

1 **AAPM TG 241: MRI-guided Focused Ultrasound**

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56 **Disclosure Statement**

57 The Chair of Task Group-241: MRI- Guided Focused Ultrasound has reviewed the
58 required Conflict of Interest statement of file for each member of Task Group-241: MRI-
59 Guided Focused Ultrasound and determined that disclosure of potential Conflicts of
60 Interest is an adequate management plan. Disclosures of potential Conflict of Interest
61 for each member of Task Group-241: MRI- Guided Focused Ultrasound are found at the
62 close of this document.

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132 **Acronym List**

133		
134	ADC	Apparent Diffusion Coefficient
135	CDRH	Center for Devices and Radiological Health
136	CE-MRI	Contrast-Enhanced Magnetic Resonance Imaging
137	CEM	Cumulative Equivalent Minutes
138	DCE	Dynamic Contrast Enhanced
139	DQA	Daily Quality Assurance
140	FDA	Food and Drug Administration
141	GUI	Graphical User Interface
142	HIFU	High Intensity Focused Ultrasound
143	IDE	Investigational Device Exemption
144	MRgFUS	Magnetic Resonance-guided Focused Ultrasound
145	MRTI	Magnetic Resonance Temperature Imaging
146	MRI	Magnetic Resonance Imaging
147	NPV	Non-Perfused Volume
148	PRF	Proton Resonance Frequency
149	QA	Quality Assurance
150	RF	Radiofrequency
151	ROI	Region of Interest
152	SNR	Signal to Noise Ratio
153	TDV	Thermal Dose Volume
154	TMM	Tissue Mimicking Materials
155	T1w/T2w	T1-weighted/T2-weighted MRI images
156		

157 **Abstract:**

158

159 This report prepared by the American Association of Physicist in Medicine (AAPM) Task Group
160 241 addresses the issues of interest to the medical physics community, specific to the body
161 MRgFUS system configuration, and provides recommendations on how to successfully
162 implement and maintain a clinical MRgFUS program. The following sections describe the key
163 features of typical MRgFUS systems and clinical workflow. Commonly used terms, metrics and
164 physics are defined and sources of uncertainty that affect MRgFUS procedures are described.
165 Finally, safety and quality assurance procedures are explained, the recommended role of the
166 medical physicist in MRgFUS procedures is described, and regulatory requirements for planning
167 clinical trials are detailed. This report is limited in scope to clinical body MRgFUS systems
168 approved or currently undergoing clinical trials in the United States.

169

170 **Keywords:**

171

172 Magnetic resonance imaging, Focused ultrasound, HIFU

173

174 **1. Introduction**

175
176 Magnetic resonance image-guided focused ultrasound, commonly referred to as MRgFUS or
177 MRgHIFU, is a non-ionizing image-guided interventional technology that couples the energy
178 delivery capabilities of therapeutic focused ultrasound with magnetic resonance imaging. Unlike
179 other commonly used image-guided minimally invasive interventional therapies such as
180 radiofrequency-, microwave-, laser- or cryo-ablation, MRgFUS is completely non-invasive,
181 potentially resulting in shorter recovery times and reduced infection risks. The utilization of
182 focused ultrasound under MRI guidance allows for accurate delineation of the treatment target,
183 real-time treatment feedback with thermometry maps or other parametric MR images and post-
184 treatment assessment (1). These features have spawned significant clinical interest in
185 MRgFUS, primarily in treatment of solid tumors.

186
187 While therapeutic ultrasound is not a new technology, with its use first being reported over 70
188 years ago (2-4), it was not until coupling the technology with MRI (5,6), to provide non-invasive
189 monitoring of treatments and design and production of clinical body systems that regulatory
190 approval was obtained in 2004 from the US Food and Drug Administration (FDA), initially for
191 thermal ablation treatment of uterine fibroids by a commercial system (7). Further technological
192 advances have led to development of specialized MRgFUS system configurations, such as
193 brain (8) and interstitial probes (9,10). Subsequently, FDA approvals and clearances have been
194 issued for other oncologic and neurologic indications including essential tremor, bone
195 metastases and prostate tissue. In addition, many other clinical indications are at different levels
196 of development with several in clinical trials (11).

197
198 The MRgFUS technology is complex and multi-faceted, requiring careful treatment planning,
199 dosimetry and calibration, as well as routine quality assurance and maintenance procedures.
200 MRgFUS procedures often include patient-specific challenges that benefit from input provided
201 by a qualified and experienced physicist well-versed in both MRI and focused ultrasound
202 technologies. For example, known MRgFUS issues and measurement uncertainties that can
203 impede successful procedures may include relatively long treatment times, motion in or around
204 the treatment region, the presence of anatomic structures that cause severe absorption,
205 refraction or reflection of the ultrasound beam, and the protection of proximal critical structures.
206 Therefore, medical physicists can play a critical role in all phases of the MRgFUS development
207 and applications including the identification, management and mitigation of measurement
208 uncertainty sources.

209
210 **2. Components of a clinical body MRgFUS system**

211
212 In clinical body MRgFUS systems, the MRI and focused ultrasound components are integrated.
213 The ultrasound transducer can be embedded in the MR imaging table to allow simultaneous
214 imaging and therapy. Although specialized MRI systems are not required, there are specific site
215 and room requirements, most of which were identified in early development of the technology in
216 the 1990's (12,13). Generally ultrasound transmission in the bore of the magnet can proceed
217 simultaneously with imaging since the operating frequency of most body MRgFUS devices is
218 approximately 1 MHz, and the receive bandwidth of the MRI is approximately 64 MHz for 1.5 T
219 MRI systems, increasing with higher field strengths. As shown in the schematic in **Figure 1**, the
220 major components of the clinical MR system include the patient table assembly with an
221 integrated HIFU transducer, a mechanical or electromechanical positioning system to steer and
222 aim the FUS beam into a patient target, RF electronics capable of driving the ultrasound
223 transducer to produce a focused acoustic beam, cooling systems for both the transducer and
224 patient skin interface, and treatment planning and delivery software to enable identification of

225 treatment targets and monitoring of FUS delivery using real-time MRI thermometry. These
 226 components are discussed individually below.
 227
 228

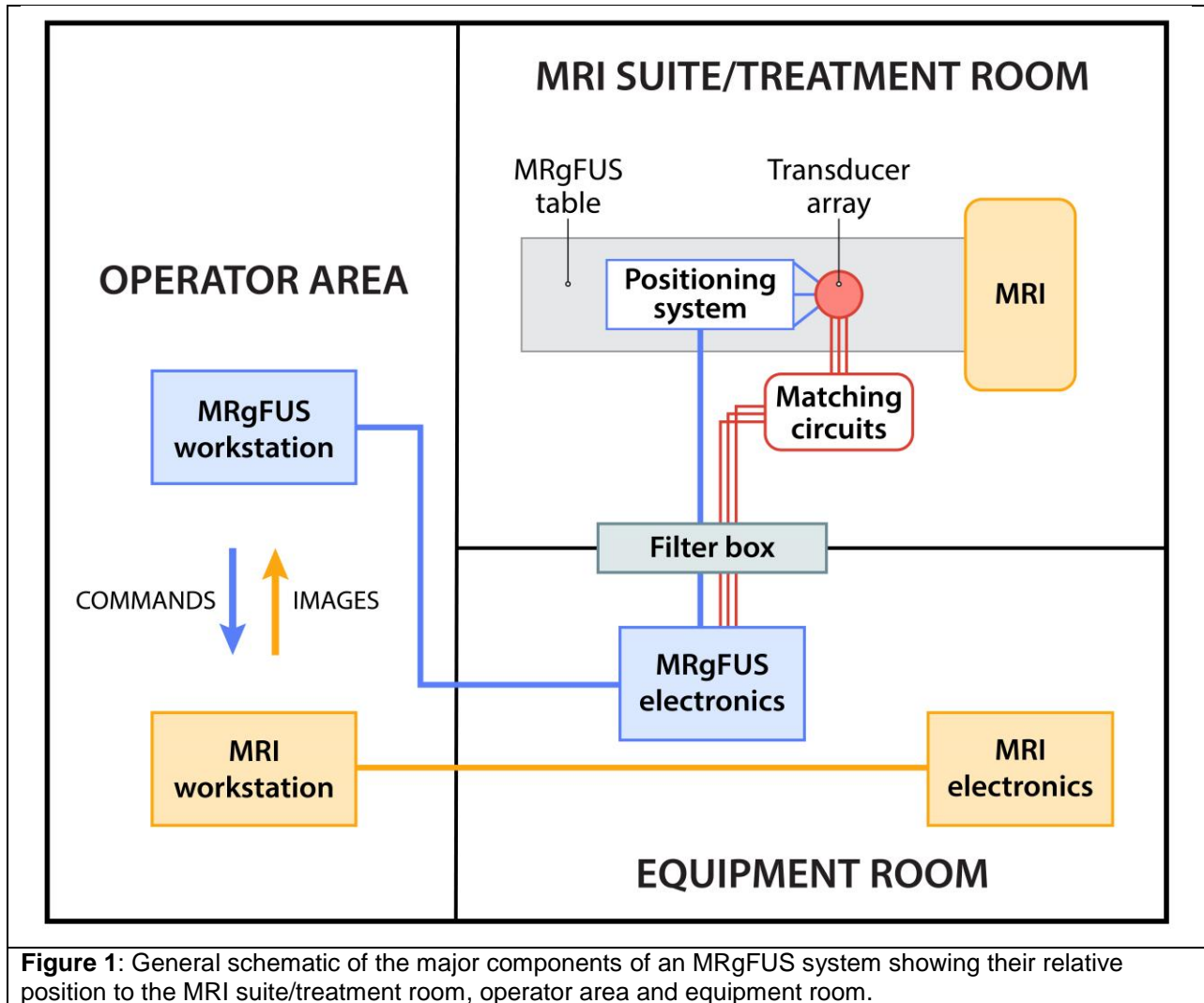


Figure 1: General schematic of the major components of an MRgFUS system showing their relative position to the MRI suite/treatment room, operator area and equipment room.

229

230 2.1 MR scanner & supporting infrastructure

231 At the time of publication, the ExAblate 2000/2100 from Insightec (Insightec Inc., Haifa, Israel)
 232 and the Sonalleve system (Profound Medical Corp., Mississauga, ON) are the two body
 233 MRgFUS systems used clinically in the United States (14,15). MRgFUS therapy systems can be
 234 integrated into conventional clinical 1.5T and 3T MRI systems, with minor modifications to the
 235 room and hardware. Often, a more important criterion than field strength is the MRI bore size,
 236 with wide bore systems being more amenable to interventional procedures such as MRgFUS.
 237 The Faraday shield on the MRI suite typically requires installation of a grounded RF panel for
 238 transmission of the electrical signals from the focused ultrasound generators located in the
 239 equipment room. Electrical signals are filtered to prevent the transmission of interference
 240 frequencies into the MRI suite. Waveguides may also be required to pass fluids for the cooling
 241 circuits from the equipment room to the MRgFUS system. The installation of these panels
 242 typically requires minor construction and can be done on an existing clinical MR imaging

243 installation without the need to ramp down the MR scanner's superconducting magnet. Within
244 the equipment room, there is a requirement for sufficient space for installation of the RF
245 generators and cooling systems, typically one to two floor-mounted cabinet racks.
246

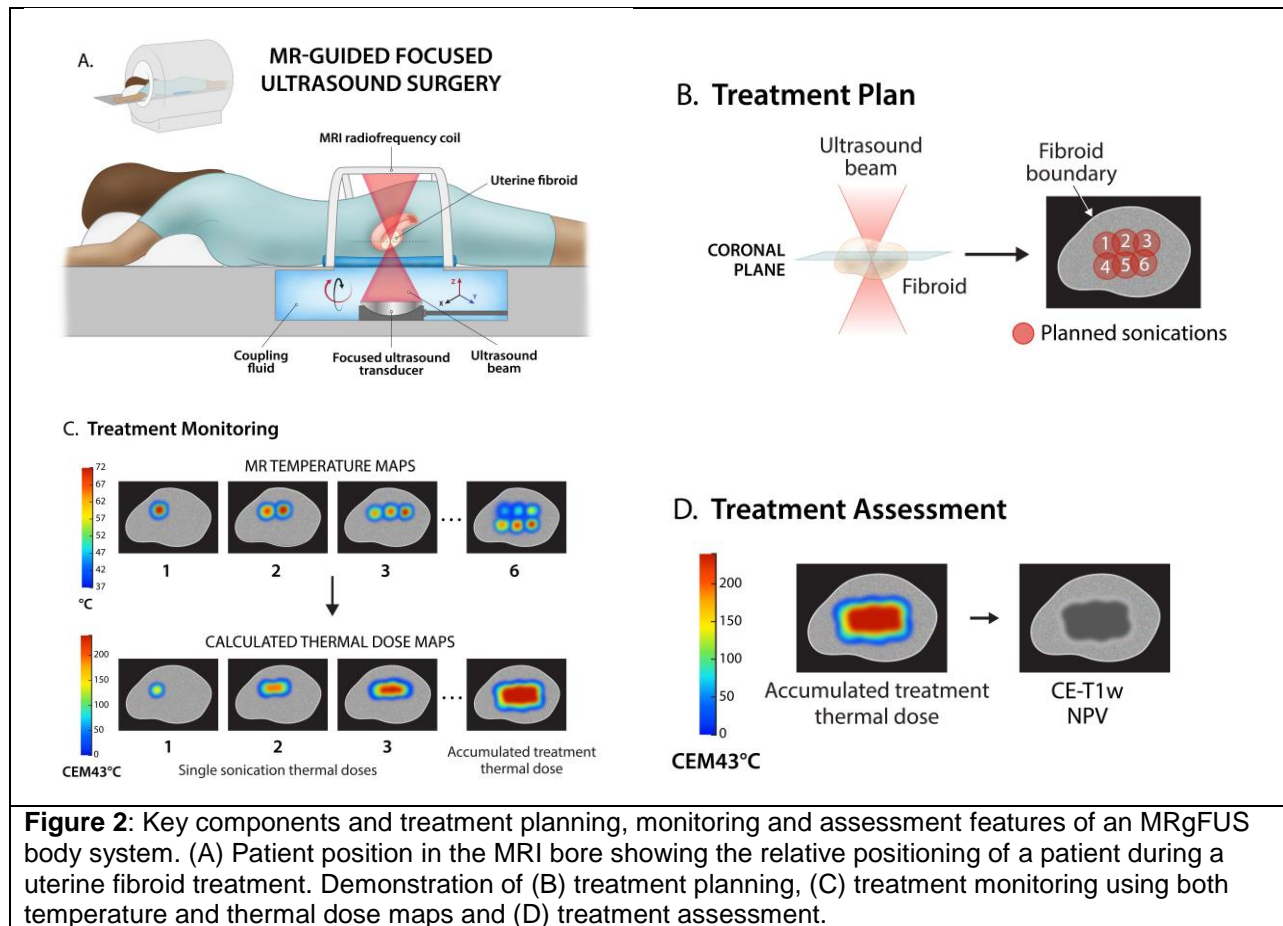
247 Within the treatment room, there is a requirement for space to store the MRgFUS patient table
248 since this is typically only docked to the MRI scanner during treatments. This is often the piece
249 of equipment requiring the largest floor space, and normally it is stored in zone 4 of the MRI
250 suite. Additional ancillary equipment/facilities required for MRgFUS cases include an MRI-
251 compatible vital signs monitor with capabilities for light/conscious sedation, a supply of medical
252 air/oxygen in the suite for sedation, and local emergency infrastructure in case of an adverse
253 reaction to the anesthetic or other patient emergency. When MRgFUS procedures are
254 performed in a hospital, this infrastructure is typically already in place; however, in some
255 freestanding or outpatient facilities, investment in this equipment may be necessary. The
256 consumables associated with MRgFUS typically include acoustic coupling membranes, gel
257 standoff pads, patient positioning aids, and degassed water for cooling and acoustic coupling.
258 Storage space in the treatment room or immediate vicinity is necessary to keep these supplies
259 on hand.
260

261 In the operator console area, the only additional space requirement is for a personal computer
262 and monitor, which has the MRgFUS treatment planning and delivery software. This is typically
263 located adjacent to or near the MRI console computer since a high degree of interaction
264 between the MRI system and MRgFUS system operators is required during treatment. For the
265 most part, standard MRI sequences are used for treatment planning and evaluation, with the
266 only additional routine being the use of a gradient echo sequence for temperature monitoring
267 using a method such as the proton resonance frequency (PRF) shift technique.
268

269 Finally, a space sufficient for preparation of patients and recovery from light sedation is
270 necessary, preferably near the MRI area, to ensure MRI-safe supplies and equipment are used.

