

European Urology

Efficacy and safety of cabazitaxel versus abiraterone or enzalutamide in older patients with metastatic castration-resistant prostate cancer in the CARD study --Manuscript Draft--

Manuscript Number:	EURUROL-D-20-02075R3
Article Type:	Original Article
Section/Category:	Prostate Cancer (PRO)
Keywords:	elderly; cabazitaxel; mCRPC; Prostate Cancer
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Abstract:	<p>Background: In the CARD study (NCT02485691), cabazitaxel significantly improved median radiographic progression-free survival (rPFS) and overall survival (OS) versus abiraterone/enzalutamide in patients with metastatic castration-resistant prostate cancer (mCRPC) who previously received docetaxel and progressed ≤ 12 months on the alternative agent (abiraterone/enzalutamide).</p> <p>Objective: Assess cabazitaxel versus abiraterone/enzalutamide in older (≥ 70 years) and younger (< 70 years) patients in CARD.</p> <p>Design, setting and participants: Patients with mCRPC were randomized 1:1 to cabazitaxel (25mg/m² plus prednisone and granulocyte colony-stimulating factor) versus abiraterone (1000mg plus prednisone) or enzalutamide (160mg).</p>

	<p>Outcome measurements and statistical analysis: Analyses of rPFS (primary endpoint) and safety by age were prespecified; others were post hoc. Treatment groups were compared using stratified log-rank or Cochran-Mantel Haenszel tests.</p> <p>Results: Of 255 patients randomized, 135 were aged ≥ 70 years (median 76). Cabazitaxel, compared with abiraterone/enzalutamide, significantly improved median rPFS in older (8.2 vs 4.5 months; HR=0.58; 95% CI=0.38–0.89; p=0.01) and younger patients (7.4 vs 3.2 months; HR=0.47; 95% CI=0.30–0.74; p<0.01). Median OS of cabazitaxel versus abiraterone/enzalutamide was 13.9 versus 9.4 months in older patients (HR=0.66; 95% CI=0.41–1.06; p=0.08) and 13.6 versus 11.8 months in younger patients (HR=0.66; 95% CI=0.41–1.08; p=0.09). PFS, prostate-specific antigen, tumor and pain responses favored cabazitaxel, regardless of age. Grade ≥ 3 treatment-emergent adverse events (TEAEs) occurred in 57.8% versus 49.3% of older patients receiving cabazitaxel versus abiraterone/enzalutamide and 48.4% versus 42.1% of younger patients. In older patients, cardiac AEs were more frequent with abiraterone/enzalutamide; asthenia and diarrhea were more frequent with cabazitaxel.</p> <p>Conclusions: Cabazitaxel improved efficacy outcomes versus abiraterone/enzalutamide in patients with mCRPC after prior docetaxel and abiraterone/enzalutamide, regardless of age. TEAEs were more frequent among older patients. The cabazitaxel safety profile was manageable across age groups.</p> <p>Patient Summary: Using clinical trial data, cabazitaxel improved survival versus abiraterone/enzalutamide with manageable side effects in patients with mCRPC who previously received docetaxel and the alternative agent (abiraterone/enzalutamide), irrespective of age.</p>
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Response to reviewer comments

Manuscript reference number: EURUROL-D-20-02075R3

Title: Efficacy and safety of cabazitaxel versus abiraterone or enzalutamide in older patients with metastatic castration-resistant prostate cancer in the CARD study

Corresponding author: Professor Cora N. Sternberg

Dear Professor Catto,

We again thank the reviewer for their comments. We have provided individual detailed responses to each of the comments, which are captured in the reply below.

Kind regards,

Professor Cora N. Sternberg

1. Since there are no significant interactions between treatment and age group for rPFS, OS or PFS, there is no justification to present stratified results and the results should only be presented for the overall population.

In the primary CARD publication (de Wit R, et al. N Engl J Med. 2019), cabazitaxel was superior to abiraterone or enzalutamide in patients aged < 70 years and ≥ 70 years. However, management of older patients is challenging and although age should not be considered a barrier to receiving chemotherapy, chemotherapy is often avoided in older patients as AR-targeted agents can be given orally and are perceived as less toxic than chemotherapy (Caffo O, et al. Clin Interv Aging. 2016; Oh WK, et al. Urol Oncol. 2018). There have been important sub-analyses for abiraterone (Mulders PFA, et al. Eur Urol. 2014; Smith MR, et al. J Urol. 2015) and enzalutamide (Sternberg CN, et al. Ann Oncol. 2014) evaluating efficacy in older patients. As a result, although cabazitaxel was superior to abiraterone or enzalutamide regardless of age in the primary analysis, there is a great unmet need to explore the impact of age on the efficacy and safety of chemotherapy. The objective of this manuscript was to further explore whether age influenced efficacy outcomes and safety.

From a statistical perspective, we note that statistical significance is determined by both effect size and sample size. Our studies are often not powered to detect statistical differences among subgroups (i.e. not powered to find significant p-value-for-interactions), so focusing purely on the statistical significance of the interaction has the potential to miss important effect size differences. This approach is supported by the guidelines that state: "Drawing conclusions for research or clinical practice from a clinical research study requires evaluation of the strengths and weakness of study methodology, the results of other

pertinent data published in the literature, biological plausibility, and effect size. Sound and nuanced scientific judgment cannot be replaced by just checking whether one of the many statistics in a paper is or is not $P < 0.05$.”

By reporting stratified analyses in these important subgroups, we are showing consistency in the effect sizes, which is of relevance to the clinical community. Lastly, other studies routinely show stratified results; it is important to see these estimates across studies and useful for potential future meta-analyses.

2. See Guideline 4.16 and truncate the Kaplan-Meier plots when numbers are low.

We have amended the graphs as directed. Please see the updated manuscript and below for convenience.

Figure 2. Kaplan–Meier estimates. (a) Radiographic progression-free survival according to age: Patients ≥ 70 years of age

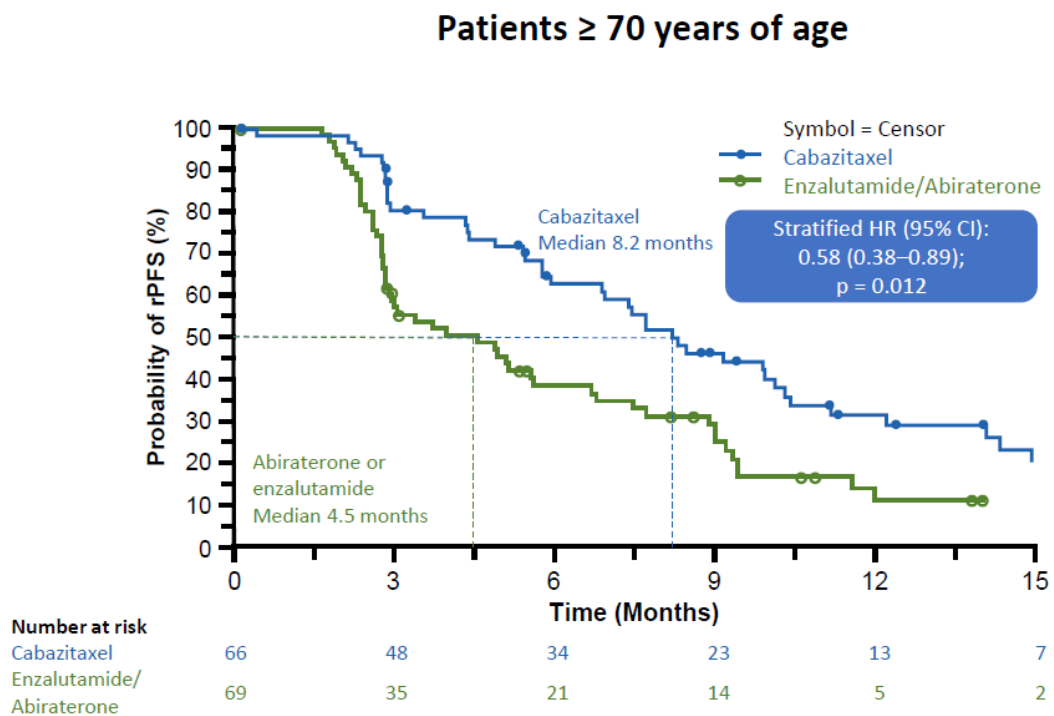


Figure 2. Kaplan–Meier estimates. (a) Radiographic progression-free survival according to age: Patients < 70 years of age

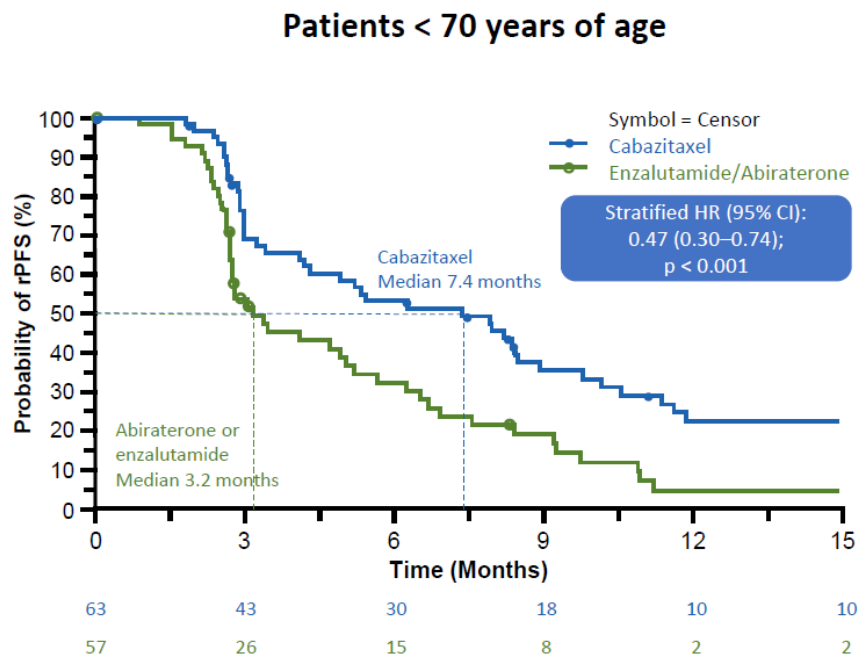


Figure 2. Kaplan–Meier estimates. (b) Overall survival according to age: Patients ≥ 70 years of age

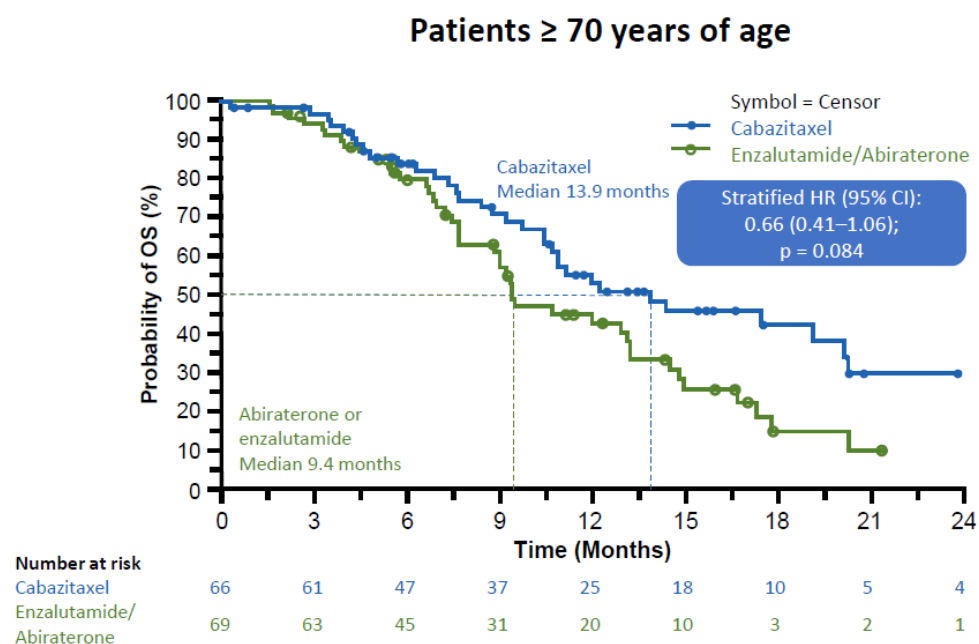


Figure 2. Kaplan–Meier estimates. (b) Overall survival according to age: Patients < 70 years of age

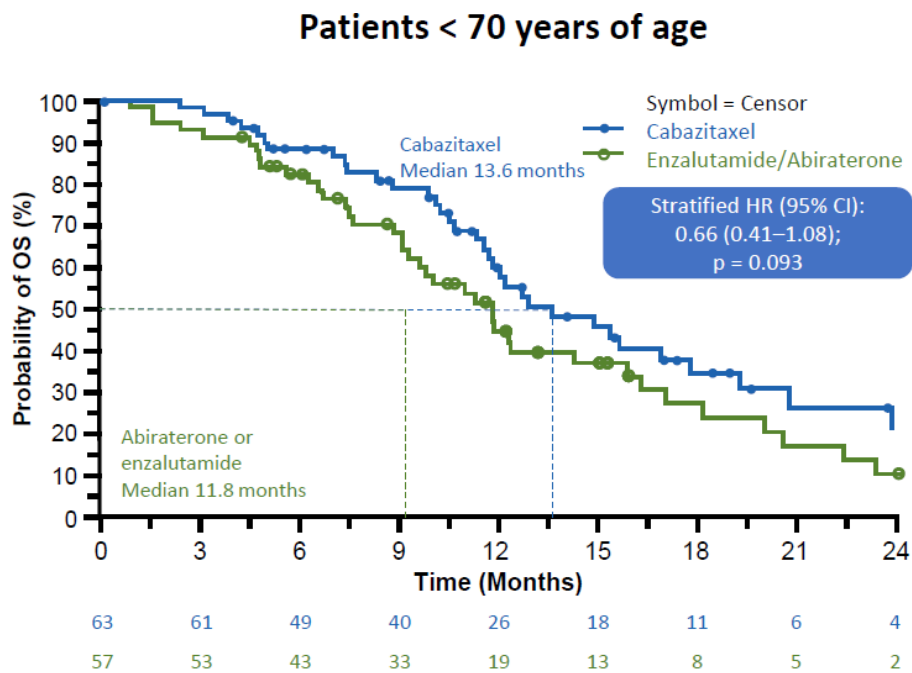


Figure 2. Kaplan–Meier estimates. (c) Progression-free survival according to age: Patients ≥ 70 years of age

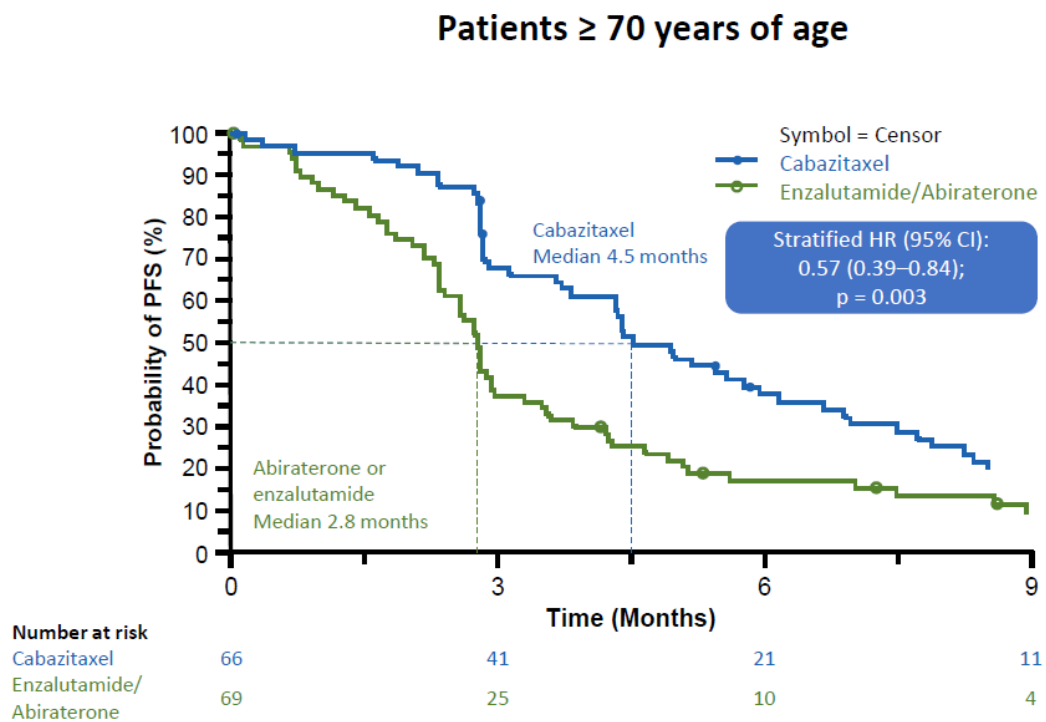
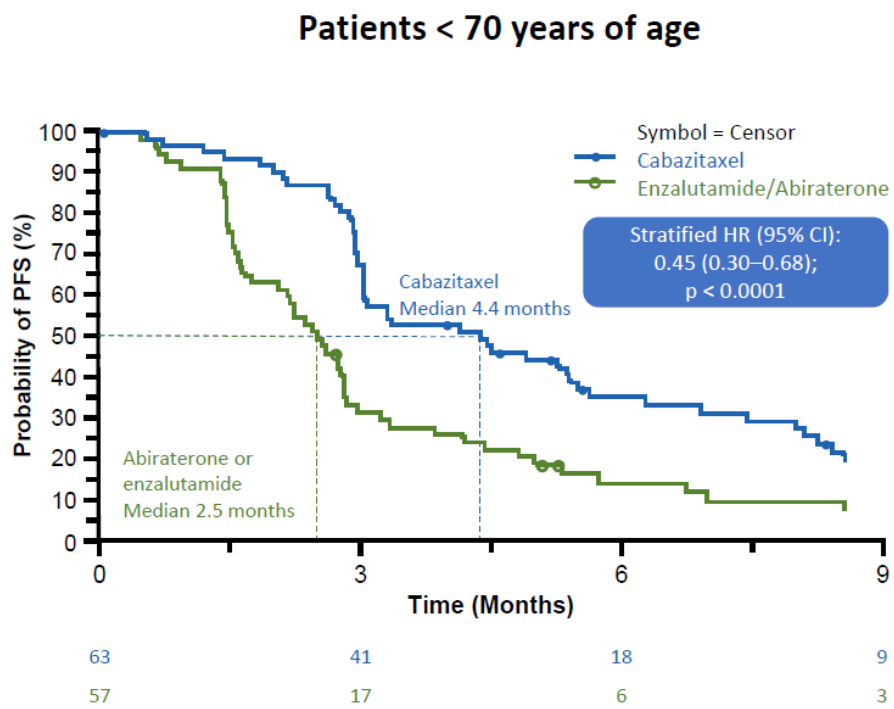


