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A definitive prognostication system for patients with thoracic malignancies diagnosed with COVID-19: an update from the TERA-VOLT registry.

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1 **A definitive prognostication system for patients with thoracic**
 2 **malignancies diagnosed with COVID-19: an update from the**
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ABSTRACT

Background: Patients with thoracic malignancies are at increased risk for mortality from Coronavirus disease 2019 (COVID-19) and large number of intertwined prognostic variables have been identified so far.

Methods: Capitalizing data from the TERA-VOLT registry, a global study created with the aim of describing the impact of COVID-19 in patients with thoracic malignancies, we used a clustering approach, a fast-backward step-down selection procedure and a tree-based model to screen and optimize a broad panel of demographics, clinical COVID-19 and cancer characteristics.

Results: As of April 15, 2021, 1491 consecutive evaluable patients from 18 countries were included in the analysis. With a mean observation period of 42 days, 361 events were reported with an all-cause case fatality rate of 24.2%. The clustering procedure screened approximately 73 covariates in 13 clusters. A further multivariable logistic regression for the association between clusters and death was performed, resulting in five clusters significantly associated with the outcome. The fast-backward step-down selection then identified seven major determinants of death ECOG-PS (OR 2.47 1.87-3.26), neutrophil count (OR 2.46 1.76-3.44), serum procalcitonin (OR 2.37 1.64-3.43), development of pneumonia (OR 1.95 1.48-2.58), c-reactive protein (CRP) (OR 1.90 1.43-2.51), tumor stage at COVID-19 diagnosis (OR 1.97 1.46-2.66) and age (OR 1.71 1.29-2.26). The ROC analysis for death of the selected model confirmed its diagnostic ability (AUC 0.78; 95%CI: 0.75 – 0.81). The nomogram was able to classify the COVID-19 mortality in an interval ranging from 8% to 90% and the tree-based model recognized ECOG-PS, neutrophil count and CRP as the major determinants of prognosis.

Conclusion: From 73 variables analyzed, seven major determinants of death have been identified. Poor ECOG-PS demonstrated the strongest association with poor outcome from COVID-19. With our analysis we provide clinicians with a definitive prognostication system to help determine the risk of mortality for patients with thoracic malignancies and COVID-19.

Keywords: COVID-19, cancer, mortality, thoracic, NSCLC, TERA-VOLT, registry.

1 INTRODUCTION

2 Coronavirus disease 2019 (COVID-19), a respiratory tract infection caused by the Severe
3 Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) has had an impact on healthcare that
4 will be felt for decades to come. The rapid global spread of this unpredictable virus led the World
5 Health Organization to declare a pandemic in March 2020, with over 219 million confirmed cases
6 and 4.5 million deaths as of September 13, 2021.

7 Early on it was determined that certain populations, including the elderly and those with
8 underlying comorbidities, were more prone to develop severe forms of COVID-19, and experience
9 detrimental outcomes as compared to the general population¹⁻⁵. The initial reports from single
10 institutions reported conflicting data among patients with a cancer diagnosis, which led the
11 oncology community to create registries and determine the true impact of COVID-19 on this
12 vulnerable patient population. As the pandemic spread, the data identified prognostic factors,
13 including patient demographics, comorbidities and concomitant medications, tumor
14 characteristics and anticancer treatments, clinical and laboratory findings at COVID-19 diagnosis,
15 as well as COVID-19-related complication and COVID-19 specific therapies associated with
16 mortality in patients with cancer^{9,10,12-16}. Professional societies began to release guidelines for
17 treatment and surveillance, while the healthcare environment restructured to accommodate
18 telemedicine and remote visits to minimize patient contact with an infected healthcare system⁶.
19 The Thoracic Cancers International COVID-19 Collaboration (TERAVOLT) is an active global
20 registry that was established in March 2020 to understand the impact of COVID-19 infection on
21 patients with thoracic malignancies in academic and community practices globally. Given the
22 disease characteristics and the common target organ, patients with thoracic malignancies have
23 been shown to experience higher morbidity and mortality from SARS-CoV-2 infection, with case
24 fatality rates ranging from 22% to 41%⁷⁻¹¹. In addition to reporting on outcomes associated with
25 morbidity and mortality, TERAVOLT aims to determine the risk factors associated with poor
26 outcomes, as well as provide practitioners with real-time data on therapies that may impact
27 survival to COVID-19 and evaluate long-term impacts on care and the delay in care to patients
28 with both curable and incurable thoracic malignancies^{8,12,13}. The aim of this update of the
29 TERAVOLT registry is to identify and select the variables with the greatest prognostic impact to
30 ensure continual and timely care of our patient population.