271 **2.2 MRgFUS table**

272 The central component of the MRgFUS system is the treatment table. The table docks into the
273 MR scanner and serves as the patient support for the MRgFUS procedure. The table, similar in
274 shape and size to a standard MRI patient table, has a built-in tank that houses the focused
275 ultrasound transducer. The key features of this table, including the coupling fluid, MRI
276 radiofrequency coils and gel pads, are shown in **Figure 2A**. The pre-treatment table preparation
277 addresses appropriate acoustic coupling, patient positioning and comfort. Positioning aids are
278 application-specific: gel pads are used to provide acoustic coupling to different anatomical
279 targets while foam pads are used to support the surrounding anatomy. The need for customized
280 positioning aids is more important for bone metastases applications in which targets can be at
281 varied anatomical locations than for uterine fibroid ablation where the anatomical target is more
282 consistently located among patients. The schematic shown in **Figure 2A** is therefore specific for
283 uterine fibroid treatments and can be adjusted by the treating clinician and medical physicist as
284 necessary for different anatomies and applications.
285



286 **2.3 Ultrasound transducer**

287 All current clinical body MRgFUS systems utilize a phased-array transducer for rapid electronic
 288 steering of the ultrasound beam about the geometric focus. These transducers are spherically
 289 curved with a geometric focus located approximately 10-15 cm from the transducer surface.
 290 They are constructed from MRI-compatible materials and typically employ piezocomposites.
 291 The operating frequency is typically 1-1.5 MHz, chosen to enable deep penetration into the body
 292 while still achieving sufficient absorption to achieve the desired temperature rise at the target
 293 tissue. The size of the focus of the ultrasound beam is a function of transducer geometry, but is
 294 usually 2 mm in diameter and 8-10 mm in length, thus the transducer is capable of producing a
 295 highly localized region of thermal damage in soft tissue. Electronic steering with the phased-
 296 array transducer is used to scan this focal spot through a geometric region to heat a larger
 297 volume of tissue in a single session, either through the use of concentric circles or linear
 298 scanning (16). Electronic steering enables rapid translation of the ultrasound beam over a
 299 region approximately ± 1.5 cm in extent; further translation of the ultrasound beam is
 300 accomplished by mechanical movement of the transducer in the tank. All clinical MRgFUS
 301 systems contain one or more receiver elements within the transducer for the purpose of
 302 detecting any acoustic emissions from the exposed tissue, indicative of the presence of acoustic
 303 cavitation. The signal received by these elements is converted into a frequency spectrum, and
 304 detection of broadband emissions (a signature of inertial cavitation) can be used as a control
 305 metric (17).

306 **2.3.1 Transducer positioning system**

307 Within the tank embedded in the treatment table, the ultrasound transducer is connected to
308 an articulating arm under computer control that enables translation of the transducer in the
309 x, y, and z directions (~8-15 cm range), as well as pitch and tilt control (~10-20° range) to
310 aim the ultrasound beam into the body while avoiding critical structures such as bones and
311 air-filled cavities (see **Figure 2A**) (18). Typically, electronic steering is used during treatment
312 sonications under real-time MR-thermometry, whereas physical translation is used between
313 sonications while the intervening tissue cools. In this way, physical motion of the transducer
314 does not cause artifacts in the temperature maps since the PRF shift method is susceptible
315 to magnetic field distortions that can arise when the transducer is moved (19). A positioning
316 system homing procedure is generally employed during device quality assurance and
317 system startup to ensure that all axes are functional and that the coordinate systems of the
318 positioning system and MR are appropriately co-registered.

319 **2.3.2 Transducer drive electronics**

320 Each element in the ultrasound transducer array is driven by an independent RF amplifier
321 capable of delivering power (typically ≤ 3 W continuous) at any desired phase relative to the
322 other channels. Independent control of each element is important for several reasons. First,
323 phase control allows electronic steering of the focus, which can enlarge the treated volume
324 and increase treatment efficiency (20,21). Second, the ability to deactivate specific
325 transducer elements allows the user to avoid sonicating through vulnerable structures such
326 as the ribs, nerves or bowel (22).

327
328 The drive electronics are sited with the MR electronics and fed through filtered penetration
329 panels into the treatment room. Since these drivers deliver power optimally for 50 Ω loads
330 and the transducer elements have very high impedance, tunable resonant (LC) circuits are
331 employed between them to match the load impedance to the drive electronics and transmit
332 power efficiently. For safety, the power supply is isolated from the drive circuits via a medical
333 grade isolation transformer.

334
335 The drive electronics are often equipped with directional power meters that measure the
336 transmitted and reflected power. This information can be used to identify broken or
337 underperforming transducer elements, and can even detect regions of poor acoustic
338 coupling characterized by high measured reflected power, since a large fraction of the
339 acoustic wave reflects back to the transducer and is converted into an electrical signal.
340 Thus, reflected power monitoring is a component of real-time safety monitoring.

341 **2.4 Cooling systems**

342 Active cooling may be used for both the transducer and the patient. For the transducer, acoustic
343 efficiency generally ranges from 40-70%, with the remaining energy dissipated as heat.
344 Elevated temperatures in the transducer will first distort the beam and can eventually damage
345 the transducer so energy loss (or heat) must be removed from the system. Since the front of the
346 transducer is in a fluid bath with a large thermal mass, this surface is passively cooled. The
347 back of the transducer, however, is exposed to air with a low thermal mass and needs to be
348 actively cooled, generally using pumped or compressed air.

349
350 Throughout the course of an MRgFUS treatment, the skin, fat and other tissues in the near-field
351 are repeatedly exposed to low-intensity ultrasound over a large surface area (16,23). To prevent
352 potential cumulative thermal build up, pauses in sonication are required (24). The pause
353 duration can be decreased through the application of active patient surface cooling. This may be

354 achieved by circulating cool degassed water through the ultrasound coupling medium (25) or
355 through the use of balloons (26,27).

356 **2.5 Treatment delivery & monitoring**

357 The MRgFUS treatment delivery and monitoring workstation is connected to the MR scanner
358 operator console. The treatment workstation provides three main functions: planning, treatment
359 delivery and monitoring, accessed through a graphical user interface (GUI).
360

361 First, the operator uses anatomical MR images to develop a treatment plan. Based on daily
362 quality assurance calibrations (see §6), the position of the transducer is overlaid on anatomical
363 images, along with the demarcation of a focused ultrasound propagation cone. For each
364 selected target, the beam path is identified and allows the operator to consider tissues at risk in
365 the near- or far-field, adjusting the beam trajectory as necessary. The software can facilitate the
366 treatment planning by filling in larger volumes with a series of smaller sonication targets (**Figure**
367 **2B**), though this process requires oversight by the operator. Different GUIs offer different tools
368 to facilitate this process, including the ability to add tissue regions of interest (ROIs) that are
369 sensitive and must be avoided, as well as tools to measure margins.
370

371 Second, the MRgFUS console communicates with the system hardware and positions the
372 transducer, programs the signal generators to provide the appropriate element amplitudes and
373 phases for the treatment plan, and initiates sonication. During sonication, the system monitors
374 information from an array of sensors, power meters, and calculated MR thermometry images to
375 ensure that the treatment is proceeding as predicted, abruptly halting sonication if these sensors
376 deviate from accepted tolerances or if the patient activates a hand-held stop button because of
377 discomfort.
378

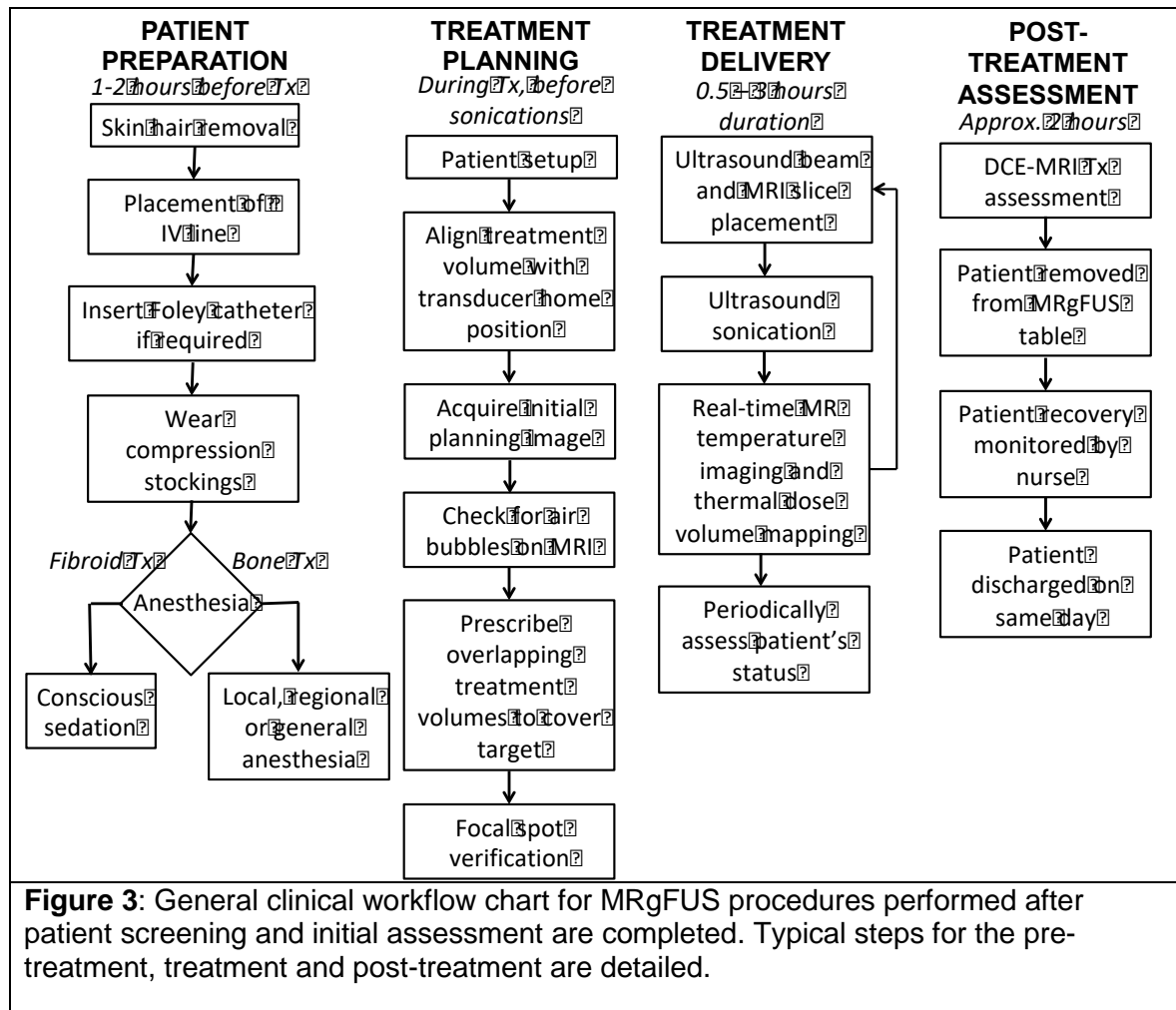
379 Third, the MRgFUS system directs the main MR console to position thermometry slices in
380 appropriate positions to properly capture the focused ultrasound-induced heating. Concurrently
381 with sonication, the system receives real-time RF phase images from the MR scanner from
382 which it calculates temperature maps (and in some cases, thermal dose) using the PRF shift
383 method, displaying them in the form of graphs over time and real-time overlays on anatomical
384 images (**Figure 2C**).

385 **2.6 Key points and best practice recommendations**

- 386 1. **Site requirements:** MRgFUS body systems can be deployed on standard clinical MR
387 scanners with relative ease, though the site must be able to support additional drive
388 electronics and permit the installation of an RF penetration panel.
- 389 2. **MRI RF coils** should be positioned with both the acoustic window and MR imaging
390 quality in mind. Low SNR will impact treatment planning, monitoring and assessment.
- 391 3. The strategic use of **positioning aids** including pads and sandbags can facilitate
392 acoustic coupling and enhance patient comfort.
- 393 4. **Storage space** must be considered for both FUS system components (zone 4) and the
394 required FUS workstation (zone 3).

395 **3: Clinical workflow for an MRgFUS treatment**

396 The clinical workflow of an MRgFUS treatment using the clinical extracorporeal MRgFUS
397 systems described in §2 consists of several stages, similar to radiation therapy. A typical clinical
398 workflow diagram is shown in **Figure 3** and is described below. This workflow is appropriate to
399 be considered when developing site-specific guidelines for soft-tissue tumor treatment or other
400 target sites and interventions.



