MYCN_T58A_DMSO_2	GSE145068	GSM4305698
SHEP_MYCN_T58A_DMSO_3	GSE145068	GSM4305699
SHEP_MYCN_T58A_CYC065_1	GSE145068	GSM4305700
SHEP_MYCN_ T58A _ CYC065_2	GSE145068	GSM4305701
SHEP_MYCN_T58A _ CYC065_3	GSE145068	GSM4305702
SHEP_MYCN_T58A_and_S62A_DMSO_1	GSE145068	GSM4305703
SHEP_MYCN_T58A_and_S62A _DMSO_2	GSE145068	GSM4305704
SHEP_MYCN_T58A_and_S62A _DMSO_3	GSE145068	GSM4305705
SHEP_MYCN_T58A_and_S62A _CYC065_1	GSE145068	GSM4305706
SHEP_MYCN_T58A _and_S62A _ CYC065_2	GSE145068	GSM4305707
SHEP_MYCN_T58A _and_S62A _ CYC065_3	GSE145068	GSM4305708
SHEP _DMSO_1	GSE145068	GSM4305709
SHEP_DMSO_2	GSE145068	GSM4305710
SHEP_DMSO_3	GSE145068	GSM4305711

SHEP_ CYC065_1	GSE145068	GSM4305712
SHEP_ CYC065_2	GSE145068	GSM4305713
SHEP_ CYC065_3	GSE145068	GSM4305714

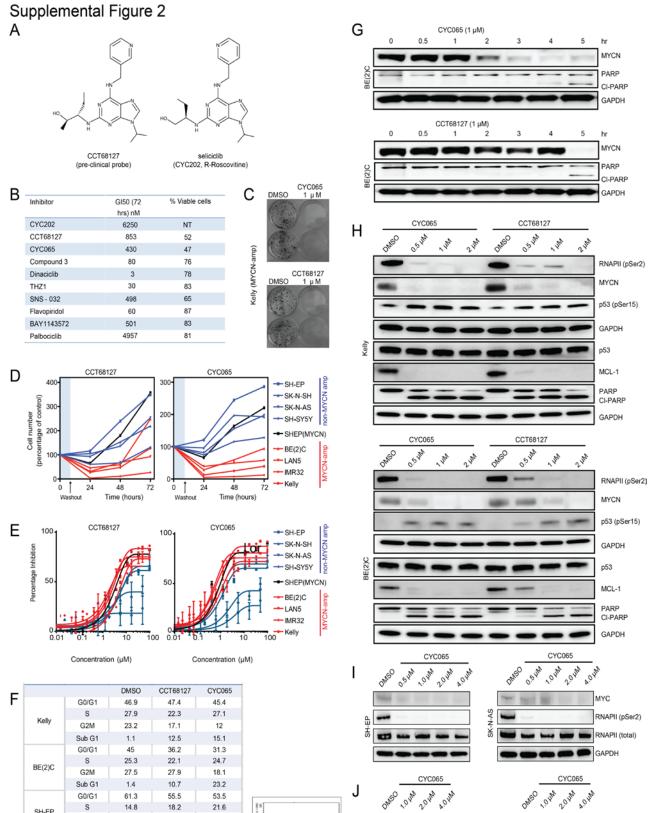
963 Table 7: GEO session information of RNA-Seq experiments.