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1 METHODS

2 Study procedures

3 The database was designed to collect cross-sectional data, including patient and disease
4 characteristics both for cancer and for COVID-19 along with treatments received and
5 complications, as well as longitudinal cohort data that is related to the association between
6 potential prognostic factors and clinical outcomes.

7 Institutions across the globe were invited to participate in the study. In total, 114 centers
8 across 19 countries have activated the study, of which 92 have contributed data. Eligibility criteria
9 were patients with thoracic cancer (non-small cell lung cancer [NSCLC], small cell lung cancer,
10 mesothelioma, thymic epithelial tumors, and other neuroendocrine tumors with pulmonary origin)
11 with a COVID-19 diagnosis defined as any of the following: laboratory confirmed (using RT-
12 PCR/serology) infection; or suspected SARS-CoV-2 infection based on radiological findings
13 consistent with COVID-19 pneumonia and clinical symptoms (i.e., fever $>37.5^{\circ}\text{C}$, cough,
14 decrease of oxygen saturation of at least 5%, cough, diarrhea, otitis, dysgeusia, myalgia,
15 arthralgia, conjunctivitis, and rhinorrhea). Asymptomatic patients found to be positive for SARS-
16 CoV-2 were included in this analysis; these patients were tested by their centers based on
17 institutional policies or known exposure to a confirmed-positive individual. Patients of any age,
18 gender, tumor histology, or stage of disease were eligible, including those receiving active anti-
19 cancer treatment and in clinical follow-up.

20 Investigators from participating institutions entered data into a REDCap® (Research
21 Electronic Data Capture) database, with each institution assigned a unique center number and
22 used their own de-identified patient number. This numbering scheme allowed for the opportunity
23 to query investigators for additional clarification regarding the data entered and ask for additional
24 clinical data that emerged as our understanding of COVID-19 expanded during the pandemic.
25 REDCap® is a secure web platform¹⁴ for building and managing online databases and surveys;
26 it provides easy data handling (with audit trails for reporting, monitoring, and querying patient
27 records) and an automated export mechanism to common statistical packages (SPSS, SAS,
28 Stata, R/S-Plus).

29 Clinical data were extracted from medical records of consecutive patients starting March
30 23, 2020 and will be collected until the end of the pandemic; retrospective data collection from
31 patients diagnosed with COVID-19 earlier than this date was allowed. The database is divided
32 into four main categories: demographics, comorbidities, oncological history, and course of
33 COVID-19, including diagnosis, clinical, radiological, and laboratory outcomes and COVID-19
34 specific therapy. Basic demographics included age, gender, race and ethnicity, smoking status,

1 stage of cancer at COVID-19 diagnosis (American Joint Committee on Cancer clinical stages¹⁵),
2 type of thoracic malignancy, past and current (>3 months relative to COVID-19 diagnosis)
3 oncological treatment, comorbidities, concomitant medications, and need for hospital admission.
4 Oncological outcomes were also collected to evaluate the effect of this pandemic on treatment
5 delays. Initial database fields were chosen based on available literature data, and are updated on
6 the basis of emerging evidence of COVID-19 and its impact on the general population and cancer
7 patients.

9 **Aims and clinical endpoints**

10 In this study, we presented a comprehensive analysis with a definitive prognostic
11 stratification of the TERAVOLT study population, which has been updated and further
12 implemented with new data^{16,17}. Our aim was to provide a more comprehensive prognostic model
13 for patients with thoracic malignancies and COVID-19, encompassing and optimizing the broad
14 variety of available information.