401 3.1 Patient preparation

402 On the day of the treatment, each patient is instructed to report to the clinic approximately two
 403 hours before the treatment for preparation. In order to avoid skin burns and maximize beam
 404 transmission, skin hair must be thoroughly removed in the region of the acoustic window with
 405 shaving and/or depilation. If hair is incompletely removed, air bubbles may be trapped, which
 406 can result in a skin burn due to reflection of the ultrasound by air. Scar tissue in the ultrasound
 407 beam path must be avoided, as this region is also prone to unintended damage due both to
 408 increased absorption of energy and decreased perfusion. If there is an unavoidable scar present
 409 in the acoustic window, the region should be covered with a patch of acoustic reflector and/or
 410 demarcated during planning to avoid exposure to ultrasound (28). If a patient has a tattoo that is
 411 known to be MRI conditional, they may still be eligible for an MRgFUS treatment. However, it
 412 should be noted that the acoustic absorption properties of tattoo ink are unknown and therefore
 413 it is recommended to proceed with caution and to not treat through the tattoo site if possible.
 414

415 An intravenous line is typically placed for the delivery of medications. A Foley catheter may be
 416 inserted into the bladder for several reasons, including to fill the bladder with saline to position
 417 the fibroid within the treatment envelope by providing an acoustic path at the lower side of the
 418 uterus, or to keep the bladder empty during treatment. Compression stockings should be worn
 419 to reduce the risk of deep venous thrombosis. Light-to-moderate conscious sedation is often

420 administered to help the patient relax and tolerate the stationary position and to control pain
421 during treatment. Local, regional or general anesthesia may be needed for other treatments due
422 to pain (e.g., treatment of bone metastases (29)). It should be noted that for some treatments it
423 can be desirable to maintain the ability for the patient to communicate with the treatment team
424 to provide feedback in the event pain is experienced during sonications.
425

426 3.2 Patient positioning

427 The ideal position for patient setup is to have the treatment target anatomy in line with the
428 central axis of the transducer at its 'home' position and located as close as possible to the
429 isocenter of the MR scanner bore. Successful patient setup should be assessed using three-
430 dimensional localization MR images, to check target position and to detect the presence of
431 trapped air in the acoustic field (see **Figure 4**). This step is often iterative and can significantly
432 increase the length of the setup time during the procedure. It can require repositioning the
433 patient and cleaning the skin of bubbles, or alternatively demarcating the bubbles during
434 treatment planning to avoid passing the treatment beam through them.
435

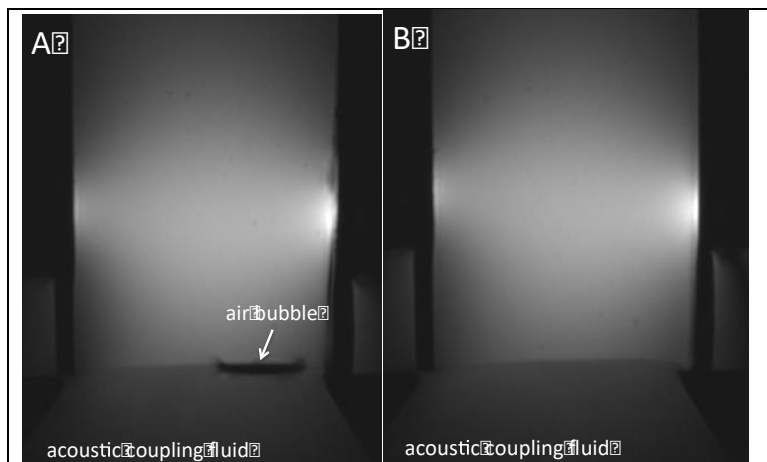


Figure 4: T1-weighted MR image of tissue-mimicking quality assurance phantom demonstrating (A) a trapped air bubble and (B) no bubbles present in the interface between phantom and the focused ultrasound transducer's water tank.

436

437 3.3 Treatment planning, monitoring and assessment

438 MR imaging is used throughout MRgFUS procedures for treatment planning, real-time
439 monitoring and assessment. Both T1- and T2-weighted (T1w, T2w) images are used for
440 treatment planning. **Figures 2B** and **2C** schematically shows how the MR images are used for
441 the planning and monitoring of an ablation. These images are acquired as a 3D volume or in 2D
442 multi-slice stacks in coronal, sagittal, and axial planes. Treatment planning should also evaluate
443 the focused ultrasound beam exit locations. If required, a dispersive water coupling bolus (water
444 bags coupled with gel) should be positioned at the beam exit area (30).
445

446 Temperature elevations during ultrasound therapy can be monitored using single-slice or multi-
447 planar MR thermometry (**Figure 2C** schematically demonstrated single-slice monitoring) (31),
448 with available options determined by the vendor's interface. These slices are positioned relative
449 to the ultrasound beam, allowing for temperature monitoring and, where relevant, thermal dose

450 calculations at the target as well as in surrounding tissues. Real-time temperature and thermal
451 dose maps are calculated using the proton resonance frequency (PRF) shift technique and
452 displayed as two-dimensional color-coded overlays on top of the anatomical images, as shown
453 in **Figures 2C** and **2D**.

454
455 In addition to treatment monitoring, MR thermometry measurements can be used to calculate
456 the thermal dose volume (TDV) used in treatment assessment (**Figure 2D**). Thermal dose
457 (detailed in §4) is commonly used to quantify thermal damage to tissue with values greater than
458 240 cumulative equivalent minutes (CEM) at 43°C indicating ablative exposures. The TDV
459 indicates the volume of tissue that has reached a thermal dose sufficient for ablation. This
460 volume can be overlaid on the treatment planning or monitoring images. Treatment assessment
461 also includes acquisition of post-treatment T2w fat-saturated images followed by T1w fat-
462 saturated sequences acquired after administration of clinically approved gadolinium-based
463 contrast agents. T2w images may demonstrate an increase in the signal intensity of the treated
464 target as a sign of successful treatment (32). In addition, these images can be used to assess
465 edema or damage in the tissues surrounding the target. An example of a T2w image before and
466 immediately after an MRgFUS treatment of a left iliac bone metastases is shown in **Figure 5**.

467
468 Unintended tissue damage can occur during MRgFUS treatments. First-, second- and third-
469 degree skin burns are theoretically possible (30). Typically, first-degree burns are treated with
470 no follow-up required. Second- and third-degree burns are treated and monitored for further
471 progression. If there is damage to tissues surrounding the target, rest is typically prescribed for
472 one to four weeks to allow recovery and healing. For the treatment of uterine fibroids, the
473 contrast-enhanced T1w images are utilized to assess any remaining perfused, viable tissue in

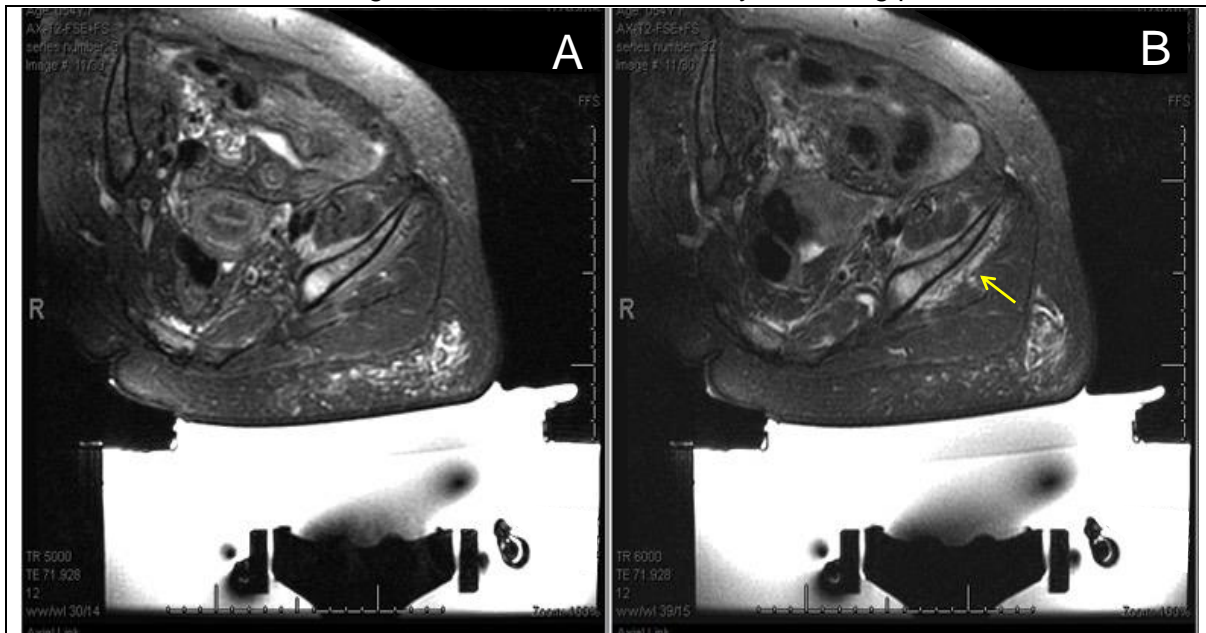


Figure 5: A comparison of T2w MR images (A) before and (B) immediately after MRgFUS treatment, showing edema (arrow) superficial to the treated left iliac bone metastasis.

474 the target (**Figure 2D**). The non-perfused volume (NPV) can be calculated from these images,
475 providing a measure of the effectiveness of the treatment. For example, the NPV ratio predicts
476 uterine fibroid symptom relief (33).

477 478 **3.4 Integrated procedural safety steps**

479 There are several safety checks that should be integrated into all phases of an MRgFUS
480 treatment beyond the routine safety measures of MRI. During the treatment-planning phase,
481 each planned sonication is analyzed based on the expected energy density on the skin, as well
482 as on other low-energy density contours drawn during treatment planning to demarcate the
483 bowel or sacral bone, for example. This safety check allows the operator to alter individual
484 sonications during treatment planning to minimize potential damage to other structures outside
485 of the target by translating or tilting the transducer, or by reducing the planned sonication size or
486 energy. After planning, verification sonications are performed in at least two planes with non-
487 ablative energies in order to make final minor adjustments in alignment prior to treatment; this
488 allows for patient-specific adjustments that account for the thickness and types of tissues
489 traversed to the target. A thermal dose verification sonication is also performed to aid in
490 determining whether the energies predicted for sufficient thermal dose accumulation are too
491 high or too low. This is needed because temperature elevation is not only a function of energy,
492 but also a function of complex heat transfer in tissues (detailed in §4).

493
494 During treatment, individual sonications are assessed first using a reflection test to confirm that
495 nothing is impeding the ultrasound beam from reaching the target. Each sonication is also
496 monitored for movement by comparing the location of fiducial markers placed on planning
497 images with real-time images obtained during heating. In addition, a periodic movement
498 detection scan is also used to automatically compare the current location of the targeted tissue
499 with the location drawn on pre-operative images. MR thermometry magnitude images are an
500 additional means to detect motion by comparing the contour placed during planning around the
501 region of treatment to the tumor margin visualized during treatment. These MR thermometry
502 images are the most important safety indicator, as they are used to confirm that heating is
503 occurring predominantly in the target and not at another location, such as on the skin, or on
504 nearby bowel or nerves. Non-focal heating is always possible within a single sonication or as
505 the result of heat accumulation during multiple consecutive sonications. As a final safety step,
506 cavitation is monitored continuously during each sonication. An operator-activated button on the
507 workstation can be used to stop individual sonications if movement, off-target heating, or
508 cavitation is detected. It should be noted that patient feedback is also an important safety
509 indicator, since sensations of excess warmth or nerve stimulation can often be experienced by a
510 patient before these effects are apparent on imaging.

511 **3.5 Key points and best practice recommendations**

- 512 1. **Skin preparation:** Skin hair must be thoroughly removed in the region of planned
513 ultrasound exposure. Scars in the treatment region must be avoided to prevent
514 excessive energy absorption that may result in burning of the skin.
- 515 2. **Far-field protection:** Beam exit points need to be considered, and if appropriate a
516 dispersive water coupling bolus (water bags coupled with gel) should be positioned at
517 the beam exit area to minimize far-field tissue damage.
- 518 3. **Patient motion:** Patient and organ movement should be tracked throughout the
519 procedure through a combination of fiducial markers and real-time images. Patient
520 motion is a major source of treatment uncertainty that must be managed by the medical
521 physicist.
- 522 4. **Non-focal heating:** Out-of-target excessive heating is always possible during single or
523 multiple sonications. MR thermometry images are the most important safety indicator to
524 confirm that heating is occurring predominantly in the target and not at another location.

525 526 527 **4: Quantitative metrics, data types and terminology**

528 The ability to characterize, predict, and control the effects of ultrasound on tissue is made
 529 possible by quantitative knowledge of specific properties of the ultrasound field and the tissues.
 530 The assessment of acoustic, thermal and mechanical tissue properties is beneficial for all
 531 aspects of MRgFUS therapies. While official standards for therapeutic MRgFUS systems are
 532 still developing, rigorous system assessment should be performed with a set of quantitative
 533 metrics subsequently reported. These metrics can assist in the calibration, quality assurance
 534 and long-term maintenance of MRgFUS systems and allow for improved comparison of
 535 MRgFUS studies. This section formalizes these quantitative metrics in support of further efforts
 536 to standardize the quality, reliability and predictability of MRgFUS treatment results.

537 **4.1 Acoustic Parameters**

538 While direct measurements of transducer ultrasound characteristics can be obtained in water,
 539 accurate estimates of *in situ* acoustic properties are necessary to adequately characterize the
 540 interaction between ultrasound and tissue on a patient-specific basis. Most of these properties
 541 cannot be measured at clinically applied intensities due to the limitations of the measurement
 542 equipment. While the minimum requirements for the reporting of acoustic parameters for both
 543 diagnostic and therapeutic applications have been clearly outlined in other work (34), a
 544 summary of the many properties of the ultrasound field and transducer are detailed herewith. A
 545 more complete listing of descriptive parameters can be found in the International
 546 Electrotechnical Commission Draft Technical Specification on High Intensity Focused
 547 Ultrasound (IEC/TS 62556 Ed. 1.0) (35).

548 **Ultrasound beam properties:** The effects of ultrasound on tissue depend on the local
 549 distribution of the ultrasound pressure, as well as on the acoustic and thermal properties of the
 550 tissues. The frequency (f) of the ultrasound is a characteristic of the oscillating source while the
 551 wavelength (λ) of the ultrasound beam in a particular medium is defined as the speed of sound
 552 in that medium divided by the frequency. The energy carried by the ultrasound is usually
 553 described in terms of the energy density (J/m^3) or the intensity (W/m^2), which is proportional to
 554 the square of the pressure amplitude. Commonly referred to transducer properties are
 555 summarized in **Table 1**, and described below.