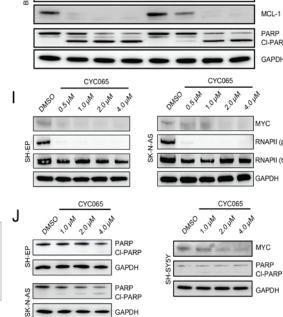
Α		6 hour	rs		7 hours	7 hours 8 hours																	
	I (	CYC065			CYC065	I	1	CYC	065			Cmp	od 3			Dina	ciclib			т	HZ1	I	
	0	12	4	0	1 2	4	0	1	2	4	0	1	2	4	0	1	2	4	0	1	2	4	xGI50 value
	-			•	-		•		Sec.	1	•	~	-	1	-	-	-	-		-	-	1	RNAPII (pSer2)
				•	-	1	•	-9-			•		-	The second	•	•	•	-			-	4	MYCN
			-	-	==	-	-	=	=	_	-	-	=	11	1	-	-	=	-	Ξ	Ξ	=	CI-PARP
Kelly		-			-			ter:	-	100		-	*	1			-	13			-	+	MCL-1
•							•	•						•	•	•	•			-	-	1	GAPDH
			•••				•			-	1	-	•	0		•				•		1	RNAPII (total)
	Ì		i.	-				-	-	-	1	-			•	•			1	-		1	RNAPII (pSer5)
	-					•	•			•				•				1		•	-	1	GAPDH
-		5	Selectivi	ty (nM	)			SNS	-032		F	lavopir	ridol		в	AY 11	43572	2		Palbo	ciclib		
Inhib	aitor	CDK2	CDK7	CDK9	Others		0	1	2	4	0	1	2	4	0	1	2	4	0	1	2	4	xGI50 value
							-	-	1	-		-	-	-		-	-	-	-		-	-	RNAPII (pSer2)
CYC	68127	8 10	612 520	14 9	CDK5 CDK5		1		100		•		(appl)	I.	•			-					MYCN
Com	pound 3	3 580		14			-	-		-	-	-	-		-	-	_	-	-	-	-	-	CI-PARP
Dina	ciclib	1		4	CDK1(3), CDK5(1)	Kelly	-	-	-	_	-	-	-	- 199		-	_	-		-	-	-	i
THZ	1		3.2		CDK3(1)	Ŧ	•	-	-			17	1.0	12	•	-	100	1000	-	•	•	-	MCL-1
SNS	-032	62	38	4			-	•	-	-	•	-	-		-	-	-	-	-		-	-	GAPDH
Flav	opiridol	405	514	11	CDK1(27), CDK4(132)		1		1	-			1	1			1			1			RNAPII (total)
	114357 ociclib	2		13	CDK4(11), CDK6(16)			-	-	-		-	-	-			-	-	-	-	-	-	RNAPII (pSer5)
					22.00(.3)		•	-	-		-	-	-	1	-	-	-	-	-	-	-	-	GAPDH

# Supplemental Figure 1

### **Supplemental Figure 1:** *MYCN*-amplified neuroblastoma is sensitive to CDK9 inhibitors.

- **A**, Immunoblots depict effects of treatment with CDK inhibitors at the indicated time and concentrations in
- 967 Kelly cells (n=1-3). Table showing reported selectivity of the CDK inhibitors(16, 26, 27, 50).





