

1 **Recommendations for multi-centre clinical trials involving**
2 **dosimetry for molecular radiotherapy**

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45 **Recommendations for multi-centre clinical trials involving dosimetry for**
46 **molecular radiotherapy**

47 **Abstract**

48 Multi-centre clinical trials involving a dosimetry component are becoming more
49 prevalent in molecular radiotherapy and are essential to generate the evidence to
50 support individualised approaches to treatment planning and to ensure that sufficient
51 patients are recruited to achieve the statistical significance required. Quality assurance
52 programmes should be considered to support the standardisation required to achieve
53 meaningful results. Trials should be designed to ensure that dosimetry results from
54 image acquisition systems across centres are comparable by incorporating steps to
55 standardise the methodologies used for the quantification of images and dosimetry.
56 Furthermore, it is essential to assess the expertise and resources available at each
57 participating site prior to trial commencement. A quality assurance plan should be
58 drawn up and training provided if necessary. Standardisation of quantification and
59 dosimetry methodologies used in a trial are essential to ensure that results from
60 different centres may be collated. In addition, appropriate uncertainty analysis should
61 be performed to correct for differences in methodologies between centres.
62 Recommendations are provided to support dosimetry studies based on the experience
63 of several previous and ongoing multi-centre trials.

64 **Keywords**

65 Multi-Centre Trial, Dosimetry, Molecular Radiotherapy, Quality Assurance

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68 **Introduction**

69 The majority of molecular radiotherapy (MRT) treatments are given with a fixed activity
70 administration of radioisotope, accepting that this will lead to a wide range of absorbed
71 doses delivered both to tumours and to organs at risk. Patient dosimetry is seldom
72 performed either to predict or verify the radiation doses delivered. This is in marked
73 contrast to external beam radiotherapy (EBRT) and brachytherapy [1]. As MRT
74 becomes recognised as a form of systemic radiotherapy rather than conventional
75 chemotherapy, the prospect of personalised treatment planning and optimisation
76 based on patient dosimetry must be considered. Due to radiobiological factors, in
77 particular the range of radiation emissions, relative biological effectiveness (RBE),
78 heterogeneous dose distribution [2] and dose rate effects [3], absorbed doses
79 delivered from molecular radiotherapy cannot be directly correlated to, for example,
80 the absorbed dose delivered from a 2 Gy per fraction course of external beam
81 radiotherapy (EBRT). Treatment regimens therefore cannot be readily adapted from
82 conventional protocols used for EBRT. Single centres are seldom able to recruit
83 sufficient patients to achieve the statistical significance required to report on study
84 endpoints [4, 5]. Large prospective, randomized, multi-centre studies are therefore
85 required to demonstrate the value of personalised treatment planning in MRT [6].

86 ***The evidence for dosimetry***

87 The potential for dosimetry-based treatment planning has been demonstrated for
88 many therapy procedures [6]. Correlations between absorbed doses and clinical
89 outcomes following molecular radiotherapy have been reported for several
90 radiopharmaceuticals in single centre clinical studies, aided by the wide range of
91 absorbed doses delivered [7]. Dewaraja et al [8] found that mean tumour-absorbed

92 doses correlated with improved progression-free survival (PFS) after ^{131}I -tositumomab
93 radioimmunotherapy. Wierts et al [9] used pre-therapy ^{124}I PET/CT lesion dosimetry in
94 thyroid cancer patients treated with a fixed activity of ^{131}I -NaI and observed a dose-
95 response relationship for thyroid remnants and metastases. A correlation of absorbed
96 dose with successful ablation was also shown for thyroid cancer treated with
97 radioiodine [10]. Ilan et al [11] found a significant correlation between tumour absorbed
98 doses and tumour shrinkage for pancreatic neuroendocrine tumours treated with
99 ^{177}Lu -DOTATATE. Violet et al [12] observed a significant correlation between whole-
100 body tumour dose and PSA response in metastatic castration-resistant prostate
101 cancer patients treated with ^{177}Lu -PSMA-617. Barone et al [13] could show that kidney
102 toxicity after ^{90}Y -DOTATOC therapy is absorbed dose dependent.

103 ***Multi-centre clinical trials incorporating dosimetry***

104 Although a large number of clinical trials for new and established radiopharmaceuticals
105 have implemented dosimetry, a recent survey found that MRT practice across Europe
106 varies significantly, especially with respect to the implementation of personalised
107 treatment based on dosimetry [1]. Collation of results from multiple centres in MRT
108 dosimetry trials requires standardised quantitative SPECT(/CT) acquisitions [14].