Figure 2. Kaplan–Meier estimates. (c) Progression-free survival according to age: Patients < 70 years of age



1 Title

2 Efficacy and safety of cabazitaxel versus abiraterone or enzalutamide in older patients with
3 metastatic castration-resistant prostate cancer in the CARD study

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40 **Funding:** Sanofi

41

42 **Current counts**

43 Words: 3424 (Limit: 3000 including the abstract)

44 Abstract: 311 (Limit: 300)

45 Tables and figure: 5 (Limit: 6)

46 References: 28 (Limit 40)

47

48 Key words: Elderly; Cabazitaxel; mCRPC; Prostate cancer

49 **Abstract**

50 Current word count: 311 (Limit: 300)

51 **Background:**

52 In the CARD study (NCT02485691), cabazitaxel significantly improved median radiographic
53 progression-free survival (rPFS) and overall survival (OS) versus abiraterone/enzalutamide in
54 patients with metastatic castration-resistant prostate cancer (mCRPC) who previously
55 received docetaxel and progressed ≤ 12 months on the alternative agent
56 (abiraterone/enzalutamide).

57 **Objective:**

58 Assess cabazitaxel versus abiraterone/enzalutamide in older (≥ 70 years) and younger (< 70
59 years) patients in CARD.

60 **Design, setting and participants:**

61 Patients with mCRPC were randomized 1:1 to cabazitaxel (25mg/m² plus prednisone and
62 granulocyte colony-stimulating factor) versus abiraterone (1000mg plus prednisone) or
63 enzalutamide (160mg).

64 **Outcome measurements and statistical analysis:**

65 Analyses of rPFS (primary endpoint) and safety by age were prespecified; others were post
66 hoc. Treatment groups were compared using stratified log-rank or Cochran-Mantel Haenszel
67 tests.

68 **Results:**

69 Of 255 patients randomized, 135 were aged ≥ 70 years (median 76). Cabazitaxel, compared
70 with abiraterone/enzalutamide, significantly improved median rPFS in older (8.2 vs 4.5

71 months; HR=0.58; 95% CI=0.38–0.89; p=0.012) and younger patients (7.4 vs 3.2 months;
72 HR=0.47; 95% CI=0.30–0.74; p<0.001). Median OS of cabazitaxel versus
73 abiraterone/enzalutamide was 13.9 versus 9.4 months in older patients (HR=0.66; 95%
74 CI=0.41–1.06; p=0.084) and 13.6 versus 11.8 months in younger patients (HR=0.66; 95%
75 CI=0.41–1.08; p=0.093). PFS, prostate-specific antigen, tumor and pain responses favored
76 cabazitaxel, regardless of age. Grade \geq 3 treatment-emergent adverse events (TEAEs)
77 occurred in 58% versus 49% of older patients receiving cabazitaxel versus
78 abiraterone/enzalutamide and 48% versus 42% of younger patients. In older patients,
79 cardiac AEs were more frequent with abiraterone/enzalutamide; asthenia and diarrhea
80 were more frequent with cabazitaxel.

81 ***Conclusions:***

82 Cabazitaxel improved efficacy outcomes versus abiraterone/enzalutamide in patients with
83 mCRPC after prior docetaxel and abiraterone/enzalutamide, regardless of age. TEAEs were
84 more frequent among older patients. The cabazitaxel safety profile was manageable across
85 age groups.

86 ***Patient Summary:***

87 Using clinical trial data, cabazitaxel improved survival versus abiraterone/enzalutamide with
88 manageable side effects in patients with mCRPC who previously received docetaxel and the
89 alternative agent (abiraterone/enzalutamide), irrespective of age.

90

91 **Take home message**

92 Word count: 38 (limit: 40 words)

93 From the CARD study, we demonstrate that cabazitaxel improves efficacy outcomes versus
94 abiraterone/enzalutamide in patients with metastatic castration-resistant prostate cancer
95 who previously received docetaxel and progressed ≤ 12 months on the alternative androgen
96 receptor-targeted agent (abiraterone/enzalutamide), irrespective of age.

97 **Introduction**

98 Like most other neoplasms, prostate cancer is an age-related disorder. It is the most
99 frequently diagnosed cancer in men, and represents the third and fourth leading cause of
100 male cancer death in Europe and the USA, respectively, with the majority of deaths
101 occurring in patients ≥ 75 years of age [1-3]. With an aging population and increasing life
102 expectancy worldwide, a substantial increase in the burden of prostate cancer is anticipated
103 in the next 10 years [4]. Consequently, there is a need to better manage patients with
104 prostate cancer and adequately balance the benefits and risks of therapies according to a
105 patient's health status, rather than age alone.

106

107 Although there are currently multiple treatments available for patients with metastatic
108 castration-resistant prostate cancer (mCRPC), there is little data informing the optimal
109 treatment choice with respect to both improved patient survival, treatment sequence and
110 safety profile [5]. Treatment-associated adverse events (AEs) are a particular challenge in
111 older patients due to associated comorbidities and/or age-related decline in organ function,
112 polypharmacy and risk of potentially serious drug-drug interactions [6, 7].

113

114 To better understand treatment sequencing in mCRPC, the CARD study (NCT02485691) was
115 designed to compare cabazitaxel with abiraterone or enzalutamide in patients with mCRPC
116 who had received prior docetaxel and had previously progressed within 12 months while
117 receiving the alternative androgen receptor (AR)-targeted agent (abiraterone or
118 enzalutamide) [8]. In CARD, cabazitaxel improved radiographic progression-free survival
119 (rPFS) and overall survival (OS) compared with abiraterone or enzalutamide [8]. This
120 preplanned analysis of CARD investigated the impact of cabazitaxel versus

121 abiraterone/enzalutamide on the primary endpoint (rPFS) in older (≥ 70 years of age) and
122 younger (< 70 years of age) patient subgroups. Post hoc analyses of other secondary
123 endpoints were also assessed in these patient subgroups. The cut-offs of ≥ 70 and < 70 years
124 of age were selected based on the International Society of Geriatric Oncology guidelines on
125 prostate cancer [9].

126

127 **Materials and Methods**

128 ***Study design and population***

129 CARD (NCT02485691) is a multicenter, randomized (1:1), open-label clinical trial involving 79
130 sites in 13 European countries; the study design has been previously described [8]. The
131 study was designed to compare cabazitaxel with abiraterone or enzalutamide in patients
132 with mCRPC who had been previously treated with ≥ 3 cycles of docetaxel and who had
133 progressed within 12 months of treatment with the alternative AR-targeted agent, received
134 before or after docetaxel. Eligible patients received intravenous cabazitaxel 25 mg/m² every
135 3 weeks, oral prednisone 10 mg daily and granulocyte-colony stimulating factor (G-CSF) or
136 oral abiraterone 1000 mg daily and oral prednisone 5 mg twice daily or oral enzalutamide
137 160 mg daily. G-CSF was mandatory during each cycle of cabazitaxel. The duration of one
138 cycle was 3 weeks in each arm; treatment continued until radiographic progression,
139 unacceptable toxicity or change in treatment.

140

141 ***Endpoints***

142 The primary endpoint was rPFS, defined as the time from randomization until objective
143 tumor progression (according to Response Evaluation Criteria in Solid Tumours [RECIST],
144 version 1.1), progression of bone lesions (according to the Prostate Cancer Working Group 2
145 criteria), or death [10]. If radiological progression or death was not observed during the
146 study, data on rPFS were censored at the last valid tumor assessment or at the cut-off date,
147 whichever came first. Secondary endpoints included OS, progression-free survival (PFS),
148 prostate-specific antigen (PSA), tumor and pain responses, and safety. A PSA response was
149 defined as a decline of serum PSA from baseline of $\geq 50\%$ confirmed with an additional
150 measurement ≥ 3 weeks apart. A tumor response was defined as a partial or complete

151 response according to RECIST v1.1, in patients with measurable disease. A pain response
152 was assessed using the Brief Pain Inventory-Short Form (BPI-SF) pain intensity score and
153 defined as a >30% decrease from baseline in the BPI-SF pain intensity score observed at two
154 consecutive evaluations ≥ 3 weeks apart without an increase in analgesic usage score [11].
155 Treatment-emergent AEs (TEAEs), regardless of causality, were defined by first occurring or
156 worsening of an AE after the first dose and up to 30 days after the last study drug
157 administration. TEAEs were assessed using the National Cancer Institute Common
158 Terminology Criteria for AEs v4.0.

159

160 ***Statistical analysis***

161 For this analysis, patients were classified into two age subgroups, ≥ 70 (older) and < 70 years
162 of age (younger). This age cut-off was selected based upon the International Society of
163 Geriatric Oncology guidelines on prostate cancer [9]. rPFS analysis by age subgroup (≥ 70 vs
164 < 70 years of age) was pre-specified; analyses of secondary endpoints (OS, PFS, PSA, tumor
165 and pain responses) by these age subgroups were post hoc. Analyses conducted in patients
166 aged ≥ 75 years were post hoc. The comparison of rPFS, OS and PFS between treatment
167 groups was performed using a stratified log-rank test. Survival curves were generated using
168 Kaplan-Meier estimates. Stratified Cox proportional-hazards models were used to estimate
169 hazard ratios (HRs) and associated 95% confidence intervals (CIs). Sensitivity analyses used
170 the stratified Cox proportional-hazard model adjusted for Gleason score 8–10 and M1
171 disease at diagnosis as covariates due to the imbalance of these characteristics between age
172 subgroups. For PSA, tumor and pain response comparisons between treatment groups a
173 stratified Cochran-Mantel Haenszel test was used. The log-rank tests, Cox proportional-
174 hazards models and Cochran-Mantel Haenszel tests were stratified by Eastern Cooperative

175 Oncology Group performance status (0/1 vs 2), time from AR-targeted agent initiation to
176 progression (0–6 vs 6–12 months) and timing of AR-targeted agent as specified at the time
177 of randomization (before vs after docetaxel).

178 **Results**

179 ***Patient baseline and disease characteristics***

180 CARD enrolled 255 patients with mCRPC who were randomly assigned to receive cabazitaxel
181 (n = 129) or abiraterone or enzalutamide (n = 126) (**Figure 1**). Of them, 135 patients were
182 aged ≥ 70 years (cabazitaxel arm, n = 66; abiraterone or enzalutamide arm, n = 69) with a
183 median age of 76 years. Compared with patients aged ≥ 70 years, younger patients had
184 higher rates of Gleason's score 8–10 (72% vs 50%) and metastatic disease (49% vs 37%) at
185 diagnosis, and were more likely to have received docetaxel as first life-extending therapy
186 (70% vs 53%); other variables were well balanced between age subgroups (**Table 1**). Among
187 patients aged ≥ 70 years, those receiving abiraterone or enzalutamide versus cabazitaxel had
188 higher rates of Gleason score 8–10 (58% vs 42%) and metastatic disease (45% vs 29%) at
189 diagnosis and higher rates of pain (71% vs 65%) and visceral metastases (22% vs 12%) at
190 randomization, but performance status was similar between treatment arms (**Table 1**).
191 Clinical variables were well balanced between treatment arms in younger patients. The
192 median follow-up for CARD was 9.2 months and the median event free time for rPFS, OS and
193 PFS was 5.4, 10.6 and 5.2 months, respectively. The median duration of treatment was
194 longer for patients receiving cabazitaxel compared with patients receiving abiraterone or
195 enzalutamide, regardless of age (patients aged ≥ 70 years: 5.1 vs 3.0 months; younger
196 patients: 5.5 vs 2.8 months). The proportion of patients discontinuing treatment was similar
197 among patients receiving cabazitaxel versus abiraterone or enzalutamide both in patients
198 aged ≥ 70 years (96% vs 93%) and younger patients (91% vs 93%). The main reasons for
199 treatment discontinuation in both treatment arms were disease progression and AEs
200 (**Supplementary Table 1**).

201

202 **Efficacy**

203 As previously reported, the median rPFS for the overall population was 8.0 months with
204 cabazitaxel versus 3.7 months with abiraterone or enzalutamide (HR [95% CI] = 0.54 [0.40–
205 0.73]; $p < 0.001$) [8]. In patients aged ≥ 70 years, the median rPFS was 8.2 months with
206 cabazitaxel versus 4.5 months with abiraterone or enzalutamide (HR [95% CI] = 0.58 [0.38–
207 0.89]; $p = 0.012$; **Figure 2a**); the sensitivity analysis (adjusted for Gleason score 8–10 and M1
208 disease at diagnosis) HR (95% CI) was 0.61 (0.39–0.97). Among patients aged < 70 years, the
209 median rPFS was also significantly improved with cabazitaxel versus abiraterone or
210 enzalutamide (7.4 vs 3.2 months; HR [95% CI] = 0.47 [0.30–0.74]; $p < 0.001$; **Figure 2a**).

211

212 The median OS (main secondary endpoint) was numerically longer for cabazitaxel compared
213 with abiraterone or enzalutamide in patients aged ≥ 70 years (13.9 vs 9.4 months; HR [95%
214 CI] = 0.66 [0.41–1.06]; $p = 0.084$) and younger patients (13.6 vs 11.8 months; HR [95% CI] =
215 0.66 [0.41–1.08]; $p = 0.093$) but differences did not reach statistical significance (**Figure 2b**);
216 the sensitivity analysis HR (95% CI) was 0.69 (0.42–1.15). In patients aged ≥ 70 years, the
217 median PFS was 4.5 months with cabazitaxel versus 2.8 months with abiraterone or
218 enzalutamide (HR [95% CI] = 0.57 [0.39–0.84]; $p = 0.003$; **Figure 2c**); the sensitivity analysis
219 HR (95% CI) was 0.55 (0.36–0.83). Among patients aged < 70 years, a significant
220 improvement in median PFS was also observed with cabazitaxel versus abiraterone or
221 enzalutamide (4.4 vs 2.5 months; HR [95% CI] = 0.45 [0.30–0.68]; $p < 0.001$; **Figure 2c**).

222 Interaction p values between treatment and age group for rPFS, OS and PFS were 0.5, 0.9
223 and 0.5, respectively. Lastly, an exploratory analysis was performed in the subgroup of
224 patients aged ≥ 75 years (**Supplementary table 2**). rPFS, OS and PFS numerically favored
225 cabazitaxel versus abiraterone or enzalutamide but as a consequence of the low number of

226 patients aged ≥ 75 years, a meaningful statistical comparison could not be performed.

227 Overall and by age subgroup patient event and censoring data can be found in

228 **Supplementary table 3.**

229

230 PSA and pain responses were significantly improved with cabazitaxel versus abiraterone or

231 enzalutamide, regardless of age (**Figure 3**). Tumor response in patients aged ≥ 70 years

232 numerically favored cabazitaxel versus abiraterone or enzalutamide but this difference did

233 not reach statistical significance.