969

SH-EP

SK-N-AS

G2M

Sub G1

G0/G1

S

G2M

Sub G1

21.2

2.2

45.4

24.6

28.3

0.5

21.2

4.5

42.7

21.5

33.8

0.8

19.1

4.9

47.3

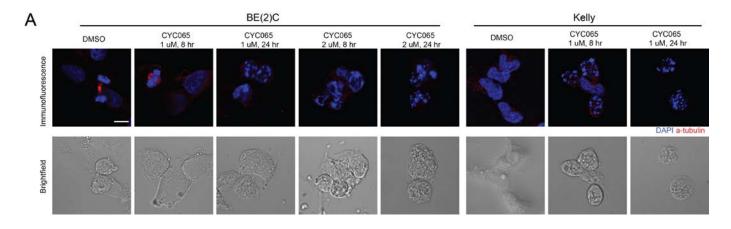
20.9

29.4

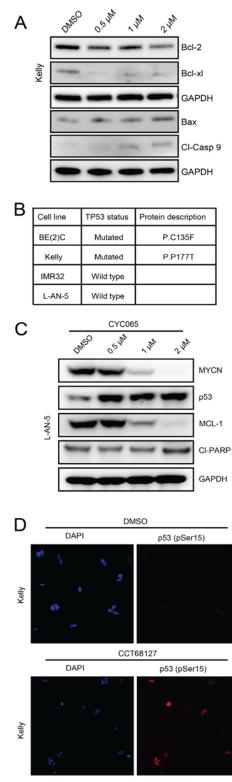
0.9

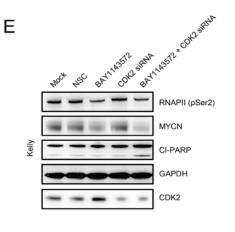
Kelly

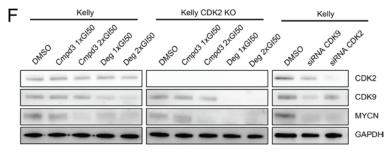
- 970 Supplemental Figure 2: CYC065 and CCT68127 target MYCN-driven neuroblastoma and induce 971 apoptosis.
- 972 A, Structures of CCT68127 and seliciclib (CYC202).
- 973 **B**, GI50 of CCT68127, CYC065, seliciclib (CYC202) and other CDK inhibitors in Kelly NB cells. Cells were
- treated continuously with each compound for 72 hr and GI50 values (nM) were calculated after 72 hr using
- 975 an SRB assay (n= 3).
- 976 **C**, Crystal violet-stained culture dishes of Kelly NB cells after treatment with CYC065 or CCT68127 (1 μM,
- 8hr) or vehicle (DMSO), replated and replaced with normal growth media for 7 days (n=2).
- 978 **D**, Proliferation of NB cells over 72hr quantified using a Cell-titerGlo assay. Cells were treated with CYC065
- 979 (1 μM) and CCT68127 (2 μM) for 8hr, washed off and replaced with normal growth medium (n=3).
- 980 **E**, GI50 curve of Figure 1b (n= 3).
- 981 F, Flow cytometry analysis showing cell cycle phases of MYCN-amplified (Kelly, BE(2)C) and non-MYCN-
- amplified (SH-EP, SK-N-AS) cells in response to CYC065 or CCT68127 (1 µM; 8hr) as indicated in Fig 1e.
- 983 A pulse geometry gate PI-H x PI-A was used to gate out the doublets and debris (n= 2).
- **G**, BE(2)C cells were treated with CYC065 or CCT68127 at 1 μM for 0.5-5hr. Immunoblots depict expression
- 985 of MYCN and cleaved PARP (n= 2).
- 986 **H**, Immunoblots depict expression of MYCN, MCL-1, PARP, p53, p-p53 at Ser15 and GAPDH in Kelly and
- 987 BE(2)C cells following treatment with CYC065 or CCT68127 (0.5-2 μM; 8hr) (n= 2).
- 988 **I,** Immunoblots showing expression of MYC, RNAPII Ser2P and GAPDH in SH-EP and SK-N-AS cells 989 following treatment with CYC065 (0.5-4  $\mu$ M; 8hr) (n= 2).
- **J**, Immunoblots depict expression of cleaved PARP and GAPDH in SH-EP, SK-N-AS and SH-SY5Y cells
- 991 following treatment with CYC065 (1-4  $\mu$ M; 8hr) (n= 2).
- 992

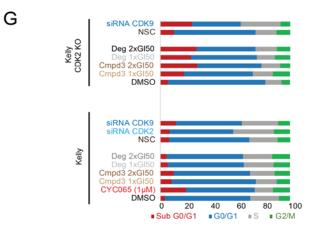


- 994 Supplemental Figure 3: CYC065 does not induce anaphase catastrophe in MYCN-driven 995 neuroblastoma.
- A, Immunofluorescence and brightfield images documenting morphological changes and staining with αtubulin (red) and nuclei Dapi (blue) in BE(2)C and Kelly cells after treatment with CYC065 (1-2 µM, 8-24hr).
  DMSO control cells shows two spindle poles. Multipolar anaphases (multiple spindle poles) was not
- 999 detected in CYC065 treated cells. Scale bars =  $5 \mu m$  (n= 3).