556 **Table 1:** Typical MRgFUS transducer characteristics

Transducer Characteristic	Characterization Method	Relevance
Aperture (D)	Physical dimensions of transducer front face	In general, the size of the focal spot is inversely proportional to the aperture size.
Focal Length (L)	Transducer geometry quantification	Distance of transducer face to geometric focal point. For a spherical transducer, this is the radius of the sphere.
Element Configuration (N_{elem})	Defined by transducer design.	Single element transducers must be mechanically translated to move the focal point. Phased-array transducers provide electronic steering allowing for multi-focus and volumetric ablation.
Frequency (f)	Driving frequency of the transducer element(s)	Affects both the wavelength and attenuation of the ultrasound beam. The frequency of a HIFU system is application dependent.
f-Number ($f\#$)	L/D	The ratio, L/D , is defined to be the <i>f-number</i> ($f\#$) of the transducer; the smaller the $f\#$, the smaller the focal spot increasing the energy deposited at that point.

Beam Full Width Half Maximum (<i>FWHM</i>)	Hydrophone measurement	The width of the beam measured at the focal point, assessed with either pressure or intensity (should be specified).
Efficiency	Radiation force balance or hydrophone scans of intensity over full cross-sectional area of the ultrasound beam	Defines the relationship between electrical and acoustic power. A greater efficiency will result in a higher acoustic output for a given electrical input.

557 **4.2 Acoustic field descriptors**

558 **Pressure distribution:** The pressure distribution in tissue is a function of the transducer
559 characteristics and tissue properties, and determines the spatial pattern of heating in tissue.
560 Pressure distribution measurements are usually made using hydrophone sensors that scan the
561 field in free-field conditions in water. The mapping of pressure distribution measurements in
562 water to corresponding estimates in tissue requires the inclusion of parameters that relate to
563 ultrasound propagation in tissues. The process of including energy losses due to propagation in
564 lossy overlying tissues is referred to as derating. Typically, either free-field or estimated *in situ*
565 pressure is reported as the compressional pressure (P_+), also defined as the spatial-peak
566 temporal-peak pressure (P_{SPTP}), as well as the peak rarefactional (P_-) or peak negative pressure
567 (P_{NP}), as illustrated in **Figure 6A**. If estimated *in situ* values are reported, the derating method
568 applied with a worked example should be provided (34).

569 **Acoustic power:** Ultrasound is typically created by applying electrical voltages to the
570 piezoelectric elements of a transducer. The conversion of electrical power to acoustic power is a
571 function of the electromechanical efficiency of the transducer, driving electronics and the
572 coupling to the medium. While several measurement techniques for characterization of the
573 ultrasound beam exist (36), radiation force balance and hydrophone measurements are more
574 widely implemented. For example, the total acoustic power can be measured by a radiation
575 force balance apparatus (37) or hydrophone scans of intensity over the full cross-sectional area
576 of the beam (see §8.2).

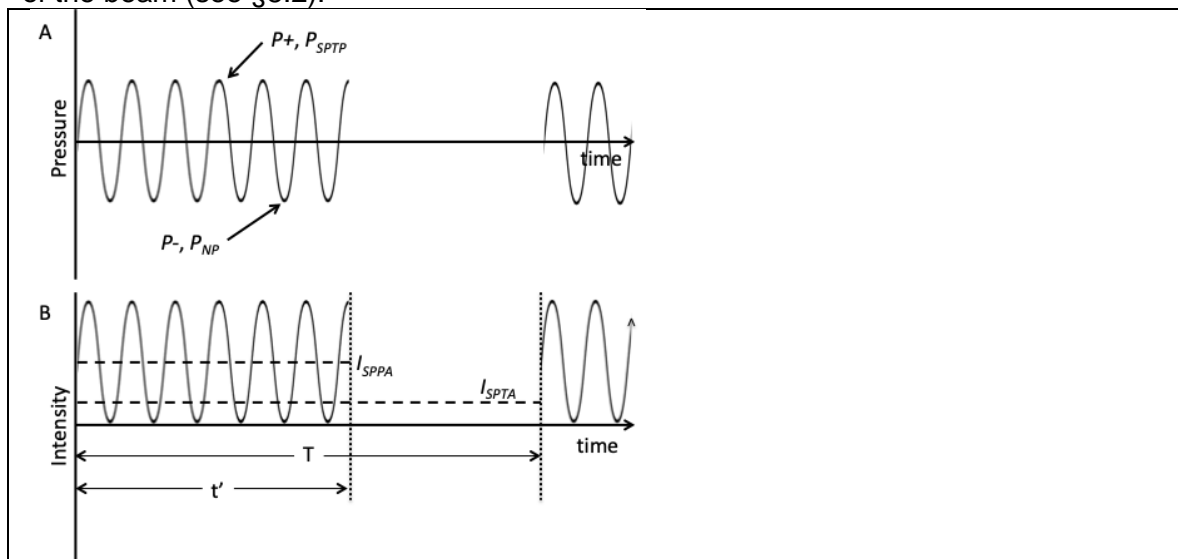


Figure 6: Definitions of measured (A) pressure and (B) intensity values commonly used in high intensity focused ultrasound. While MRgFUS for many procedures is applied in a continuous wave mode ($t'=T$), it can also be applied in a pulsed mode with a t'/T duty cycle.

577 **Intensity:** The acoustic intensity distribution can also be measured using a sensitive
578 hydrophone, consisting of a point sensor that is mechanically scanned through the ultrasound
579 field. Accuracy in measurement depends on the hydrophone effective active element radius
580 being comparable with or smaller than one quarter of the effective wavelength of the ultrasound
581 in water to avoid spatial averaging errors, at least for the fundamental frequency (35). However,
582 even if this condition is not met or if the pressure wave has significant harmonic content (as is
583 commonly true), an inverse filter method exists for correcting for spatial averaging loss across
584 the hydrophone sensitive element for the fundamental and all harmonics (38). (Note that the
585 harmonic beam width decreases with frequency (38)). To account for the fact that the intensity
586 is highly localized and the ultrasound may be pulsed, the IEC/TS 62556 report lists several
587 different intensity parameters, including:

- 588 a) I_{SAL} : the spatial average intensity, linear conditions. This is the intensity spatially
589 averaged over the area enclosed by the half-pressure-maximum contour in the plane
590 containing the focal point (for focusing transducers) or beam maximum (for non-focusing
591 transducers), as determined under linear conditions;
- 592 b) I_{SPTA} : the spatial-peak temporal-average intensity. This is the maximum value of the
593 temporal-average intensity in an acoustic field or in a specified plane;
- 594 c) I_{SPPA} : the spatial-peak pulse-average intensity. This is the time average of the maximum
595 intensity at a particular point in an acoustic field over a single pulse.

596
597 Although beyond the scope of this report, acoustic non-linear propagation (i.e., finite amplitude
598 distortion) may be possible under certain conditions. The practical main effect is the rapid
599 derating of acoustic pressure leading to cavitation and/or accelerated pre-focal lesion formation
600 and growth (39).

601 **4.3 Tissue acoustic properties**

602 Whereas water is isotropic and has a low attenuation coefficient, tissues have internal structure
603 and characteristics that modulate the beam propagation. Quantitative properties used to
604 describe the propagation of ultrasound through tissue are speed of sound, impedance and
605 attenuation coefficient. Acoustic attenuation is used to estimate the *in situ* exposure levels
606 during a treatment and more accurate values will result in improved derating schemes, which
607 should always be reported when applied. While acoustic properties are not directly measured or
608 typically reported in clinical studies, they directly impact parameters that are achieved clinically.
609 A summary of these properties with typical characterization techniques is found in **Table 2** and
610 detailed below.

611 **4.4 Cavitation**

612 Acoustic cavitation occurs when MRgFUS is applied at high pressure amplitudes, leading to the
613 excitation of microbubbles. Stable cavitation occurs when the bubbles oscillate and emit
614 harmonics and sub-harmonics of the excitation frequency. Inertial cavitation can occur during
615 MRgFUS therapy when the rarefactional pressure exceeds the cavitation threshold of the target
616 tissue. Cavitation can be detected using a passive acoustic detector (e.g., hydrophone) that can
617 assess the resulting broadband acoustic signals. Passive cavitation detectors are integrated into
618 MRgFUS systems and used to monitor the cavitation activity during HIFU treatments (40,41).

Property	Characterization method	Relevance
Density (ρ)	Water-displacement	A determinant both of acoustic impedance and thermal diffusivity. Typically $1000 \text{ kg/m}^3 \pm 10\%$ for most soft tissues.
Speed of sound (c)	Through-transmission	A determinant of acoustic impedance and wavelength. Typically $1500 \text{ m/s} \pm 10\%$ for most soft tissues.
Attenuation (α)	Through-transmission or reflection technique	Encompasses both absorption and scattering components. Absorption determines the amount of heat absorbed in a tissue. This is a function of transducer frequency. Values can change during an MRgFUS treatment (42,43).
Impedance (Z)	$Z = \rho c$	Impedance differences at tissue boundaries dictate reflected and transmitted wave magnitudes.
Non-linearity parameter (B/A)	Finite amplitude insertion substitution method (44-46)	Describes the level of non-linearity for a tissue, where A & B are the 1 st and 2 nd Taylor series expansion values for the relationship between pressure and density. Of particular importance for high-pressure amplitude applications.
Thermal conductivity (k)	Typically use table values. Can use an invasive probe technique to directly measure values (47,48)	Tissue-specific transport property that determines how well tissue can conduct heat. Fat has a lower conductivity than other soft tissues, resulting in an insulating response.
Specific heat (c)	Typically use table values but can be determined using a calorimeter	Measures the ability of a tissue type to store thermal energy. Typically most tissues have a specific heat value lower than water ($4186 \text{ J/kg}\cdot\text{K}$).
Perfusion (W)	Both table values (49) and tissue-specific estimation techniques (50,51) are available in the literature	Defined as the process of blood delivery to a tissue's capillary bed. Reported values for perfusion vary widely across tissues and are known to change dynamically during treatment. Represented as a scalar term in the oft-used Pennes bioheat transfer equation (52). Large vessels can cause a 'heat-sink' effect.

619 4.5 Thermal properties

620 As ultrasound passes through the tissues, a fraction of the energy is absorbed as heat. The rate
621 at which heat is absorbed is given by:

$$622 \quad Q = \frac{-\partial I}{\partial x} = 2\alpha I$$

623
624 where Q is the rate of heat deposition (W/m^3), α is attenuation ($\text{Np/cm}\cdot\text{MHz}$) and I is ultrasound
625 intensity (W/m^2). Q becomes the driving function for the distribution of heat and resulting
626 temperature change in tissue. The subsequent conduction of heat through the tissues then
627 depends on the tissue thermal properties. Even if a thermal mechanism is not expected in an
628 MRgFUS treatment, the temperature rise due to an acoustic exposure should be either
629 measured or estimated whenever possible (34). While knowledge of the tissue thermal
630 properties is necessary to estimate the thermal effects of any ultrasound exposure, it is also
631 known that thermal properties change throughout a therapeutic thermal treatment (53,54). This
632 variability should be acknowledged in all treatment planning and modeling efforts. A summary of
633 key thermal properties is found in **Table 2**.

634 **Table 2:** Tissue acoustic and thermal properties

635 **4.6 MRI measurements**

636 MRI provides a highly useful tool for the *in situ* evaluation of the interactions of focused
637 ultrasound with tissue. Although ultrasound parameters can be measured directly in water using
638 either a force balance or hydrophone, such instruments cannot be used conveniently in tissues.
639 Fortunately, interactions of ultrasound with tissues produce effects that can be detected with
640 MRI. The absorption of acoustic energy in the tissues may result in local temperature increases
641 which are sufficiently high to be detected as changes in MRI parameters that are sensitive to
642 changes in temperature, including the proton resonance frequency (PRF), longitudinal and
643 transverse relaxation times (T1 and T2), and the apparent diffusion coefficient (ADC). PRF is
644 based on hydrogen bonding (55-57) and is the most commonly used method of measuring
645 temperature with MRI and typically achieves precision of $\pm 1^\circ\text{C}$ clinically, but it is not sensitive to
646 temperature change in fat. Both the longitudinal relaxation time T1 and the transverse relaxation
647 time T2 have been used recently to monitor temperature changes in fat during MRgFUS
648 treatments (58-61). Diffusion weighted imaging has also been used to make measurements of
649 temperature changes (31,62). Excellent reviews of MRI temperature measurements using these
650 parameters have been given elsewhere (31,63,64).

651 **4.7 Treatment assessment**

652 While there is a large amount of literature describing treatment outcomes from a number of
653 input quantities, currently there is not a direct relationship between a known ultrasound or
654 thermal dose quantity and a defined *in vivo* biological effect. This issue of creating a dosimetric
655 framework for therapeutic applications has been investigated thoroughly by Shaw et al. (65).

656 **Thermal Dose:** The concept of a thermal dose is derived from studies that noted an exponential
657 relationship between temperature and time for a given isothermal effect (66). It is typically
658 assumed that tissue necrosis occurs at 240 CEM at 43°C , although it has been documented
659 that tissue-specific thresholds exist (67,68). In MRgFUS, spatially varying thermal dose
660 distribution and the resulting thermal dose volume (e.g. tissue that has achieved 240 CEM
661 43°C) are derived from the MR temperature measurements and are therefore a function of
662 temperature measurement accuracy.

664 **Contrast enhancement imaging:** Contrast-enhanced MR imaging (CE-MRI) is the most
665 commonly used technique to assess the effects of MRgFUS treatment. T1w CE-MRI images are
666 used to calculate the NPV in uterine fibroids and tumors after all ablations have been completed
667 (§3.3). However, the literature documents both under- and overestimations of calculated NPV
668 when compared to the thermal dose volume (69-72). In addition CE-MRI does require the
669 injection of a gadolinium-based contrast agent. If the treating clinician desires to increase the
670 ablation volume after the injection of the contrast agent, errors in the MRI thermometry could be
671 introduced. There is also the possibility that the gadolinium-based contrast agent could become
672 retained in the tissue (73). Using multiparametric MRI protocols to acutely assess MRgFUS
673 treatments have shown some promise, but applying them in a clinically feasible time frame
674 remains a challenge (74). MRgFUS can cause several types of treatment effects and there are
675 several potential metrics that could measure these effects. However, any MRI method used
676 must be validated in multiple tissue and tumor types in order to fully characterize its potential to
677 assess MRgFUS treatments.