234

235 **Safety**

236 Almost all patients had a TEAE of any grade, irrespective of age and treatment (**Table 2** and

237 **Supplementary Table 4**). Serious TEAEs of any grade were more frequent in patients aged

238 ≥ 70 years compared with younger patients, both in the cabazitaxel (45% vs 32%) and

239 abiraterone or enzalutamide arms (45% vs 33%). Any grade ≥ 3 TEAEs were also more

240 frequent in patients aged ≥ 70 years compared with younger patients, both in the cabazitaxel

241 (58% vs 48%) and abiraterone or enzalutamide arms (49% vs 42%). Grade ≥ 3 TEAEs that

242 occurred more frequently in patients aged ≥ 70 years receiving cabazitaxel compared with

243 abiraterone or enzalutamide included asthenia/fatigue (6.3% vs 1.5%), diarrhea (6.3% vs

244 1.5%) and febrile neutropenia (3.1% vs 0%). Grade ≥ 3 TEAEs that occurred more frequently

245 in patients aged ≥ 70 years receiving abiraterone or enzalutamide compared with cabazitaxel

246 included infection (9.0% vs 4.7%), renal disorders (7.5% vs 3.1%) and cardiac disorders (9.0%

247 vs 0%). TEAEs leading to permanent treatment discontinuation were more frequent in

248 patients receiving cabazitaxel compared with patients receiving abiraterone or

249 enzalutamide among patients aged ≥ 70 years (25% vs 12%) and younger patients (15% vs

250 5.3%). TEAEs leading to death were less frequent in patients receiving cabazitaxel compared
251 with abiraterone or enzalutamide among patients aged ≥ 70 years (9.4% vs 15%) and
252 younger patients (1.6% vs 7.0%). In patients aged ≥ 70 years, grade 5 TEAEs occurred in six
253 patients receiving cabazitaxel (disease progression [n = 2], urinary tract infection [n = 1],
254 head injury [n = 1], septic shock [n = 1] or aspiration [n = 1]) and 10 patients receiving
255 abiraterone or enzalutamide (acute coronary syndrome [n = 1], tumor-related symptoms
256 including clinical deterioration, reduced mobility and appetite, and dyspnea on exertion [n =
257 1], renal failure [n = 1], disease progression [n = 4], sepsis [n = 1], cardiac failure [n = 1] or
258 pneumonia [n = 1]). In younger patients, grade 5 TEAEs occurred in one patient receiving
259 cabazitaxel (disease progression [n = 1]) and four patients receiving abiraterone or
260 enzalutamide (cerebral hemorrhage [n = 1], disease progression [n = 1], acute kidney injury
261 [n = 1] or a pulmonary embolism [n = 1]). The proportion of patients with ≥ 1 dose reduction
262 was lower among patients receiving cabazitaxel compared with abiraterone or enzalutamide
263 among patients aged ≥ 70 years (20% vs 39%) and younger patients (23% vs 37%). The TEAE
264 profiles of cabazitaxel and abiraterone/enzalutamide were further investigated using three
265 different age cut-offs (≥ 75 , 70–74 and < 70 ; **Supplementary Table 5**).

266

267 **Discussion**

268 Management of older patients with metastatic prostate cancer is challenging due to
269 multiple comorbidities, the problem of polypharmacy and the risk of severe drug-drug
270 interactions, with older patients taking approximately 10 prescription medications prior to
271 receiving chemotherapy [4, 6, 12]. There is also the problem of cost, with several studies
272 identifying older patients as some of the highest resource users [13-16]. Since 2010, SIOG
273 guidelines consistently recommend that treatment choices should be based on patient
274 health status, mainly driven by comorbidities and patient preference, and not on
275 chronological age [4, 9]. Advanced age is thus not a contraindication to chemotherapy.
276 However, in daily practice many older patients with mCRPC receive AR-targeted agents
277 sequentially because they are given orally and perceived as less toxic than chemotherapy
278 [17, 18].

279

280 The CARD study prospectively randomized a high proportion (53%) of patients aged ≥ 70
281 years enabling an effective assessment of the efficacy and safety of cabazitaxel compared
282 with abiraterone or enzalutamide in older patients with mCRPC previously treated with
283 docetaxel and who had disease progression within 12 months on the alternative AR-
284 targeted agent. The results demonstrate that cabazitaxel provides a greater benefit
285 compared with a second AR-targeted agent and shows an acceptable safety profile,
286 regardless of age. In this preplanned analysis of the CARD primary endpoint, cabazitaxel
287 almost doubled rPFS compared with abiraterone or enzalutamide among patients aged ≥ 70
288 years (HR = 0.58) and younger patients (HR = 0.47). Cabazitaxel also numerically improved
289 OS (main secondary endpoint) compared with abiraterone or enzalutamide, regardless of

290 age. Other secondary endpoints (PFS and PSA, tumor and pain responses) consistently
291 favored cabazitaxel compared with abiraterone or enzalutamide, regardless of age [19].

292

293 Interestingly, median rPFS was slightly shorter for patients aged <70 years (cabazitaxel: 7.4
294 months; abiraterone/enzalutamide: 3.2 months) compared with patients aged ≥70 years
295 (cabazitaxel: 8.2 months; abiraterone/enzalutamide: 4.5 months). This might be a reflection
296 of the more aggressive baseline clinical features of the younger patient population (higher
297 rates of Gleason’s score 8–10 and metastatic disease at diagnosis). However, this trend was
298 not seen for OS or PFS. Younger patients receiving cabazitaxel also had a higher rate of liver
299 or lung metastases at diagnosis compared with patients aged ≥70 years receiving cabazitaxel
300 (21% vs 12%). As liver and lung metastases are often associated with more aggressive
301 disease, this may be a contributing factor for the shorter rPFS observed [20].

302

303 The percentage of patients who experienced serious TEAEs of any grade was higher among
304 patients aged ≥70 years versus younger patients in both the cabazitaxel (45% vs 32%) and
305 abiraterone or enzalutamide (45% vs 33%) treatment arms. Similarly, TEAEs leading to death
306 occurred more often in patients aged ≥70 years versus younger patients (12% vs 4.2%);
307 however, lower rates of TEAEs leading to death were observed in patients receiving
308 cabazitaxel compared with abiraterone or enzalutamide across both age subgroups. This
309 would suggest that patients aged ≥70 years receiving either treatment may need closer
310 monitoring and additional AE mitigation strategies to optimize treatment outcomes.

311 In this study the incidence of febrile neutropenia did not exceed 3.2% in patients aged ≥ 70
312 years and younger patients. The rate of febrile neutropenia is lower than in previous Phase
313 III studies assessing cabazitaxel 25 mg/m² (8–12%). This is likely due to the mandatory use of
314 G-CSF during each cycle of cabazitaxel [21-23].

315

316 One limitation of this study is that the age subgroup analyses for the secondary endpoints
317 were post hoc and not powered to demonstrate benefit. However, the age subgroup
318 analysis of rPFS was pre-specified and was significantly prolonged among patients receiving
319 cabazitaxel compared with abiraterone or enzalutamide. Another limitation of this study is
320 the imbalance in some poor prognostic features between the age subgroups and the
321 treatment arms, which may suggest a different underlying mCRPC biology. However,
322 sensitivity analyses adjusted for these imbalances did not alter the findings.

323

324 The CARD results are important for several reasons. Firstly, they provide additional
325 confirmation that patients with mCRPC progressing following receipt of an AR-targeted
326 agent respond sub-optimally to a second alternative AR-targeted agent, as already shown by
327 several prospective randomized trials [24, 25]. Secondly, the results demonstrate that
328 cabazitaxel is superior to abiraterone or enzalutamide in delaying disease progression,
329 prolonging OS and relieving pain among patients with mCRPC previously treated with
330 docetaxel and the alternative AR-targeted agent. Finally, the safety profile of cabazitaxel is
331 manageable when prophylactic G-CSF is administered at each cycle. The incidence of febrile
332 neutropenia in patients receiving cabazitaxel in CARD (3.2%) is lower than in previous Phase
333 III studies assessing cabazitaxel [8, 21-23]. In TROPIC, FIRSTANA and PROSELICA,

334 prophylactic use of G-CSF was not recommended during Cycle 1 of cabazitaxel and the
335 incidence of febrile neutropenia with the 25 mg/m² dose was 8–12% [21-23]. A lower
336 incidence of febrile neutropenia (2.1%) has been observed with the 20 mg/m² dose of
337 cabazitaxel, which maintained 50% of the OS benefit of the 25 mg/m² dose versus
338 mitoxantrone in TROPIC [23]. Although 20 mg/m² is a recommended starting dose in the
339 USA, the recommended starting dose in Europe is 25 mg/m² [26, 27]. In a large European
340 compassionate use program including 746 patients with mCRPC treated with 25 mg/m²
341 cabazitaxel (including 225 patients aged ≥70 years), the rate of febrile neutropenia did not
342 exceed 5.6% but prophylactic G-CSF was administered at Cycle 1 in ~60% of older patients
343 [28]. In the same study, a multivariate analysis demonstrated that patients aged ≥75 years
344 with a neutrophil count of <4000/mm³ at baseline who did not receive G-CSF during Cycle 1
345 were independently associated with a risk of neutropenic complications [28]. Conversely,
346 this risk was reduced by 30% when G-CSF was used from Cycle 1 [28]. Although patients
347 enrolled in clinical trials need to satisfy stringent inclusion and exclusion criteria and are, by
348 definition, fitter than those seen in daily clinical practice, the CARD trial results suggest that
349 both patients and physicians can be reassured that cabazitaxel treatment along with
350 prophylactic use of G-CSF from Cycle 1 is effective and has a manageable safety profile even
351 in older patients.

352

353 **Conclusions**

354 In this analysis of the CARD study, cabazitaxel significantly improved rPFS (pre-specified
355 analysis) compared with abiraterone or enzalutamide among patients aged ≥ 70 years and
356 younger patients with mCRPC previously treated with docetaxel and the alternative AR-
357 targeted agent. OS, PSA response, objective tumor response and pain response also favored
358 cabazitaxel (post hoc analyses), regardless of age. Overall, patients aged ≥ 70 years
359 experienced a higher frequency of grade 3 TEAEs compared with younger patients, but
360 these TEAEs differed between cabazitaxel and the AR-targeted agents. These results support
361 the use of cabazitaxel over abiraterone or enzalutamide as standard of care, irrespective of
362 age, in patients with mCRPC previously treated with docetaxel and the alternative AR-
363 targeted agent.

364

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366

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435

436 **Tables and figures**

437

438 **Table 1. Patient baseline and disease characteristics**

	≥70 years of age		<70 years of age	
	Cabazitaxel n = 66	Abiraterone or enzalutamide n = 69	Cabazitaxel n = 63	Abiraterone or enzalutamide n = 57
Median age at screening, years (range)	76 (70–85)	74 (70–88)	65 (46–69)	63 (45–69)
ECOG PS at randomization, n (%)				
0 or 1	65 (99)	68 (99)	60 (95)	54 (95)
2	1 (1.5)	1 (1.4)	3 (4.8)	3 (5.3)
Metastatic sites at randomization, n (%)				
Bone	40 (61)	40 (58)	34 (54)	36 (63)
Lymph nodes	5 (7.6)	4 (5.8)	3 (4.8)	2 (3.5)
Liver or lung	8 (12)	15 (22)	13 (21)	10 (18)
Other	13 (20)	10 (15)	13 (21)	9 (16)
Type of progression at randomization, n (%)				
Pain	43 (65)	49 (71)	43 (68)	41 (72)
Imaging-based progression (± PSA) and no pain	12 (18)	8 (12)	11 (18)	7 (12)
PSA only	5 (7.6)	5 (7.2)	6 (9.5)	5 (8.8)
Missing data	6 (9.1)	7 (10)	3 (4.8)	4 (7.0)
M1 disease at diagnosis, n (%)	19 (29)	31 (45)	30 (48)	29 (51)
Gleason score 8–10 at diagnosis, n (%)	28 (42.4)	40 (58.0)	45 (71.4)	41 (71.9)
Previous AR-targeted agent, n (%)				
Abiraterone	29 (44)	40 (58)	27 (43)	27 (47)
Enzalutamide	36 (55)	29 (42)	36 (57)	30 (53)
Missing data	1 (1.5)	0	0	0
Timing of AR-targeted agent, n (%)				
Before docetaxel	29 (44)	34 (49)	21 (33)	15 (26)
After docetaxel	37 (56)	35 (51)	42 (67)	42 (74)

439

440 *AR, androgen receptor; ECOG PS, Eastern Cooperative Oncology Group performance status;*441 *PSA; prostate-specific antigen.*

Table 2. Treatment-emergent adverse events according to age

Patients, n (%)	≥70 years of age				<70 years of age			
	Cabazitaxel n = 64		Abiraterone or enzalutamide n = 67		Cabazitaxel n = 62		Abiraterone or enzalutamide n = 57	
	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3
Any TEAE	64 (100)	37 (58)	63 (94)	33 (49)	60 (97)	30 (48)	54 (95)	24 (42)
Any serious TEAE	29 (45)	24 (38)	30 (45)	30 (45)	20 (32)	16 (26)	19 (33)	17 (30)
Any TEAE leading to permanent treatment discontinuation	16 (25)	–	8 (12)	–	9 (15)	–	3 (5.3)	–
Any TEAE leading to death	6 (9.4)	–	10 (15)	–	1 (1.6)	–	4 (7.0)	–
Frequent TEAEs (grade ≥3 TEAEs reported in ≥3% in any subgroup) ^a								
Asthenia or fatigue	38 (59)	4 (6.3)	29 (43)	1 (1.5)	29 (47)	1 (1.6)	16 (28)	2 (3.5)
Diarrhea	27 (42)	4 (6.3)	3 (4.5)	1 (1.5)	23 (37)	0	6 (11)	0
Infection	19 (30)	3 (4.7)	17 (25)	6 (9.0)	21 (34)	6 (9.7)	9 (16)	3 (5.3)
Nausea or vomiting	15 (23)	0	21 (31)	1 (1.5)	18 (29)	0	8 (14)	1 (1.8)
Decreased appetite	12 (19)	1 (1.6)	13 (19)	1 (1.5)	5 (8.1)	0	6 (11)	2 (3.5)
Musculoskeletal pain or discomfort ^b	18 (28)	1 (1.6)	26 (39)	3 (4.5)	16 (26)	1 (1.6)	23 (40)	4 (7.0)
Peripheral neuropathy ^c	11 (17)	3 (4.7)	2 (3.0)	0	14 (23)	1 (1.6)	2 (3.5)	0
Hematuria	7 (11)	0	4 (6.0)	2 (3.0)	12 (19)	1 (1.6)	3 (5.3)	0
Renal disorder ^d	5 (7.8)	2 (3.1)	9 (13)	5 (7.5)	3 (4.8)	2 (3.2)	5 (8.8)	5 (8.8)
Cardiac disorder	4 (6.3)	0	8 (12)	6 (9.0)	4 (6.5)	1 (1.6)	2 (3.5)	0
Hypertensive disorder ^e	2 (3.1)	1 (1.6)	7 (10)	2 (3.0)	3 (4.8)	2 (3.2)	3 (5.3)	1 (1.8)
Febrile neutropenia	2 (3.1)	2 (3.1)	0	0	2 (3.2)	2 (3.2)	0	0
Disease progression	3 (4.7)	3 (4.7)	8 (12)	7 (10)	0	0	0	0

Spinal cord or nerve-root disorder ^f	2 (3.1)	2 (3.1)	4 (6.0)	3 (4.5)	4 (6.5)	1 (1.6)	5 (8.8)	2 (3.5)
Urinary tract obstruction	0	0	3 (4.5)	3 (4.5)	0	0	0	0
Pulmonary embolism	0	0	0	0	2 (3.2)	2 (3.2)	1 (1.8)	1 (1.8)

^a The cut-off selected was grade ≥ 3 TEAEs reported in $\geq 3\%$ of patients in any subgroup; ^b Including back pain, flank pain, musculoskeletal discomfort, musculoskeletal pain, discomfort, neck pain, pain in extremity, growing pains, musculoskeletal chest pain; ^c Including neuropathy peripheral, peripheral motor neuropathy, peripheral sensorimotor neuropathy, peripheral sensory neuropathy, polyneuropathy; ^d Including acute kidney injury, renal failure, renal impairment, hydronephrosis and pyelocaliectasis; ^e Including hypertension, hypertensive crisis; ^f Including sciatica, radiculopathy, spinal cord compression.

TEAE, treatment-emergent adverse event.