15 Acknowledging the competing influence of the underlying thoracic malignancy in
16 determining mortality within the medium-longer term, we attempted at a possible distinction of
17 acute, likely COVID-19 related deaths from later, likely cancer-related deaths as already done
18 elsewhere^{18,19}. In doing that, we elected mortality within the observation period (from COVID-19
19 diagnosis to death/last follow-up) as clinical endpoint of interest. Considering the study design,
20 which was not developed for reporting long-term outcomes, a dichotomized endpoint allowed us
21 to discriminate early deaths (e.g., death during hospitalization) as opposed to alive/discharged
22 patients who were considered censored with respect to COVID-19 related mortality.

23 All the considered variables were screened at the time of COVID-19 diagnosis and
24 included: 1) patient demographics (gender, age, body mass index [BMI], smoking status), 2)
25 comorbidities (chronic obstructive pulmonary disease [COPD], asthma and other forms of lung
26 fibrosis, diabetes, history of immunodeficiency, cardiovascular diseases, chronic renal disease,
27 autoimmune diseases, hypertension, chronic hepatitis, history of hepatitis B/C, history of
28 tuberculosis and other comorbidities), 3) baseline medications at COVID-19 diagnosis (pre-
29 existent oxygen therapy, ACE inhibitors, angiotensin II receptor blockers, non-steroidal anti-
30 inflammatory drugs, corticosteroids, immune suppressants, acetylsalicylic acid, anticoagulation
31 therapy), 4) oncological features (histology, Eastern Cooperative Oncology Group-performance
32 status (ECOG-PS), tumor stage at COVID-19 diagnosis, receipt of chemotherapy within three
33 months of COVID-19 diagnosis, line of therapy, prior radiotherapy, prior oncological surgery), 5)
34 full blood count information (hemoglobin, neutrophils, lymphocytes, eosinophils, platelets and

1 neutrophils to lymphocytes ratio, 6) general biochemistry and metabolic profile (triglycerides,
2 glucose, creatinine, sodium, potassium, calcium, ferritin, albumin, creatine phosphokinase [CRP],
3 alanine aminotransferase, aspartate transaminase, gamma-glutamyl transferase, lactate
4 dehydrogenase, interleukin 6, c-reactive protein [CRP], bilirubin, procalcitonin, fibrinogen, D-
5 dimer, troponin I, troponin T, prothrombin time), 7) respiratory parameters (SpO₂, PaO₂/FiO₂
6 Ratio, CO₂), and 8) radiological findings at COVID-19 diagnosis (bilateral involvement,
7 consolidations, interstitial abnormalities, vascular thickening, COVID-19 pneumonia, pleural
8 effusion, image changes, ground glass images). All covariates are also summarized as additional
9 appendix.

11 **Statistical analysis**

12 Given the descriptive nature of the project, which focused on estimation rather than
13 hypothesis testing, no formal power calculation was performed. At the beginning of the study, we
14 estimated that 150 participating institutions each entering at least five consecutive patients, a
15 sample size of 750 patients would have produced confidence intervals (CIs) of $\pm 2\%$ for estimates
16 of proportions. Descriptive statistics of patient demographics and clinical characteristics were
17 reported as frequencies (proportions) for categorical variables and median with interquartile range
18 (IQR) for continuous variables. All variables have been dichotomized for the analysis and
19 summary measures for association with the outcome were assessed by univariable binary logistic
20 models. Results were reported through odds ratios (ORs) with 95% CIs. Patients with missing
21 values were excluded from univariable but included in multivariable analyses as reference terms.

22 Considering the high number of variables and the likelihood of overlap, we used an
23 orthoblique principal components-based clustering (OPCC) approach as a system of variables
24 reduction. The OPCC approach was used to screen and identify specific subsets of variables
25 showing association with mortality. The VARCLUS and SCORE procedures were used according
26 to SAS code provided by Black and Watanabe²⁰. A further backward stepwise selection was
27 performed to define clusters with strongest association with the outcome.

28 Binary logistic regression was also used to develop and internally validate the definitive
29 predictive multivariable model for mortality, by introducing each binary predictor in a fully fitted
30 model. A fast backward step-down selection with total residual AIC as the stopping rule was used
31 to identify the variables that explain the bulk of mortality. The variable selection and the prognostic
32 nomogram were performed and drawn up using the *fastbw* and the *nomogram* functions of the
33 'rms' package in R²¹. The *validate* function of the 'rms' package in R were used to internally
34 validate and calibrate the prediction model; bootstrap was performed with 1000 resamples.