678 **4.8 Key points and best practice recommendations**

- 679 1. **Tissue properties:** While tissue properties cannot be directly measured *in situ*,
680 knowledge of the expected values for particular tissues is important for MRgFUS
681 treatment planning. In addition, it is known that these properties can change during
682 MRgFUS procedures, affecting the treatment outcome.
- 683 2. **Acoustic exposure reporting:** Acoustic exposure parameters should be reported
684 according to published best practice guidelines (34) to better enable study comparisons
685 and data correlation.

686
687

5: Sources of Uncertainty

688 Uncertainty in MRgFUS arises from uncertainty in the direct and indirect assessment of the
689 desired pathological outcome. Therefore, a medical physicist should be cognizant of the variety
690 of uncertainties that can interfere with the execution of the MRgFUS procedure as well as
691 methods that can be employed to minimize or avoid them (75).

692
693

5.1 Pathologic margin

694 Because the focal point in MRgFUS is usually smaller than the overall target volume, the
695 process must be repeated multiple times to deliver an effective therapy. Similar to radiation
696 therapy as well as other thermal ablation techniques, the lack of direct pathological margin
697 assessment during treatment and the need to define and assess these boundaries with MRI
698 contributes to the uncertainty in both delivery and outcomes. For example, since the penumbra
699 of an MRgFUS exposure can be very sharp (§2.3), the placement of the boundary of a target
700 volume is critical since any resulting tissue damage margin will be very abrupt beyond that
701 boundary (76). Target volume planning will therefore depend on the MR image resolution and
702 the ability of the MR image contrast to reveal target boundaries. Both of these metrics are a
703 function of the MR signal-to-noise ratio available for a particular treatment. Similar to
704 radiotherapy, higher quality imaging will result in improvements to the definition of the gross
705 tumor volume, the clinical target volume and the planning target volume (77), ultimately
706 providing better outcomes in MRgFUS therapy.

707
708

5.2 Treatment planning

709 In the absence of the ability to directly monitor the tissue pathologic response during treatment,
710 knowing the distribution of heat delivered during treatment provides a nearly direct assessment
711 of treatment outcome. Careful attention to treatment setup is necessary to minimize barriers to
712 treatment delivery (e.g., air interfaces, bone), as ultrasound propagation in tissues is inherently
713 more sensitive to organ and tissue boundaries than the radiation beams used in radiotherapy.
714 Protective measures to ensure safe delivery are often required (§3). Although unlikely to
715 satisfactorily replace treatment monitoring, patient-specific prospective planning can be useful to
716 assess potential barriers that may affect successful MRgFUS delivery to a target volume. While
717 standard property values are typically used in treatment planning, knowledge of the parameters
718 influence on treatment outcome is essential when interpreting planning results.

719 **Attenuation:** Unexpected differences in tissue attenuation along the beam path can lead to
720 power loss or gain at the focus and unexpected heating near the target volume. Examples
721 include gas in the coupling fluid, scar tissue, calcifications, bone, cavitation generation and
722 increased attenuation due to changes in tissue temperature or non-linear propagation (42,78).
723 **Speed of sound:** Beam dimensions and focusing characteristics tend to be made based on an
724 assumed speed of sound. Deviations from this ideal can lead to focal location errors and power
725 loss at the focus from phase aberration. Examples include propagation through thick adipose fat
726 layers, fluid-filled bodies or coupling pads not specifically designed for ultrasound propagation
727 and the ‘thermal lens’ effect at the focus due to temperature-dependent speed of sound.

728 **Non-linear propagation:** Non-linear effects of ultrasound propagation in tissue contributes to
729 cavitation generation as well as the emission of higher harmonics, which can change the
730 expected energy delivery in the focal region (39).

731 **Acoustic impedance:** Assessment of interfaces with different acoustic impedance values is a
732 critical component of planning and patient-positioning assessment. Reflected energy can result
733 in heating in unexpected locations and/or loss of power at the focus.

734 **Anatomy:** Patient-specific anatomy and patient positioning limitations and constraints can result
735 in non-normal ultrasound beam incidence causing beam refraction, potentially resulting in power
736 loss or focal positioning errors.

737

738 **5.3 MR temperature imaging**

739 Due to the uncertainties present in treatment planning, techniques for monitoring the delivery of
740 energy into the patient are desired to ensure both safety and efficacy. MR temperature imaging
741 (MRTI) has the unique ability to accurately assess the distribution of temperature change. As
742 described in §4.7, MRTI provides a powerful *in situ* dose measurement during therapy, but is
743 susceptible to uncertainties from multiple sources. Errors in tissue temperature estimation (79),
744 discrete sampling errors (80), as well as the appropriateness and accuracy of the model for
745 predicting the isoeffect in a specific tissue are all propagated into this step. MRTI measurements
746 are typically used to determine the endpoint for a single MRgFUS exposure, making proper
747 understanding, quantification, and management of MRTI uncertainties critical in MRgFUS
748 treatments.

749

750 The accuracy and precision of MRTI is dependent on several factors. The overall signal-to-noise
751 ratio of the phase images used to calculate temperature change is a function of common
752 sequence parameters including repetition time, echo time, bandwidth, and the use of parallel
753 imaging. The type of radiofrequency MRI coil used and its placement with respect to both the
754 patient and the MRgFUS transducer significantly affect SNR. While in MR imaging it is desirable
755 to place the coil as close to the imaged anatomy as possible, this is often very difficult in
756 MRgFUS therapies due to the presence of the required MRgFUS system hardware.

757

758 The temporal and spatial resolution of the MRTI measurements can also affect the accuracy of
759 predicting the outcome of the treatment. Resolution requirements will be a function of the
760 properties of the heating pattern. For example, if single-point sonications are performed, a voxel
761 size of approximately $1.0 \times 1.0 \times 3.0 \text{ mm}^3$ would be required to accurately measure the
762 temperature while volumetric sonications (e.g., a 4-mm diameter circle) could be accurately
763 measured with a larger voxel size (e.g., $2.0 \times 2.0 \times 5.0 \text{ mm}^3$) (80). The imaging spatial resolution
764 must adequately capture the heating dynamics present in both the axial and longitudinal
765 directions of the ultrasound beam. The optimal orientation and configuration of the slices are a
766 function of the ultrasound beam characteristics and the necessity of monitoring the near- and
767 far-fields of the treatment. Because MRgFUS can heat tissues at the rate of $1^\circ\text{C}/\text{second}$, the
768 temporal resolution must be such that this heating rate can be monitored to limit overtreatment.

769

770 While the temperature sensitivity coefficient for water is known to be $-0.01 \text{ ppm}/^\circ\text{C}$, it is tissue-
771 type dependent. While most studies have found values between -0.009 and $-0.01 \text{ ppm}/^\circ\text{C}$, other
772 studies have found different values (79). This potential uncertainty should be considered when
773 validating treatment assessment techniques.

774

775 Several artifacts are common with MR thermometry. Drift of the external magnetic field, which
776 can be exacerbated by high gradient use (81), can result in what is commonly referred to as

777 phase drift. This drift can cause errors in temperature measurements but can be corrected
 778 through the use of reference phantoms (56,82) or specialized reconstruction methods (83).
 779 Local magnetic field variations can also affect the accuracy of MR temperature measurements
 780 due to the temperature dependence of the susceptibility constant, which is tissue-type
 781 dependent (84). The large temperature-dependent change in fat susceptibility can cause
 782 considerable errors in MR temperature measurements ($\pm 6^\circ\text{C}$). Because of this and the
 783 approximately 3-ppm resonance frequency shift difference of water hydrogen and those of the
 784 dominant fat hydrogen resonances (adipose and bone marrow), most MR temperature imaging
 785 methods use fat saturation to eliminate the fat signal. Finally, motion can severely affect MR
 786 temperature imaging accuracy, as discussed in the following section.

788 5.4 Motion and compensation strategies

789 All types of physiological motion are a major source of uncertainty in MRgFUS with respect to
 790 both energy delivery and therapy guidance, as demonstrated in **Figure 7**. In this example the
 791 measured temperature change is corrupted due to the muscle movement in a rabbit thigh during
 792 the ablation procedure. It is therefore important to differentiate between the sources and the
 793 time-scale of the different types of physiological motion and the potential correction methods.
 794

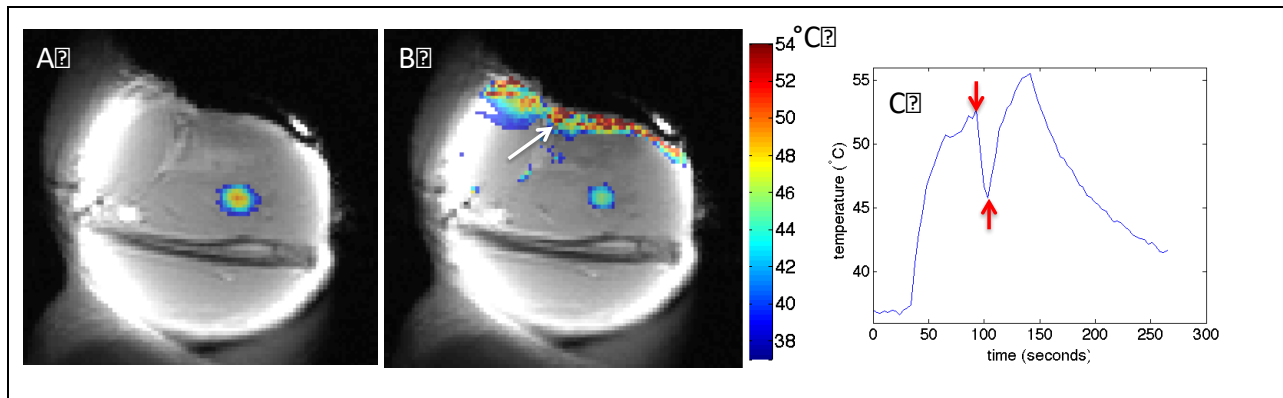


Figure 7: MRTI temperature error due to spontaneous muscle movement in a rabbit thigh. (A) and (B) show coronal slices of a single MRgFUS sonication, with spatially inaccurate temperature measurements demonstrated in (B). (C) The motion can be seen in the temporal data in the temperature versus time response of a single voxel. The rabbit spontaneously moved approximately 100 s into sonication resulting in an artificial drop in temperature response (red arrows in C) and white arrow in (B).

795
 796 **Respiratory motion:** The liver and the kidney of an adult patient move under free-breathing
 797 conditions with a periodicity of around 3-5 s, and amplitude of 10-20 mm. While the motion
 798 pattern of free-breathing patients is periodic over longer episodes (<30-45 s), it is frequently
 799 subject to changes in amplitude, phase and frequency before a new stable breathing rhythm is
 800 reached. In particular, the occurrence of involuntary spontaneous motion events, such as
 801 swallowing, coughing or muscle spasms, is hard to predict and interrupts a regular breathing
 802 pattern. The influence of respiratory motion during the initial and the final phases of the
 803 MRgFUS procedure are generally addressed with the established measures of diagnostic MRI:
 804 either respiratory gating or breath holding. Several approaches have been investigated to
 805 address respiratory motion, including induced apneas (85,86), gating strategies (87-89), beam
 806 steering strategies (90), MR-based tracking (91-97) and US-based tracking (98,99). In addition
 807 the motion of moving targets will modify the local demagnetization field, and thus also the local
 808 magnetic field, experienced by the target organ. This will also result in temperature artifacts
 809 (56,100). While several techniques have been proposed to compensate for these motion-related

810 errors (91,101-107), none of these techniques have been implemented clinically to date.

811

812 **Peristaltic motion:** A second important source of physiological motion is induced by peristaltic
813 and digestive activity. Although the timescale of peristaltic motion events depends on the
814 particular source, the resulting abdominal organ position shifts usually occur on a scale of
815 several minutes (108,109) and are generally non-reversible and aperiodic. Peristaltic motion can
816 clinically be moderated by several measures including diet modification (110) administration of
817 an antispasmodic (111) and the use of Foley catheters (108).

818

819 **Spontaneous motion:** Finally, spontaneous motion is considered one of the most challenging
820 types of physiological motion since it occurs infrequently, on a very short time-scale and is, in
821 general, irreversible. It is particularly problematic for long interventions that require the patient to
822 remain in an uncomfortable position. Similar to the field of external beam therapy, this problem
823 has been alleviated by using restraints (112), sedating the patient (113), or introducing general
824 anesthesia.

825

826 **Tissue swelling** that occurs during treatment can cause uncertainty to both the definition of the
827 volume for treatment as well as quantifying the cumulative volume already treated (114). The
828 changes in tissue volume due to thermal ablation are perhaps more immediate due to the local
829 inflammatory response generated by this type of tissue injury.

830 5.5 Thermal dosimetry

831 While thermal dosimetry is a widely used concept and is used clinically for MRgFUS, there are
832 several issues concerning its use in MRgFUS therapies. First, while it is typically assumed that
833 tissue necrosis occurs at 240 cumulative equivalent minutes at 43°C, it is known that tissue-
834 specific thresholds exist (67,68), thus requiring some kind of weighting scheme to be defined.
835 Second, the original derivation was performed at temperature levels between 42 and 47°C, well
836 below those typically encountered in MRgFUS clinical treatments. In addition, there is no
837 consideration of potential mechanical effects including cavitation and radiation forces. Despite
838 these drawbacks, the ability to measure temperature with MRI, thus enabling the calculation of
839 thermal dose, does provide some insight into the efficacy of the treatment. It should be noted
840 that artifacts in the temperature measurements have a large impact on the precision of the
841 thermal dose estimate due to its exponential dependence on the temperature.

842

843 Three-dimensional isotropic MR-thermometry in a large field-of-view would provide an accurate
844 monitoring of the thermal dose measured in the targeted area, as well as any collateral
845 damages (such as edema induced in the near-field close to the skin or due to heating of the
846 bone by absorption of the acoustic energy). An inherent trade-off exists between the available
847 signal-to-noise ratio (SNR), spatial resolution, volume coverage and scan time. While several
848 kinds of volumetric thermometry have been investigated (102,115-117), no 3D MRTI is currently
849 used clinically.

