

1 **Biomarkers for site-specific response to neoadjuvant chemotherapy in epithelial ovarian cancer:**  
2 **relating MRI changes to tumour cell load and necrosis**

3

4 **Running title:** Validating MRI response metrics in ovarian cancer

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1 **Abstract**

2

3 **Background:** Diffusion-weighted magnetic resonance imaging (DW-MRI) potentially interrogates site-  
4 specific response to neoadjuvant chemotherapy (NAC) in epithelial ovarian cancer (EOC).

5

6 **Methods:** Participants with newly-diagnosed EOC due for platinum-based chemotherapy and interval  
7 debulking surgery were recruited prospectively in a multicentre study (n=47 participants). Apparent  
8 diffusion coefficient (ADC) and solid tumour volume (up to 10 lesions per participant) were obtained  
9 from DW-MRI before and after NAC (including double-baseline for repeatability assessment in n=19).  
10 Anatomically-matched lesions were analysed after surgical excision (65 lesions obtained from 25  
11 participants). A trained algorithm determined tumour cell fraction, percentage tumour, and percentage  
12 necrosis on histology. Whole-lesion post-NAC ADC and pre/post-NAC ADC changes were compared  
13 with histological metrics (residual tumour/necrosis) for each tumour site (ovarian, omental, peritoneal,  
14 lymph node).

15

16 **Results:** Tumour volume reduced at all sites after NAC. ADC increased between pre- and post-NAC  
17 measurements. Post-NAC ADC correlated negatively with tumour cell fraction. Pre/post-NAC changes in  
18 ADC correlated positively with percentage necrosis. Significant correlations were driven by peritoneal  
19 lesions.

20

21 **Conclusions:** Following NAC in EOC, the ADC (measured using DW-MRI) increases differentially at  
22 disease sites despite similar tumour shrinkage, making its utility site-specific. After NAC, ADC correlates  
23 negatively with tumour cell fraction; change in ADC correlates positively with percentage necrosis.

24

25 **Clinical trial registration:** ClinicalTrials.gov NCT01505829

26

## 1 **Background**

2 Epithelial ovarian cancer (EOC) of tubo-ovarian origin and primary peritoneal cancer often present at an  
3 advanced stage when multiple metastatic deposits in the pelvis and abdomen are commonly seen.<sup>1</sup> When  
4 primary debulking surgery is not feasible, platinum-based neoadjuvant chemotherapy (NAC) is  
5 recommended prior to interval debulking surgery (IDS) with the aim of reducing the burden of disease  
6 and enabling complete macroscopic (R0) resection, as this is strongly linked to favourable prognosis.<sup>2,3</sup>  
7 However, it is now recognised that lesions may show a differential response<sup>4</sup> which is related to the tissue  
8 site at which the deposits occur<sup>5</sup> and the local microenvironment which may promote development of  
9 resistant metastatic clones.<sup>6</sup> If it were possible to identify lesions that are likely to be poorly responsive to  
10 neoadjuvant chemotherapy, these lesions could be specifically targeted at surgical resection.

11

12 Traditionally, response in EOC has been assessed with unidimensional size measurements, which have  
13 been shown to be robust across tumour types and observer assessments.<sup>7</sup> Response evaluation criteria in  
14 solid tumours (RECIST) criteria<sup>8</sup> are well-established and widely used including in ovarian cancer.<sup>9</sup> It is  
15 increasingly recognised, however, that early response in tumours, with induction of necrosis by cytotoxic  
16 agents, may precede changes in tumour size and requires additional imaging markers for its recognition.<sup>10</sup>  
17 The apparent diffusion coefficient (ADC) derived from diffusion-weighted magnetic resonance imaging  
18 (DW-MRI) has been linked to tumour cellularity, but its relationship to the necrotic fraction within a  
19 responding tumour only has limited supporting evidence in some tumour types.<sup>11,12</sup> This is largely  
20 because estimation of necrosis on pathological specimens is variable when driven by observer  
21 assessments. Also, digital analysis of the extent of necrosis<sup>13</sup> has not been widely available. In this study,  
22 we aimed to measure the change in ADC metrics in EOC treated with platinum-based chemotherapy in a  
23 quality-assured/controlled multicentre trial<sup>14</sup>, compare the changes in ADC between anatomic disease  
24 sites and relate them to histological measures of response (residual viable tumour and necrosis) as  
25 assessed by digital pathology.

1 **Methods**

2 *Participants*

3 Participants with newly diagnosed stage III or IV ovarian, fallopian tube or primary peritoneal cancer  
4 were recruited prospectively in a multicentre trial with multicentre research ethics committee approval  
5 (recruited 2012 to 2016, ClinicalTrials.gov NCT01505829, study protocol available online  
6 (Supplementary Table 1)<sup>14</sup>). Participants were enrolled at four hospitals (National Health Service, United  
7 Kingdom). All participants gave written informed consent. Inclusion criteria were histology/cytology-  
8 confirmed high-grade serous, endometrioid or clear cell histology, at least one solid mass >2cm in long  
9 axis on CT or MRI, and scheduled for platinum-based NAC with IDS after three or four cycles. Exclusion  
10 criteria were abdomino-pelvic radiotherapy within six months of screening, contra-indications to MRI, or  
11 receipt of an investigational compound or device within 30 days of starting treatment.

12

13 *Study design*

14 Participants underwent baseline (pre-NAC) MRI examinations before starting chemotherapy. Two pre-  
15 NAC MRI examinations were conducted up to 7 days apart if participants were able to tolerate both  
16 examinations; only one pre-NAC examination was conducted if participants were unable to tolerate the  
17 second pre-NAC examination. Post-NAC MRI examinations were conducted after three or four cycles of  
18 chemotherapy within 8 days prior to IDS.

19

20 *MRI protocol*

21 Slice-matched, DW-MRI, T<sub>1</sub>-weighted, and T<sub>2</sub>-weighted imaging standardised between centres (with  
22 allowances for intervendor and scanner variations<sup>15</sup>) covered the abdomen and pelvis in three stations  
23 (Supplementary Table 1). Regular quality assurance tests throughout the study ensured measurement  
24 stability.

25

1 *Image Analysis*

2 Images were analysed at the lead centre using in-house software (Adept, The Institute of Cancer  
3 Research, London, UK). Intermediate signal intensity masses on T<sub>2</sub>-weighted images with restricted  
4 diffusion identified as tumour were categorised by site (ovary, peritoneum, omentum, and enlarged lymph  
5 node by RECIST criteria). For each examination, regions-of-interest (ROIs) encompassing the whole  
6 solid lesion on all slices were drawn by region growing on computed b=1000s<sup>mm</sup><sup>-2</sup> images<sup>16</sup> by JCW (2  
7 years' experience with pelvic MRI) and checked by NMdS (20 years' experience). Cystic areas were  
8 excluded by visual matching with T<sub>2</sub>-weighted images. Up to five target and five non-target largest  
9 lesions per participant were analysed. Lesions were selected on pre-NAC MRI examinations and the same  
10 lesions were followed-up on post-NAC MRI examinations. ADCs were estimated by mono-exponential  
11 fitting of signal intensity at b-values 100, 500 and 900s<sup>mm</sup><sup>-2</sup>. The median ADC, 25<sup>th</sup>, and 75<sup>th</sup> centile  
12 (ADC<sub>median</sub>, ADC<sub>25</sub>, ADC<sub>75</sub> respectively) were estimated from all fitted voxels in the ROIs for each lesion.  
13 The volume of each solid lesion was obtained by multiplying the number of voxels in the ROIs by voxel  
14 volume (range 0.013-0.016cm<sup>3</sup>). For each lesion, the change in solid tumour volume and ADC after three  
15 or four cycles of NAC was expressed as percentage change from pre-NAC measurements.

16

17 *Image-guided surgical sampling*

18 Anatomical localisation diagrams provided to participating surgeons with detailed annotations on  
19 radiologist-selected imaged target lesion location enabled matching of lesions with those identified at  
20 surgery. These matched lesions were marked with sutures at excision to identify them to the pathologist.

21

22 *Histopathology analysis*

23 Formalin fixed tissue specimens were sectioned at three to four millimeter intervals, embedded in paraffin  
24 and 2-3 micron sections mounted on glass slides. Haematoxylin and eosin (H&E) stained sections were  
25 reviewed by two gynaecological-oncology histopathologists in consensus (AS, KV, 15 and 3 years'

1 experience respectively). From each selected lesion, after review of the entire lesion, they chose a single  
2 index slide that most closely represented the residual viable tumour and necrosis across the whole lesion.  
3  
4 Whole H&E stained slides were digitised to a resolution of 0.26  $\mu\text{m}$  per pixel (Hamamatsu NanoZoomer  
5 XR scanner, Hamamatsu, Japan). An algorithm previously trained to 92.61% accuracy on a lung  
6 model was used to identify tumour cells, differentiating them from stromal, lymphocytes and other cells  
7 such as macrophages.<sup>17</sup> The proportion of viable tumour cells to total cells in the sample (tumour cell  
8 fraction) was recorded. Areas of viable tumour and necrosis outlined by AS on 20 slides were used to  
9 train a modified algorithm (MicroNet) to segment tumour and necrosis regions on the whole study  
10 sample.<sup>18</sup> Algorithm training was deemed acceptable on achieving 90% validation accuracy. A pre-trained  
11 H&E tissue segmentation algorithm removed background noise and artefacts.<sup>17</sup> The ratios of segmented  
12 tumour or necrosis area to the whole-slide segmented tissue area were recorded as %residual tumour and  
13 %necrosis, respectively.

#### 15 *Statistical analysis*

16 Statistical analysis (NP) used commercially-available software (Stata, v15.1, StataCorp, College Station,  
17 TX, USA) and GraphPad Prism for Windows, (v8.3, GraphPad Software Inc., San Diego, CA, USA). P-  
18 values < .05 were considered statistically significant. Median, lower and upper quartiles were used to  
19 summarise imaging and histology parameters.

20  
21 95% limits of agreement (LoA)<sup>19</sup> were used to assess repeatability of solid tumour volume and  $\text{ADC}_{\text{median}}$   
22 for each disease site (ovary, omentum, peritoneum and lymph nodes).

23  
24 Probability density functions for voxel-wise ADC estimates were determined for pre-NAC and post-NAC  
25 measurements; the first pre-NAC examination was used in those with two examinations (commercially-

1 available software, ksdensity, Matlab, v2016a, The MathWorks Inc, Natick, MA, USA). Analysis was  
2 done on a per lesion basis rather than a cumulative voxel analysis to remove bias towards larger volumes,  
3 and the sum of probability density estimates calculated for each anatomic location.