Figure 1. CONSORT diagram

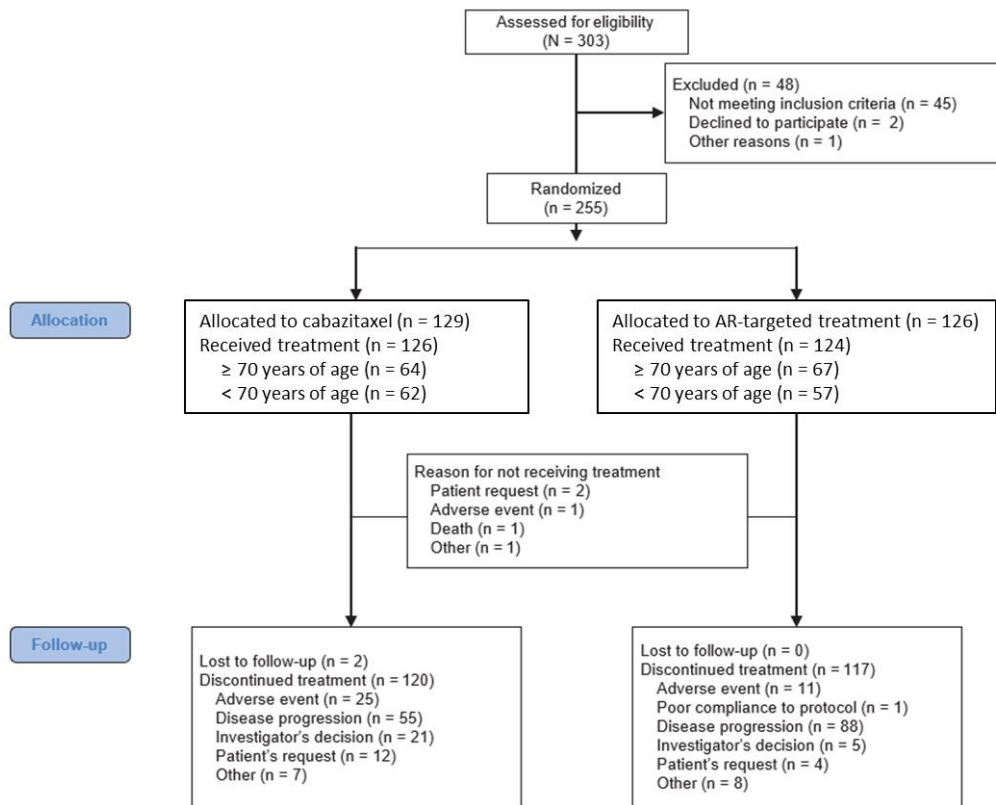
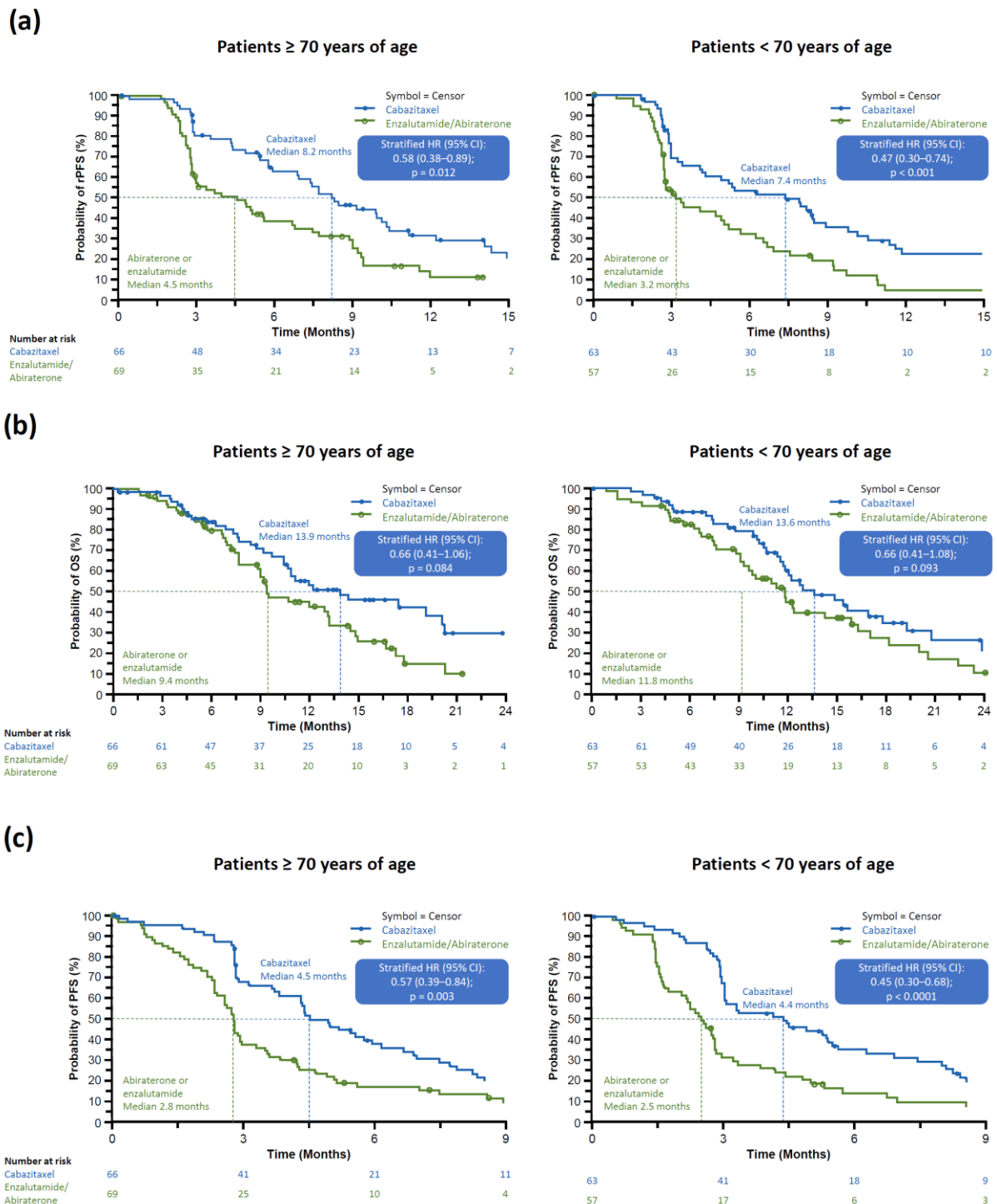
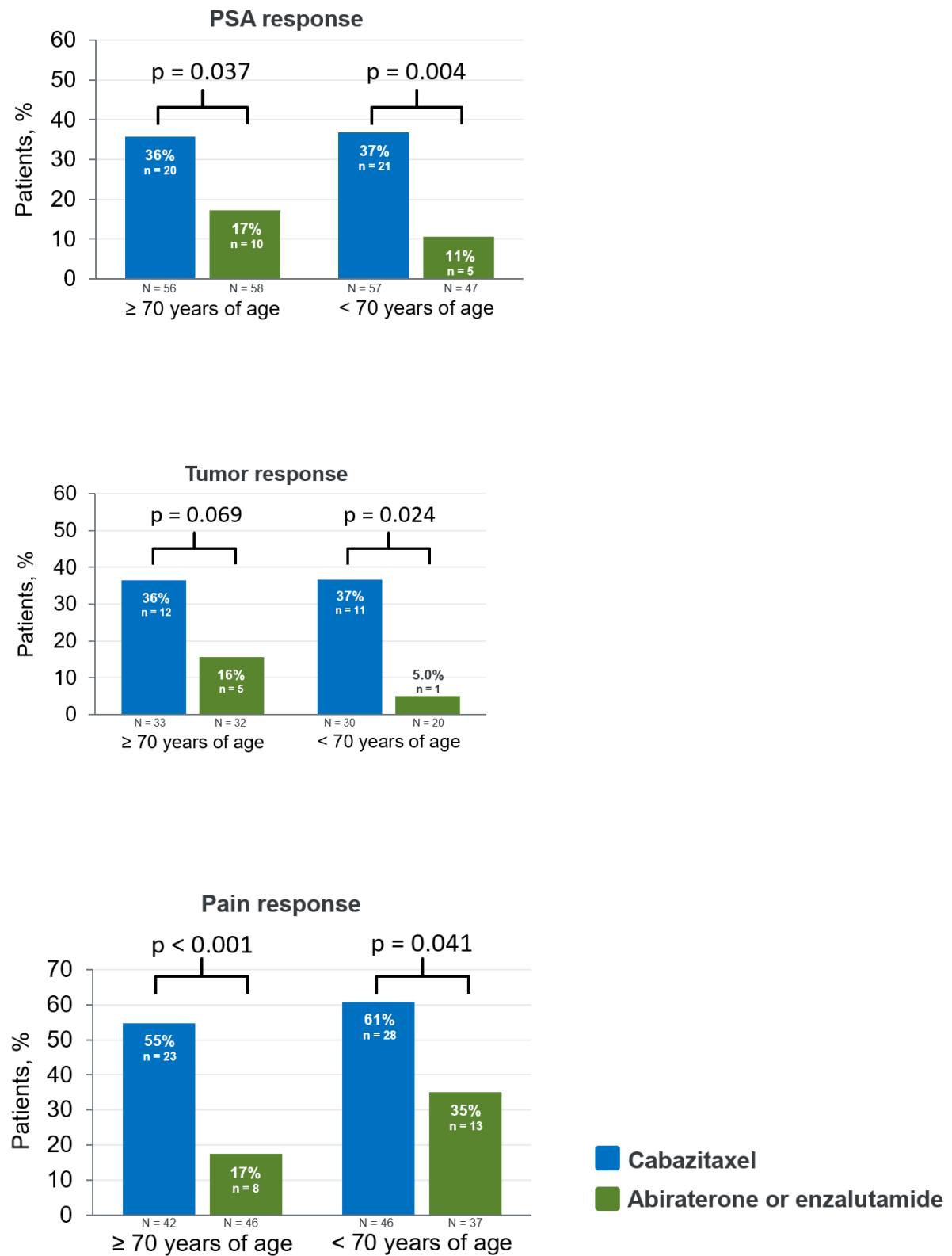


Figure 2. Kaplan–Meier estimates. (a) Radiographic progression-free survival according to age, (b) Overall survival according to age and (c) Progression-free survival according to age.



Kaplan–Meier estimates at later time points should be interpreted with caution due to small samples sizes. CI, confidence interval; HR, hazard ratio; OS, overall survival; PFS, progression-free survival; rPFS, radiographic progression-free survival.

Figure 3. Prostate-specific antigen, tumor and pain response according to age



PSA, prostate-specific antigen.

Supplementary Table 1. Treatment exposure according to age

	≥70 years of age		<70 years of age	
	Cabazitaxel n = 64 ^a	Abiraterone or enzalutamide n = 67 ^a	Cabazitaxel n = 62 ^a	Abiraterone or enzalutamide n = 57 ^a
Treatment duration				
Median duration of treatment exposure, weeks (range)	22.0 (3.0–63.4)	12.9 (3.0–87.3)	24.0 (6.0–87.9)	12.0 (2.0–141.3)
Median number of cycles, n (range)	7.0 (1.0–20.0)	4.0 (1.0–28.0)	7.5 (2.0–29.0)	4.0 (1.0–45.0)
Treatment reduction				
Patients with ≥1 cycle administered at a reduced dose, n (%)	13 (20)	26 (39)	14 (23)	21 (37)
	Cabazitaxel n = 66 ^b	Abiraterone or enzalutamide n = 69 ^b	Cabazitaxel n = 63 ^b	Abiraterone or enzalutamide n = 57 ^b
Treatment discontinuation				
Patients with discontinued treatment, n (%)	63 (96)	64 (93)	57 (91)	53 (93)
Reasons for discontinuation, n (%)				
Disease progression	21 (32)	49 (71)	34 (54)	39 (68)
Adverse event	16 (24)	8 (12)	9 (14)	3 (5.3)
Investigator's decision	16 (24) ^c	2 (2.9)	5 (7.9)	3 (5.3)
Patient's request	8 (12)	2 (2.9)	4 (6.3)	2 (3.5)
Other	2 (3.0)	3 (4.3)	5 (7.9)	5 (8.8)
Lost to follow-up	0	0	0	0
Poor compliance to protocol	0	0	0	1 (1.8)

^a Safety population (randomized and received at least one dose of study treatment); ^b Randomized population; ^c Often following patient receipt of 10 cycles of cabazitaxel.

Supplementary Table 2. Summary of efficacy endpoints in patients ≥ 75 versus < 75 years of age

	≥ 75 years of age		< 75 years of age	
Median, months (95% CI)	Cabazitaxel n = 45	Abiraterone or enzalutamide n = 34	Cabazitaxel n = 84	Abiraterone or enzalutamide n = 92
rPFS	8.3 (6.9–10.4)	4.9 (3.0–9.0)	8.0 (5.0–9.0)	3.2 (2.8–5.1)
OS	14.4 (9.8–26.5)	9.2 (7.5–16.7)	12.9 (11.7–17.7)	11.8 (9.4–13.2)
PFS	5.4 (3.7–6.9)	2.9 (2.4–4.2)	4.4 (3.0–5.3)	2.6 (2.2–2.8)

CI, confidence interval; OS, overall survival; PFS, progression-free survival; rPFS, radiographic progression-free survival.

Supplementary Table 3. Patient event and censoring data

	Overall		≥70 years of age		<70 years of age	
Patients, ^a n (%)	Cabazitaxel n = 129	Abiraterone or enzalutamide n = 126	Cabazitaxel n = 66	Abiraterone or enzalutamide n = 69	Cabazitaxel n = 63	Abiraterone or enzalutamide n = 57
rPFS						
Events	95 (74)	101 (80)	48 (73)	53 (77)	47 (75)	48 (84)
Censored	34 (26)	25 (20)	18 (27)	16 (23)	16 (25)	9 (16)
OS						
Events	70 (54)	83 (66)	35 (53)	43 (62)	35 (56)	40 (70)
Censored	59 (46)	43 (34)	31 (47)	26 (38)	28 (44)	17 (30)
PFS						
Events	111 (86)	115 (91)	57 (86)	61 (88)	54 (86)	54 (95)
Censored	18 (14)	11 (8.7)	9 (14)	8 (12)	9 (14)	3 (5.3)

^a Cut-off date: March 27th, 2019.

OS, overall survival; PFS, progression-free survival; rPFS, radiological PFS.

Supplementary Table 4. Laboratory abnormalities of clinical interest according to age

	≥70 years of age				<70 years of age			
Patients, n (%)	Cabazitaxel n = 64		Abiraterone or enzalutamide n = 67		Cabazitaxel n = 62		Abiraterone or enzalutamide n = 57	
	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3
Anemia	62 (98)	2 (3.2)	66 (99)	3 (4.5)	62 (100)	8 (13)	52 (91)	3 (5.3)
Leukopenia	53 (84)	25 (40)	20 (30)	1 (1.5)	40 (65)	16 (26)	21 (37)	1 (1.8)
Neutropenia	49 (79)	30 (48)	6 (9.0)	2 (3.0)	32 (53)	25 (41)	2 (3.5)	2 (3.5)
Thrombocytopenia	26 (41)	2 (3.2)	12 (18)	1 (1.5)	25 (40)	2 (3.2)	8 (14)	1 (1.8)

Supplementary Table 5. Treatment-emergent adverse events according to age

Patients, n (%)	≥75 years of age				70–74 years of age				<70 years of age			
	Cabazitaxel n = 44		Abiraterone or enzalutamide n = 34		Cabazitaxel n = 20		Abiraterone or enzalutamide n = 33		Cabazitaxel n = 62		Abiraterone or enzalutamide n = 57	
	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3
Any TEAE	44 (100)	29 (66)	33 (97)	18 (53)	20 (100)	8 (40)	30 (91)	15 (46)	60 (97)	30 (48)	54 (95)	24 (42)
Any serious TEAE	26 (59)	21 (48)	18 (53)	18 (53)	3 (15)	3 (15)	12 (36)	12 (36)	20 (32)	16 (26)	19 (33)	17 (30)
Any TEAE leading to treatment discontinuation	14 (32)	-	6 (18)	-	2 (10)	-	2 (6.1)	-	9 (15)	-	3 (5.3)	-
Any TEAE leading to death	5 (11)	-	7 (21)	-	1 (5.0)	-	3 (9.1)	-	1 (1.6)	-	4 (7.0)	-
Frequent TEAEs (grade ≥3 TEAEs reported in ≥3% in any subgroup) ^a												
Asthenia or fatigue	26 (59)	3 (6.8)	16 (47)	1 (2.9)	12 (60)	1 (5.0)	13 (39)	0	29 (47)	1 (1.6)	16 (28)	2 (3.5)
Diarrhea	21 (48)	4 (9.1)	2 (5.9)	1 (2.9)	6 (30)	0	1 (3.0)	0	23 (37)	0	6 (11)	0
Infection	14 (32)	3 (6.8)	9 (27)	4 (12)	5 (25)	0	8 (24)	2 (6.1)	21 (34)	6 (9.7)	9 (16)	3 (5.3)
Nausea or vomiting	11 (25)	0	8 (24)	0	4 (20)	0	13 (39)	1 (3.0)	18 (29)	0	8 (14)	1 (1.8)
Decreased appetite	10 (23)	1 (2.3)	4 (12)	0	2 (10)	0	9 (27)	1 (3.0)	5 (8.1)	0	6 (11)	2 (3.5)
Musculoskeletal pain or discomfort ^b	9 (21)	0	12 (35)	1 (2.9)	9 (45)	1 (5.0)	14 (42)	2 (6.1)	16 (26)	1 (1.6)	23 (40)	4 (7.0)
Peripheral neuropathy ^c	7 (16)	3 (6.8)	1 (2.9)	0	4 (20)	0	1 (3.0)	0	14 (23)	1 (1.6)	2 (3.5)	0
Hematuria	5 (11)	0	3 (8.8)	1 (2.9)	2 (10)	0	1 (3.0)	1 (3.0)	12 (19)	1 (1.6)	3 (5.3)	0
Renal disorder ^d	4 (9.1)	2 (4.5)	6 (18)	2 (5.9)	1 (5.0)	0	3 (9.1)	3 (9.1)	3 (4.8)	2 (3.2)	5 (8.8)	5 (8.8)
Cardiac disorder	4 (9.1)	0	8 (24)	6 (18)	0	0	0	0	4 (6.5)	1 (1.6)	2 (3.5)	0
Hypertensive disorder ^e	2 (4.5)	1 (2.3)	4 (12)	1 (2.9)	0	0	3 (9.1)	1 (3.0)	3 (4.8)	2 (3.2)	3 (5.3)	1 (1.8)
Febrile neutropenia	2 (4.5)	2 (4.5)	0	0	0	0	0	0	2 (3.2)	2 (3.2)	0	0
Disease progression	1 (2.3)	1 (2.3)	4 (12)	4 (12)	2 (10)	2 (10)	4 (12)	3 (9.1)	0	0	0	0

Spinal cord or nerve-root disorder ^f	1 (2.3)	1 (2.3)	4 (12)	3 (8.8)	1 (5.0)	1 (5.0)	0	0	4 (6.5)	1 (1.6)	5 (8.8)	2 (3.5)
Urinary tract obstruction	0	0	0	0	0	0	3 (9.1)	3 (9.1)	0	0	0	0
Pulmonary embolism	0	0	0	0	0	0	0	0	2 (3.2)	2 (3.2)	1 (1.8)	1 (1.8)

^a The cut-off selected was grade ≥ 3 TEAEs reported in $\geq 3\%$ of patients in any subgroup; ^b Including back pain, flank pain, musculoskeletal discomfort, musculoskeletal pain, discomfort, neck pain, pain in extremity, growing pains, musculoskeletal chest pain; ^c Including neuropathy peripheral, peripheral motor neuropathy, peripheral sensorimotor neuropathy, peripheral sensory neuropathy, polyneuropathy; ^d Including acute kidney injury, renal failure, renal impairment, hydronephrosis and pyelocaliectasis; ^e Including hypertension, hypertensive crisis; ^f Including sciatica, radiculopathy, spinal cord compression.