850

851 Thermal dose is generally calculated assuming that the tissue in the treatment region returns to
852 37°C between sonications. However, when the baseline is determined from the previous
853 sonication, the larger, predicted thermal dose volume was found to have better agreement with
854 the NPV assessment (118). In addition, it has been demonstrated that a significant amount of
855 thermal dose accumulates during the cooling phase of the sonication and more accurate
856 thermal dose measurements are achieved when both the heating and cooling phase of each
857 sonication are used to calculate the cumulated thermal dose (118). Gradual organ shift can also
858 occur during treatments, causing misregistration in the cumulative thermal dose maps from

859 each sonication. Organ tracking and registration can be implemented to correct for this potential
860 error.
861

862 **5.6 Key points and best practice recommendations:**

- 863 1. **Motion:** Intra- and inter-scan motion can impact all aspects of an MRgFUS procedure.
864 Clinical protocols should include appropriate treatment margins based on anatomical
865 locations and be able to adapt to potential motion artifacts on a patient-specific basis
866 during the treatment planning, monitoring and assessment phases.
- 867 2. **Treatment assessment:** Procedure uncertainties, including temperature measurement
868 and subsequent thermal dose calculations, should be considered when assessing the
869 treatment outcome.

870
871

872 **6: Safety and quality assurance**

873

874 A formalized program that provides quality assurance (QA) of expected device operation and
875 safe clinical operation is a critical component of any medical technology. In the case of
876 MRgFUS, QA takes on heightened importance due to the technical complexities of the system,
877 the diversity of potential indications, known uncertainties present in each procedure, and the
878 possibilities for adverse clinical outcomes if the system is not working within specifications.
879 When starting an MRgFUS program, it is essential that the entire institution, from administration
880 down through the treatment team, support a robust quality assurance effort to help mitigate
881 against risk to the patient and provide the most effective and positive clinical experience for both
882 the patient and for clinical personnel.

883

884 There are many components to a well-designed quality assurance program, including a formal
885 risk-analysis of the clinical workflow, pre-shipment testing of equipment by the manufacturer,
886 acceptance and commissioning activities when the equipment is installed at an institution, and
887 periodic quality assurance of various aspects of the system that may have an important effect
888 on the technical operation or clinical outcomes of a procedure.

889 **6.1. Pre-shipment testing**

890 Currently available MRgFUS systems are developed as an integration of therapeutic ultrasound
891 units with diagnostic MR units. Many aspects of these systems are difficult to test in an
892 integrated fashion after installation, as this requires in-depth engineering information that
893 resides with the manufacturer. Manufacturers have a responsibility to follow recognized good
894 manufacturing practices (119) and follow accepted national and international standards where
895 they exist. It is also incumbent on the manufacturer to test aspects of the equipment that cannot
896 be evaluated on site due to integration challenges or to the presence of high-strength magnetic
897 fields. The pre-shipment testing results obtained by a manufacturer should ideally be reported to
898 the customer institution before shipment.

899 **6.2. Risk analysis of clinical procedures**

900 Institutions involved in MRgFUS procedures should commit to performing formal risk-based
901 analyses of the clinical procedure(s) to be followed for a given indication. Formalized risk-
902 analysis is a well-established technique in manufacturing, and is gaining prominence in medical
903 settings. The recently published report of AAPM Task Group 100 (120) provides detailed
904 guidance for modeling a clinical process, formalizing an analysis and prioritization of process
905 risk (based on severity, frequency, and ability to detect a fault), determining methods for

906 mitigating risk in priority order, and evaluating the effectiveness of implemented process
907 changes. This important step forms the backbone of any quality control/improvement effort, and
908 requires the multidisciplinary participation of each member of the clinical treatment team.
909

910 Risk-based process analysis is especially important for an emerging field such as MRgFUS,
911 where the technologies and techniques are changing rapidly, and the most appropriate QA
912 tests, frequencies, and passing criteria are not well established. Formalized methods for
913 analyzing a clinical process make it possible to determine which areas of risk are best managed
914 via detection (i.e. QA tests), and which are best mitigated through changes in the clinical
915 workflow that eliminate or reduce the risk. Periodic review of the risk analysis can help to
916 determine appropriate QA frequencies and QA pass criteria that are based on data rather than
917 an instinctual notion of what is reasonable to expect. An example of the application of failure
918 mode and effects analysis (FMEA) to an ultrasound hyperthermia cancer therapy system has
919 been reported (121).

920 **6.3. Equipment acceptance and commissioning**

921 Acceptance and commissioning are two closely related quality assurance tasks that provide a
922 formal method for evaluating new equipment and creating a baseline characterization of system
923 performance that can subsequently be tracked over time.

924 **Acceptance Testing:** Every MRgFUS device should undergo formal acceptance tests with
925 defined pass criteria. The purpose of acceptance testing is to ensure that new equipment meets
926 its intended design specifications. Acceptance tests and criteria are generally developed by the
927 manufacturer and are commonly included as part of the sales contract of the equipment. Ideally
928 the institution should have input into the acceptance procedures, tests to be performed, and the
929 definition of a successful test.

930
931 In the case of MRgFUS systems, there may be several levels of acceptance tests. The MR and
932 focused ultrasound subsystems may have separate acceptance tests (122), and then there may
933 be acceptance tests for the integrated system (123). Ancillary equipment such as auto-injectors,
934 monitors, and other clinical equipment may have their own acceptance testing criteria.
935 Acceptance testing is often performed by the manufacturer under the observation of a qualified
936 medical physicist.

937
938 While the details of acceptance testing for a complete MRgFUS system will necessarily vary
939 with manufacturer, some common tests would likely include:

- 940 • Test of motor systems and their capability to move the transducer to desired locations
- 941 • Test of transducer focusing and beam steering (using MR thermometry or other
942 methods, such as field mapping using a hydrophone)
- 943 • Test of table position and homing within magnet
- 944 • Measurement of magnet stability and baseline temperature stability
- 945 • Evaluation of imaging SNR and quality using appropriate MRI RF coils (in phantom and
946 volunteer)
- 947 • Evaluation of temperature accuracy in phantom – comparison of MR thermometry
948 against fiberoptic temperature sensor
- 949 • Function of planning/delivery software
- 950 • Cavitation detection software/electronics
- 951 • Safety interlocks

952 **Commissioning:** Commissioning is a QA task that is distinct from acceptance testing. The
953 purpose of commissioning is to establish a comprehensive baseline characterization of system
954 performance that will be used as a reference for comparing measurements of ongoing periodic
955 quality assurance tests to make it possible to detect changes in system performance. Unlike
956 acceptance tests that are often performed by the manufacturer and approved by the institution
957 physicist, commissioning should be the responsibility of an institution's qualified medical
958 physicist.

959
960 As with acceptance testing, the details of commissioning for an MRgFUS unit may vary with
961 manufacturer. Many of the commissioning tests will likely be similar to those for acceptance
962 testing. However rather than simply demonstrating that the system meets its stated
963 specifications, the commissioning tests are performed in order to determine the baseline
964 performance of the system. As such, the level of attention and detail required for commissioning
965 may be greater than that required simply to prove that a device meets its contractual
966 requirements during acceptance testing.

967 **6.4 Daily quality assurance testing**

968 Daily quality assurance (DQA) testing of MRgFUS involves systematic checks and monitoring of
969 selected parameters to determine compliance within acceptable limits before clinical use for a
970 particular patient (or group of patients on a particular day). The purpose of such checks and
971 monitoring is to confirm system safety and to detect changes in system performance before they
972 can adversely affect positioning and heat delivery during therapy. Where a system is not used
973 on a daily basis, the system's DQA procedures should be undertaken within the 24 hours before
974 each patient treatment. Additional DQA at least 7 days prior to a treatment would allow any
975 malfunction to be rectified without requiring a scheduling delay for the patient. While many
976 methods for the calibration and characterization of ultrasound equipment are available (124-
977 126) and QA programs for MRI are well established (127,128), there are few guidelines as to
978 what constitutes an acceptable DQA protocol for MRgFUS.

979
980 DQA procedures focus on simple and reliable measurements, achievable in a clinical setting,
981 that are sufficient to ensure system stability for the whole MRgFUS therapy device, including
982 imaging guidance, power output, and targeting accuracy. Detection of problems that could lead
983 to danger to the patient or the treatment team is of primary importance. Due to heavy demands
984 on MRI time, it would be desirable to keep the duration of DQA testing to a minimum. While the
985 more extensive pre-shipment or acceptance testing methods may include measurements such
986 as acoustic output with a radiation force balance, or mapping the pressure or intensity
987 distribution using a hydrophone, temperature probe, or optical system, their regular performance
988 may not be practical. Some are impractical at high acoustic power levels or inside the magnet
989 bore and do not characterize the imaging quality or transducer positioning system. There are
990 certain checks, tests, and measurements, however, that can be performed daily, or prior to
991 therapy, to verify the safety, functionality, and performance of the system.

992
993 The MRgFUS DQA procedure should ideally be executed by the site technologist or physicist
994 before the first patient of the day. Specific checks will vary by machine, but should include visual
995 checks for fluid leaks, cracks, or other defects in the ultrasound window, as well as verification
996 that cables, hoses, and connectors are undamaged. The DQA procedure should also include
997 routine checks for the MR imaging coil, transducer positioning system, operator safety device,
998 as well as the patient emergency safety device functionality.

999
1000 A key component of any DQA procedure for MRgFUS would include performing test sonications

1001 into a tissue-mimicking phantom that is acoustically coupled to the therapy tabletop using
1002 degassed water to ensure the system works in an end-to-end fashion within acceptable clinical
1003 limits. In normal use, the MRgFUS system is centered in the magnet bore. Any errors in
1004 ultrasound output, transducer positioning, and/or table positioning can result in shifts in both the
1005 magnitude and location of the intended temperature elevation, relative to the delivered heating
1006 in the phantom. Possible deviations are investigated by sonication of a tissue-mimicking
1007 phantom while monitoring heating with MRTI. Sonication into a phantom while collecting real-
1008 time temperature maps allows quick performance assessment of a clinical MRgFUS device: the
1009 acoustic output is represented by the temperature rise curve and the maximum temperature
1010 achieved, the targeting accuracy, and the size and geometry of the heating volume. An example
1011 of this type of testing is seen in **Figure 8**. The results are used to confirm the functionality and
1012 performance of the system. In addition, the history of recorded results enables the tracking of
1013 deviations, or of drift in system performance (129).

1014
1015 Upon initiating the DQA procedure, a number of sonications to multiple locations within the
1016 phantom are sequentially performed, followed by an analysis (sometimes automated by vendor
1017 software) of the results. In many cases the vendor will provide recommended pass/fail criteria.
1018 This task group recommends that in no case should the clinic adopt pass/fail criteria that is less
1019 stringent than the vendor's criteria without detailed justification.

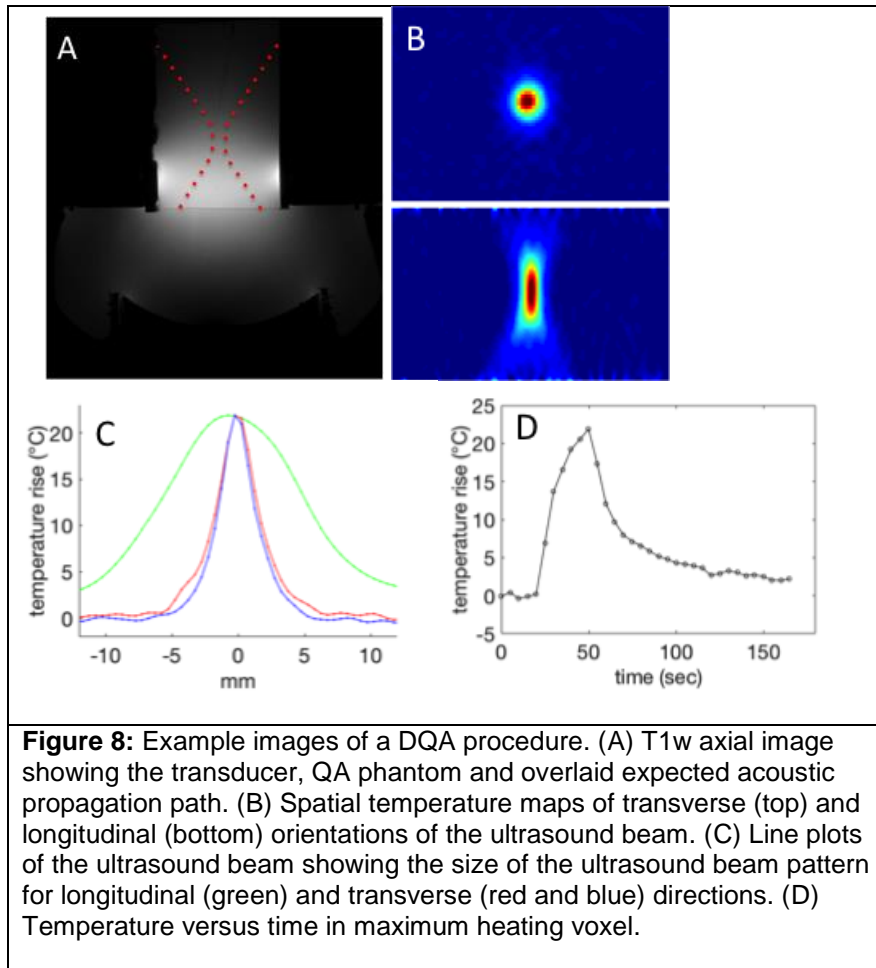
1020
1021 DQA for existing MRgFUS devices commonly assess parameters such as the following:

- 1022 • Shape, size, and position of the tissue-mimicking phantom as seen on MRI
- 1023 • Image signal-to-noise ratio (SNR)
- 1024 • Cross-sectional dimensions and shape of the heating pattern
- 1025 • Maximum temperature elevation
- 1026 • Rate of temperature increase
- 1027 • Spatial targeting accuracy

1028
1029 In an ideal situation, all of these parameters should remain nearly constant from day to day.
1030 While some day-to-day variations in the aforementioned parameters should be expected, the
1031 results should be within acceptable, pre-defined limits. For example, the maximum temperature
1032 elevation should be within a few degrees of the nominal value. Similarly, the spatial targeting
1033 accuracy should be on the order of 2 mm.

1034
1035 The DQA procedure provides a rapid assessment of basic system functionality. If the QA fails,
1036 however, in some cases it may be difficult to differentiate between issues with the host MRI
1037 system, the tissue-mimicking phantom or its setup, and the MRgFUS system itself. For
1038 example, if the DQA procedure fails due to an insufficient temperature increase, this may be
1039 due to inadequate acoustic coupling between the tabletop and phantom, deteriorated or
1040 damaged phantom, or reduced acoustic output of the MRgFUS system. In these cases,
1041 repeating the DQA test or performing more substantial testing may be needed to locate the
1042 primary issue. If repeating the DQA test does not help, or if the test repeatedly fails, the service
1043 support of the vendor should be contacted.