4

5 The  $ADC_{\text{median}}$  before neoadjuvant chemotherapy (pre-NAC) between lesions that remained measurable  
6 after neoadjuvant chemotherapy (post-NAC), and those that became non-measurable were compared  
7 using linear mixed-effects regression models to each pre-NAC parameter, including status of lesion  
8 (measurable/non measurable) as a fixed effect and per-participant random intercept effects to account for  
9 clustering within participants. For those lesions that remained measurable post-NAC, further linear mixed  
10 models were used to compare percentage change between pre- and post-NAC in solid lesion volume and  
11  $ADC_{\text{median}}$  across disease sites (fixed-effect), adjusting by baseline pre-NAC values and including per-  
12 participant random intercept. Models were fitted to logarithm-transformed data when normality  
13 assumption did not hold (checked graphically by histograms and boxplots, and tested by Shapiro-Wilks  
14 test). Pairwise comparisons between disease sites are presented with adjusted differences and p-values  
15 corrected for multiplicity by Bonferroni.

16

17 The relationships between (i) post-NAC pre-operative  $ADC_{\text{median}}$  and tumour cell fraction; (ii) post-NAC  
18 pre-operative  $ADC_{\text{median}}$  and %residual tumour; (iii) pre/post-NAC change in  $ADC_{\text{median}}$ ,  $ADC_{25}$ , and  
19  $ADC_{75}$  and %necrosis were assessed using Spearman's correlation.

20

1 **Results**

2 *Participants and lesions*

3 52 participants were enrolled. All participants were newly diagnosed and chemo-naïve. Five participants  
4 were excluded, leaving 47 participants (47 women, median age 61 years, interquartile range (IQR) 57 to  
5 70 years) with pre-NAC DW-MRI (Supplementary Figure 1 and Supplementary Table 2); the five  
6 excluded participants consisted of four who were found not to have met the inclusion criteria (two had  
7 low grade final histology, one had a final diagnosis of metastatic breast cancer, one had metal hip  
8 prostheses) and one who did not undergo any MRI examinations (Supplementary Figure 1). 47/47  
9 participants had high-grade serous subtype. 3/47 were treated with carboplatin monotherapy, and 44/47  
10 treated with carboplatin and paclitaxel; 5/47 also received bevacizumab (Supplementary Table 2). Two  
11 pre-NAC MRI examinations were available in 19/47 participants for repeatability assessment. 7/47  
12 participants did not undergo post-NAC MRI examinations, leaving 40/52 participants in the final imaging  
13 analysis (Supplementary Figure 1). Of 247 lesions at pre-NAC (50 ovarian, 114 peritoneal, 47 omental  
14 and 36 lymph node lesions), 139 lesions (40 ovarian, 50 peritoneal, 27 omental and 22 lymph nodes)  
15 remained measurable on the high b-value DW-MRI images after three or four cycles of chemotherapy in  
16 these participants (example shown in Figure 1). Of the 40/52 participants with pre- and post-NAC DW-  
17 MRI, 7/40 participants did not have IDS, and a further 8/40 had no analysable lesions on pathology that  
18 were matched to the imaging, leaving 25/40 participants with matched lesions on imaging and pathology  
19 (Supplementary Figure 1).

20

21 *Tumour burden and site-specific response*

22 Site-specific repeatability for solid tumour volume was assessed in 123 lesions (20 ovarian, 52 peritoneal,  
23 23 omental, 28 lymph node) from 19 participants. 95% LoA were -19.2 to 17.9cm<sup>3</sup> for solid elements of  
24 ovary, -5.7 to 5.4cm<sup>3</sup> for peritoneum, -43.2 to 42.3cm<sup>3</sup> for omentum, and -3.2 to 2.2cm<sup>3</sup> for lymph nodes  
25 (Supplementary Table 3). Pre- and post-NAC DW-MRI was available in 40 participants (Supplementary  
26 Figure 1). The volume of solid tumour that remained measurable in post-NAC pre-operative DW-MRI is

1 presented in Table 1 for each lesion site for pre- and post-NAC measurements. Median (lower and upper  
2 quartile) site-specific tumour burden reduction was -86.2 (-91.1, -72.6)% for solid elements of ovary, -  
3 80.1 (-87.6, -64.2)% for peritoneum, -89.4 (-97.4, -64.2)% for omentum and -80.8 (-90.6, -70.4)% for  
4 lymph nodes (Table 1). Adjusting by pre-NAC solid tumour volume, there were no statistically  
5 significant differences between lesion sites in volume reduction (linear mixed model, log-scale, global  
6  $p=0.14$ ). 28 of 40 ovarian, 35 of 50 peritoneal, 19 of 27 omental and 14 of 22 lymph node lesions reduced  
7 in volume below the lower LoA.

8

### 9 *ADC metrics and site-specific response*

10 Site-specific 95% LoA for  $ADC_{\text{median}}$  were  $-10$  to  $9 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$  for solid elements of ovary,  $-13$  to  $16 \times 10^{-5}$   
11  $\text{mm}^2 \text{s}^{-1}$  for peritoneum,  $-17$  to  $17 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$  for omentum, and  $-27$  to  $21 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$  for lymph nodes  
12 (Supplementary Table 3). Within lesions that remained measurable post-NAC, the  $ADC_{\text{median}}$  is presented  
13 in Table 1, for each lesion site in pre- and post-NAC measurements, as well as the percentage change  
14 between pre- and post-NAC. Probability density functions for ADC estimates from all lesions at each  
15 anatomic location showed a shift towards higher ADC after NAC (Figure 2). For the change in  $ADC_{\text{median}}$ ,  
16 after adjusting by pre-NAC  $ADC_{\text{median}}$  and accounting for within-participant correlation, there were  
17 differences in  $ADC_{\text{median}}$  change between peritoneal lesions and lymph node lesions (adjusted difference,  
18  $\text{diff}=-23.4\%$ ,  $p=0.001$ ), and between omental lesions and lymph node lesions ( $\text{diff}=-28.7\%$ ,  $p<0.001$ ), but  
19 no differences between peritoneal and omental ( $\text{diff}=+5.2\%$ ,  $p=0.99$ ) or ovarian lesions ( $\text{diff}=-7.5\%$ ,  
20  $p=0.51$ ), nor between ovarian and nodal lesions ( $\text{diff}=-16.0\%$ ,  $p=0.06$ ) or ovarian and omental  
21 ( $\text{diff}=12.7\%$ ,  $p=0.07$ ). 28 of 40 ovarian, 24 of 50 peritoneal, 8 of 27 omental and 17 of 22 lymph node  
22 lesions increased in  $ADC_{\text{median}}$  above the upper LoA. The pre-NAC  $ADC_{\text{median}}$  of lesions that became non-  
23 measurable on post-NAC scans was not significantly different from those that remained measurable  
24 (Table 1), for ovarian lesions ( $\text{diff}=+3.9 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$ ,  $p=0.58$ ), peritoneal ( $\text{diff}=+4.11 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$ ,  
25  $p=0.17$ ), omental ( $\text{diff}=3.9 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$ ,  $p=0.37$ ) and lymph nodes ( $\text{diff}=5.2 \times 10^{-5} \text{mm}^2 \text{s}^{-1}$ ,  $p=0.29$ ).



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*Comparison of ADC metrics with histological measures of response*

In total, 99 sections (37 ovarian, 31 peritoneal, 24 omental, 7 lymph node) from 93 lesions in 25 participants were assessed on digital pathology (Figure 3). Tumour cell fraction, %residual tumour and %necrosis median (lower quartile and upper quartile) were 50.6% (46.0% and 63.1%), 7.5% (2.2% and 19.2%) and 54.5% (35.1% and 69.6%) respectively for ovary, 43.6% (36.0% and 50.5%), 7.8% (1.8% and 28.1%) and 56.3% (22.2% and 79.2%) for peritoneum, 41.9% (25.5% and 59.1%), 3.0% (1.2% and 17.7%) and 52.9% (36.9% and 63.6%) for omentum, and 27.9% (14.0% and 32.5%), 3.3% (1.4% and 4.7%) and 26.5% (20.4% and 74.3%) for lymph nodes.

Of these 99 sections, matched pathology and post-NAC DW-MRI was obtained in 69 sections (29 ovarian, 20 peritoneal, 14 omental, 6 lymph node) from 65 lesions obtained from 25 participants (2 large ovarian lesions were sampled in 2 separate areas, 1 large peritoneal mass was sampled in 3 separate areas). Table 2 shows Spearman correlation between the change in ADC metrics and histological features. When all lesions were considered together, post-NAC  $ADC_{median}$  showed negative correlation with tumour cell fraction ( $r=-0.34$ ,  $p=0.005$ ). When considered by disease site, this held true for the peritoneum ( $r=-0.45$ ,  $p=0.05$ ) only. The change in  $ADC_{median}$  for all sites considered together showed positive correlation with %necrosis ( $r=0.39$ ,  $p=0.001$ ) for the peritoneum ( $r=0.68$ ,  $p=0.001$ ). Illustration (Figure 4) is restricted to statistically significant correlations.