1 A receiver operating characteristic (ROC) curve with the estimation of the area under the
2 receiver operating curve (AUC) was then used to evaluate the diagnostic ability of the built
3 prediction model. The classification and regression tree (CART) methodology developed by
4 Breiman et al.²² was used for the recursive partitioning analysis encompassing the variables
5 selected by the previous model, in order to define a tree with a hierarchical classification of
6 variables. The 'rpart' package in R was used to apply CART methodology²³. For the purpose of
7 the CART analysis, patients with missing values were included as reference terms.

8 In view of the registry design, which was not developed to evaluate long-term outcomes,
9 patients reported as alive/discharged were considered right-censored. However, since the point
10 estimate for follow-up was not available for right-censored patients and considering the study aim
11 of reporting COVID-19 related mortality, the restricted mean survival time was used to estimate
12 the mean follow-up. All analyses were done the SAS software version 9.4 [Copyright © 2016 by
13 SAS Institute Inc., Cary, NC, USA]. Predictive multivariable regression model were developed
14 and internally validated using the R software version 4.1.0 (2021-05-18) -- R Core Team (2021)²⁴.

16 RESULTS

17 Patient characteristics

18 From March 2020 to April 2021, 1591 consecutive patients were entered into the database
19 and evaluated for inclusion in the present analysis. Overall, 100 were excluded based on eligibility
20 criteria (**Figure 1**) and 1491 eligible patients from 89 institutions across 19 countries were
21 included in the analysis (**Supplementary Table 1**).

22 Laboratory confirmed SARS-CoV-2 infection (RT-PCR/serology/antigen) was reported for
23 1432 patients (96%), while 59 (6%) were diagnosed based on highly suspicious
24 radiological/clinical findings. A summary of all demographic and clinical characteristics is included
25 in **Table 1**, while a full detailed list of all cancer and COVID-19 related features according to the
26 outcome is available in **Supplementary Table 2**. The majority of patients were male (57.3%),
27 white (72.2%), and former/current smokers (77.8%); median age was 67 with 57.3% aged ≥ 65
28 years. As expected, most patients had at least one comorbidity (82.3%), including hypertension
29 (48%), COPD (24.5%), diabetes (19.3%), ischemic heart disease (13.1%), and were receiving
30 concomitant non-cancer related medications at COVID-19 diagnosis (73.4%). Of note, 13% of
31 patients were on corticosteroids prior to COVID-19 diagnosis. Median BMI was 25 (range: 11-87).
32 The most represented type of tumor was NSCLC (79.7%), followed by small cell lung cancer
33 (SCLC); 12.4%); other thoracic malignancies represented 7.9% of the cohort. Most patients had
34 stage IV disease at COVID-19 diagnosis (67.8%), with an ECOG-PS of 0-1 (71.9%) and had

1 received antineoplastic treatments within three months of COVID-19 diagnosis (64.5%), most
2 often chemotherapy alone (38.8%). COVID-19 oriented therapy included anticoagulation (37.2%),
3 antibiotics (48.7%), antivirals (18.9%), antifungals (2.6%), corticosteroids (33.4%), IL-6 inhibitors
4 (3.1%) and antimalarials (16.4%). The mean observation period was 42 days (range:1-60), 361
5 events were reported, resulting in an all-cause case fatality rate (CFR) of 24.2%.

6 7 **Cluster analysis**

8 Overall, 73 variables were included in the analysis. **Supplementary Table 3** summarizes
9 the univariable binary logistic regression analysis with relevant cut-offs for each covariate. A
10 significant association with the outcome was reported for three variables among demographics,
11 five variables among comorbidities, three variables among concomitant medications, three
12 variables among oncological features, six variables among the full blood count information, 17
13 variables among the general biochemistry and metabolic profile, two variables among the
14 respiratory function parameters and seven variables among the radiological findings. The OPCC
15 procedure grouped the 73 covariates into 13 clusters, as reported in the clustering dendrogram
16 in **Supplementary Figure 1**. Clusters 1, 2, 3, 4, 5, 6, 7, 9, 10 and 13 showed a significant
17 correlation between mortality and the linear combination of all variables within each cluster
18 (**Supplementary Table 4**). The further multivariable backward stepwise selection (entry level
19 $p=0.0038$) individuated clusters 3, 4, 5, 9 and 13 as significantly associated with the outcome.