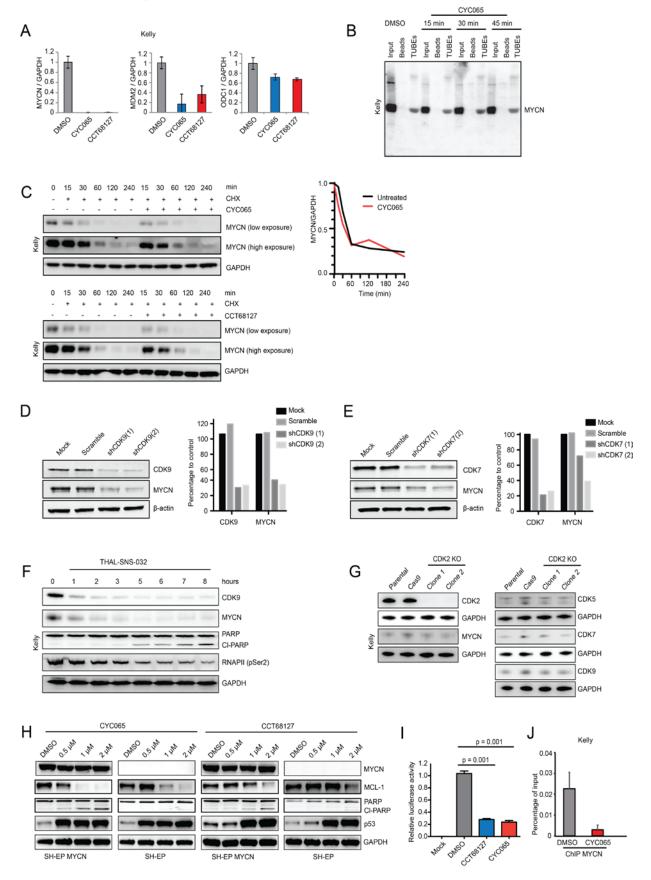




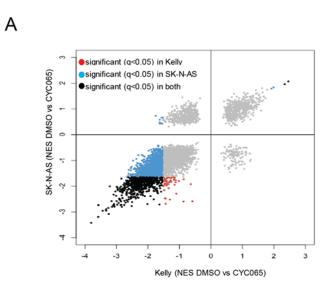


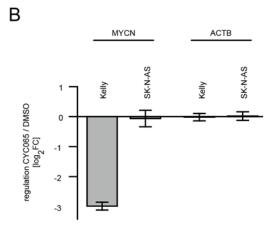
#### 1002 Supplemental Figure 4: CDK9 and CDK2 inhibition induces TP53 mediated apoptosis.

- 1003 A, Immunoblots showing expression of the indicated proteins and GAPDH in Kelly cells following treatment
- 1004 with CYC065 (0.5-2 μM, 6hr).
- 1005 **B**, TP53 mutation status of the tested cells.
- 1006 C, Immunoblots depict expression of p53, p-p53 at Ser15, MYCN, MCL-1, PARP and GAPDH in p53 native
- 1007 L-AN-5 cells following treatment with CYC065 or CCT68127 at the indicated concentrations for 6hr.
- 1008 **D**, Immunofluorescence showing p-p53 at Ser15 (red) in Kelly cells after treatment with CCT68127 for 6hr.
- 1009 E, Immunoblots depict expression of MYCN, CDK2 and PARP cleavage in Kelly cells after treatment with
- 1010 BAY1143572 (GI50, 6hr), CDK2 siRNA (48hr) or both (pretreatment with CDK2 siRNA for 42hr before
- treatment with BAY1143572 for a further 6hr).
- 1012 F, Immunoblots showing expression of MYCN after treatment with Compound 3 (Cmpd3) and CDK9
- 1013 degrader THAL-SNS-032 (1-2xGI50, 8hr), genetic knockdown of CDK9 or CDK2 by siRNA (48hr) and
- 1014 genetic knockout of CDK2 by CRISPR in Kelly cells.
- 1015 **G**, Kelly cell cycle profile after genetic knockdown of CDK9 or CDK2 by siRNA (48hr), genetic knockout of
- 1016 CDK2 by CRISPR and/or treatment with Compound 3 (Cmpd3) and CDK9 degrader THAL-SNS-032 (1-
- 1017 2xGI50, 8hr). GI50 of THAL-SNS-032 is 40 nM.