## 1 **Discussion**

2 This study shows that in EOC/fallopian tube/primary peritoneal cancer lesions responding to NAC, there  
3 is a differential increase in ADC by anatomic site of the lesion despite a similar volume reduction of  
4 >80%. This study also confirms a site-specific correlation between the ADC changes and histological  
5 metrics (tumour cell fraction, percentage necrosis). Thus, post-NAC pre-operative ADC<sub>median</sub>  
6 measurement is a clinically useful method of detecting the presence or absence of viable tumour for  
7 peritoneal deposits, but not at other sites. This is useful in assessing relapsed disease, which is  
8 predominantly peritoneal. These multicentre findings also confirm pilot data where a negative correlation  
9 between epithelial cell density and diffusivity was demonstrated in 15 lesions excised at IDS in  
10 participants with primary ovarian or peritoneal cancer,<sup>4</sup> and in 24 participants with prostate cancer.<sup>20</sup> In  
11 orthotopic pre-clinical models of solid ovarian tumours, the change in ADC following docetaxel also was  
12 shown to correlate negatively with Ki67, CA125 and Bcl-2, all of which predict residual tumour burden.<sup>21</sup>

13  
14 Although negative correlation between cell density and diffusivity is widely accepted,<sup>22,23</sup> the literature is  
15 inconclusive about the effect of stroma, fibrosis and inflammatory infiltrate on ADC values indicating  
16 that they are influenced by a complex interplay of biophysical processes.<sup>24,25</sup> In a tissue comprised  
17 primarily of fat, such as the omentum, the return of normal fatty stroma interspersed with residual tumour  
18 may obscure a post-treatment ADC rise. This phenomenon is well-described in adult bone marrow.<sup>26</sup> This  
19 underlines the important contribution of the surrounding normal tissue to the ADC and is borne out by the  
20 omental data in this study, where ADC increases in responding lesions were lower than other sites. The  
21 differences between ADC response between peritoneal deposits and lymph nodes is of interest, as both  
22 are densely cellular tissues. It may well be that swelling and inflammatory response in lymph nodes  
23 causes a much greater ADC rise than in the fibrotic peritoneum, where inflammation and oedema is less.  
24 This requires further investigation. The study was not powered to assess the relationship of site-specific  
25 ADC change with progression-free survival (PFS) in these treatment-naïve patients, although we have

1 previously shown that an increase in ADC of peritoneal lesions after one cycle of chemotherapy indicates  
2 improved PFS in relapsed disease.<sup>14</sup>

3  
4 Only 6 lymph node lesions were analysable for imaging-pathology correlations, which limits imaging-  
5 pathology comparisons for lymph nodes alone, but we have included these for completeness in reporting  
6 this study. ADC changes in lymph nodes following chemotherapy have been reported in lymphomas,<sup>27,28</sup>  
7 where abnormal nodal architecture results in variable increases in ADC; imaging-pathology studies have  
8 not been conducted as surgery is not part of the patient management. In other cancer types involving  
9 lymph nodes, treatment is usually chemoradiotherapy, where radiotherapy influences ADC rises<sup>29,30</sup> due  
10 to early acute tissue injury and swelling,<sup>31</sup> and cannot be easily compared to our findings.

11  
12 ADC increases post-treatment have been shown to correlate with percentage necrosis in preclinical  
13 studies.<sup>21</sup> A multicentre clinical trial in lung cancer concluded that presurgical ADC or change in ADC  
14 did not correlate with pathologist-assessed necrosis of resection specimens but assessment was dependent  
15 on a single pathologist reading.<sup>32</sup> Clinical evidence relating ADC change following chemotherapy to  
16 subsequent necrosis may be confounded by pre-existing microscopic necrosis within the tumour; ADC  
17 change has been linked to necrosis in tumour types without much pre-existing necrosis, but not in  
18 others.<sup>33</sup>

19  
20 Our study has several limitations. Firstly, lesions that became unmeasurable, and therefore showed the  
21 greatest response, did not contribute to the ADC measurements. Secondly, lesions that could not be  
22 matched between pre-IDS MRI and histology samples were excluded, which reduced the sample size.  
23 Moreover, matching between the imaging and histology was done on the larger resectable lesions that  
24 were easily identified at surgery; smaller lesions, which may represent a bigger response, were not  
25 available for histological correlation, possibly introducing bias towards more slowly responding lesions.  
26 The selection bias was minimised by choosing up to ten lesions per participant on the baseline MRI from

1 different anatomical sites that were representative of the participant's disease. Thirdly, the direction of  
2 pathological sectioning did not always exactly match the axial imaging plane. We addressed this by  
3 selecting a histological slice that most accurately represented the proportions of residual tumour and  
4 necrosis within each lesion, but this depended on detailed pathologist review, not 3D molds.<sup>34</sup> To  
5 minimise error, this was done by an experienced specialist gynecological pathologist at a national cancer  
6 centre. However, selection of a single histological slide per lesion also represented a fourth limitation.  
7 Although analysis of the entire lesion may be ideal, it was impractical to digitise and analyse large  
8 numbers of sections in each lesion. Also, the resource to do this was limited and could not be warranted.

9

10 In conclusion, in this relatively small study in EOC, the ADC repeatability and extent of increase  
11 following treatment is anatomic site-dependent. The post-NAC pre-operative ADC and change in ADC is  
12 an indicator of residual viable tumour and percentage of necrosis respectively primarily within peritoneal  
13 deposits. When using ADC as a response indicator in EOC lesions, therefore, consideration must be given  
14 to the ADC increase compared with measurement repeatability and to the anatomic site.

15

1 **Additional information**

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5

6 **Authors' contributions**

7 JDB, YY, NMdS devised the study.

8 JMW, JCW, JDB, KAJ, AS, SF, EP, KLS, KMV, SB, NMdS contributed to the data  
9 acquisition/collection.

10 JMW, JCW, KAJ, AS, EP, KMV, NP, SEAR, NMdS analysed the data.

11 JMW, JCW, JDB, KAJ, AS, SF, EP, KLS, KMV, YY, SB, NP, SEAR, NMdS revised the manuscript and  
12 approved the final version.

13

14 **Ethics approval and consent to participate**

15 All participants gave written informed consent to participate in the study. The study was approved by the  
16 National Research Ethics Service Committee London – Chelsea (REC reference 11/LO/1598). The study  
17 was performed in accordance with the Declaration of Helsinki.

18

19 **Consent for publication**

20 No individual patient data are included in this article that could be used to identify any individual.

21

22 **Data availability**

23 The data from this study are available via the Institute of Cancer Research's XNAT imaging data  
24 repository. Access requests will be granted depending on appropriate regulatory and institutional  
25 approvals upon contacting the corresponding author.

26

1 **Conflict of interest**

2 The authors declare no conflict of interest.

3

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1 **Figure legends**

2

3 **Figure 1:** Images in a 62-year-old woman with stage 3 high-grade serous epithelial ovarian cancer show  
4 differential response in primary and metastatic lesions: (a) axial T<sub>2</sub>-weighted magnetic resonance imaging  
5 (MRI) at baseline (pre-NAC), (b) corresponding axial high-b-value diffusion-weighted MRI (b =  
6 900s<sup>mm</sup><sup>-2</sup>), (c) apparent diffusion coefficient (ADC) map, and (d-f) matched sections of the same imaging  
7 series after three cycles of platinum-based chemotherapy (post-NAC pre-operative). (Scalebar on the  
8 ADC map is in units of 10<sup>-5</sup>mm<sup>2</sup>s<sup>-1</sup>.) Delineation of regions of interest (ROIs) is shown in (b) and (e) for  
9 the left ovarian lesion (blue ROI) and peritoneal lesion (red ROI). The ovarian lesion remained  
10 measurable on MRI after three cycles of chemotherapy and was included in the imaging-pathology  
11 comparison, but the peritoneal lesion was non-measurable on MRI after three cycles.

12 MRI = magnetic resonance imaging,

13 NAC = neoadjuvant chemotherapy,

14 ADC = apparent diffusion coefficient,

15 ROI = region of interest.

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18 **Figure 2:** Probability density functions for ADC estimates in all lesions at each anatomic site (ovarian,  
19 omental, and peritoneal lesions, and lymph nodes) at baseline (pre-NAC) and after three or four cycles of  
20 treatment (post-NAC pre-operative). Probability density functions have been normalized to aid  
21 comparison between pre- and post-treatment data. The same points and bandwidth were used for all  
22 lesions (bandwidths were determined for each lesion separately and the median bandwidth from all  
23 lesions estimated and applied to each lesion).

24 ADC = apparent diffusion coefficient,

25 NAC = neoadjuvant chemotherapy.

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**Figure 3:** (a) Example slide from an omental lesion from a 63-year-old woman with stage 3 high-grade serous epithelial ovarian cancer; (b) shows tumour regions (red) and regions outlined as part of the necrosis (orange) delineated by a pathologist in the lower half of the section. The unannotated standard H&E stain is seen in the top half of the section. The same section after deep learning segmentation of the whole section (c), showing tumour (green) and necrosis (yellow) for comparison. The correlation between the deep learning segmentation and the ground-truth pathologist segmentation is high. The scalebar in (a) shows 100 microns.

H&E = haematoxylin and eosin.

**Figure 4:** Comparison between (a) pre-operative  $ADC_{median}$  and tumour cell fraction, and (b) percentage change in  $ADC_{median}$  and %necrosis, showing all lesions considered together and ovarian, omental, peritoneal lesions and lymph nodes considered separately.

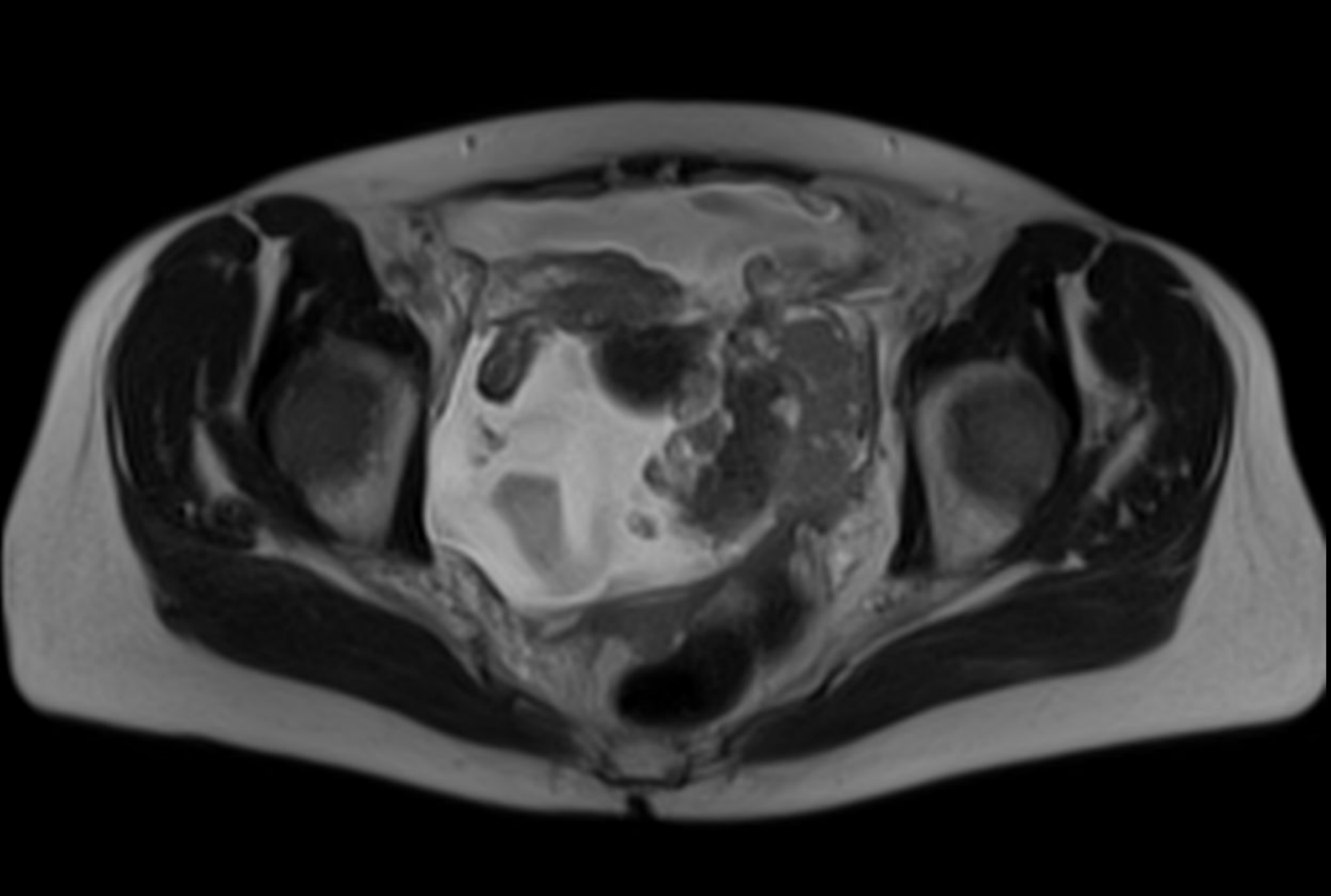
$r$  = Spearman correlation coefficient,