TEAE, treatment-emergent adverse event.

Acknowledgments

- This study was funded by Sanofi.
- Pascaline Picard of Sanofi provided biostatistical advice.
- Cecile Merdrignac of Sanofi served as the clinical study physician.
- The authors received editorial support from Mark Cockerill of MediTech Media, funded by Sanofi.

Disclosures of conflicts of interest

Cora N. Sternberg has provided a consulting or advisory role for Bayer, Merck Sharp & Dohme, Pfizer, Roche, Incyte, AstraZeneca, Sanofi, Merck Serono, Medscape, UroToday, Janssen, Immunomedics now Gilead, Astellas Pharma and BMS.

Daniel Castellano has provided a consultancy or advisory role for Janssen, Roche, Astellas Pharma, AstraZeneca, Pfizer, Novartis, Ipsen, BMS, MSD, Bayer, Lilly, Sanofi, Pierre Fabre, Boehringer Ingelheim and received travel/accommodation/expenses from Pfizer, Roche, BMS, AstraZeneca. Daniel Castellano has also received research funding from Janssen.

Johann de Bono has provided a consulting or advisory role for AstraZeneca, Sanofi, Roche, Astellas Pharma, Bayer, Pfizer, Merck Sharp & Dohme, Merck Serono, Boehringer Ingelheim, Sierra Oncology, Menarini Silicon Biostystems, Celgene, Taiho Pharmaceuticals, Daiichi Sankyo, Janssen, Genmab, GSK, Orion Pharma GmbH, Eisai and BioXcel therapeutics and received travel/accommodation/expenses from AstraZeneca, Astellas Pharma, GSK, Orion Pharma GmbH, Sanofi, Genmab, Taiho Pharmaceuticals, Qiagen and Vertex. Johann de Bono is also associated with patents/royalties/other IP for abiraterone, PARP inhibitors, IL-23

targeting in prostate cancer, CHK1 inhibitor. Johann de Bono has also received honoraria and/or research funding from AstraZeneca, Sanofi, Astellas Pharma, Pfizer, Roche/Genentech, Janssen, Menarini Silicon Biosystems, Daiichi Sankyo, Sierra Oncology, Taiho Pharmaceuticals, Merck Serono, Astex Pharmaceuticals, Merck Sharp & Dohme, Orion Pharma GmbH, CellCentric, Celgene, Bayer, MedImmune, Medivation and BioExcel.

Karim Fizazi has provided a consulting or advisory role for Janssen, Bayer, Astellas Pharma, Sanofi, Orion Pharma GmbH, Curevac, AstraZeneca, ESSA and Amgen and received travel/accommodation/expenses from Amgen and Janssen. Karim Fizazi has received honoraria from Janssen, Sanofi, Astellas and Bayer.

Bertrand Tombal has provided a consulting or advisory role for Astellas Pharma, Bayer, Ferring, Janssen, Takeda, Steba Biotech, Sanofi and Amgen and received travel/accommodation/expenses from Amgen, Astellas Pharma, Bayer, Ferring, Janssen and Sanofi. Bertrand Tombal has also received honoraria and/or research funding from Amgen, Astellas Pharma, Bayer, Ferring, Sanofi, Janssen, Pfizer and Myovant Sciences.

Gero Kramer has received honoraria and/or research funding from Sanofi, Bayer, Takeda, Astellas Pharma, Janssen, Ipsen, AstraZeneca and Novartis.

Jean-Christophe Eymard has a leadership role with Sanofi and has received honoraria from Sanofi.

Aristotelis Bamias has provided a consulting or advisory role for BMS, Pfizer, AstraZeneca, MSD, Roche and Ferring. Aristotelis Bamias has received honoraria and/or research funding from BMS, MSD, Astellas Pharma, Sanofi, Debiopharm Roche, AstraZeneca and Pfizer.

Joan Carles has provided a consulting or advisory role for Bayer, J&J, BMS, Astellas Pharma, Pfizer, Sanofi, MSD Oncology, Roche, Asofarma and AstraZeneca and received travel/accommodation/expenses from BMS, Ipsen, Roche and AstraZeneca. Joan Carles has also received research funding from AB Science, Aragon Pharmaceuticals, Arog, Astellas Pharma, AVEO, Bayer, Blueprint Medicines, Boehringer Ingelheim, BMS, Clovis Oncology, Cougar Biotechnology, Deciphera, Exelixis, Roche/Genentech, GSK, Incyte, Janssen-Cilag, Karyopharm Therapeutics, Medimmune, Millennium, Nanobiotix, Novartis, Pfizer, Puma Biotechnology, Sanofi, SFJ Pharmaceuticals Group, Teva, Mediolanum Laboratories Leurquin, Lilly and AstraZeneca.

Roberto Iacovelli has received honoraria from Sanofi, Janssen, Pfizer, Ipsen, Novartis, BMS and MSD.

Bohuslav Melichar has received honoraria from BMS, MSD, Novartis, Merck Serono, Sanofi, Roche, Janssen, Bayer, Astellas Pharma, SERVIER, Amgen and Pfizer.

Elizabeth M. Poole, Ayse Ozatilgan and Christine Geffriaud-Ricouard are employed by Sanofi and may hold shares and/or stock option in the company.

Ronald de Wit has provided a consulting or advisory role for Sanofi, Merck Sharp & Dohme, Roche/Genentech, Janssen, Bayer and Clovis Oncology and received travel/accommodation/expenses from Lilly. Ronald de Wit has also received honoraria and/or research funding from Sanofi, Merck Sharp & Dohme, and Bayer.

Christian Wülfing, Ásgerður Sverrisdóttir, Christine Theodore, Susan Feyerabend and Carole Helissey have no disclosures.

1 Title

2 Efficacy and safety of cabazitaxel versus abiraterone or enzalutamide in older patients with
3 metastatic castration-resistant prostate cancer in the CARD study

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40 **Funding:** Sanofi

41

42 **Current counts**

43 Words: 3424 (Limit: 3000 including the abstract)

44 Abstract: 311 (Limit: 300)

45 Tables and figure: 5 (Limit: 6)

46 References: 28 (Limit 40)

47

48 Key words: Elderly; Cabazitaxel; mCRPC; Prostate cancer

49 **Abstract**

50 Current word count: 311 (Limit: 300)

51 **Background:**

52 In the CARD study (NCT02485691), cabazitaxel significantly improved median radiographic
53 progression-free survival (rPFS) and overall survival (OS) versus abiraterone/enzalutamide in
54 patients with metastatic castration-resistant prostate cancer (mCRPC) who previously
55 received docetaxel and progressed ≤ 12 months on the alternative agent
56 (abiraterone/enzalutamide).

57 **Objective:**

58 Assess cabazitaxel versus abiraterone/enzalutamide in older (≥ 70 years) and younger (< 70
59 years) patients in CARD.

60 **Design, setting and participants:**

61 Patients with mCRPC were randomized 1:1 to cabazitaxel (25mg/m² plus prednisone and
62 granulocyte colony-stimulating factor) versus abiraterone (1000mg plus prednisone) or
63 enzalutamide (160mg).

64 **Outcome measurements and statistical analysis:**

65 Analyses of rPFS (primary endpoint) and safety by age were prespecified; others were post
66 hoc. Treatment groups were compared using stratified log-rank or Cochran-Mantel Haenszel
67 tests.

68 **Results:**

69 Of 255 patients randomized, 135 were aged ≥ 70 years (median 76). Cabazitaxel, compared
70 with abiraterone/enzalutamide, significantly improved median rPFS in older (8.2 vs 4.5

71 months; HR=0.58; 95% CI=0.38–0.89; p=0.012) and younger patients (7.4 vs 3.2 months;
72 HR=0.47; 95% CI=0.30–0.74; p<0.001). Median OS of cabazitaxel versus
73 abiraterone/enzalutamide was 13.9 versus 9.4 months in older patients (HR=0.66; 95%
74 CI=0.41–1.06; p=0.084) and 13.6 versus 11.8 months in younger patients (HR=0.66; 95%
75 CI=0.41–1.08; p=0.093). PFS, prostate-specific antigen, tumor and pain responses favored
76 cabazitaxel, regardless of age. Grade ≥3 treatment-emergent adverse events (TEAEs)
77 occurred in 58% versus 49% of older patients receiving cabazitaxel versus
78 abiraterone/enzalutamide and 48% versus 42% of younger patients. In older patients,
79 cardiac AEs were more frequent with abiraterone/enzalutamide; asthenia and diarrhea
80 were more frequent with cabazitaxel.

81 **Conclusions:**

82 Cabazitaxel improved efficacy outcomes versus abiraterone/enzalutamide in patients with
83 mCRPC after prior docetaxel and abiraterone/enzalutamide, regardless of age. TEAEs were
84 more frequent among older patients. The cabazitaxel safety profile was manageable across
85 age groups.

86 **Patient Summary:**

87 Using clinical trial data, cabazitaxel improved survival versus abiraterone/enzalutamide with
88 manageable side effects in patients with mCRPC who previously received docetaxel and the
89 alternative agent (abiraterone/enzalutamide), irrespective of age.

90

91 **Take home message**

92 Word count: 38 (limit: 40 words)

93 From the CARD study, we demonstrate that cabazitaxel improves efficacy outcomes versus
94 abiraterone/enzalutamide in patients with metastatic castration-resistant prostate cancer
95 who previously received docetaxel and progressed ≤ 12 months on the alternative androgen
96 receptor-targeted agent (abiraterone/enzalutamide), irrespective of age.

97 **Introduction**

98 Like most other neoplasms, prostate cancer is an age-related disorder. It is the most
99 frequently diagnosed cancer in men, and represents the third and fourth leading cause of
100 male cancer death in Europe and the USA, respectively, with the majority of deaths
101 occurring in patients ≥ 75 years of age [1-3]. With an aging population and increasing life
102 expectancy worldwide, a substantial increase in the burden of prostate cancer is anticipated
103 in the next 10 years [4]. Consequently, there is a need to better manage patients with
104 prostate cancer and adequately balance the benefits and risks of therapies according to a
105 patient's health status, rather than age alone.

106
107 Although there are currently multiple treatments available for patients with metastatic
108 castration-resistant prostate cancer (mCRPC), there is little data informing the optimal
109 treatment choice with respect to both improved patient survival, treatment sequence and
110 safety profile [5]. Treatment-associated adverse events (AEs) are a particular challenge in
111 older patients due to associated comorbidities and/or age-related decline in organ function,
112 polypharmacy and risk of potentially serious drug-drug interactions [6, 7].

113
114 To better understand treatment sequencing in mCRPC, the CARD study (NCT02485691) was
115 designed to compare cabazitaxel with abiraterone or enzalutamide in patients with mCRPC
116 who had received prior docetaxel and had previously progressed within 12 months while
117 receiving the alternative androgen receptor (AR)-targeted agent (abiraterone or
118 enzalutamide) [8]. In CARD, cabazitaxel improved radiographic progression-free survival
119 (rPFS) and overall survival (OS) compared with abiraterone or enzalutamide [8]. This
120 preplanned analysis of CARD investigated the impact of cabazitaxel versus

121 abiraterone/enzalutamide on the primary endpoint (rPFS) in older (≥ 70 years of age) and
122 younger (< 70 years of age) patient subgroups. Post hoc analyses of other secondary
123 endpoints were also assessed in these patient subgroups. The cut-offs of ≥ 70 and < 70 years
124 of age were selected based on the International Society of Geriatric Oncology guidelines on
125 prostate cancer [9].

126

127 **Materials and Methods**

128 ***Study design and population***

129 CARD (NCT02485691) is a multicenter, randomized (1:1), open-label clinical trial involving 79
130 sites in 13 European countries; the study design has been previously described [8]. The
131 study was designed to compare cabazitaxel with abiraterone or enzalutamide in patients
132 with mCRPC who had been previously treated with ≥ 3 cycles of docetaxel and who had
133 progressed within 12 months of treatment with the alternative AR-targeted agent, received
134 before or after docetaxel. Eligible patients received intravenous cabazitaxel 25 mg/m² every
135 3 weeks, oral prednisone 10 mg daily and granulocyte-colony stimulating factor (G-CSF) or
136 oral abiraterone 1000 mg daily and oral prednisone 5 mg twice daily or oral enzalutamide
137 160 mg daily. G-CSF was mandatory during each cycle of cabazitaxel. The duration of one
138 cycle was 3 weeks in each arm; treatment continued until radiographic progression,
139 unacceptable toxicity or change in treatment.

140

141 ***Endpoints***

142 The primary endpoint was rPFS, defined as the time from randomization until objective
143 tumor progression (according to Response Evaluation Criteria in Solid Tumours [RECIST],
144 version 1.1), progression of bone lesions (according to the Prostate Cancer Working Group 2
145 criteria), or death [10]. If radiological progression or death was not observed during the
146 study, data on rPFS were censored at the last valid tumor assessment or at the cut-off date,
147 whichever came first. Secondary endpoints included OS, progression-free survival (PFS),
148 prostate-specific antigen (PSA), tumor and pain responses, and safety. A PSA response was
149 defined as a decline of serum PSA from baseline of $\geq 50\%$ confirmed with an additional
150 measurement ≥ 3 weeks apart. A tumor response was defined as a partial or complete

151 response according to RECIST v1.1, in patients with measurable disease. A pain response
152 was assessed using the Brief Pain Inventory-Short Form (BPI-SF) pain intensity score and
153 defined as a >30% decrease from baseline in the BPI-SF pain intensity score observed at two
154 consecutive evaluations ≥ 3 weeks apart without an increase in analgesic usage score [11].
155 Treatment-emergent AEs (TEAEs), regardless of causality, were defined by first occurring or
156 worsening of an AE after the first dose and up to 30 days after the last study drug
157 administration. TEAEs were assessed using the National Cancer Institute Common
158 Terminology Criteria for AEs v4.0.

159

160 **Statistical analysis**

161 For this analysis, patients were classified into two age subgroups, ≥ 70 (older) and < 70 years
162 of age (younger). This age cut-off was selected based upon the International Society of
163 Geriatric Oncology guidelines on prostate cancer [9]. rPFS analysis by age subgroup (≥ 70 vs
164 < 70 years of age) was pre-specified; analyses of secondary endpoints (OS, PFS, PSA, tumor
165 and pain responses) by these age subgroups were post hoc. Analyses conducted in patients
166 aged ≥ 75 years were post hoc. The comparison of rPFS, OS and PFS between treatment
167 groups was performed using a stratified log-rank test. Survival curves were generated using
168 Kaplan-Meier estimates. Stratified Cox proportional-hazards models were used to estimate
169 hazard ratios (HRs) and associated 95% confidence intervals (CIs). Sensitivity analyses used
170 the stratified Cox proportional-hazard model adjusted for Gleason score 8–10 and M1
171 disease at diagnosis as covariates due to the imbalance of these characteristics between age
172 subgroups. For PSA, tumor and pain response comparisons between treatment groups a
173 stratified Cochran-Mantel Haenszel test was used. The log-rank tests, Cox proportional-
174 hazards models and Cochran-Mantel Haenszel tests were stratified by Eastern Cooperative

175 Oncology Group performance status (0/1 vs 2), time from AR-targeted agent initiation to
176 progression (0–6 vs 6–12 months) and timing of AR-targeted agent as specified at the time
177 of randomization (before vs after docetaxel).