1044



1045 **6.5 Periodic testing**

1046 DQA procedures are basic by design, and are not intended to fully capture deviations in system
 1047 performance. MRgFUS centers should also create a formal program of periodic testing that can
 1048 compare system performance against the baseline obtained during commissioning as a way of
 1049 detecting changes in the system over time. Periodic testing is commonly a spot check of
 1050 commissioning results. The specific checks to be performed should ideally be informed as part
 1051 of the risk-based analysis described in §6.2 as well as by accumulated clinical experience and
 1052 experience with equipment failures. These tests may vary by equipment, and different tests may
 1053 be performed at different time intervals. However the program should be formally written so it is
 1054 simple to understand and rigorously followed. A partial list of areas the task group members
 1055 have found important to check include the following (which are not meant to be exhaustive):
 1056

1057 It is recommended to perform regular checks on the degassing system in order to ensure the
 1058 quality of the acoustic coupling fluid. The formation of bubbles in the coupling fluid between the
 1059 transducer and the skin surface may disturb the beam focusing and may lead to increased local
 1060 heating if they are in close proximity to the skin itself. For systems in which the transducer is
 1061 immersed in oil with a membrane interface to the patient skin there is unlikely to be a problem if
 1062 all seals are unbroken. Where water is used, the oxygen content can be checked using a
 1063 dissolved oxygen meter if there is user access to this compartment. An oxygen content level of
 1064 ≤ 3 mg/L is usually considered to be acceptable. Where skin/membrane coupling is achieved
 1065 using degassed water from an external degassing system, the oxygen content resulting from the

1066 standard degassing protocol used before a patient treatment should be checked after every 20
 1067 patients or 6 months.

1068
 1069 Where a fixed membrane forms an integral part of the transducer assembly, the membrane
 1070 integrity should be checked after every 20 patients or 6 months. The table-top cover should be
 1071 removed and the system inspected for leaks at this time. It is also important to check the table
 1072 position and its homing ability relative to the magnet bore at the same intervals (see §6.3). The
 1073 position of the transducer in the table, its movement capability (see §6.3) and the functioning of
 1074 the patient emergency stop button should also be checked at these times.

1075
 1076 The magnetic field and baseline temperature stability should be checked every 6 months using
 1077 the methods used at acceptance (see §6.3). It should be ascertained that all elements of the
 1078 MRI RF imaging coil are fully functioning. This should ideally be performed after each cohort of
 1079 20 patients. One method of doing this is to use an appropriate phantom, and to assess imaging
 1080 from each element individually (130).

1081
 1082 Although many systems indicate the status of transducer elements during the DQA tests, it is
 1083 important to do a more thorough survey after every 100 treatments or 1 year. Associated with
 1084 this should be a check of the transducer's electro-acoustic efficiency. This is most easily carried
 1085 out using a measurement of acoustic power through radiation force balance testing.

1086
 1087 **Table 3** summarizes a suggested set of tests and frequencies required to maintain safety in an
 1088 MRgFUS program with currently available equipment. As MRgFUS technology is continually
 1089 evolving, this table should be viewed as a guide and not as a sufficient, comprehensive list.

1090
 1091
 1092 **Table 3: Recommended MRgFUS testing plan. The frequency recommendation should be**
 1093 **implemented for whichever instance occurs first.**

Test description	Measured parameter	Testing subset	Frequency
Transducer focusing capability	FWHM of beam	Acceptance, Commissioning, DQA	Either daily or before every patient
Transducer steering	Distance of beam steering	Acceptance, Commissioning, DQA	Either daily or before every patient
Imaging SNR	Comparison to baseline*	Acceptance, Commissioning, DQA	Either daily or before every patient
Safety interlock evaluation	Functionality	Acceptance, Commissioning, DQA	Either daily or before every patient
Visual check of the equipment for damage	Comparison to baseline*	Acceptance, Commissioning, DQA	Either daily or before every patient
Coupling membrane integrity inspection	Comparison to baseline*	Acceptance, Commissioning, DQA	Either daily or before every patient
Motor system evaluation	Comparison to baseline*	Acceptance, Commissioning, Periodic	Every 20 patients or 6 months
Table positioning and homing capability	Comparison to baseline*	Acceptance, Commissioning, Periodic	Every 20 patients or 6 months
MR temperature imaging accuracy	Comparison to invasive fiberoptic probe	Acceptance, Commissioning, Periodic	Every 20 patients or 6 months
Planning/delivery software function evaluation	Comparison to baseline*	Acceptance, Commissioning, Periodic	Every 20 patients or 6 months
Cavitation detection	Frequency spectrum	Acceptance,	Every 20 patients or

		Commissioning, Periodic	6 months
Degassing system	Oxygen content (ppm)	Acceptance, Commissioning, Periodic	Every 20 patients or 6 months
Acoustic output (radiation force balance)	Transducer output (in W)	Acceptance, Commissioning, Periodic	Every 100 patients or 1 year
Ultrasound beam characterization (hydrophone)	FWHM, I_{SPPA}	Acceptance, Commissioning, Periodic	Every 100 patients or 1 year

1094 ***Baseline values obtained during commissioning testing**

1095 **6.6 Key points and best practice recommendations**

- 1096 1. **Pre-shipment testing** performed by a manufacturer should ideally be reported to the
1097 customer institution before shipment.
- 1098 2. **Formal risk analysis** should be conducted to identify and prioritize technical and clinical
1099 risk so they may be mitigated. The outcome of the formal risk analysis should be used to
1100 create formal QA procedures, frequencies, and tolerances.
- 1101 3. **Periodic review of the risk analysis** should be performed to ensure appropriate QA
1102 frequencies and QA pass criteria are databased.
- 1103 4. An **acceptance test** of an MRgFUS system should be conducted before final
1104 acceptance of the equipment from the manufacturer to ensure the system meets its
1105 contractual specifications. The acceptance tests are commonly performed by the
1106 manufacturer with participation and sign-off by the site technologist or physicist.
- 1107 5. A set of **commissioning tests** with all required MRgFUS treatment equipment should
1108 be conducted before first clinical use of the system. This should result in a baseline
1109 characterization of system performance. The institution's qualified medical physicist
1110 should perform these tests.
- 1111 6. A formal **DQA program** is critical to ensure the MRgFUS system is operating within
1112 expected limits before treating a patient or group of patients.

1113 **7: Responsibilities and training requirements of medical physicists for MRgFUS**

1114 MRgFUS procedures encompass a broad range of indications that span a large number of
1115 treatment sites. While the overall clinical oversight and decision-making process is the
1116 responsibility of the supervising physician, most MRgFUS procedures will likely be
1117 multidisciplinary in nature. The specific personnel requirements for MRgFUS will vary
1118 considerably with the specific indication and technique. Physicians involved in various
1119 procedures may include subspecialties such as oncology, interventional radiology and surgery.
1120 There may also be varying requirements for essential support staff including technicians,
1121 nurses, anesthesiologists and physicists. In all cases these essential team members require a
1122 proper level of training in order to ensure each team member can safely perform the required
1123 responsibility of their role.

1124
1125
1126
1127 Regardless of indication, MRgFUS procedures are comprised of a complicated set of
1128 techniques that are critically dependent on advanced, hybrid technology. This makes it
1129 imperative that the treatment team includes one or more team members with a technical
1130 background appropriate for ensuring the quality and safety of the treatment delivery. Medical
1131 physicists are in many cases an obvious choice to fill this role as they have direct experience in
1132 the therapeutic delivery of directed energy therapies and often have technical experience in
1133 imaging-centric technology.

1134 **7.1 Responsibilities of a qualified medical physicist for MRgFUS**

1135 While the details may vary by procedure, the responsibilities of an MRgFUS-trained medical
1136 physicist include:

- 1137
- 1138 1. The end-to-end technical performance of the MRgFUS system, including all imaging,
1139 localization, immobilization, treatment planning, and treatment delivery components.
 - 1140 2. The technical acceptance and initial commissioning of the MRgFUS system. The
1141 medical physicist must oversee the end-to-end technical operation of the MRgFUS
1142 system, work with the manufacturer(s) to complete contractual acceptance
1143 procedures, and create a baseline characterization of the system as part of system
1144 commissioning.
 - 1145 3. Evaluating the clinical workflow of the MRgFUS procedure for risk to the patient and
1146 developing strategies to mitigate this risk (120). This will include developing
1147 procedures for assuring the ability of the MRgFUS system to deliver a desired
1148 treatment as well as basic operational and safety QA procedures (§6).
 - 1149 4. Assisting in developing emergency response procedures in the setting of an
1150 unplanned technical or clinical event.
- 1151

1152 It may be that in some centers the complete set of required skills does not reside in a single
1153 individual. In this instance, it may be preferable for a team of physicists to jointly fulfill the
1154 responsibilities (for instance, a trained MR physicist and a trained therapy physicist with
1155 ultrasound and bioheat transfer experience (131)).

1156 **7.2 Recommended training guidelines**

1157 Before participating in an MRgFUS procedure it is critical that all team members are thoroughly
1158 trained, both on the technical use of the equipment and on the specific clinical procedures. A
1159 critical aspect of training for any procedure is support from the administration of an institution,
1160 which ultimately has the responsibility of formally credentialing team members to participate in
1161 an MRgFUS program. This task group recommends that any institution wishing to start an
1162 MRgFUS program create formal procedures for training and credentialing personnel who will
1163 participate in procedures. While no formal board certification currently exists for MRgFUS
1164 procedures, this report recommends the following qualification guidelines for a medical physicist
1165 participating in MRgFUS procedures (131):

1166

- 1167 1. The medical physicist should have an understanding of thermal dosimetry, MRI
1168 physics and therapeutic ultrasound with the knowledge of how these technologies
1169 interact during an MRgFUS procedure.
- 1170 2. The medical physicist should receive vendor-specific training on the equipment to be
1171 used and for the specified indication. This training may be accomplished through a
1172 hands-on training program provided by the vendor (on-site or off-site) or by medical
1173 physicists already qualified for the specific equipment and indication.
- 1174 3. Training should include a review of a formal risk analysis of the clinical procedure,
1175 hands-on instruction on intended treatment workflow, device operation, treatment
1176 planning/console operation, general safety procedures, MR-specific safety procedures,
1177 emergency procedures, and procedures for involving vendor service when appropriate.
- 1178 4. If training is for an indication not currently offered, the vendor training program should
1179 include supervision of some agreed-upon number of cases (this task-group
1180 recommends a minimum of 3 cases) or the medical physicist should visit an institution
1181 currently offering the procedure and should observe some number of cases.
- 1182 5. Whenever possible, the outcomes of peer-reviewed studies, and especially
1183 prospective clinical trials, should be used to guide clinical procedures. If the procedure

1184 represents a new MRgFUS technique, then the procedure should be performed only
1185 after review and approval by the local institutional review board (IRB).

1186 **7.3 Ongoing training**

1187 Training materials should be reviewed on at least an annual basis by the treatment team.
1188 Changes in training materials should incorporate lessons learned by the treatment team,
1189 technical problems encountered, clinical workflow problems, near misses, and similar issues.
1190 Training materials should also be reviewed and updated after treatment machine upgrades.

1191
1192 All treatment team members should undergo refresher training annually or whenever significant
1193 changes to the training materials have been made. Individuals who fail to complete refresher
1194 training should be prevented from participating in procedures until their training can be brought
1195 up to date.

1196

1197

1198 **8: Regulatory Considerations**

1199 Since institutions with regulatory-approved MRgFUS systems may be interested in performing
1200 off-label clinical trials, this section details the requirements to obtain approval to use the
1201 approved device for investigational off-label use.

1202 **8.1. Regulatory Pathway for Clinical Trials to Evaluate Medical Devices**

1203 **8.1.1 Investigational Device (IDE) Applications:** The Center for Devices and Radiological
1204 Health (CDRH) in the Food and Drug Administration (FDA) Office of Medical Products and
1205 Tobacco is responsible for regulation of medical devices sold in the United States. As part of
1206 these responsibilities, CDRH reviews submissions for: 1) clinical trials to evaluate medical
1207 devices and 2) approval or clearance to market medical devices. This section will concentrate
1208 on the first type of submission because it may be of greater interest to medical physicists
1209 involved in clinical evaluation of MRgFUS devices. However, the preclinical characterization for
1210 MRgFUS devices (§8.1.2) would be similar for both types of submissions. A complete
1211 description of the formats, required elements, and processing of regulatory submissions to
1212 CDRH would require more space than is allowed in this Task Group Report. However, a brief
1213 summary of important concepts relevant to MRgFUS device regulation follows.

1214

1215 Clinical evaluation of the safety and effectiveness of medical devices falls under the
1216 Investigational Device Exemption regulations (21 CFR Part 812). These regulations require the
1217 submission of an IDE application to FDA prior to conducting a study on a *significant risk device*,
1218 where a significant risk device is one that presents a potential for serious risk to the health,
1219 safety, or welfare of a subject. The FDA considers many MRgFUS studies to be significant risk,
1220 requiring submission of an IDE application to FDA, unless exempt. Once an IDE application is
1221 approved by FDA, it must also be approved by the IRB(s) at the institution(s) where the study
1222 will be conducted before the study can begin.

1223

1224 A physician may use a medical device for an indication not in the approved labeling when the
1225 intent is treatment of a patient within a given practitioner-patient relationship. However, the
1226 physician has responsibility to base its use on sound medical evidence and to maintain records
1227 of the product's use and effects. Such "off-label" use does not require an IDE submission to
1228 FDA. The IRB for the institution where the device would be used may require review or
1229 oversight of off-label use, depending on institutional policies. The requirement for an IDE
1230 application depends on whether the off-label use is in the context of clinical practice or a

1231 planned investigation. Off-label use to treat a particular patient in the best interest of the patient
1232 according to the physician's medical judgment is "practice of medicine," while using the device
1233 in a clinical investigation to *study* an off-label use may require an IDE, depending on the risk of
1234 the investigation.

1235
1236 An IDE application contains the following: 1) name and address of sponsor, 2) a report of prior
1237 investigation of the device, 3) an investigational plan, 4) a description of manufacture,
1238 processing, packing, storage, etc. of the device, 5) the agreement to be signed by investigators
1239 prior to their participation and a list of all investigators, 6) certification that all investigators will
1240 have signed the agreement prior to participating in the study, 7) information regarding all IRBs
1241 that have been or will be asked to review the protocol, 8) information regarding institutions
1242 where the investigation may be conducted, 9) amount (if any) charged for the device and an
1243 explanation of why sale does not constitute commercialization, 10) information regarding an
1244 environmental assessment, 11) device labeling information, 12) all informed consent materials
1245 and related information to be provided to subjects, and 13) any additional relevant information
1246 that the FDA requests in order to perform a complete review. Detailed requirements for the
1247 contents of the IDE application can be found in 21 CFR 812.20.