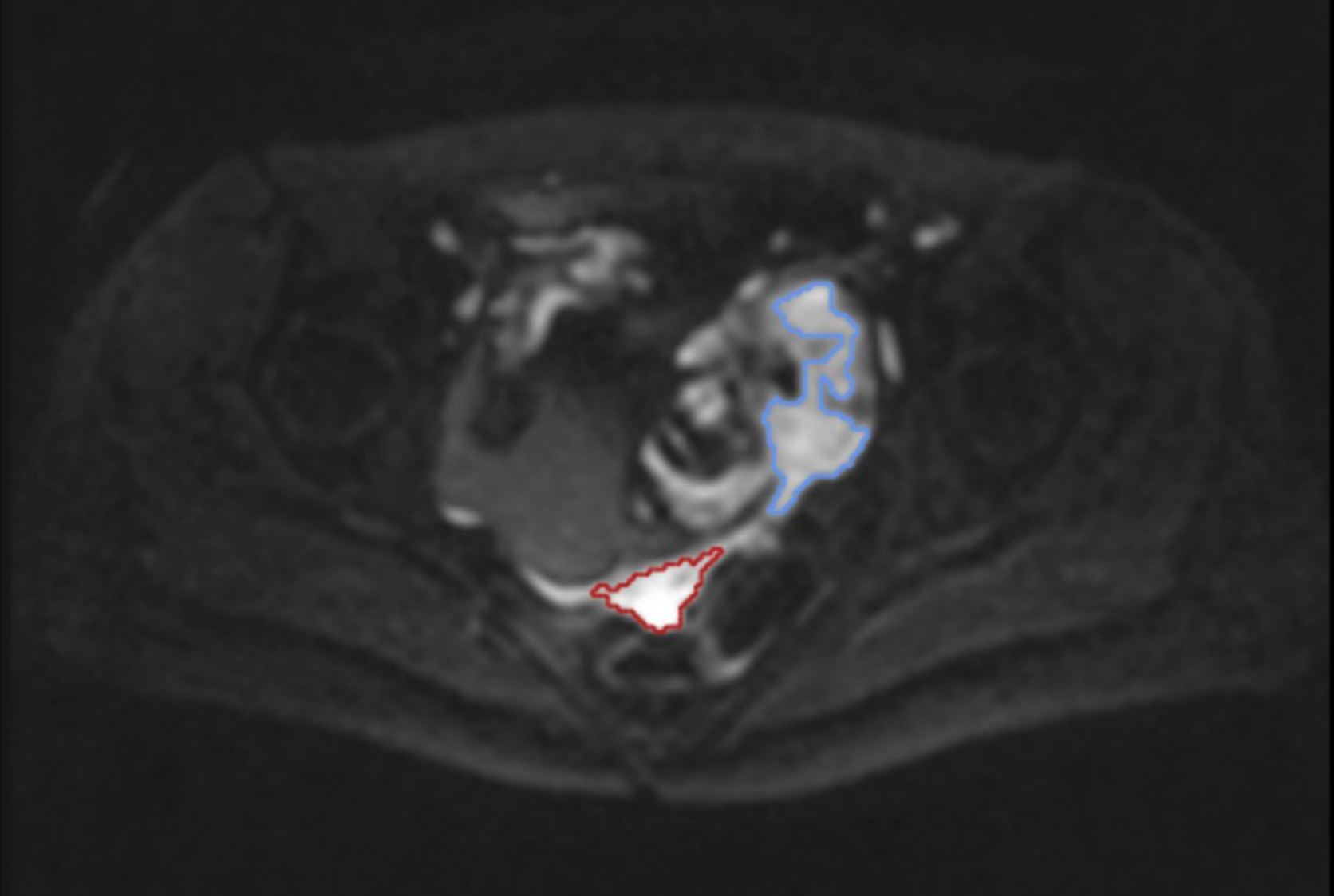
$ADC$  = apparent diffusion coefficient (where  $ADC_{median}$  is defined as the median ADC of all fitted voxels in a lesion),

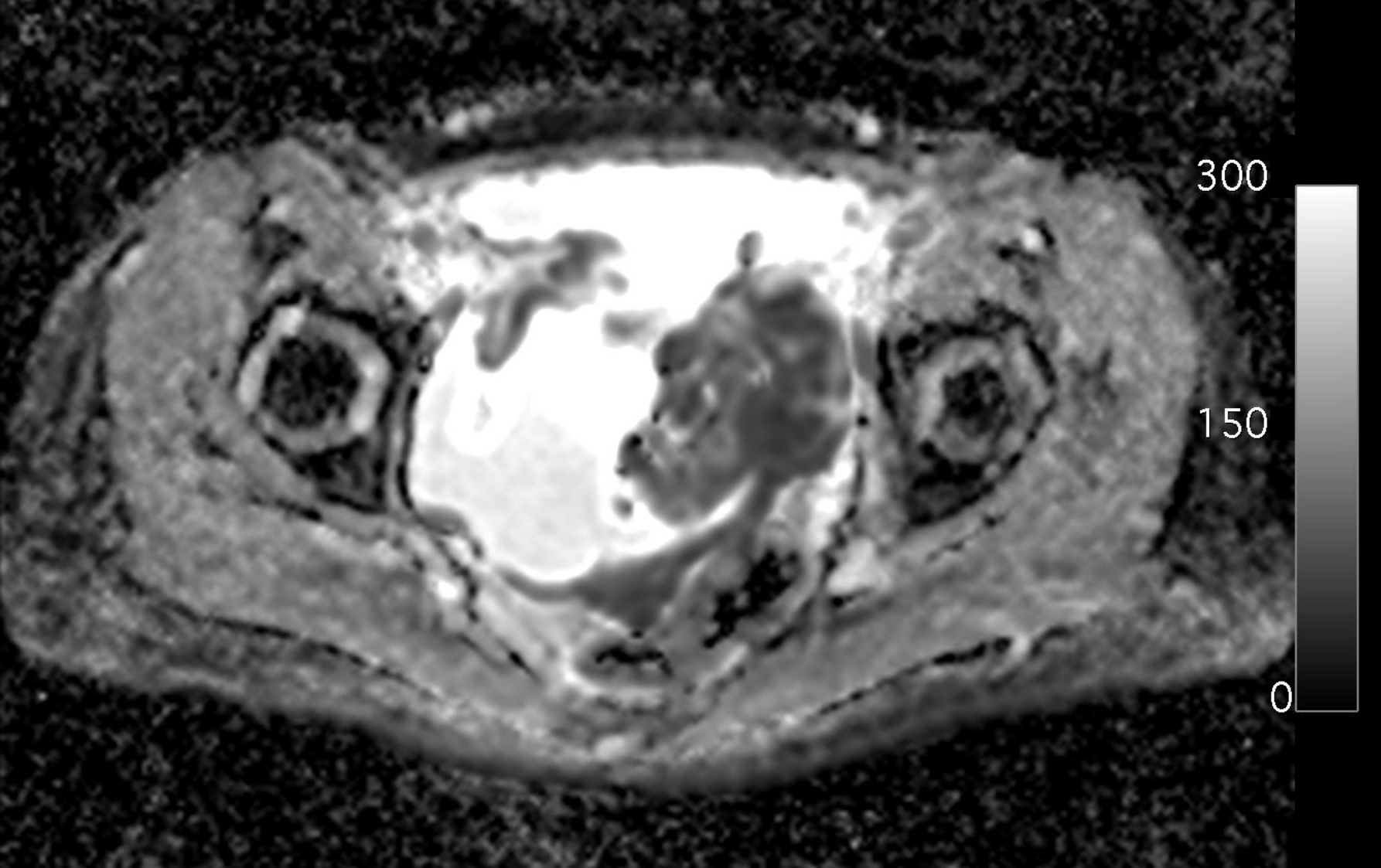
tumour cell fraction = percentage of viable tumour cells to total cells in sample,