- 1019 Supplemental Figure 5: Effects of inhibition of CDK9, CDK7 and CDK2 on MYCN protein.
- 1020 A, Quantitative RT-PCR showing MYCN genes and MYCN target genes, MDM2 and ODC1, after treatment
- 1021 with CYC065 or CCT68127 (1 µM, 8hr) in Kelly NB cells (+/- S.D. of two independent experiments;
- 1022 Significance was calculated using two-tailed unpaired Student's t-test).
- B, Tandem Ubiquitin Binding Entity (TUBE) pulldown assay showing no change in ubiquitinated MYCN
   protein after treatment with CYC065 (1 μM) at the indicated time.
- 1025 **C**, BE(2)C cells were treated with CYC065 (1  $\mu$ M) or CCT68127 (1  $\mu$ M) and cycloheximide (25  $\mu$ g/ml),
- 1026 harvested at the indicated time points, and immunoblotted for MYCN protein.
- D, Immunoblots and graph showing expression of CDK9 and MYCN proteins when CDK9 is down-regulated
   by shRNA.
- E, Immunoblots and graph showing expression of CDK7 and MYCN proteins when CDK7 is down-regulatedby shRNA.
- 1031 **F**, Immunoblots showing effect of CDK9 degrader THAL-SNS-032 (0.1 µM, 1-8hr) on CDK9, cleaved PARP,
- 1032 phosphorylated RNAPII Ser2 and MYCN protein levels
- 1033 **G**, Immunoblots showing genetic knockout of CDK2 in Kelly cells.
- 1034 H, Immunoblot showing the effects of CYC065 or CCT68127 (6hr) in native SH-EP cells that lack the native
- 1035 transcriptional machinery of MYCN and SH-EP MYCN WT cells with exogenously-expressed MYCN WT.
- 1036 I, Cells were transfected with a MYCN promoter Renilla luciferase construct and Cypridina TK control
- 1037 construct, and treated with compounds (1 µM) for 6hr at 48hr post transfection. Luciferase reading was
- 1038 normalized to the Cypridina TK control signal (+/- S.D. of two independent experiments).
- 1039 J, Results of ChIP assays using MYCN at a genomic region surrounding the E box of the APEX gene after
- 1040 treatment with CYC065 (1 μM, 1hr). (+/- S.D. of three independent experiments).





С

		Kelly	SK	-N-AS
gene set	NES	• I q-value	NES	q-value
Reactome: Generic transcription pathway	-3.8	<1e-4	-3.4	<1e-4
Reactome: RNA POL II transcription	-2.7	<1e-4	-2.0	2.0e-3
Reactome: RNA POLI, RNA POLII and mitochondrial transcription	-2.5	<1e-4	-2.8	<1e-4
PID: TRKR pathway	-1.7	<2e-2	-0.7	<1e0