178 **Results**

179 ***Patient baseline and disease characteristics***

180 CARD enrolled 255 patients with mCRPC who were randomly assigned to receive cabazitaxel
181 (n = 129) or abiraterone or enzalutamide (n = 126) (**Figure 1**). Of them, 135 patients were
182 aged ≥ 70 years (cabazitaxel arm, n = 66; abiraterone or enzalutamide arm, n = 69) with a
183 median age of 76 years. Compared with patients aged ≥ 70 years, younger patients had
184 higher rates of Gleason's score 8–10 (72% vs 50%) and metastatic disease (49% vs 37%) at
185 diagnosis, and were more likely to have received docetaxel as first life-extending therapy
186 (70% vs 53%); other variables were well balanced between age subgroups (**Table 1**). Among
187 patients aged ≥ 70 years, those receiving abiraterone or enzalutamide versus cabazitaxel had
188 higher rates of Gleason score 8–10 (58% vs 42%) and metastatic disease (45% vs 29%) at
189 diagnosis and higher rates of pain (71% vs 65%) and visceral metastases (22% vs 12%) at
190 randomization, but performance status was similar between treatment arms (**Table 1**).
191 Clinical variables were well balanced between treatment arms in younger patients. The
192 median follow-up for CARD was 9.2 months and the median event free time for rPFS, OS and
193 PFS was 5.4, 10.6 and 5.2 months, respectively. The median duration of treatment was
194 longer for patients receiving cabazitaxel compared with patients receiving abiraterone or
195 enzalutamide, regardless of age (patients aged ≥ 70 years: 5.1 vs 3.0 months; younger
196 patients: 5.5 vs 2.8 months). The proportion of patients discontinuing treatment was similar
197 among patients receiving cabazitaxel versus abiraterone or enzalutamide both in patients
198 aged ≥ 70 years (96% vs 93%) and younger patients (91% vs 93%). The main reasons for
199 treatment discontinuation in both treatment arms were disease progression and AEs
200 (**Supplementary Table 1**).

201

202 **Efficacy**

203 As previously reported, the median rPFS for the overall population was 8.0 months with
204 cabazitaxel versus 3.7 months with abiraterone or enzalutamide (HR [95% CI] = 0.54 [0.40–
205 0.73]; $p < 0.001$) [8]. In patients aged ≥ 70 years, the median rPFS was 8.2 months with
206 cabazitaxel versus 4.5 months with abiraterone or enzalutamide (HR [95% CI] = 0.58 [0.38–
207 0.89]; $p = 0.012$; **Figure 2a**); the sensitivity analysis (adjusted for Gleason score 8–10 and M1
208 disease at diagnosis) HR (95% CI) was 0.61 (0.39–0.97). Among patients aged < 70 years, the
209 median rPFS was also significantly improved with cabazitaxel versus abiraterone or
210 enzalutamide (7.4 vs 3.2 months; HR [95% CI] = 0.47 [0.30–0.74]; $p < 0.001$; **Figure 2a**).

211
212 The median OS (main secondary endpoint) was numerically longer for cabazitaxel compared
213 with abiraterone or enzalutamide in patients aged ≥ 70 years (13.9 vs 9.4 months; HR [95%
214 CI] = 0.66 [0.41–1.06]; $p = 0.084$) and younger patients (13.6 vs 11.8 months; HR [95% CI] =
215 0.66 [0.41–1.08]; $p = 0.093$) but differences did not reach statistical significance (**Figure 2b**);
216 the sensitivity analysis HR (95% CI) was 0.69 (0.42–1.15). In patients aged ≥ 70 years, the
217 median PFS was 4.5 months with cabazitaxel versus 2.8 months with abiraterone or
218 enzalutamide (HR [95% CI] = 0.57 [0.39–0.84]; $p = 0.003$; **Figure 2c**); the sensitivity analysis
219 HR (95% CI) was 0.55 (0.36–0.83). Among patients aged < 70 years, a significant
220 improvement in median PFS was also observed with cabazitaxel versus abiraterone or
221 enzalutamide (4.4 vs 2.5 months; HR [95% CI] = 0.45 [0.30–0.68]; $p < 0.001$; **Figure 2c**).
222 Interaction p values between treatment and age group for rPFS, OS and PFS were 0.5, 0.9
223 and 0.5, respectively. Lastly, an exploratory analysis was performed in the subgroup of
224 patients aged ≥ 75 years (**Supplementary table 2**). rPFS, OS and PFS numerically favored
225 cabazitaxel versus abiraterone or enzalutamide but as a consequence of the low number of

226 patients aged ≥ 75 years, a meaningful statistical comparison could not be performed.

227 Overall and by age subgroup patient event and censoring data can be found in

228 **Supplementary table 3.**

229

230 PSA and pain responses were significantly improved with cabazitaxel versus abiraterone or

231 enzalutamide, regardless of age (**Figure 3**). Tumor response in patients aged ≥ 70 years

232 numerically favored cabazitaxel versus abiraterone or enzalutamide but this difference did

233 not reach statistical significance.

234

235 **Safety**

236 Almost all patients had a TEAE of any grade, irrespective of age and treatment (**Table 2** and

237 **Supplementary Table 4**). Serious TEAEs of any grade were more frequent in patients aged

238 ≥ 70 years compared with younger patients, both in the cabazitaxel (45% vs 32%) and

239 abiraterone or enzalutamide arms (45% vs 33%). Any grade ≥ 3 TEAEs were also more

240 frequent in patients aged ≥ 70 years compared with younger patients, both in the cabazitaxel

241 (58% vs 48%) and abiraterone or enzalutamide arms (49% vs 42%). Grade ≥ 3 TEAEs that

242 occurred more frequently in patients aged ≥ 70 years receiving cabazitaxel compared with

243 abiraterone or enzalutamide included asthenia/fatigue (6.3% vs 1.5%), diarrhea (6.3% vs

244 1.5%) and febrile neutropenia (3.1% vs 0%). Grade ≥ 3 TEAEs that occurred more frequently

245 in patients aged ≥ 70 years receiving abiraterone or enzalutamide compared with cabazitaxel

246 included infection (9.0% vs 4.7%), renal disorders (7.5% vs 3.1%) and cardiac disorders (9.0%

247 vs 0%). TEAEs leading to permanent treatment discontinuation were more frequent in

248 patients receiving cabazitaxel compared with patients receiving abiraterone or

249 enzalutamide among patients aged ≥ 70 years (25% vs 12%) and younger patients (15% vs

250 5.3%). TEAEs leading to death were less frequent in patients receiving cabazitaxel compared
251 with abiraterone or enzalutamide among patients aged ≥ 70 years (9.4% vs 15%) and
252 younger patients (1.6% vs 7.0%). In patients aged ≥ 70 years, grade 5 TEAEs occurred in six
253 patients receiving cabazitaxel (disease progression [n = 2], urinary tract infection [n = 1],
254 head injury [n = 1], septic shock [n = 1] or aspiration [n = 1]) and 10 patients receiving
255 abiraterone or enzalutamide (acute coronary syndrome [n = 1], tumor-related symptoms
256 including clinical deterioration, reduced mobility and appetite, and dyspnea on exertion [n =
257 1], renal failure [n = 1], disease progression [n = 4], sepsis [n = 1], cardiac failure [n = 1] or
258 pneumonia [n = 1]). In younger patients, grade 5 TEAEs occurred in one patient receiving
259 cabazitaxel (disease progression [n = 1]) and four patients receiving abiraterone or
260 enzalutamide (cerebral hemorrhage [n = 1], disease progression [n = 1], acute kidney injury
261 [n = 1] or a pulmonary embolism [n = 1]). The proportion of patients with ≥ 1 dose reduction
262 was lower among patients receiving cabazitaxel compared with abiraterone or enzalutamide
263 among patients aged ≥ 70 years (20% vs 39%) and younger patients (23% vs 37%). The TEAE
264 profiles of cabazitaxel and abiraterone/enzalutamide were further investigated using three
265 different age cut-offs (≥ 75 , 70–74 and < 70 ; **Supplementary Table 5**).

266

267 **Discussion**

268 Management of older patients with metastatic prostate cancer is challenging due to
269 multiple comorbidities, the problem of polypharmacy and the risk of severe drug-drug
270 interactions, with older patients taking approximately 10 prescription medications prior to
271 receiving chemotherapy [4, 6, 12]. There is also the problem of cost, with several studies
272 identifying older patients as some of the highest resource users [13-16]. Since 2010, SIOG
273 guidelines consistently recommend that treatment choices should be based on patient
274 health status, mainly driven by comorbidities and patient preference, and not on
275 chronological age [4, 9]. Advanced age is thus not a contraindication to chemotherapy.
276 However, in daily practice many older patients with mCRPC receive AR-targeted agents
277 sequentially because they are given orally and perceived as less toxic than chemotherapy
278 [17, 18].

279

280 The CARD study prospectively randomized a high proportion (53%) of patients aged ≥ 70
281 years enabling an effective assessment of the efficacy and safety of cabazitaxel compared
282 with abiraterone or enzalutamide in older patients with mCRPC previously treated with
283 docetaxel and who had disease progression within 12 months on the alternative AR-
284 targeted agent. The results demonstrate that cabazitaxel provides a greater benefit
285 compared with a second AR-targeted agent and shows an acceptable safety profile,
286 regardless of age. In this preplanned analysis of the CARD primary endpoint, cabazitaxel
287 almost doubled rPFS compared with abiraterone or enzalutamide among patients aged ≥ 70
288 years (HR = 0.58) and younger patients (HR = 0.47). Cabazitaxel also numerically improved
289 OS (main secondary endpoint) compared with abiraterone or enzalutamide, regardless of

290 age. Other secondary endpoints (PFS and PSA, tumor and pain responses) consistently
291 favored cabazitaxel compared with abiraterone or enzalutamide, regardless of age [19].

292

293 Interestingly, median rPFS was slightly shorter for patients aged <70 years (cabazitaxel: 7.4
294 months; abiraterone/enzalutamide: 3.2 months) compared with patients aged ≥70 years
295 (cabazitaxel: 8.2 months; abiraterone/enzalutamide: 4.5 months). This might be a reflection
296 of the more aggressive baseline clinical features of the younger patient population (higher
297 rates of Gleason's score 8–10 and metastatic disease at diagnosis). However, this trend was
298 not seen for OS or PFS. Younger patients receiving cabazitaxel also had a higher rate of liver
299 or lung metastases at diagnosis compared with patients aged ≥70 years receiving cabazitaxel
300 (21% vs 12%). As liver and lung metastases are often associated with more aggressive
301 disease, this may be a contributing factor for the shorter rPFS observed [20].

302

303 The percentage of patients who experienced serious TEAEs of any grade was higher among
304 patients aged ≥70 years versus younger patients in both the cabazitaxel (45% vs 32%) and
305 abiraterone or enzalutamide (45% vs 33%) treatment arms. Similarly, TEAEs leading to death
306 occurred more often in patients aged ≥70 years versus younger patients (12% vs 4.2%);
307 however, lower rates of TEAEs leading to death were observed in patients receiving
308 cabazitaxel compared with abiraterone or enzalutamide across both age subgroups. This
309 would suggest that patients aged ≥70 years receiving either treatment may need closer
310 monitoring and additional AE mitigation strategies to optimize treatment outcomes.

311 In this study the incidence of febrile neutropenia did not exceed 3.2% in patients aged ≥ 70
312 years and younger patients. The rate of febrile neutropenia is lower than in previous Phase
313 III studies assessing cabazitaxel 25 mg/m² (8–12%). This is likely due to the mandatory use of
314 G-CSF during each cycle of cabazitaxel [21-23].

315

316 One limitation of this study is that the age subgroup analyses for the secondary endpoints
317 were post hoc and not powered to demonstrate benefit. However, the age subgroup
318 analysis of rPFS was pre-specified and was significantly prolonged among patients receiving
319 cabazitaxel compared with abiraterone or enzalutamide. Another limitation of this study is
320 the imbalance in some poor prognostic features between the age subgroups and the
321 treatment arms, which may suggest a different underlying mCRPC biology. However,
322 sensitivity analyses adjusted for these imbalances did not alter the findings.

323

324 The CARD results are important for several reasons. Firstly, they provide additional
325 confirmation that patients with mCRPC progressing following receipt of an AR-targeted
326 agent respond sub-optimally to a second alternative AR-targeted agent, as already shown by
327 several prospective randomized trials [24, 25]. Secondly, the results demonstrate that
328 cabazitaxel is superior to abiraterone or enzalutamide in delaying disease progression,
329 prolonging OS and relieving pain among patients with mCRPC previously treated with
330 docetaxel and the alternative AR-targeted agent. Finally, the safety profile of cabazitaxel is
331 manageable when prophylactic G-CSF is administered at each cycle. The incidence of febrile
332 neutropenia in patients receiving cabazitaxel in CARD (3.2%) is lower than in previous Phase
333 III studies assessing cabazitaxel [8, 21-23]. In TROPIC, FIRSTANA and PROSELICA,

334 prophylactic use of G-CSF was not recommended during Cycle 1 of cabazitaxel and the
335 incidence of febrile neutropenia with the 25 mg/m² dose was 8–12% [21-23]. A lower
336 incidence of febrile neutropenia (2.1%) has been observed with the 20 mg/m² dose of
337 cabazitaxel, which maintained 50% of the OS benefit of the 25 mg/m² dose versus
338 mitoxantrone in TROPIC [23]. Although 20 mg/m² is a recommended starting dose in the
339 USA, the recommended starting dose in Europe is 25 mg/m² [26, 27]. In a large European
340 compassionate use program including 746 patients with mCRPC treated with 25 mg/m²
341 cabazitaxel (including 225 patients aged ≥70 years), the rate of febrile neutropenia did not
342 exceed 5.6% but prophylactic G-CSF was administered at Cycle 1 in ~60% of older patients
343 [28]. In the same study, a multivariate analysis demonstrated that patients aged ≥75 years
344 with a neutrophil count of <4000/mm³ at baseline who did not receive G-CSF during Cycle 1
345 were independently associated with a risk of neutropenic complications [28]. Conversely,
346 this risk was reduced by 30% when G-CSF was used from Cycle 1 [28]. Although patients
347 enrolled in clinical trials need to satisfy stringent inclusion and exclusion criteria and are, by
348 definition, fitter than those seen in daily clinical practice, the CARD trial results suggest that
349 both patients and physicians can be reassured that cabazitaxel treatment along with
350 prophylactic use of G-CSF from Cycle 1 is effective and has a manageable safety profile even
351 in older patients.

352

353 **Conclusions**

354 In this analysis of the CARD study, cabazitaxel significantly improved rPFS (pre-specified
355 analysis) compared with abiraterone or enzalutamide among patients aged ≥ 70 years and
356 younger patients with mCRPC previously treated with docetaxel and the alternative AR-
357 targeted agent. OS, PSA response, objective tumor response and pain response also favored
358 cabazitaxel (post hoc analyses), regardless of age. Overall, patients aged ≥ 70 years
359 experienced a higher frequency of grade 3 TEAEs compared with younger patients, but
360 these TEAEs differed between cabazitaxel and the AR-targeted agents. These results support
361 the use of cabazitaxel over abiraterone or enzalutamide as standard of care, irrespective of
362 age, in patients with mCRPC previously treated with docetaxel and the alternative AR-
363 targeted agent.