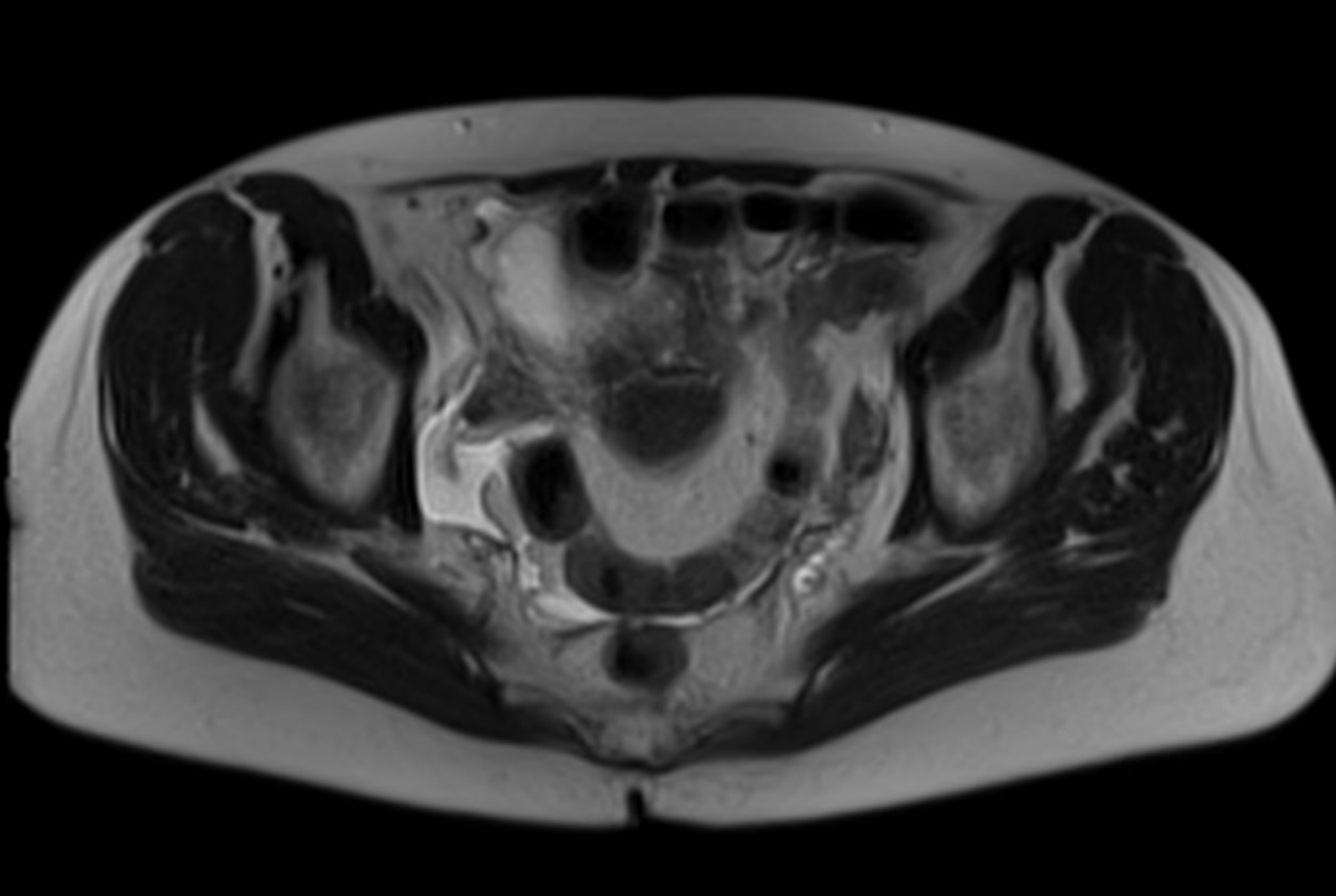
%residual tumour = percentage area of whole section represented by viable tumour,

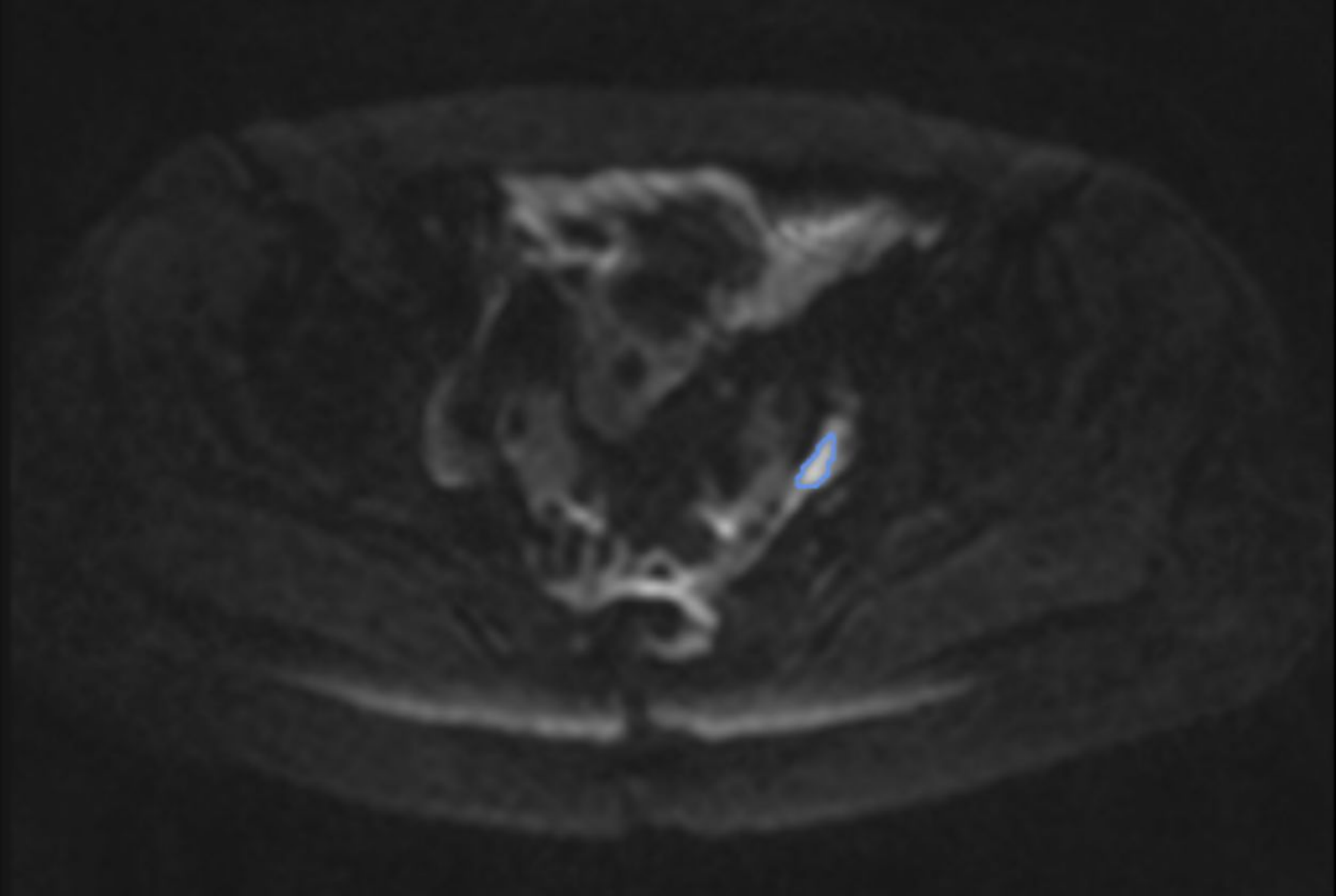
%necrosis = percentage area of whole section represented by necrosis.