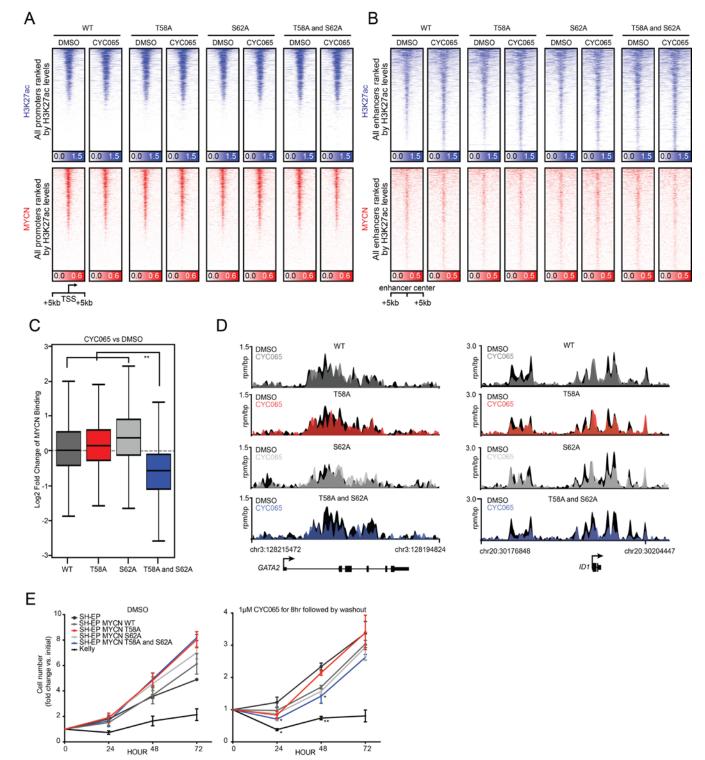
D	Т		MYCN
gen	e set	NES	q-value
Rea	actome: Transcription	-2.7	<1e-4
	actome: RNA POLI, RNA POLIII mitochondrial transcription	-2.5	<1e-4
Rea	actome: RNA POL II transcription	-2.5	<1e-4
Rho	odes: Undifferentiated cancer	-2.4	<1e-4

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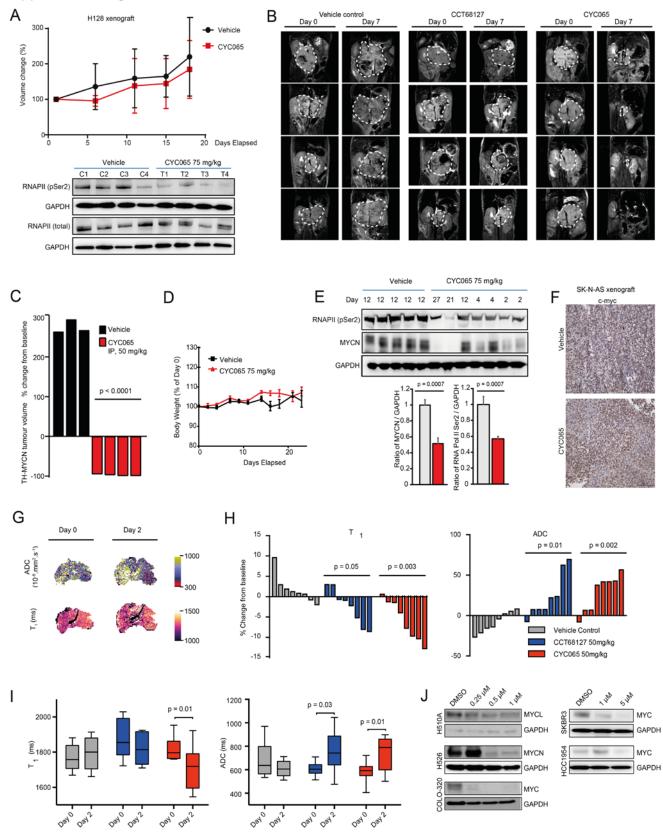
Cana aat	Kelly		BE(2)C		SK-N-AS		SH-SY5Y		TH-MYCN tumors	
Gene set	NES	FDR	NES	FDR	NES	FDR	NES	FDR	NES	FDR
MYC targets V2	-2.46	<1.0e-4	-1.95	<1.0e-4	-1.85	1.72e-3	2.19	<1.0e-4	-1.95	5.20e-4
MYC targets V1	-1.46	2.53e-2	-1.38	6.88e-2	-1.00	5.32e-1	-0.69	1.00e0	-2.90	<1.0e-4
Yu: MYC targets up	-2.31	8.41e-6	-1.58	8.36e-2	-1.45	1.33e-1	-1.63	3.36e-2	-2.73	<1.0e-4
Schlosser: MYC targets and serum response up	-2.23	8.47e-5	-1.68	5.31e-2	-1.78	1.99e-2	-1.62	3.70e-2	-1.66	3.17e-2
Ben-Porath: MYC targets with E-box	-1.93	3.05e-3	-1.62	6.91e-2	-1.57	7.66e-2	-1.47	9.10e-2	-0.79	9.62e-1
Acosta: Proliferation independent MYC targets up	-1.91	3.84e-3	-1.55	9.33e-2	-0.59	1.00e-0	-1.25	2.57e-1	-1.03	5.61e-1
Dang: Regulated by MYC up	-1.89	4.24e-3	-1.25	3.01e-1	-1.00	6.91e-1	-1.30	2.02e-1	-2.33	1.68e-4