1248
1249 An IDE application may be approved, approved with conditions, or disapproved. If the IDE
1250 application is approved or approved with conditions, the study may begin after it is reviewed and
1251 approved by the IRB(s). If it is disapproved, the study may not begin until the sponsor responds
1252 to outstanding deficiencies and obtains approval. If the FDA does not inform the sponsor of one
1253 of these determinations within 30 days of receipt, then the IDE application is deemed approved.

1254
1255 Prior to submission of an IDE application, sponsors may contact the FDA to obtain further
1256 guidance through the pre-submission process
1257 ([http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocu](http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM311176.pdf)
1258 [ments/UCM311176.pdf](http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM311176.pdf)).

1259
1260 Some key requirements in the IDE regulations are that: 1) investigational devices bear specific
1261 labeling indicating investigational use, 2) investigational devices are distributed only to qualified
1262 investigators, 3) informed consent is obtained from subjects participating in the study, 4)
1263 investigations are monitored to protect human subjects and assure compliance with approved
1264 protocols, 5) investigational devices are not commercialized or promoted, and 6) sponsors and
1265 investigators maintain specified records and provide appropriate reports.

1266
1267 More information regarding IDE regulations may be found at
1268 [http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/HowtoMarketYourDevice/Inv](http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/HowtoMarketYourDevice/InvestigationalDeviceExemptionIDE/default.htm)
1269 [estigationalDeviceExemptionIDE/default.htm](http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/HowtoMarketYourDevice/InvestigationalDeviceExemptionIDE/default.htm).

1270
1271 **8.1.2. Preclinical Testing for IDE Applications:** The FDA may disapprove an IDE application
1272 for lack of compliance with IDE regulations, false information, inadequate response to FDA
1273 requests for additional information, inadequate informed consent, inadequate investigational
1274 plan, inadequate manufacturing, or inadequate monitoring. In addition, the FDA may
1275 disapprove an IDE application if the FDA finds that there is reason to believe that: 1) the risks to
1276 the human subjects are not outweighed by the anticipated benefits to the subjects or the
1277 importance of the knowledge to be gained, 2) the investigation as proposed is scientifically
1278 unsound, or 3) the device as used is ineffective. See 21 CFR 812.30(b) for more detail
1279 regarding the grounds for disapproval. In the case of MRgFUS devices, preclinical testing, as
1280 described below, can be helpful to address concerns related to risk/benefit, scientific
1281 soundness, and device effectiveness. In the case of investigation of a legally-marketed device

1282 for a new indication, some of the following tests may have already been performed in order to
 1283 obtain original FDA approval or clearance. However, depending on the extent of the
 1284 modification or the change in intended use, previous tests may have diminished relevance and
 1285 may need to be repeated under conditions more appropriate to the IDE application.
 1286

1287 Due to the diversity in MRgFUS technological approaches and indications for use, it would be
 1288 difficult to prescribe a specific procedure for testing to support all IDE applications. The
 1289 following offers general considerations for characterization of MRgFUS system performance.
 1290 This extends a basic framework for FDA regulation of HIFU (132), accounting for recent
 1291 technological developments, progress in international standards development, and accumulated
 1292 FDA experience with submissions for MRgFUS devices.
 1293

1294 FDA submissions may be supported by conformance with standards published by professional
 1295 organizations (see **Table 4**). Specifications that describe MRgFUS system performance include
 1296 center frequency, peak rarefactional pressure, peak compressional pressure, acoustic intensity,
 1297 acoustic power, duty cycle, and pulse duration.
 1298

1299 **Table 4:** International Electrotechnical Commission (IEC) documents relevant to MRgFUS
 1300 devices. (TS: Technical Specification, TR: Technical Report.)

Title	Number	Status	Recognized by FDA
Ultrasonics – Power measurement – High intensity therapeutic ultrasound (HITU) transducers and systems	IEC 62555	Published Ed 1.0, 2013	yes
Ultrasonics – Field characterization – Specification and measurement of field parameters for high intensity therapeutic ultrasound (HITU) transducers and systems	IEC/TS 62556	Published Ed. 1.0, 2014	
Medical electrical equipment –Part 2-62: Particular requirements for the basic safety and essential performance of high intensity therapeutic ultrasound (HITU) equipment	IEC 60601-2-62	Published Ed. 1.0, 2013	yes
Requirements for measurement standards for high intensity therapeutic ultrasound (HITU) devices	IEC/TR 62649	Published Ed. 1.0, 2010	
Measurement of ultrasound field parameters at high pressure therapeutic levels in water		Work in progress	
Ultrasonics - Field Characterization - measurement-based simulation in water and other media		Work in progress	

1301
 1302 **8.2 Technical information to support submissions to FDA**

1303 **Characterization of free-field ultrasonic power and focusing:** Ultrasound power output is
 1304 often measured with a radiation force balance (37). An ultrasound wave may be directed
 1305 toward a target that rests in a balance, which measures radiation force. In order to reduce
 1306 problems associated with excessive target heating, measurements may be performed in burst
 1307 mode and then extrapolated to continuous wave values (133). Measurements may become
 1308 progressively less accurate as the ultrasound wave deviates from a plane wave, but correction
 1309 factors may be applied to mitigate this problem (134). Another approach measures the change
 1310 in buoyancy caused by thermal expansion of castor oil inside a target suspended in a water
 1311 bath. The change in volume is proportional to the incident energy (135). Ultrasound power may

1312 also be measured using the pyroelectric effect when an ultrasound wave is incident upon a thin
1313 piezoelectric polymer (polyvinylidene fluoride or PVDF) membrane bonded to a highly absorbing
1314 backing layer (36). The relative merits of different approaches have been analyzed in the
1315 context of focused ultrasound system quality assurance (136).

1316
1317 Hydrophones may be used to map the axial and lateral extent of the pressure fields in the focal
1318 zone. Special hydrophones have been designed to reduce the likelihood of damage or
1319 inaccurate measurements due to cavitation that can occur at focused ultrasound levels of
1320 intensity. These include membrane (137,138), needle (139), and fiber optic (140-142) designs.
1321 Hydrophone frequency-dependent sensitivity is often not uniform across the full spectra of
1322 focused ultrasound signals (which are very broadband due to the presence of many harmonics)
1323 and therefore sensitivity deconvolution may be required for accurate pressure measurements
1324 (143-147). Even with robust hydrophones, formidable challenges remain for making consistent,
1325 direct measurements of focused ultrasound pressures because of high intensity values,
1326 potential presence of shock fronts, challenges in positioning, and possibility of cavitation (148).

1327
1328 Because of practical difficulties associated with direct hydrophone measurements of focused
1329 ultrasound pressures, methods based on a combination of hydrophone measurements and
1330 computational modeling have been proposed. First, the pressure field of a source transducer is
1331 measured with a hydrophone under conditions of low-amplitude linear propagation. These
1332 measurements may be performed in the focal region along the axial dimension (and possibly
1333 the transverse dimension also) (149,150) or throughout a planar region intercepting the entire
1334 ultrasound beam (151,152). Then, linear propagation modeling is used to estimate the effective
1335 pressure distribution across the source transducer surface (153). Finally, computational
1336 modeling based on the Khokhlov–Zabolotskaya–Kuznetsov (KZK) or Westervelt equation is
1337 used to predict the pressure distribution under clinical nonlinear conditions. Other potential
1338 methods for characterization of focused ultrasound pressure fields involve optical methods
1339 (including Schlieren) (125,154,155) and streaming (156).

1340
1341 **Demonstration of effective lesion formation:** Experiments to demonstrate effective lesion
1342 formation may be performed in tissue-mimicking materials (TMMs), *ex vivo* tissue, *in vivo* tissue,
1343 or some combination. TMM tests are useful because they are relatively simple, inexpensive,
1344 and reproducible. In addition, they can yield temperature vs. time profiles similar to those
1345 measured in *ex vivo* tissue (157). However, the value of MRgFUS experiments in TMMs and *ex*
1346 *vivo* tissue may be limited if they do not match *in vivo* conditions, particularly perfusion. Many
1347 formulas for TMMs have been proposed (158-168). The choice of TMM will depend on the
1348 application.

1349
1350 Time-dependent temperature profiles may be measured using thermocouples embedded into
1351 TMM or tissue. Investigators should understand potential errors due to viscous heating from
1352 relative motion between the thermocouple and the surrounding medium (36,169), which may be
1353 mitigated by using extrapolation from measurements performed during the cooling period that
1354 begins immediately following the end of sonication (157,170). Investigators must also be aware
1355 of potential effects due to bubbles (*e.g.*, from cavitation or boiling), which can produce enhanced
1356 heating and/or shielding (157,158,171,172). Thin-film thermocouples may be less susceptible
1357 to viscous heating artifacts than fine-wire thermocouples (36). Thermocouples may be chosen
1358 with dimensions that are small compared to a wavelength in order to minimize distortion of the
1359 ultrasound field (173,174).

1360
1361 Computational modeling can complement experimental measurements for characterization of
1362 MRgFUS device performance. Modeling of nonlinear propagation may be based on the KZK or

1363 Westervelt equation. Thermal analysis based on the bio-heat equation may be used to predict
1364 thermal dose contours (132). A free, downloadable software package called “HIFU Simulator”
1365 on the MathWorks website (Natick, MA) solves the KZK equation for the pressure distribution of
1366 an axisymmetric focused ultrasound transducer and provides accompanying thermal analysis
1367 (175). It is important to account for the effects of blood flow, which can have a significant effect
1368 on heating patterns (176-178).

1369
1370 **Demonstration of accurate targeting and monitoring:** MRgFUS device characterization may
1371 include an analysis of co-registration of planned vs. actual treatment zones (*i.e.*, targeting
1372 accuracy) and volumes. Treatment effectiveness may be supported by histopathological
1373 confirmation of the desired result at the cellular level (*e.g.*, coagulative necrosis) as well as
1374 images of treatment regions. The methods of thermal measurements, TMMs, and
1375 computational modeling discussed in the previous section may also be applied to demonstration
1376 of accurate targeting and monitoring.

1377
1378 MRgFUS device characterization may include an analysis of the effects of a variety of tissue
1379 types within or near the intended treatment volume. For example, if tissues that contain gas
1380 (*e.g.*, lungs and bowel) lie near the treatment volume, the effects of scattering from soft
1381 tissue/gas interfaces may affect MRgFUS device performance (136). Some tissues such as
1382 nerve tissue may be particularly sensitive to MRgFUS. Enhanced heating may occur near bone
1383 surfaces due to the relatively high absorption coefficient of bone (179-181). In order to ensure
1384 safety, the maximum temperature rise outside the intended treatment volume is of interest.
1385 Histology may be used to support safety of non-targeted tissue. Changes in beam structure due
1386 to temperature-dependent changes in tissue properties (especially speed of sound) can have
1387 noticeable effects on treatment zone location and size. If the MRgFUS device induces cavitation
1388 in tissues, then documentation of effective cavitation detection is an essential component of the
1389 device characterization.

1390
1391 The nature of clinical testing will depend on the indications for use. The safety and
1392 effectiveness of a particular MRgFUS device may sometimes be evaluated in the context of
1393 alternative therapies (*e.g.*, surgery, cryotherapy, radiofrequency ablation). Statistical
1394 methodology, including hypothesis testing and sample size justification, is always an important
1395 component of clinical testing.

1396 1397 **8.3 Key points and best practice recommendations**

- 1398
1399 1. MRgFUS devices are usually considered to be **significant risk devices** and therefore
1400 require approval of an Investigational Device Application (IDE) by the FDA before use in
1401 an investigational study.
1402 2. **Complete characterization of an MRgFUS device** includes characterization of free-
1403 field ultrasonic power and focusing, demonstration of effective lesion formation, and
1404 demonstration of accurate targeting and monitoring.

1405 1406 **9: Conclusions and recommendations**

1407 Magnetic resonance-guided focused ultrasound is a completely non-invasive technology that
1408 has been FDA approved to treat several diseases. While several specialized systems are
1409 approved and the number of commercial options continue to expand, this report describes and
1410 recommends best practices only for the clinical external body MRgFUS systems.

1411
1412 This hybrid technology requires medical physicists and other qualified personnel to be well-
1413 versed in multiple technologies including therapeutic ultrasound, thermal dosimetry and

1414 magnetic resonance imaging. While current practices are well established among individual
1415 vendors, it is the job of the MRgFUS physicist to be able to identify potential sources of
1416 uncertainty in these procedures and recommend and manage the quality assurance programs
1417 required to adequately manage those uncertainties. This report has provided key points and
1418 best practice recommendations that will allow the identification and management of the
1419 uncertainties that can potentially arise during MRgFUS body treatments, ultimately affecting the
1420 treatment outcome.

1421
1422 MRgFUS is a developing technology with opportunities for continuous progression and
1423 improvement. The MRgFUS medical physicist can not only play a critical role in initiating and
1424 maintaining MRgFUS clinical programs, but also can provide insights and innovations that will
1425 continue to advance this technology into broader use in healthcare.

1426

1427 *DISCLAIMER: The mention of commercial products, their sources, or their use in connection*
1428 *with material reported herein is not to be construed as either an actual or implied endorsement*
1429 *of such products by the Department of Health and Human Services.*

1430

1431 **Disclosure Statement:**

1432 1. The members of Task Group-241: MRI- Guided Focused Ultrasound listed below attest that
1433 they have no potential Conflicts of Interest related to the subject matter of materials presented in
1434 this document.

1435

1436 Chris Diederich, Dennis Parker, Eduardo Moros, Gail ter Haar, Lili Chen, Keyvan Farahani,
1437 David Schlesinger, Stanley Benedict, Jason Stafford, Steffen Sammet, Allison Payne

1438

1439 2. The members of Task Group-241: MRI- Guided Focused Ultrasound listed below disclose the
1440 following potential Conflict(s) of Interest related to subject matter or materials presented in this
1441 document.

1442

1443 Rajiv Chopra, an employee of UT Southwestern Medical Center, is a co-founder of Profound
1444 Medical, the manufacturer of one of the body MRgFUS systems described in this report.

1445 Keith Wear is an employee of the US Food and Drug Administration.

1446 Chrit Moonen has research collaborations with Profound Medical, with Philips Healthcare,
1447 and with Celsion Corporation.

1448 Nicolas Ellens is an employee of Acertara Acoustic Laboratories, LLC.

1449 Ari Partanen is a consultant for Profound Medical Inc.

1450 Pejman Ghanouni, Stanford University, is on the medical advisory boards of INSIGHTEC
1451 and SonALAsense.

1452

1453

1454

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