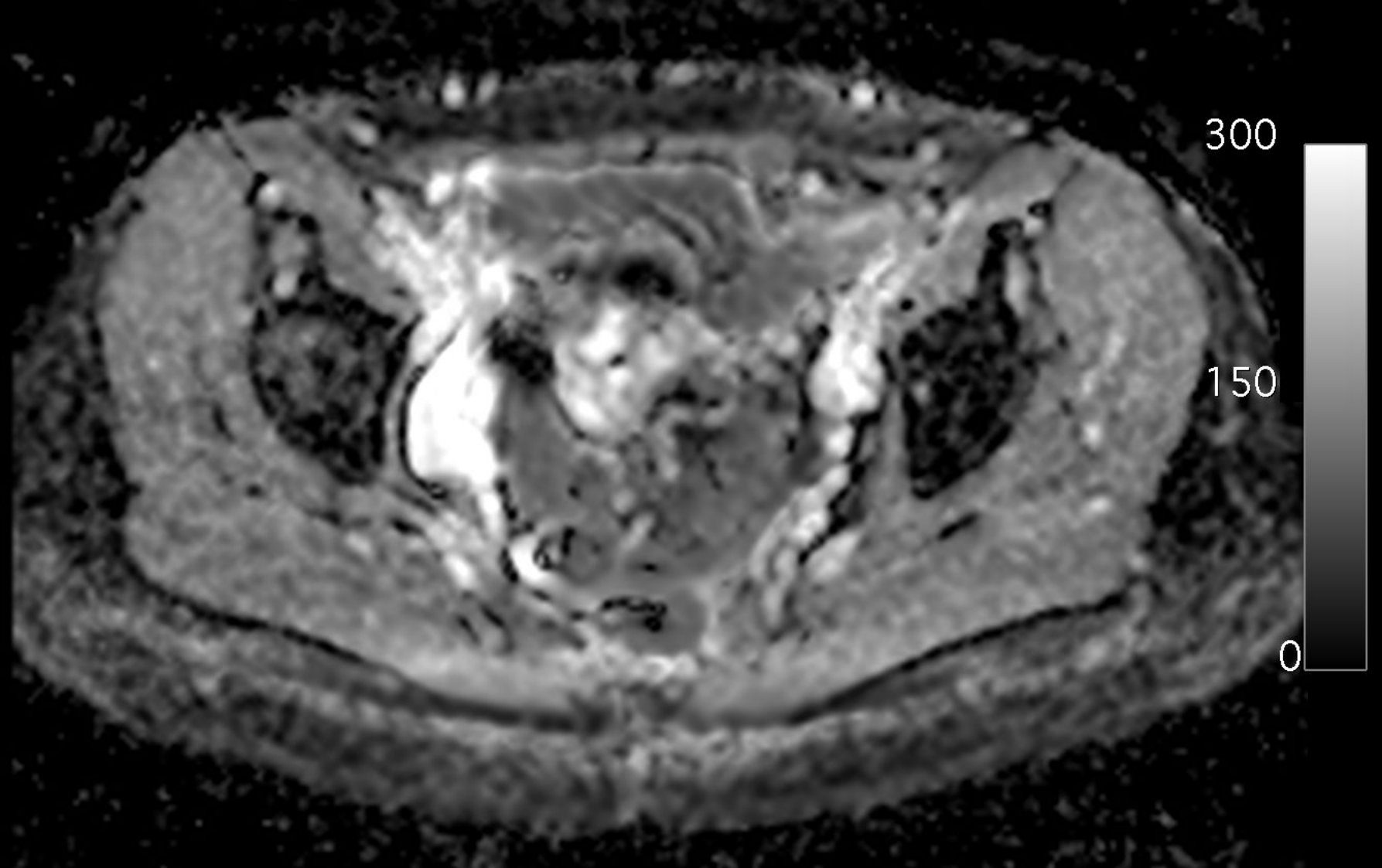




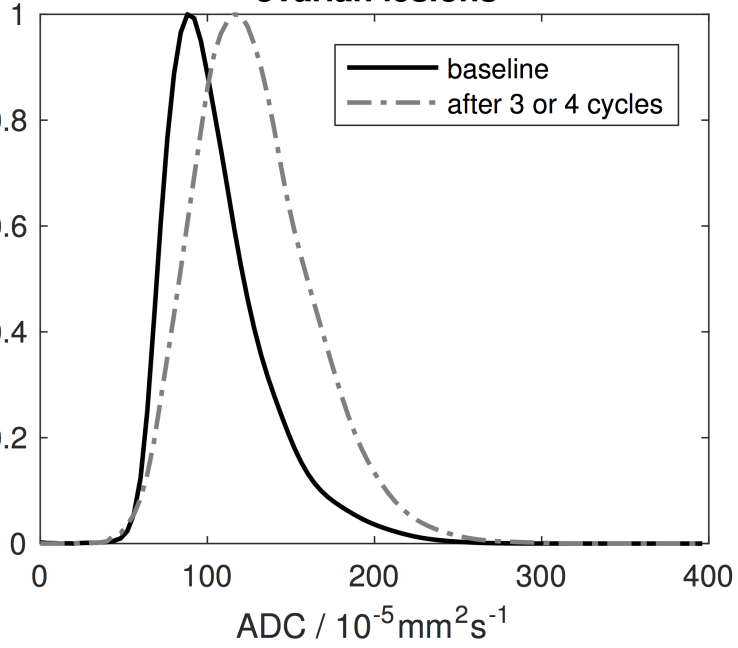




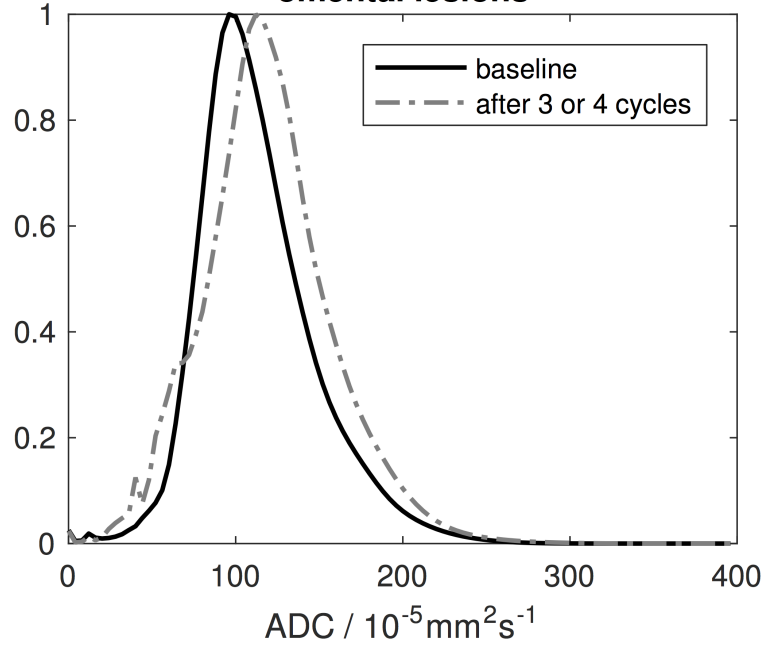




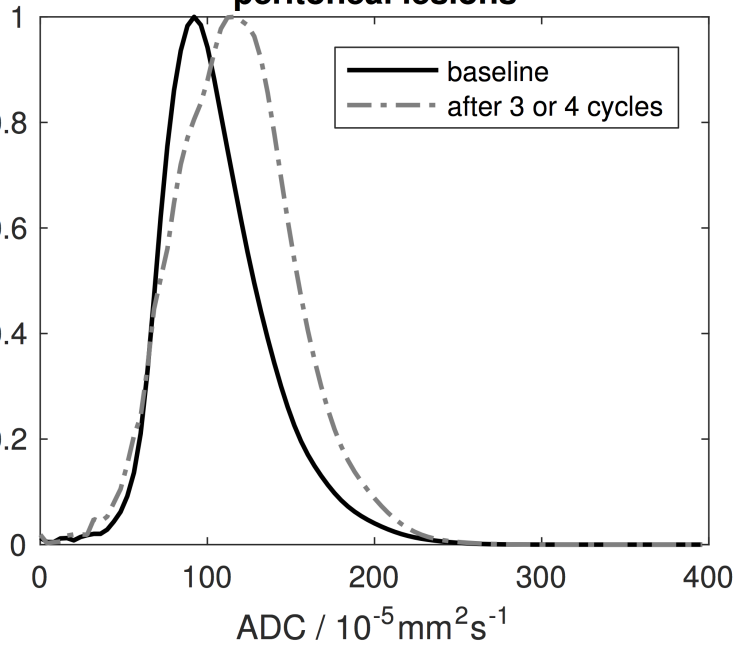
**ovarian lesions**



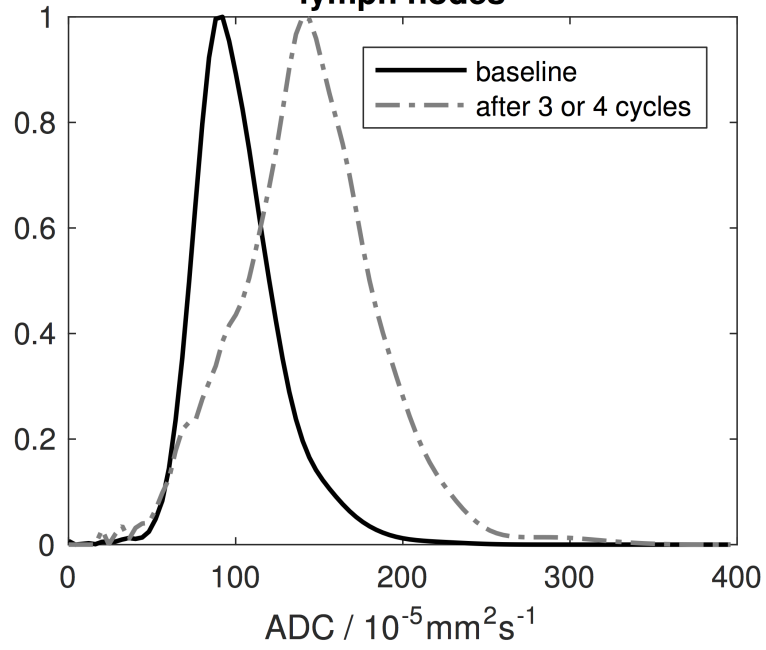