364

365 **References**
366

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435

436 **Tables and figures**

437

438 **Table 1. Patient baseline and disease characteristics**

	≥70 years of age		<70 years of age	
	Cabazitaxel n = 66	Abiraterone or enzalutamide n = 69	Cabazitaxel n = 63	Abiraterone or enzalutamide n = 57
Median age at screening, years (range)	76 (70–85)	74 (70–88)	65 (46–69)	63 (45–69)
ECOG PS at randomization, n (%)				
0 or 1	65 (99)	68 (99)	60 (95)	54 (95)
2	1 (1.5)	1 (1.4)	3 (4.8)	3 (5.3)
Metastatic sites at randomization, n (%)				
Bone	40 (61)	40 (58)	34 (54)	36 (63)
Lymph nodes	5 (7.6)	4 (5.8)	3 (4.8)	2 (3.5)
Liver or lung	8 (12)	15 (22)	13 (21)	10 (18)
Other	13 (20)	10 (15)	13 (21)	9 (16)
Type of progression at randomization, n (%)				
Pain	43 (65)	49 (71)	43 (68)	41 (72)
Imaging-based progression (± PSA) and no pain	12 (18)	8 (12)	11 (18)	7 (12)
PSA only	5 (7.6)	5 (7.2)	6 (9.5)	5 (8.8)
Missing data	6 (9.1)	7 (10)	3 (4.8)	4 (7.0)
M1 disease at diagnosis, n (%)	19 (29)	31 (45)	30 (48)	29 (51)
Gleason score 8–10 at diagnosis, n (%)	28 (42.4)	40 (58.0)	45 (71.4)	41 (71.9)
Previous AR-targeted agent, n (%)				
Abiraterone	29 (44)	40 (58)	27 (43)	27 (47)
Enzalutamide	36 (55)	29 (42)	36 (57)	30 (53)
Missing data	1 (1.5)	0	0	0
Timing of AR-targeted agent, n (%)				
Before docetaxel	29 (44)	34 (49)	21 (33)	15 (26)
After docetaxel	37 (56)	35 (51)	42 (67)	42 (74)

439

440 *AR, androgen receptor; ECOG PS, Eastern Cooperative Oncology Group performance status;*441 *PSA; prostate-specific antigen.*

Table 2. Treatment-emergent adverse events according to age

Patients, n (%)	≥70 years of age				<70 years of age			
	Cabazitaxel n = 64		Abiraterone or enzalutamide n = 67		Cabazitaxel n = 62		Abiraterone or enzalutamide n = 57	
	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3
Any TEAE	64 (100)	37 (58)	63 (94)	33 (49)	60 (97)	30 (48)	54 (95)	24 (42)
Any serious TEAE	29 (45)	24 (38)	30 (45)	30 (45)	20 (32)	16 (26)	19 (33)	17 (30)
Any TEAE leading to permanent treatment discontinuation	16 (25)	–	8 (12)	–	9 (15)	–	3 (5.3)	–
Any TEAE leading to death	6 (9.4)	–	10 (15)	–	1 (1.6)	–	4 (7.0)	–
Frequent TEAEs (grade ≥3 TEAEs reported in ≥3% in any subgroup) ^a								
Asthenia or fatigue	38 (59)	4 (6.3)	29 (43)	1 (1.5)	29 (47)	1 (1.6)	16 (28)	2 (3.5)
Diarrhea	27 (42)	4 (6.3)	3 (4.5)	1 (1.5)	23 (37)	0	6 (11)	0
Infection	19 (30)	3 (4.7)	17 (25)	6 (9.0)	21 (34)	6 (9.7)	9 (16)	3 (5.3)
Nausea or vomiting	15 (23)	0	21 (31)	1 (1.5)	18 (29)	0	8 (14)	1 (1.8)
Decreased appetite	12 (19)	1 (1.6)	13 (19)	1 (1.5)	5 (8.1)	0	6 (11)	2 (3.5)
Musculoskeletal pain or discomfort ^b	18 (28)	1 (1.6)	26 (39)	3 (4.5)	16 (26)	1 (1.6)	23 (40)	4 (7.0)
Peripheral neuropathy ^c	11 (17)	3 (4.7)	2 (3.0)	0	14 (23)	1 (1.6)	2 (3.5)	0
Hematuria	7 (11)	0	4 (6.0)	2 (3.0)	12 (19)	1 (1.6)	3 (5.3)	0
Renal disorder ^d	5 (7.8)	2 (3.1)	9 (13)	5 (7.5)	3 (4.8)	2 (3.2)	5 (8.8)	5 (8.8)
Cardiac disorder	4 (6.3)	0	8 (12)	6 (9.0)	4 (6.5)	1 (1.6)	2 (3.5)	0
Hypertensive disorder ^e	2 (3.1)	1 (1.6)	7 (10)	2 (3.0)	3 (4.8)	2 (3.2)	3 (5.3)	1 (1.8)
Febrile neutropenia	2 (3.1)	2 (3.1)	0	0	2 (3.2)	2 (3.2)	0	0
Disease progression	3 (4.7)	3 (4.7)	8 (12)	7 (10)	0	0	0	0

Spinal cord or nerve-root disorder ^f	2 (3.1)	2 (3.1)	4 (6.0)	3 (4.5)	4 (6.5)	1 (1.6)	5 (8.8)	2 (3.5)
Urinary tract obstruction	0	0	3 (4.5)	3 (4.5)	0	0	0	0
Pulmonary embolism	0	0	0	0	2 (3.2)	2 (3.2)	1 (1.8)	1 (1.8)

^a The cut-off selected was grade ≥ 3 TEAEs reported in $\geq 3\%$ of patients in any subgroup; ^b Including back pain, flank pain, musculoskeletal discomfort, musculoskeletal pain, discomfort, neck pain, pain in extremity, growing pains, musculoskeletal chest pain; ^c Including neuropathy peripheral, peripheral motor neuropathy, peripheral sensorimotor neuropathy, peripheral sensory neuropathy, polyneuropathy; ^d Including acute kidney injury, renal failure, renal impairment, hydronephrosis and pyelocaliectasis; ^e Including hypertension, hypertensive crisis; ^f Including sciatica, radiculopathy, spinal cord compression.

TEAE, treatment-emergent adverse event.

Figure 1. CONSORT diagram

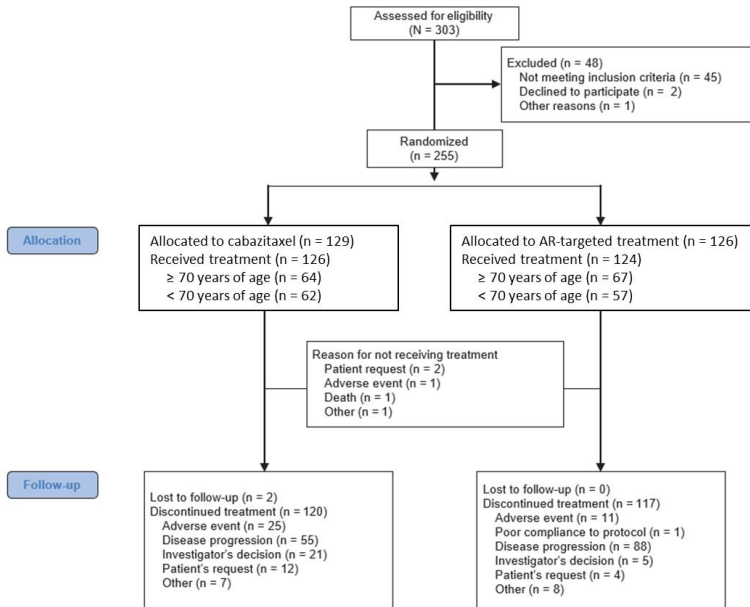
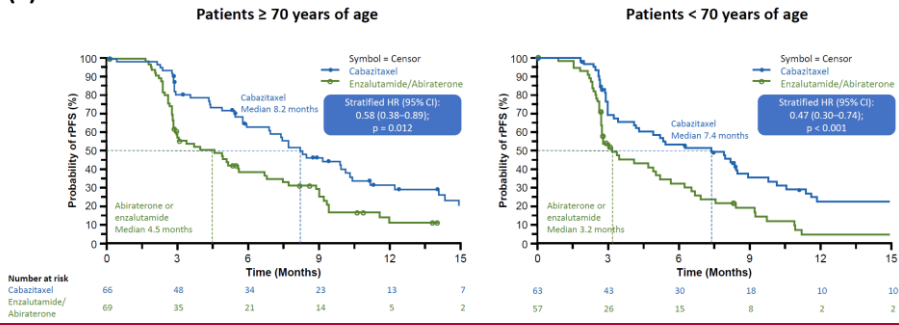


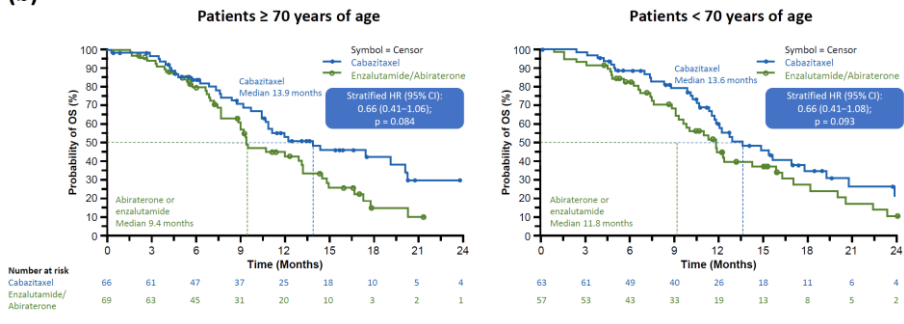
Figure 2. Kaplan–Meier estimates. (a) Radiographic progression-free survival according to age, (b) Overall survival according to age and (c) Progression-free survival according to age.

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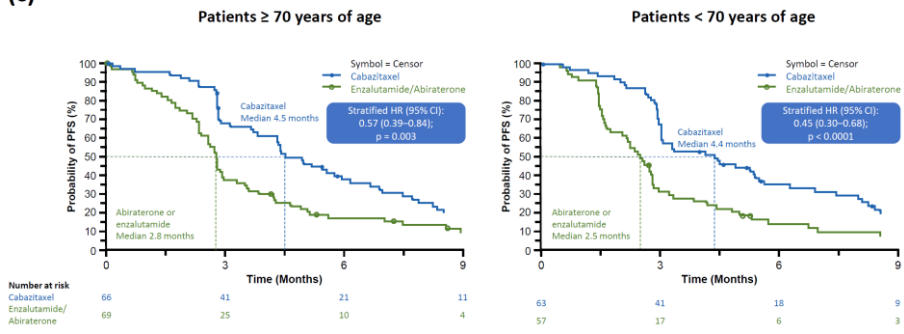
(a)



(b)

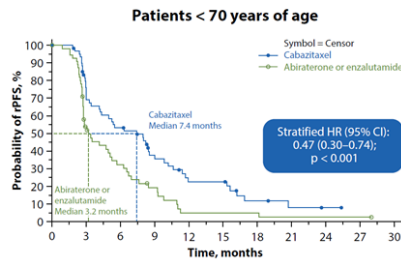
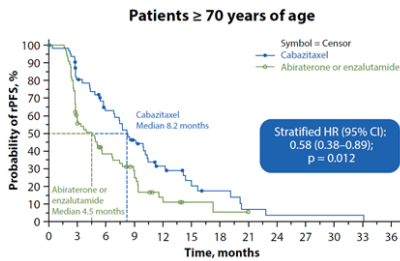


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a



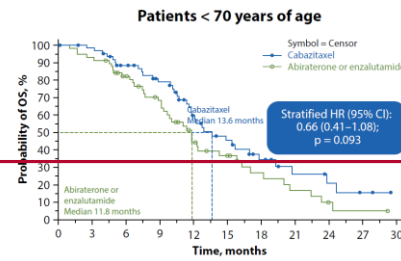
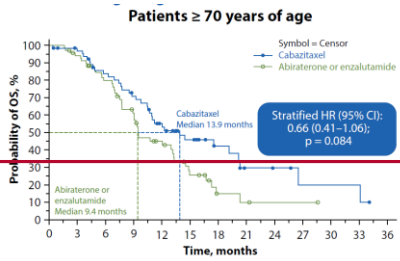
Number at risk

Cabazitaxel	66	48	34	23	13	5	1	1	0
Abiraterone or enzalutamide	69	35	21	14	5	1	0		

Number at risk

Cabazitaxel	63	43	30	18	10	4	1	0
Abiraterone or enzalutamide	57	26	15	8	2	2	1	0

b



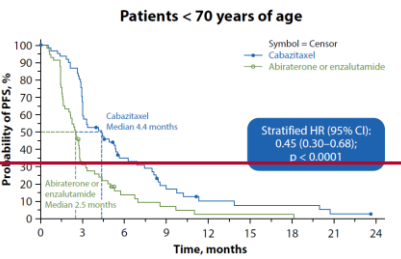
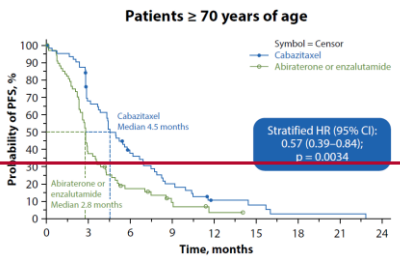
Number at risk

Cabazitaxel	66	61	47	37	25	10	4	2	0
Abiraterone or enzalutamide	69	63	45	31	20	3	1	0	

Number at risk

Cabazitaxel	63	61	49	40	26	11	4	0
Abiraterone or enzalutamide	57	53	43	33	19	8	2	0

c



Number at risk

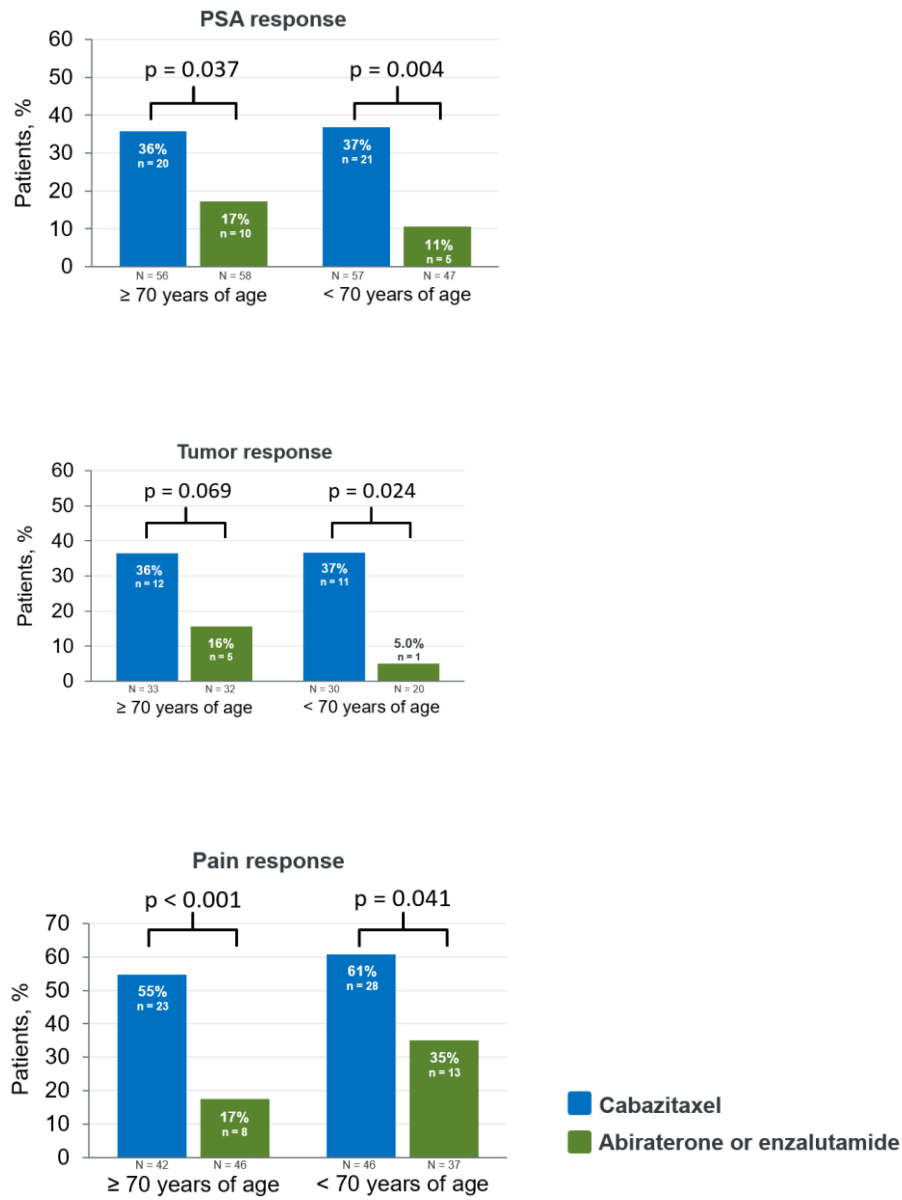
Cabazitaxel	66	41	21	11	4	1	0
Abiraterone or enzalutamide	69	25	10	4	1	0	

Number at risk

Cabazitaxel	63	41	18	9	4	3	0
Abiraterone or enzalutamide	57	17	6	3	1	1	0

Kaplan-Meier estimates at later time points should be interpreted with caution due to small samples sizes. CI, confidence interval; HR, hazard ratio; OS, overall survival; PFS, progression-free survival; rPFS, radiographic progression-free survival.

Figure 3. Prostate-specific antigen, tumor and pain response according to age



PSA, prostate-specific antigen.

Supplementary Table 1. Treatment exposure according to age

	≥70 years of age		<70 years of age	
	Cabazitaxel n = 64 ^a	Abiraterone or enzalutamide n = 67 ^a	Cabazitaxel n = 62 ^a	Abiraterone or enzalutamide n = 57 ^a
Treatment duration				
Median duration of treatment exposure, weeks (range)	22.0 (3.0–63.4)	12.9 (3.0–87.3)	24.0 (6.0–87.9)	12.0 (2.0–141.3)
Median number of cycles, n (range)	7.0 (1.0–20.0)	4.0 (1.0–28.0)	7.5 (2.0–29.0)	4.0 (1.0–45.0)
Treatment reduction				
Patients with ≥1 cycle administered at a reduced dose, n (%)	13 (20)	26 (39)	14 (23)	21 (37)
	Cabazitaxel n = 66 ^b	Abiraterone or enzalutamide n = 69 ^b	Cabazitaxel n = 63 ^b	Abiraterone or enzalutamide n = 57 ^b
Treatment discontinuation				
Patients with discontinued treatment, n (%)	63 (96)	64 (93)	57 (91)	53 (93)
Reasons for discontinuation, n (%)				
Disease progression	21 (32)	49 (71)	34 (54)	39 (68)
Adverse event	16 (24)	8 (12)	9 (14)	3 (5.3)
Investigator's decision	16 (24) ^c	2 (2.9)	5 (7.9)	3 (5.3)
Patient's request	8 (12)	2 (2.9)	4 (6.3)	2 (3.5)
Other	2 (3.0)	3 (4.3)	5 (7.9)	5 (8.8)
Lost to follow-up	0	0	0	0
Poor compliance to protocol	0	0	0	1 (1.8)

^a Safety population (randomized and received at least one dose of study treatment); ^b Randomized population; ^c Often following patient receipt of 10 cycles of cabazitaxel.