#### 1042 Supplemental Figure 6: Gene expression changes upon CYC065 treatment.

- A, The xy plot shows overall changes in GSEA after CYC065 treatment (1 μM, 1hr) in Kelly and SK-N-AS
   cells. Each dot represents one gene set from the MSigDB C2 collection. Significant gene sets with an FDR
   q-value <0.05 are highlighted.</li>
- 1046 **B**, Expression changes of MYCN upon CYC065 treatment in Kelly and SK-N-AS cells used in Affymetrix
- 1047 gene expression array as demonstrated in **c**. Plotted are log<sub>2</sub> fold changes and 95% confidence intervals of
- 1048 3 biological replicates. B-actin (ACTB) as a not-regulated control.
- 1049 C, Summary of GSE analyses demonstrate repression of genes after CYC065 treatment in Kelly and SK 1050 N-AS cells.
- 1051 **D**, Summary of GSE analyses in CYC065 treated TH-*MYCN* mice.
- 1052 E, Gene set enrichment analysis in MYCN-amplified (Kelly, BE(2)C), MYCN non-amplified (SK-N-AS, SH-
- 1053 SY5Y) NB cell lines and tumors from TH-MYCN mice after treatment with CYC065. Selected MYC gene
- 1054 sets from the C2 collection of the MSigDB are shown. Gene sets with a non-significant Benjamini-Höchberg-
- 1055 corrected p-value (FDR <0.25) are highlighted in red.