109 In recent years, significant efforts have been made to improve quantification for
110 gamma camera imaging [15-17] and work has started on the standardisation of
111 quantification in MRT. Zimmerman et al [18] performed an international comparison of
112 the activity measurement of ^{177}Lu . In a later study, they evaluated the accuracy and
113 reproducibility of activity quantification of planar and SPECT imaging in a multi-centre
114 setting with an IAEA phantom study for ^{133}Ba which was used as a surrogate for ^{131}I
115 [19]. Peters et al [20] performed phantom measurements as part of a multi-vendor

116 and multi-centre study to assess the quantitative accuracy and inter-system variability
117 of SPECT/CT systems. Both Zimmerman *et al* and Peters *et al* found that absolute
118 SPECT quantification in a multi-centre, multi-national setting is feasible, but that
119 standardisation of image acquisition, reconstruction parameters and processing is
120 key. Wevrett *et al.* [21] performed an international inter-comparison exercise for
121 quantitative imaging of ^{177}Lu to investigate consistency between clinical sites. Gregory
122 *et al* [22] and Taprogge *et al* [23] established networks of centres able to perform
123 standardised radioiodine activity quantification.

124 Hänscheid *et al* [24] performed an international, prospective, controlled, randomized
125 study of radioiodine ablation for differentiated thyroid cancer to compare stimulation
126 with recombinant human TSH (rhTSH) and thyroid hormone withdrawal (THW).
127 Standardised acquisition and processing protocols were employed and dosimetry
128 results calculated at a central dosimetry hub. Sundlöv *et al* [25] carried out a phase II,
129 multicentre, prospective clinical trial using ^{177}Lu -DOTATATE to treat metastatic
130 neuroendocrine tumours in two centres in Sweden.

131 Recent examples of multi-centre MRT clinical trials that involved standardisation of the
132 acquisition and reconstruction parameters with centralised dosimetry are SEL-I-
133 METRY [22, 26, 27] and MEDIRAD [23]. SEL-I-METRY (EudraCT No 2015-002269-
134 47) is a phase II clinical trial to investigate the potential of Selumetinib in resensitising
135 patients with advanced iodine refractory differentiated thyroid cancer (DTC) to
136 radioiodine. Uniquely, the SEL-I-METRY trial implemented a quality assurance (QA)
137 programme in association with the UK Radiotherapy Trials Quality Assurance
138 (RTTQA) Group to achieve standardisation across the centres in the trial. MEDIRAD
139 is a European Commission Horizon 2020 funded project. Work package 3 (WP3)
140 within MEDIRAD aims to measure the range of absorbed doses delivered to healthy

141 organs from radioiodine ablation of thyroid cancer. A linked prospective observational
142 study in the UK (INSPIRE, NCT04391244) is at a stage of initial recruitment and
143 currently under development to allow multi-centre participation.

144 **Recommendations for MRT dosimetry multi-centre clinical trials**

145 Multi-centre dosimetry trials require careful planning to ensure that data can be
146 collected, stored and directly compared. Standardisation of image quantification and
147 dosimetry methods with appropriate uncertainty analysis is essential. Systematic or
148 random errors in the quantification, outlining and dosimetry calculations due to
149 imperfect equipment calibrations and differences in processing of data may lead to
150 large uncertainties in the calculated absorbed doses [28]. This could potentially result
151 in a dose-response relationship not being detected or a bias of the data used for the
152 analysis of endpoints of the clinical trial. Data transfer and storage must be set up to
153 ensure that essential information stored in DICOM tags and non-DICOM data are
154 available for dosimetry processing and review of data as part of a centralised quality
155 assurance programme. The following recommendations are provided to facilitate the
156 successful preparation and running of multi-centre MRT studies that incorporate
157 dosimetry, based on the experience of the multi-centre SEL-I-METRY and MEDIRAD
158 trials in the UK and mainland Europe. These recommendations are generated with the
159 guidance and extensive experience of RT QA for multi-national EBRT trials.