Supplementary Table 2. Summary of efficacy endpoints in patients ≥ 75 versus < 75 years of age

Median, months (95% CI)	≥ 75 years of age		< 75 years of age	
	Cabazitaxel n = 45	Abiraterone or enzalutamide n = 34	Cabazitaxel n = 84	Abiraterone or enzalutamide n = 92
rPFS	8.3 (6.9–10.4)	4.9 (3.0–9.0)	8.0 (5.0–9.0)	3.2 (2.8–5.1)
OS	14.4 (9.8–26.5)	9.2 (7.5–16.7)	12.9 (11.7–17.7)	11.8 (9.4–13.2)
PFS	5.4 (3.7–6.9)	2.9 (2.4–4.2)	4.4 (3.0–5.3)	2.6 (2.2–2.8)

CI, confidence interval; OS, overall survival; PFS, progression-free survival; rPFS, radiographic progression-free survival.

Supplementary Table 3. Patient event and censoring data

	Overall		≥70 years of age		<70 years of age	
Patients, ^a n (%)	Cabazitaxel n = 129	Abiraterone or enzalutamide n = 126	Cabazitaxel n = 66	Abiraterone or enzalutamide n = 69	Cabazitaxel n = 63	Abiraterone or enzalutamide n = 57
rPFS						
Events	95 (74)	101 (80)	48 (73)	53 (77)	47 (75)	48 (84)
Censored	34 (26)	25 (20)	18 (27)	16 (23)	16 (25)	9 (16)
OS						
Events	70 (54)	83 (66)	35 (53)	43 (62)	35 (56)	40 (70)
Censored	59 (46)	43 (34)	31 (47)	26 (38)	28 (44)	17 (30)
PFS						
Events	111 (86)	115 (91)	57 (86)	61 (88)	54 (86)	54 (95)
Censored	18 (14)	11 (8.7)	9 (14)	8 (12)	9 (14)	3 (5.3)

^a Cut-off date: March 27th, 2019.

OS, overall survival; PFS, progression-free survival; rPFS, radiological PFS.

Supplementary Table 4. Laboratory abnormalities of clinical interest according to age

Patients, n (%)	≥70 years of age				<70 years of age			
	Cabazitaxel n = 64		Abiraterone or enzalutamide n = 67		Cabazitaxel n = 62		Abiraterone or enzalutamide n = 57	
	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3
Anemia	62 (98)	2 (3.2)	66 (99)	3 (4.5)	62 (100)	8 (13)	52 (91)	3 (5.3)
Leukopenia	53 (84)	25 (40)	20 (30)	1 (1.5)	40 (65)	16 (26)	21 (37)	1 (1.8)
Neutropenia	49 (79)	30 (48)	6 (9.0)	2 (3.0)	32 (53)	25 (41)	2 (3.5)	2 (3.5)
Thrombocytopenia	26 (41)	2 (3.2)	12 (18)	1 (1.5)	25 (40)	2 (3.2)	8 (14)	1 (1.8)

Supplementary Table 5. Treatment-emergent adverse events according to age

Patients, n (%)	≥75 years of age				70–74 years of age				<70 years of age			
	Cabazitaxel n = 44		Abiraterone or enzalutamide n = 34		Cabazitaxel n = 20		Abiraterone or enzalutamide n = 33		Cabazitaxel n = 62		Abiraterone or enzalutamide n = 57	
	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3
Any TEAE	44 (100)	29 (66)	33 (97)	18 (53)	20 (100)	8 (40)	30 (91)	15 (46)	60 (97)	30 (48)	54 (95)	24 (42)
Any serious TEAE	26 (59)	21 (48)	18 (53)	18 (53)	3 (15)	3 (15)	12 (36)	12 (36)	20 (32)	16 (26)	19 (33)	17 (30)
Any TEAE leading to treatment discontinuation	14 (32)	-	6 (18)	-	2 (10)	-	2 (6.1)	-	9 (15)	-	3 (5.3)	-
Any TEAE leading to death	5 (11)	-	7 (21)	-	1 (5.0)	-	3 (9.1)	-	1 (1.6)	-	4 (7.0)	-
Frequent TEAEs (grade ≥3 TEAEs reported in ≥3% in any subgroup) ^a												
Asthenia or fatigue	26 (59)	3 (6.8)	16 (47)	1 (2.9)	12 (60)	1 (5.0)	13 (39)	0	29 (47)	1 (1.6)	16 (28)	2 (3.5)
Diarrhea	21 (48)	4 (9.1)	2 (5.9)	1 (2.9)	6 (30)	0	1 (3.0)	0	23 (37)	0	6 (11)	0
Infection	14 (32)	3 (6.8)	9 (27)	4 (12)	5 (25)	0	8 (24)	2 (6.1)	21 (34)	6 (9.7)	9 (16)	3 (5.3)
Nausea or vomiting	11 (25)	0	8 (24)	0	4 (20)	0	13 (39)	1 (3.0)	18 (29)	0	8 (14)	1 (1.8)
Decreased appetite	10 (23)	1 (2.3)	4 (12)	0	2 (10)	0	9 (27)	1 (3.0)	5 (8.1)	0	6 (11)	2 (3.5)
Musculoskeletal pain or discomfort ^b	9 (21)	0	12 (35)	1 (2.9)	9 (45)	1 (5.0)	14 (42)	2 (6.1)	16 (26)	1 (1.6)	23 (40)	4 (7.0)
Peripheral neuropathy ^c	7 (16)	3 (6.8)	1 (2.9)	0	4 (20)	0	1 (3.0)	0	14 (23)	1 (1.6)	2 (3.5)	0
Hematuria	5 (11)	0	3 (8.8)	1 (2.9)	2 (10)	0	1 (3.0)	1 (3.0)	12 (19)	1 (1.6)	3 (5.3)	0
Renal disorder ^d	4 (9.1)	2 (4.5)	6 (18)	2 (5.9)	1 (5.0)	0	3 (9.1)	3 (9.1)	3 (4.8)	2 (3.2)	5 (8.8)	5 (8.8)
Cardiac disorder	4 (9.1)	0	8 (24)	6 (18)	0	0	0	0	4 (6.5)	1 (1.6)	2 (3.5)	0
Hypertensive disorder ^e	2 (4.5)	1 (2.3)	4 (12)	1 (2.9)	0	0	3 (9.1)	1 (3.0)	3 (4.8)	2 (3.2)	3 (5.3)	1 (1.8)
Febrile neutropenia	2 (4.5)	2 (4.5)	0	0	0	0	0	0	2 (3.2)	2 (3.2)	0	0
Disease progression	1 (2.3)	1 (2.3)	4 (12)	4 (12)	2 (10)	2 (10)	4 (12)	3 (9.1)	0	0	0	0

Spinal cord or nerve-root disorder ^f	1 (2.3)	1 (2.3)	4 (12)	3 (8.8)	1 (5.0)	1 (5.0)	0	0	4 (6.5)	1 (1.6)	5 (8.8)	2 (3.5)
Urinary tract obstruction	0	0	0	0	0	0	3 (9.1)	3 (9.1)	0	0	0	0
Pulmonary embolism	0	0	0	0	0	0	0	0	2 (3.2)	2 (3.2)	1 (1.8)	1 (1.8)

^a The cut-off selected was grade ≥ 3 TEAEs reported in $\geq 3\%$ of patients in any subgroup; ^b Including back pain, flank pain, musculoskeletal discomfort, musculoskeletal pain, discomfort, neck pain, pain in extremity, growing pains, musculoskeletal chest pain; ^c Including neuropathy peripheral, peripheral motor neuropathy, peripheral sensorimotor neuropathy, peripheral sensory neuropathy, polyneuropathy; ^d Including acute kidney injury, renal failure, renal impairment, hydronephrosis and pyelocaliectasis; ^e Including hypertension, hypertensive crisis; ^f Including sciatica, radiculopathy, spinal cord compression.

TEAE, treatment-emergent adverse event.

Acknowledgments

- This study was funded by Sanofi.
- Pascaline Picard of Sanofi provided biostatistical advice.
- Cecile Merdrignac of Sanofi served as the clinical study physician.
- _____
- The authors received editorial support from Mark Cockerill of MediTech Media, funded by Sanofi.

Disclosures of conflicts of interest

Cora N. Sternberg has provided a consulting or advisory role for Bayer, Merck Sharp & Dohme, Pfizer, Roche, Incyte, AstraZeneca, Sanofi, Merck Serono, Medscape, UroToday, Janssen, Immunomedics now Gilead, Astellas Pharma and BMS.

Daniel Castellano has provided a consultancy or advisory role for Janssen, Roche, Astellas Pharma, AstraZeneca, Pfizer, Novartis, Ipsen, BMS, MSD, Bayer, Lilly, Sanofi, Pierre Fabre, Boehringer Ingelheim and received travel/accommodation/expenses from Pfizer, Roche, BMS, AstraZeneca. Daniel Castellano has also received research funding from Janssen.

Johann de Bono has provided a consulting or advisory role for AstraZeneca, Sanofi, Roche, Astellas Pharma, Bayer, Pfizer, Merck Sharp & Dohme, Merck Serono, Boehringer Ingelheim, Sierra Oncology, Menarini Silicon Biostystems, Celgene, Taiho Pharmaceuticals, Daiichi Sankyo, Janssen, Genmab, GSK, Orion Pharma GmbH, Eisai and BioXcel therapeutics and received travel/accommodation/expenses from AstraZeneca, Astellas Pharma, GSK, Orion Pharma GmbH, Sanofi, Genmab, Taiho Pharmaceuticals, Qiagen and Vertex. Johann de Bono

is also associated with patents/royalties/other IP for abiraterone, PARP inhibitors, IL-23 targeting in prostate cancer, CHK1 inhibitor. Johann de Bono has also received honoraria and/or research funding from AstraZeneca, Sanofi, Astellas Pharma, Pfizer, Roche/Genentech, Janssen, Menarini Silicon Biosystems, Daiichi Sankyo, Sierra Oncology, Taiho Pharmaceuticals, Merck Serono, Astex Pharmaceuticals, Merck Sharp & Dohme, Orion Pharma GmbH, CellCentric, Celgene, Bayer, MedImmune, Medivation and BioExcel.

Karim Fizazi has provided a consulting or advisory role for Janssen, Bayer, Astellas Pharma, Sanofi, Orion Pharma GmbH, Curevac, AstraZeneca, ESSA and Amgen and received travel/accommodation/expenses from Amgen and Janssen. Karim Fizazi has received honoraria from Janssen, Sanofi, Astellas and Bayer.

Bertrand Tombal has provided a consulting or advisory role for Astellas Pharma, Bayer, Ferring, Janssen, Takeda, Steba Biotech, Sanofi and Amgen and received travel/accommodation/expenses from Amgen, Astellas Pharma, Bayer, Ferring, Janssen and Sanofi. Bertrand Tombal has also received honoraria and/or research funding from Amgen, Astellas Pharma, Bayer, Ferring, Sanofi, Janssen, Pfizer and Myovant Sciences.

Gero Kramer has received honoraria and/or research funding from Sanofi, Bayer, Takeda, Astellas Pharma, Janssen, Ipsen, AstraZeneca and Novartis.

Jean-Christophe Eymard has a leadership role with Sanofi and has received honoraria from Sanofi.

Aristotelis Bamias has provided a consulting or advisory role for BMS, Pfizer, AstraZeneca, MSD, Roche and Ferring. Aristotelis Bamias has received honoraria and/or research funding from BMS, MSD, Astellas Pharma, Sanofi, Debiopharm Roche, AstraZeneca and Pfizer.

Joan Carles has provided a consulting or advisory role for Bayer, J&J, BMS, Astellas Pharma, Pfizer, Sanofi, MSD Oncology, Roche, Asofarma and AstraZeneca and received travel/accommodation/expenses from BMS, Ipsen, Roche and AstraZeneca. Joan Carles has also received research funding from AB Science, Aragon Pharmaceuticals, Arog, Astellas Pharma, AVEO, Bayer, Blueprint Medicines, Boehringer Ingelheim, BMS, Clovis Oncology, Cougar Biotechnology, Deciphera, Exelixis, Roche/Genentech, GSK, Incyte, Janssen-Cilag, Karyopharm Therapeutics, Medimmune, Millennium, Nanobiotix, Novartis, Pfizer, Puma Biotechnology, Sanofi, SFJ Pharmaceuticals Group, Teva, Mediolanum Laboratories Leurquin, Lilly and AstraZeneca.

Roberto Iacovelli has received honoraria from Sanofi, Janssen, Pfizer, Ipsen, Novartis, BMS and MSD.

Bohuslav Melichar has received honoraria from BMS, MSD, Novartis, Merck Serono, Sanofi, Roche, Janssen, Bayer, Astellas Pharma, SERVIER, Amgen and Pfizer.

Elizabeth M. Poole, Ayse Ozatilgan and Christine Geffriaud-Ricouard are employed by Sanofi and may hold shares and/or stock option in the company.

Ronald de Wit has provided a consulting or advisory role for Sanofi, Merck Sharp & Dohme, Roche/Genentech, Janssen, Bayer and Clovis Oncology and received travel/accommodation/expenses from Lilly. Ronald de Wit has also received honoraria and/or research funding from Sanofi, Merck Sharp & Dohme, and Bayer.

Christian Wülfing, Ásgerður Sverrisdóttir, Christine Theodore, Susan Feyerabend and Carole Helissey have no disclosures.

EUROPEAN UROLOGY Authorship Responsibility, Financial Disclosure, and Acknowledgment form.

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Financial Disclosure

None of the contributing authors have any conflicts of interest, including specific financial interests and relationships and affiliations relevant to the subject matter or materials discussed in the manuscript.

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Cora N. Sternberg has provided a consulting or advisory role for Bayer, MSD, Pfizer, Roche, Incyte, AstraZeneca, Sanofi, Merck, Medscape, UroToday, Astellas Pharma.

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Karim Fizazi has provided a consulting or advisory role for Janssen, Bayer, Astellas Pharma, Sanofi, Orion Pharma GmbH, Curevac, AstraZeneca, ESSA and Amgen and received travel/accommodation/expenses from Amgen and Janssen. Karim Fizazi has received honoraria from Janssen, Sanofi, Astellas and Bayer.

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Gero Kramer has received honoraria and/or research funding from Sanofi, Bayer, Takeda, Astellas Pharma, Janssen, Ipsen, AstraZeneca and Novartis.

Jean-Christophe Eymard has a leadership role with Sanofi and has received honoraria from Sanofi. Aristotelis Bamias has provided a consulting or advisory role for BMS, Pfizer, AstraZeneca, MSD, Roche and Ferring. Aristotelis Bamias has received honoraria and/or research funding from BMS, MSD, Astellas Pharma, Sanofi, Debiopharm Roche, AstraZeneca and Pfizer.

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travel/accommodation/expenses from BMS, Ipsen, Roche and AstraZeneca. Joan Carles has also received research funding from AB Science, Aragon Pharmaceuticals, Arog, Astellas Pharma, AVEO, Bayer, Blueprint Medicines, Boehringer Ingelheim, BMS, Clovis Oncology, Cougar Biotechnology, Deciphera, Exelixis, Roche/Genentech, GSK, Incyte, Janssen-Cilag, Karyopharm Therapeutics, Medimmune, Millennium, Nanobiotix, Novartis, Pfizer, Puma Biotechnology, Sanofi, SFJ Pharmaceuticals Group, Teva, Mediolanum Laboratories Leurquin, Lilly and AstraZeneca.

Roberto Iacovelli has received honoraria from Sanofi, Janssen, Pfizer, Ipsen, Novartis, BMS and MSD.

Bohuslav Melichar has received honoraria from BMS, MSD, Novartis, Merck Serono, Sanofi, Roche, Janssen, Bayer, Astellas Pharma, SERVIER, Amgen and Pfizer.

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Christian Wülfing, Ásgerður Sverrisdóttir, Christine Theodore, Susan Feyerabend and Carole Helissey have no disclosures.

Funding Support and Role of the Sponsor

I certify that all funding, other financial support, and material support for this research and/or work are clearly identified in the manuscript.

The name of the organization or organizations which had a role in sponsoring the data and material in the study are also listed below:

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The specific role of the funding organization or sponsor is as follows:

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- Preparation
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OR

No funding or other financial support was received.

Acknowledgment Statement

This corresponding author certifies that:

- all persons who have made substantial contributions to the work reported in this manuscript (eg, data collection, analysis, or writing or editing assistance) but who do not fulfill the authorship criteria are named with their specific contributions in an Acknowledgment in the manuscript.
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