20 21 **Development of the prognostic nomogram and CART methodology**

22 With the aim of defining key determinants of mortality, we included each of 73 variables in
23 a full fitted model using a fast backward step-down selection, with total residual AIC as the
24 stopping rule. The resulting multivariable model is reported in **Table 2** and consisted of seven
25 major determinants of the outcome, including age (OR 1.71, 95%CI: 1.29-2.25), ECOG-PS (OR
26 2.47, 95%CI 1.86-3.26), stage at COVID-19 diagnosis (OR 1.96, 95%CI: 1.45-2.65), neutrophils
27 (OR 2.46, 95%CI: 1.76-3.44), procalcitonin (OR 2.37, 95%CI: 1.63-3.43), CRP (OR 1.89, 95%CI:
28 1.89-3.43), pneumonia (OR 1.95, 95%CI: 1.48-2.57). The ROC curve analysis for the computed
29 multivariable model confirmed its good performance in estimating the outcome, with an AUC of
30 0.78 (95%CI: 0.75-0.80) (**Supplementary Figure 2**). On the basis of the estimated regression
31 coefficients from the obtained final multivariable prognostic model, we developed a prognostic
32 nomogram (**Figure 2**) to assign patients with thoracic malignancies and COVID-19 a death
33 probability.

1 The Sankey diagram provided in **Figure 3** offers a visual expression of the CART analysis
2 with the hierarchical classification of variables. The first node was split on the basis of ECOG-PS.
3 Among patients with an ECOG-PS 0-1, the second split was defined by serum CRP, while among
4 patients with an ECOG-PS ≥ 2 , by neutrophil count. Third generation splits were defined by tumor
5 stage at COVID-19 diagnosis among patients with neutrophil count $>ULN$ (upper limit of normal),
6 by serum PCT among patients with CRP $> ULN$, and by radiological finding of pneumonia among
7 patients with neutrophil count $\leq ULN$ and with CRP $\leq ULN$.

9 **DISCUSSION**

10 During the first year of the pandemic, the registry-based response allowed health care
11 systems to promptly adapt to the escalating threat posed by SARS-CoV-2 and progressively
12 develop guidelines and recommendations to balance patient shielding and oncological continuity
13 of care with a reliance on telemedicine²⁵. Moreover, in this context, TERAVOLT has been the
14 landmark tumor-specific registry devoted to the understanding of the impact of COVID-19 on
15 patients with thoracic malignancies to provide practitioners and patients with outcomes data
16 describing the impact of infection on mortality to allow for an informed decision on care. Although
17 mortality data suggest that patients with thoracic malignancies experience worse COVID-19
18 outcomes overall, the identified baseline prognostic factors among demographics, comorbidities,
19 tumor and COVID-19 characteristics seem to be similar across different malignancies.^{26,27}

20 The final analysis included 1491 patients and reported an all-cause case fatality rate of
21 24.2%, which is similar to other published data^{28,29}. Capitalizing on the extended sample size and
22 the granularity of clinical information collected from 73 variables, we have identified seven major
23 determinants of mortality including age, ECOG-PS, tumor stage, neutrophil count, procalcitonin,
24 c-reactive protein, and development of pneumonia. In addition, the OPCC procedure clearly
25 demonstrated how among the wide range of factors commonly considered in clinical practice,
26 there are often several associations and their unrestricted inclusion in prognostic models generate
27 a high level of collinearity and redundancy. These findings might be highly informative in the clinic,
28 allowing providers an impartial patient assessment prior to prescribing care.