**omental lesions**



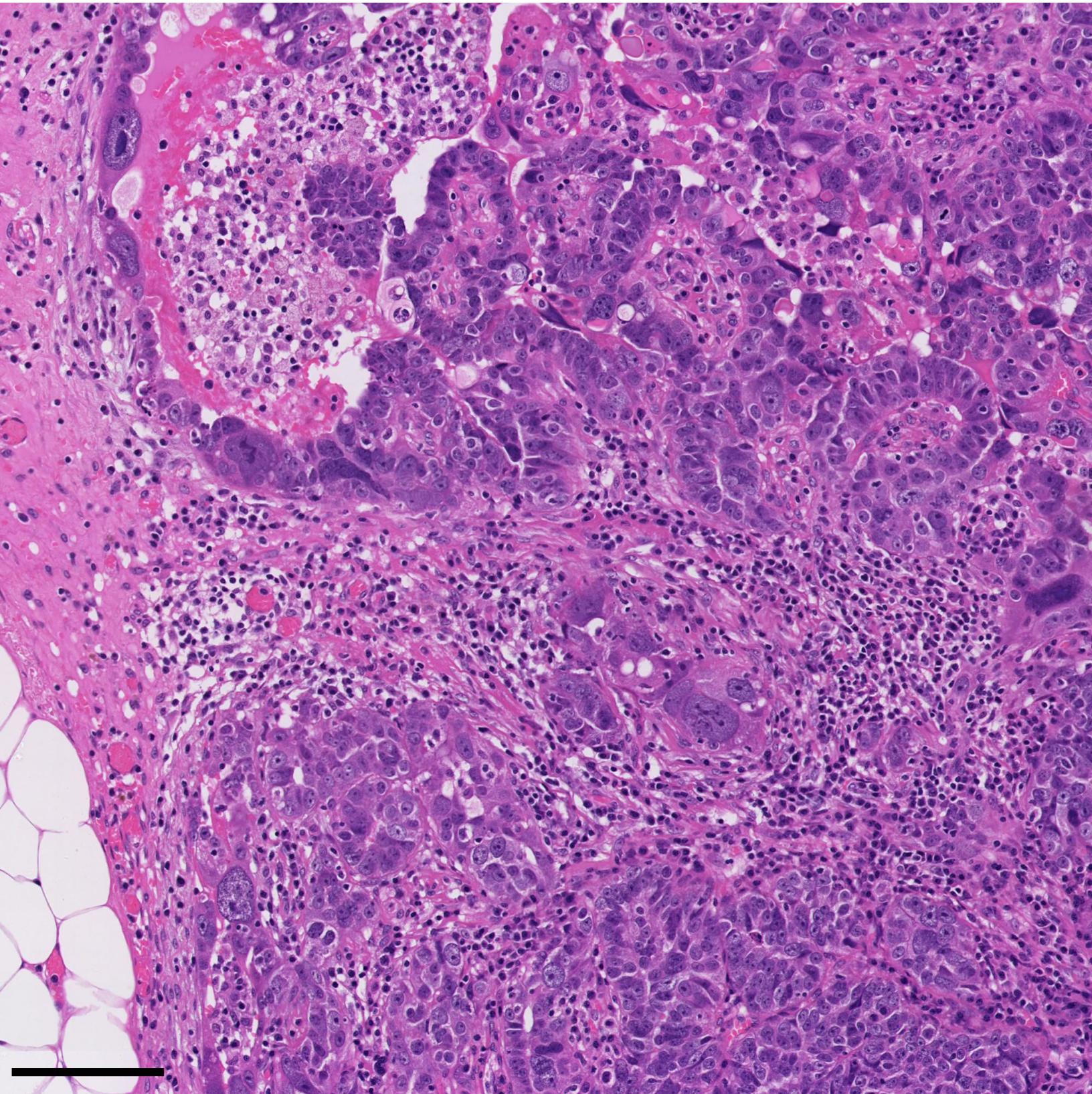
**peritoneal lesions**



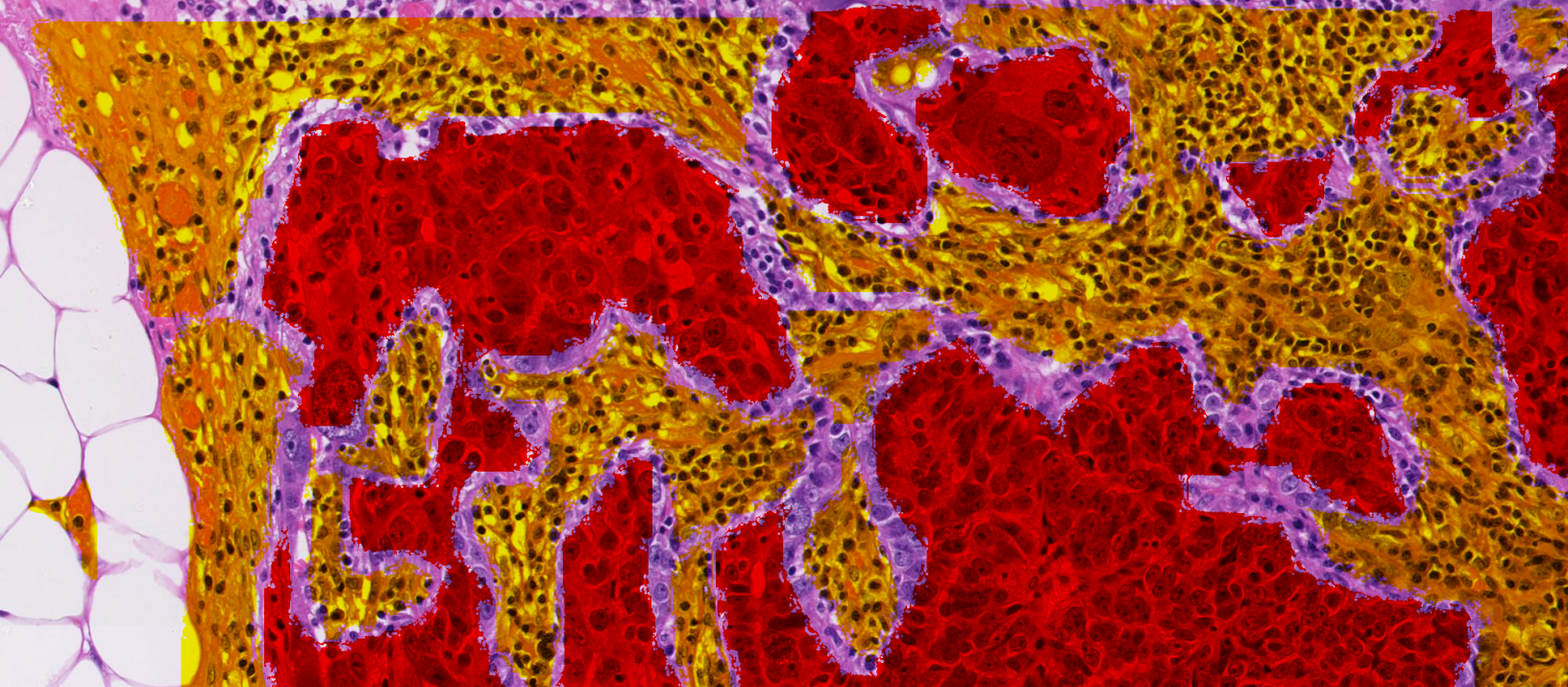
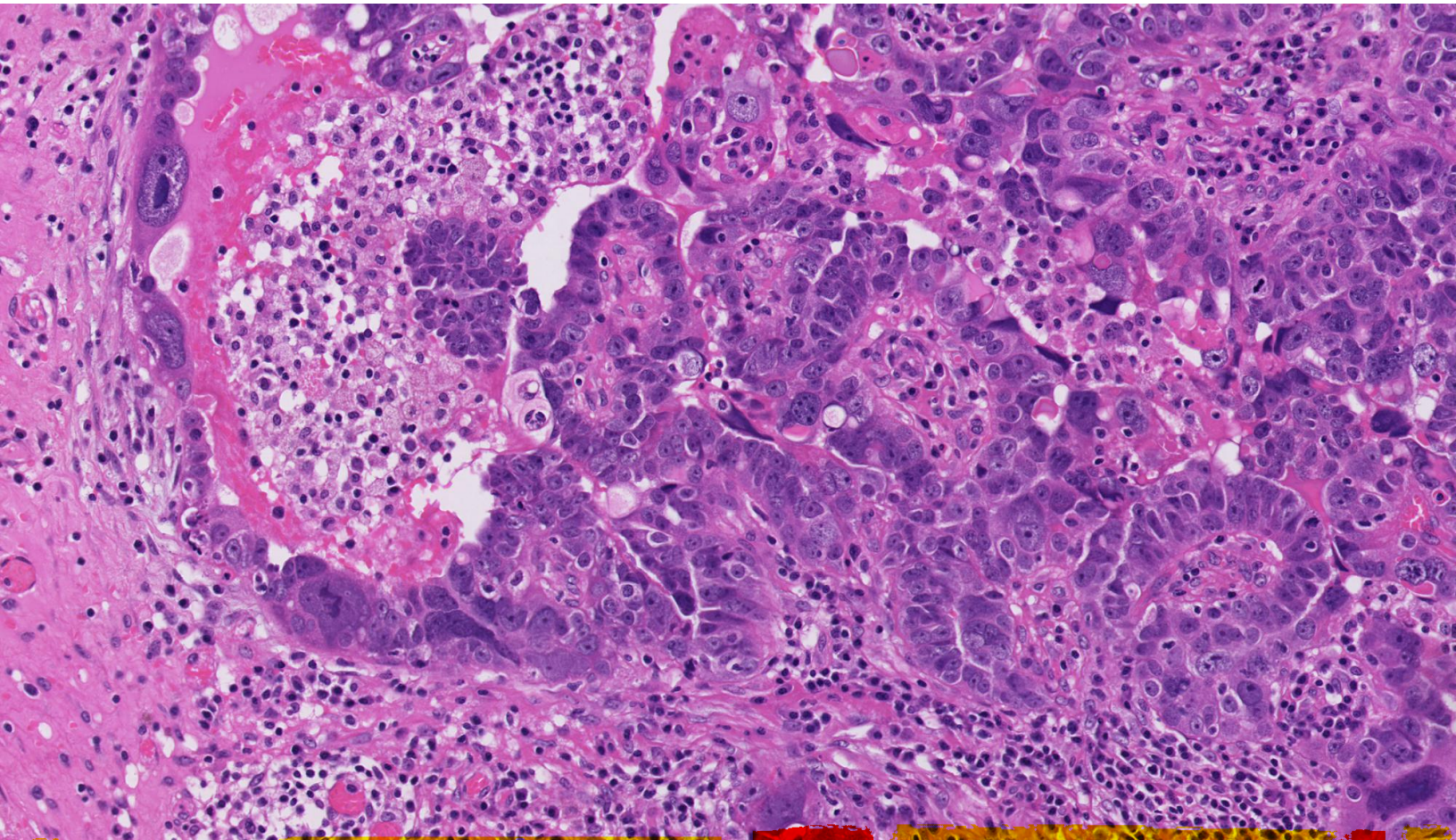
**lymph nodes**