- 1058 Supplemental Figure 7: CYC065 fails to alter global H3K27ac and MYCN occupancy in SH-EP MYCN 1059 lines.
- A, Heatmaps of H3K27ac (blue) and MYCN (red) occupancy at all promoters (+/- CYC065, 1 μM, 6hr)
   treatment ranked by SH-EP MYCN WT DMSO H3K27ac signal.
- B, Heatmaps of H3K27ac (blue) and MYCN (red) occupancy at all enhancers (+/- CYC065, 1 μM, 6hr)
   treatment ranked by SH-EP MYCN WT DMSO H3K27ac signal.
- 1064 **C**, Box plot showing the  $\log_2$  fold change of genome-wide MYCN occupancy with CYC065 (1  $\mu$ M, 6hr). Bold 1065 line represents median, box represents the interquartile range (IQR), whiskers represent 1.5 times the IQR, 1066 and outliers are not shown. Significance is indicated (Welch's two-tailed t test and Benjamini and Hochberg
- 1067 correction):  $**P < 1x10^{-8}$  and FDR <  $1x10^{-8}$ .
- D, Gene tracks MYCN (+/- CYC065, 1 μM, 6hr) occupancy at individual loci. ChIP-Seq occupancy is
   provided in units of reads per million per base pair (rpm/bp).
- 1070 **E**, Proliferation of NB cells over 72hr quantified using a Cell-titerGlo assay. Cells were treated with DMSO 1071 or 1 μM CYC065 for 8hr. DMSO or CYC065 treatment were washed off by PBS twice and replaced with
- 1072 normal growth medium (+/- S.D. of three independent experiments). For 24hr, SH-EP vs SH-EP MYCN
- 1073 (T58A), SH-EP vs SH-EP MYCN (T58A and S62A) and SH-EP vs Kelly were statistically significant. For
- 1074 48hr, SH-EP vs SH-EP MYCN (WT), SH-EP vs SH-EP MYCN (S62A), SH-EP vs SH-EP MYCN (T58A and
- 1075 S62A) and SH-EP vs Kelly were statistically significant. Significance is indicated (two-tailed student t test
- and Benjamini and Hochberg correction): \*P < 0.05 and FDR < 0.05, \*\*P <  $1x10^{-4}$  and FDR <  $1x10^{-3}$ .



#### 1078 Supplemental Figure 8: Molecular and non-invasive MRI biomarker of response to CYC065 in vivo.

**A**, Effects of CYC065 on the growth and survival of H-128 (non Myc-driven) lung xenografts in mice. Data

are expressed as the mean relative tumor volumes (compared with tumor size at the start of treatment) +/-

B, Coronal T<sub>2</sub>-weighted MRI images of the abdomen of four representative tumor-bearing TH-MYCN mice

1081 S.E.M. (n=6 vehicle, n=6 (75 mg/kg CYC065). Mice were treated in a 'five days on, two days off' schedule.

prior to and 7 days following a 'five days on, two days off' schedule with CYC065 (50mg/kg), CCT68127

1084 (50mg/kg) or vehicle (--- tumor).

1080

1082

1085 **C**, Waterfall plot documenting relative changes in tumor volume in the TH-*MYCN* GEM model following 1086 seven-day treatment with 50mg/kg CYC065, (p<0.001) in a 'five days on, two days off' schedule. Route of 1087 administration: IP (Significance was calculated using two-tailed unpaired Student's t-test).

1088 **D**, Body weights for treated and control TH-*MYCN* mice.

1089 E, Representative Kelly xenografts harvested at the indicated time for immunoblot analysis for MYCN, p-

1090 RNAPII-Ser2 and GAPDH. Graph showing level of MYCN and p- RNAPII-Ser2 after treatment (Significance

1091 was calculated using two-tailed unpaired Student's t-test).

**F**, Representative SK-N-AS xenografts harvested at the end of the trial from Figure 6b for immunohistochemical analysis for c-MYC. Scale bar: 100µm.

**G**, Parametric functional MRI maps showing the change in the apparent diffusion coefficient (ADC) and tumor spin lattice relaxation time T<sub>1</sub> values 24hr after treatment with vehicle control.

1096 **H**, Waterfall plot showing relative changes in median native T<sub>1</sub> and ADC in the TH-*MYCN* GEM model 24hr

1097 following treatment with 50mg/kg CCT68127, 50mg/kg CYC065 or vehicle. (Significance was calculated 1098 using two-tailed unpaired Student's t-test with a 5% level of significance).

1099 I, Change in median native T<sub>1</sub> and ADC values prior to (D0) and 24hr (D2) after treatment with 50mg/kg

1100 CYC065 or vehicle. (Significance was calculated using two-tailed paired Student's t-test with a 5% level of 1101 significance).

1102 J, Immunoblots showing the effects of 8hr treatment of CYC065 on MYC family members in lung (H510A

and H526), colon (COLO-320) and breast (SKBR3 and HCC1954) cancer cell lines.