160 ***Trial Quality Assurance Programme***

161 ***Recommendation 1: MRT clinical trials involving a component of dosimetry***
162 ***should incorporate a clinical trials quality assurance programme similar to that***
163 ***in place for EBRT.***

164 Clinical trials quality assurance programmes help to ensure that trial data are collected
165 and documented following the trial protocol, Good Clinical Practice (GCP) and other
166 relevant guidance [29-31]. For MRT clinical trials involving dosimetry, the trial QA
167 should consist of a set of planned, systematic activities to minimise bias due to
168 variations in the dosimetry results from different centres. This should include a site
169 set-up or facility questionnaire and standard operating procedures (SOPs) for site set-
170 up and dosimetry calculations [32]. Examples of site set-up SOPs were published by
171 Gregory et al [22] in the supplementary material. Furthermore, trial monitoring
172 activities should be defined to ensure adherence to trial protocols at all stages
173 throughout the clinical trial. Protocol deviations from SOPs can be minimised by a
174 case-by-case check of the imaging and reconstruction parameters at a central
175 dosimetry hub [33].

176 While clinical trials QA programmes are routine practice in EBRT [34, 35] through the
177 Global Quality Assurance of Radiation Therapy Clinical Trials Harmonization Group,
178 RTQA [36], and the Radiotherapy Trials Quality Assurance (RTTQA) Group in the
179 United Kingdom, further work is required to implement similar QA programmes in all
180 MRT dosimetry clinical trials. MRT can benefit from the experience gathered in EBRT
181 regarding the set-up and running of multi-centre clinical trials involving dosimetry.

182 ***Site set-up/facility questionnaires***

183 ***Recommendation 2: Communication should be facilitated between key staff at***
184 ***each centre to promote sharing of experience and resources.***

185 The expertise and resources available at each centre, including medical physics
186 support, experience with MRT dosimetry, gamma camera availability and ancillary
187 equipment required (i.e. radionuclide calibrators) should be assessed with site set-

188 up/facility questionnaires. The questionnaire should identify key local personnel/staff
189 in the multi professional team, including a named medical physicist to assist with site
190 set-up measurements, QC procedures and data handling. All key local personnel/staff
191 should be informed about progress of essential stages in the set-up and running of the
192 clinical trial through regular communications and conference calls. The responses
193 from the questionnaire will identify centres or individuals that may require further
194 training or support for the site set-up measurements at each centre.

195 ***Standardisation of imaging acquisition protocols.***

196 ***Recommendation 3: Image acquisition and dosimetry protocols should be***
197 ***standardised as far as reasonable practicable allowing for differences in local***
198 ***availability of resources such as SPECT or SPECT/CT systems.***

199 Absorbed dose calculations require serial imaging over several days following therapy.
200 Patients may therefore be asked to make multiple return visits to hospital for further
201 imaging, which can also have resource implications for nuclear medicine departments
202 in terms of both staff and equipment time. In contrast to external beam radiotherapy,
203 dosimetry procedures are currently often not reimbursed. The requirement for
204 significant additional imaging can therefore increase the costs of academic trials.
205 These factors stress the need for high quality studies to justify the additional resource
206 requirements to acquire dosimetric information.

207 ***Standardisation of quantitative SPECT in a multi-centre setting.***

208 ***Recommendation 4: Gamma camera calibration methodologies and image***
209 ***acquisition and reconstruction protocols should be standardised across clinical***
210 ***trials.***

211 Site set-up measurements are essential for clinical studies involving quantitative
212 imaging. This may include system volume sensitivity calibrations, partial volume
213 corrections and dead time characterisation. System volume sensitivity is defined as
214 the system's count-rate for a uniform concentration of activity. SPECT recovery
215 coefficients are necessary to correct the observed activity concentration in
216 tomographic imaging for partial-volume and resolution effects [37]. Dead-time factors
217 are applied to correct the observed count-rate of the system for count losses due to
218 detector paralysis at high imaged activity levels.

219 Experience from multi-centre MRT clinical trials [22, 23] have shown that such
220 measurements may need to be adapted locally based on radiation protection guidance
221 in different countries and centres. It is essential to ensure that the complexity and time
222 required for such measurements are adapted as necessary, particularly for centres
223 with limited resources.

224 National Metrology Institutes play a key role in EBRT to ensure delivery of accurate
225 absorbed doses. Accurate activity measurements are essential for MRT absorbed
226 dose calculations. Traceability of activity measurements is currently not an essential
227 requirement in many countries but will play an important role to achieve comparable
228 dosimetry results from different centres [14].