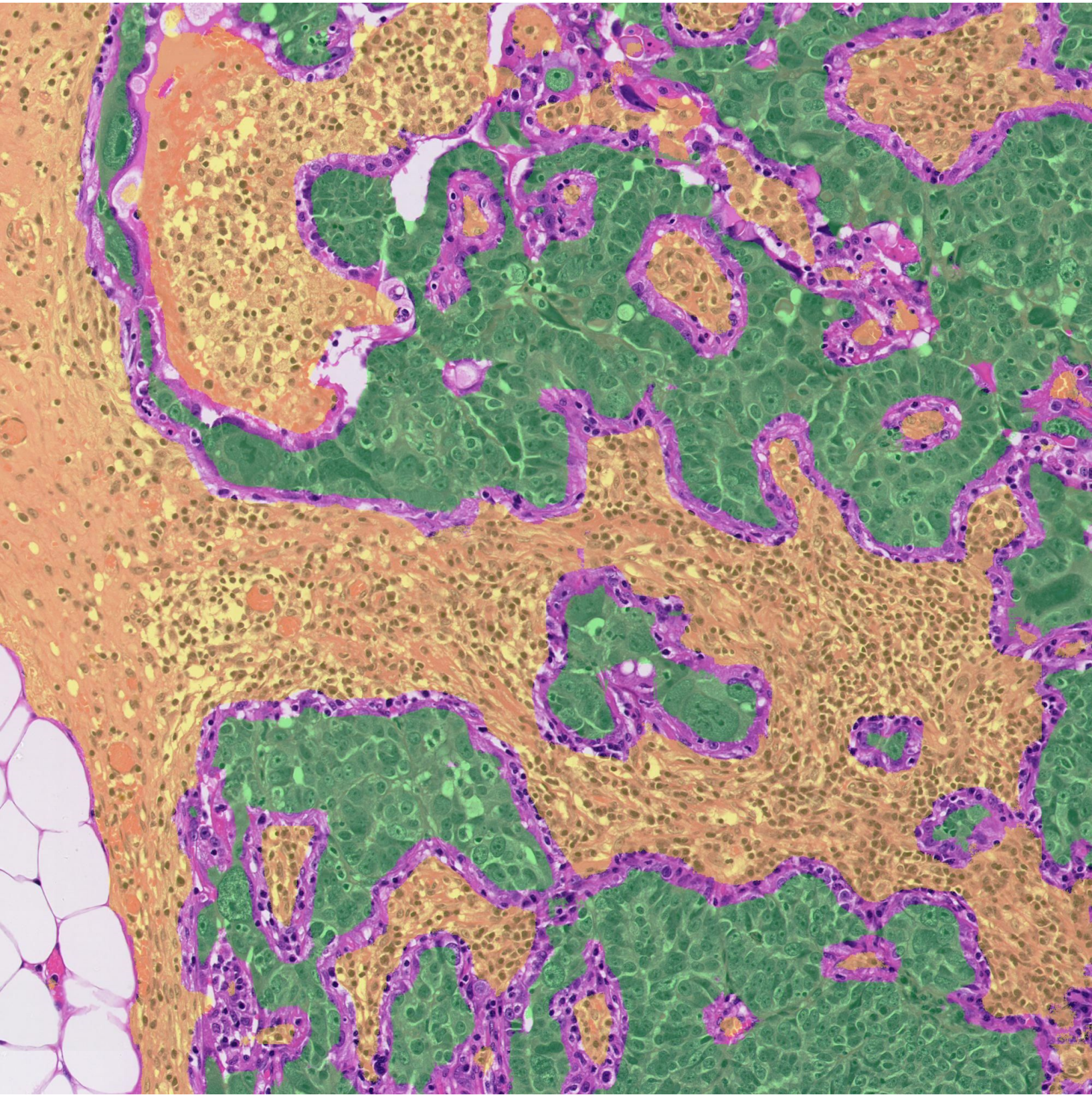




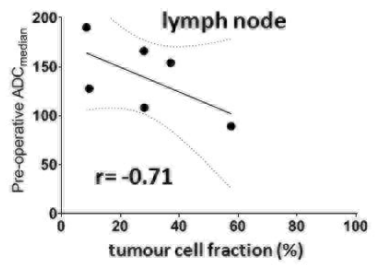
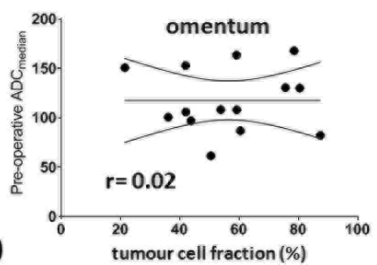
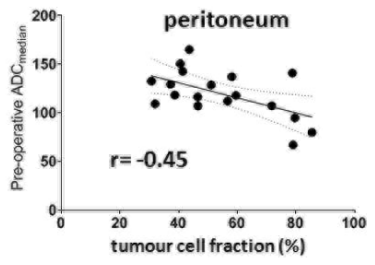
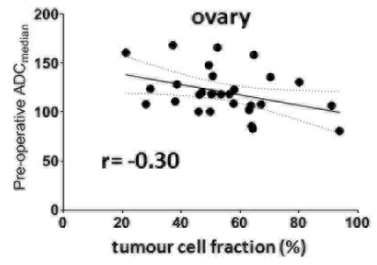
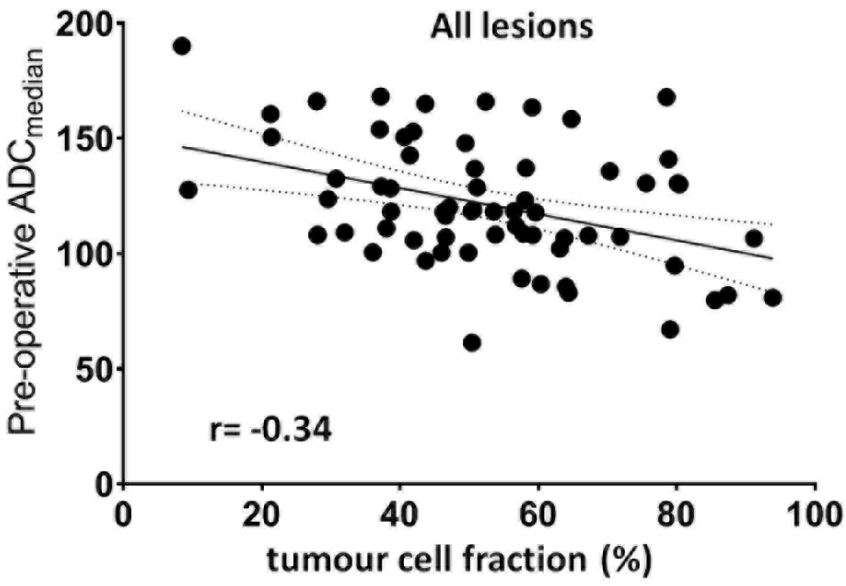


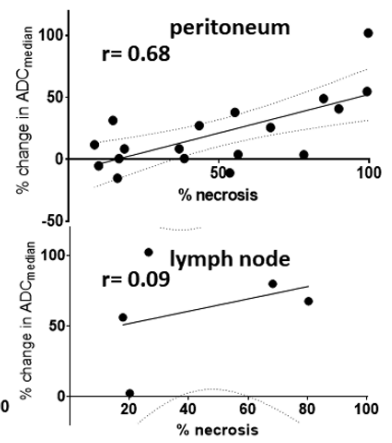
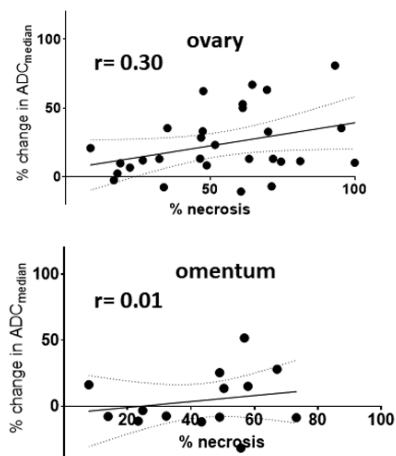
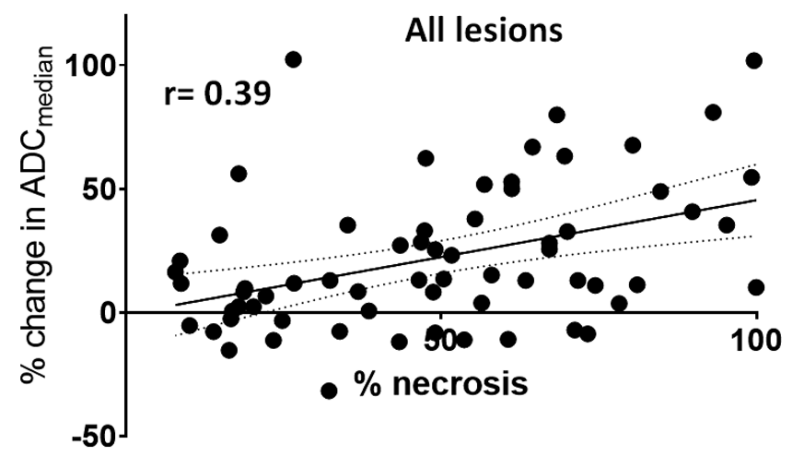












**Table 1:** Solid lesion volume and  $ADC_{\text{median}}$  for each disease site showing changes with neoadjuvant treatment in lesions that remained measurable after treatment and differences in pre-NAC measurement between lesions that remained measurable and those that did not.

	Measurable lesions pre-surgery after NAC				Non-measurable lesions pre-surgery after NAC	
	n	Pre-NAC	Post-NAC	Change / %	n	Pre-NAC
<b>Solid tumour volume / cm<sup>3</sup> (median [Q1-Q3])</b>						
Ovary	40	40.0 [22.3 to 107.4]	4.8 [2.2 to 14.5]	-86.2 [-91.1 to -72.6]	10	7.2 [2.8 to 25.2]
Peritoneum	50	14.7 [4.9 to 71.7]	2.0 [1.2 to 9.9]	-80.1 [-87.6 to -64.2]	64	5.5 [2.5 to 16.0]
Omentum	27	161.2 [57.2 to 275.8]	12.7 [2.1 to 36.4]	-89.4 [-97.4 to -64.2]	20	30.0 [3.5 to 86.6]
Lymph node	22	6.1 [3.3 to 14.2]	1.0 [0.7 to 3.0]	-80.8 [-90.6 to -70.4]	14	4.1 [1.5 to 8.9]
<b><math>ADC_{\text{median}} / 10^{-5} \text{ mm}^2\text{s}^{-1}</math> (median [Q1-Q3])</b>						
Ovary	40	99 [88 to 112]	122 [108 to 139]	18.6 [7.7 to 36.2]	10	95 [86 to 102]
Peritoneum	50	101 [87 to 112]	118 [101 to 129]	11.4 [1.4 to 30.7]	64	100 [89 to 112]
Omentum	27	110 [95 to 120]	123 [106 to 131]	5.6 [-7.8 to 24.5]	20	109 [98 to 118]
Lymph node	22	102 [94 to 107]	141 [128 to 166]	38.1 [23.3 to 67.6]	14	106 [89 to 114]

The median value is shown, with lower (Q1) and upper (Q3) quartiles shown in brackets.

n = number of lesions,

NAC = neoadjuvant chemotherapy,

ADC = apparent diffusion coefficient (where  $ADC_{\text{median}}$  is defined as the median ADC of all fitted voxels in a lesion).



**Table 2:** Spearman correlation of post-NAC pre-operative ADC metrics and their change from pre-NAC with histological measures of residual viable tumour and response.

Site	ADC <sub>median</sub> vs tumour cell fraction		ADC <sub>median</sub> vs %residual tumour		Percentage change ADC <sub>median</sub> with %necrosis		Percentage change ADC <sub>25</sub> † with %necrosis		Percentage change ADC <sub>75</sub> † with %necrosis	
	r	p	r	p	r	p	r	p	r	p
Ovary (n=29)‡	-0.30	0.11	-0.25	0.20	0.30	0.12	0.23	0.22	0.34	0.08
Peritoneum (n=20)#	-0.45	0.05	-0.47	0.04*	0.68	0.001*	0.71	<0.001*	0.61	0.005*
Omentum (n=14)	0.02	0.93	0.24	0.42	0.01	0.97	0.25	0.41	0.00	0.99
Lymph node (n=6)	-0.71	0.11	-1.00	<0.001*	0.09	0.87	0.00	0.99	0.10	0.87
All sites (n= 69 sections from 65 lesions)	-0.34	0.005*	-0.27	0.02*	0.39	0.001*	0.45	<0.001*	0.40	<0.001*

‡ 2 lesions with >1 histology assessment;

# 1 lesion with >1 histology assessment;

† In 2 peritoneal, 1 omental and 2 lymph node lesions, ADC<sub>25</sub> and ADC<sub>75</sub> could not be estimated.

NAC = neoadjuvant chemotherapy,

n = number of lesions,

ADC = apparent diffusion coefficient (ADC<sub>median</sub>, ADC<sub>25</sub>, and ADC<sub>75</sub> are defined as the median, 25<sup>th</sup> centile, and 75<sup>th</sup> centile of ADC estimates from all fitted voxels in a lesion, respectively),

tumour cell fraction = percentage of viable tumour cells to total cells in sample,

%residual tumour = percentage area of whole section represented by viable tumour,

%necrosis = percentage area of whole section represented by necrosis.

\* denotes P <0.05; for lymph nodes, the sample is too small and the significant result may be due to chance.