29 To that purpose, we developed both the inference tree and the prognostic nomogram. The
30 CART methodology firmly established an ECOG-PS ≥ 2 as the strongest determinant of mortality,
31 suggesting clinicians should take it into consideration first when assessing patients, followed by
32 neutrophil count and tumor stage in patients with a poor PS, and by serum CRP and procalcitonin
33 in patients with a good PS. The importance of ECOG-PS is pointed out in different cohort studies
34 such as CCC19 and ACHOCC-19^{30,31}. These additional few characteristics should be included in

1 the diagnostic algorithm of patients with thoracic neoplasia. Our data demonstrated that special
2 consideration to neutrophilia and serum PCT should be considered.

3 Neutrophilia is already an established marker of worse COVID-19 in the general
4 population, and it is closely linked to lymphopenia as a proxy of immunopathology of severe
5 COVID-19³². It has been described that a systemic pro-inflammatory response driven by excess
6 cytokines affects the lymphopoiesis alongside an aberrant compensatory granulopoiesis³³.
7 Although some publications support the effectiveness of PCT in patients with cancer^{34–36}, its
8 considerable cost means it is not available as a routine test in all centers. Several evidence links
9 a rise in PCT to a worse outcome from COVID-19³⁴, but its mechanistic role in driving severe
10 disease remains partially unexplained and mainly relies on the identification of bacterial co-
11 infection in COVID-19, thus explaining its negative prognostic role³⁷. In fact, a high PCT is usually
12 sustained by a rise in interleukin (IL)-6, IL-1 β , and Tumor Necrosis Factor- α , while viral infections
13 tend to prevent PCT production through the interferon- γ mediated signaling³⁸. However, the
14 prevalence of bacterial co-infections in COVID-19 also suggests that a deranged cytokine activity
15 may independently enhance PCT secretion in severe COVID-19³⁹.

16 We acknowledge that a weakness in the current study is the timeframe by which data
17 were collected and from various countries where access to care and mortality fluctuated during
18 the course of the pandemic. From the time the database originated to the cutoff date, our
19 understanding of the disease has increased leading to early hospitalizations, and empirical
20 treatments have fallen into disuse while effective therapy was approved^{40–44}. In addition, both
21 testing and hospital capacity have been enhanced^{45–47} and initial specific safety and efficacy data
22 of anti-SARS-CoV-2 vaccines in patients with cancer are emerging.^{48,49} From this perspective,
23 with the inclusion of more recently diagnosed patients, our own data demonstrated a decline in
24 mortality from 33% to 24%⁸. This finding was expected and mirrors a general time-dependent
25 improvement of clinical outcomes as reported elsewhere^{19,50}. On that note, we must recognize
26 that we did not include the effect of SARS-CoV-2 vaccinations in the development of our algorithm
27 given that our database was initiated in March 2020. Additionally, the data cut-off of April 2021
28 allows us to assume that a very small minority of patients would have received at least one dose
29 of SARS-CoV-2 vaccine prior to infection and that the effect of immunization campaigns did not
30 affect the presented results.

31 One of the major study limitations, stemming from the registry design, is the relatively
32 short observation period for each patient. The database was initially designed to capture the acute
33 effects from COVID-19 infection. However, the mean follow-up of 42 days allows us to assume
34 that the median observation period exceeds 60 days. In addition, we purposely focused this

1 analysis on a dichotomized endpoint to depict early and COVID-19 related mortality. We must
2 also acknowledge as a study limitation the lack of some variables that are routinely assessed in
3 oncological care of thoracic cancer patients, including genomic features (e.g., epidermal growth
4 factor receptor status), other systemic anticancer therapies (e.g., immune checkpoint inhibitors)
5 and historical oncological data other than stage of tumor at COVID-19 diagnosis. Nevertheless,
6 variable selection was based upon our previous findings, which established chemotherapy as the
7 only systemic therapy affecting the outcome⁸, and small cell lung cancer as the tumor type with
8 the highest mortality⁵¹.

9 The ongoing efforts including immunization campaigns and enhanced capacity will likely
10 allow a progressive return to normal on a global scale. Despite that, SARS-CoV-2 will still impact
11 the continuity of care of patients with cancer, given to the evolutionary nature of pandemics,
12 vaccine hesitancy or access to it in low-income countries, and emerging new viral strains which
13 may trigger immune-escape mechanisms⁵²⁻⁵⁵. Against this evolving scenario, a more tailored,
14 comprehensive, and properly powered prognostication system like the one presented in this study
15 will be a useful tool for clinicians as they develop oncology treatment plans for their patients.