229 ***Logistics of data transfer***

230 ***Recommendations 5: Appropriate data transfer facilities for both image DICOM***
231 ***data and associated non-DICOM data collected on case report forms (CRF)***
232 ***should be established and validated before the clinical trial commences.***

233 DICOM data from the serial imaging of patients and associated non-DICOM data,
234 including injected activities and injection times and dates, will potentially have to be
235 transferred from the participating centres to a centralised dosimetry hub for the
236 dosimetry calculations. Validation of imaging DICOM and associated non-DICOM data
237 transfer before the trial starts is an essential requirement. Possible options for the
238 transfer of DICOM data are image databases and informatics software platforms such
239 as KHEOPS [38] or XNAT [39] or the use of file sharing services approved for such
240 data transfer. Long-term availability and support of such a service must be ensured.

241 Patient data must be pseudoanonymised and data encryption should be ensured prior
242 to data upload to these services subject to the respective data protection regulations
243 such as the General Data Protection Regulation (GDPR). DICOM tags required for the
244 dosimetry processing may be subject to deletion as part of the pseudoanonymisation
245 process. Tests should be included in the data transfer validation to identify missing
246 DICOM tags. Furthermore, DICOM tags for injection times and administered activities
247 are often not populated.

248 Data transfer methods of non-DICOM data, including case report forms, must be
249 agreed upon prior to the start of the trial to ensure that data missing in the DICOM tags
250 are available at the centralised dosimetry hub.

251

252 ***Dosimetry calculations and collation of results***

253 ***Recommendations 6: Dosimetry methodologies including uncertainty analysis***
254 ***should either be standardised across centres or performed at a central***
255 ***dosimetry hub.***

256 For a multi-centre clinical trial dosimetry calculations may be performed at a
257 centralised dosimetry hub or at the individual local centre. Local data processing
258 requires strict standardisation and appropriate uncertainty estimation [40] of all steps
259 involved in the dosimetry calculations to allow for results to be compared. A central
260 dosimetry hub can help to reduce the risk of bias which may be introduced when data
261 is processed locally. This risk may be mitigated if local dosimetry centres follow
262 common SOPs for all steps involved in the dosimetry calculations. Dosimetry data
263 should in any case be centrally reviewed following the QA procedures drawn up at the
264 beginning of the study. Local data processing and/or dosimetry calculations can
265 potentially reduce the workload at the central dosimetry or QA hub.

266 Uncertainty analysis is particularly important in MRT because of the current lack of
267 standardisation and the large uncertainties involved in the image processing steps due
268 to outlining and quantification. Dosimetry methodologies must be agreed upon and if
269 different software packages are used, validation should be performed to ensure that
270 results can be compared. Commercially available software packages are increasingly
271 available although software developed in-house may be required, based on the
272 dosimetry application. QA on the different systems should be performed to provide
273 evidence that the outputs are comparable.

274 An essential step in dosimetry calculations is often the outlining of lesions and organs-
275 at-risk (OARs). Studies have shown that the inter-operator variability of volume
276 delineation can have a significant impact on the absorbed dose calculations [13, 41]
277 and, therefore, the ability to identify dose-response relationships if that is a trial
278 endpoint.

279

280 **Future directions**

281 Initial studies have shown that inter-system variability for a given vendor and camera
282 type is low if acquisition and reconstruction protocols are standardised across centres
283 so that it may be possible to use the same calibration and correction factors [19, 20,
284 22, 23]. System parameters including sensitivity, partial-volume-effect (PVE) and
285 dead-time correction could be measured on a number of systems for each vendor and
286 camera type to establish a quantitative imaging database for gamma cameras. This
287 would allow for widespread expansion of the existing imaging network without the
288 requirement of complex site set-up measurements. Further measurements are
289 required in large-scale multi-centre settings to verify those initial results.

290 Nevertheless, the use of global calibration factors from a database of calibration
291 measurements would require centre validation measurements to ensure that results
292 from different centres can be combined and to test the full-dosimetry chain [4, 42].

293 **Conclusions**

294 Large-scale multi-centre clinical trials are essential to investigate the potential for
295 personalised treatment planning in MRT. Trials require careful planning to ensure that
296 endpoints of the trial can be achieved. To encourage patient participation, optimised
297 and accurate dosimetry protocols must be established. Expertise and resources at
298 participating sites must be evaluated and training provided if necessary.
299 Standardisation of quantification and dosimetry together with appropriate uncertainty
300 analysis are key to allow for collation of results across multiple centres. These steps
301 will facilitate the development of the networks required to develop personalised
302 treatment planning for molecular radiotherapy, as is routine for external beam
303 radiotherapy and brachytherapy.

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