17 18 **Ethics approval and consent to participate**

19 Local Institutional Review Board (IRB) approval was required for each center before receiving
20 instructions on how to access the database and enter data. Written informed consent was
21 obtained if required by IRB. All study procedures were in accordance with the precepts of Good
22 Clinical Practice and the declaration of Helsinki. According to the regulation (EU) 2016/679 of the
23 European Parliament and of the Council of April 27, 2016, the following requirements regarding
24 personal data were guaranteed: pseudonymisation and encryption, confidentiality, integrity,
25 availability, resilience of treatment systems and services, and the ability to restore the availability
26 and access of data in the event of a physical or technical accident.

27 28 **Authors' Contributions**

29 All authors contributed to the publication according to the ICMJE guidelines for the authorship. All
30 authors read and approved the submitted version of the manuscript (and any substantially
31 modified version that involves the author's contribution to the study). Each author has agreed both
32 to be personally accountable for the author's own contributions and to ensure that questions
33 related to the accuracy or integrity of any part of the work, even ones in which the author was not
34 personally involved, are appropriately investigated, resolved, and the resolution documented in
35 the literature.

36 The first, last and corresponding authors had full access to the data and final responsibility to
37 submit for publication.

38 The CREDIT statement for authors' contributions is provided as supplementary material.

39 40 **Acknowledgements**

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42 and maintenance.

1 Availability of Data and Material

2 The datasets generated during and/or analysed during the current study are not publicly available
3 due to privacy and ethical restrictions but are available from the corresponding author and the
4 study steering committee on reasonable request, under a relevant data sharing agreement with
5 the coordinating center.

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FIGURES' LEGEND

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 35
 36
 37 **Figure 1:** Consort Flow diagram for the included population.
 38

1 **Figure 2:** Prognostic nomogram including major determinants of mortality: occurrence of
2 pneumonia (yes vs no), age (≤ 65 vs > 65 years old), neutrophil count ($>$ vs \leq upper limit of normal
3 - ULN), procalcitonin ($>$ vs \leq ULN), c-reactive protein ($>$ vs \leq ULN), ECOG-PS (≥ 2 vs 0-1),
4 disease stage at COVID-19 (Stage IV vs Stage I-III). The nomogram is able to classify the COVID-
5 19 mortality risk in an interval ranging from 8% to 90%. In the nomogram the determinants of
6 mortality are represented with two symbols. On one hand, \bullet represents the presence of this
7 predictor. On the other hand, the symbol \blacklozenge shows the absence of it. The sum of the different
8 determinants establishes the risk of death.

9
10 **Figure 3:** Sankey diagram offering a visual expression of the CART analysis with the hierarchical
11 classification of variables. The first node was split on the basis of ECOG-PS (0-1: 1120 patients
12 vs ≥ 2 : 371 patients). Among patients with an ECOG-PS 0-1, the second split was defined by
13 serum CRP (normal: 741 patients vs high: 379 patients), while among patients with an ECOG-PS
14 ≥ 2 , by neutrophil count (normal: 269 patients vs high: 102 patients). Third generation splits were
15 defined by tumor stage at COVID-19 diagnosis among patients with neutrophil count $>$ ULN
16 (upper limit of normal) (Stage I-III: 26 patients, with a CFR of 38.5%; vs STAGE IV 76 patients,
17 with a CFR of 64.5%) , by serum PCT among patients with CRP $>$ ULN (PTC normal: 302
18 patients, with a CFR of 25.7% vs PTC high: 77 patients, with a CFR of 50.5%) and by radiological
19 finding of pneumonia among patients with CRP \leq ULN and with neutrophil count \leq ULN
20 (pneumonia present: 224, with a CFR of 25.7% and 50.5%, respectively vs pneumonia absent: 786
21 patients, with a CFR of 8.2% and 31.1%, respectively). Diagram created using SankeyMATIC
22 web tool (available at: <https://sankeymatic.com/>). CRP: c-reactive protein; PTC: procalcitonin;
23 CFR: case fatality rate. Patients with missing values were included as reference terms.

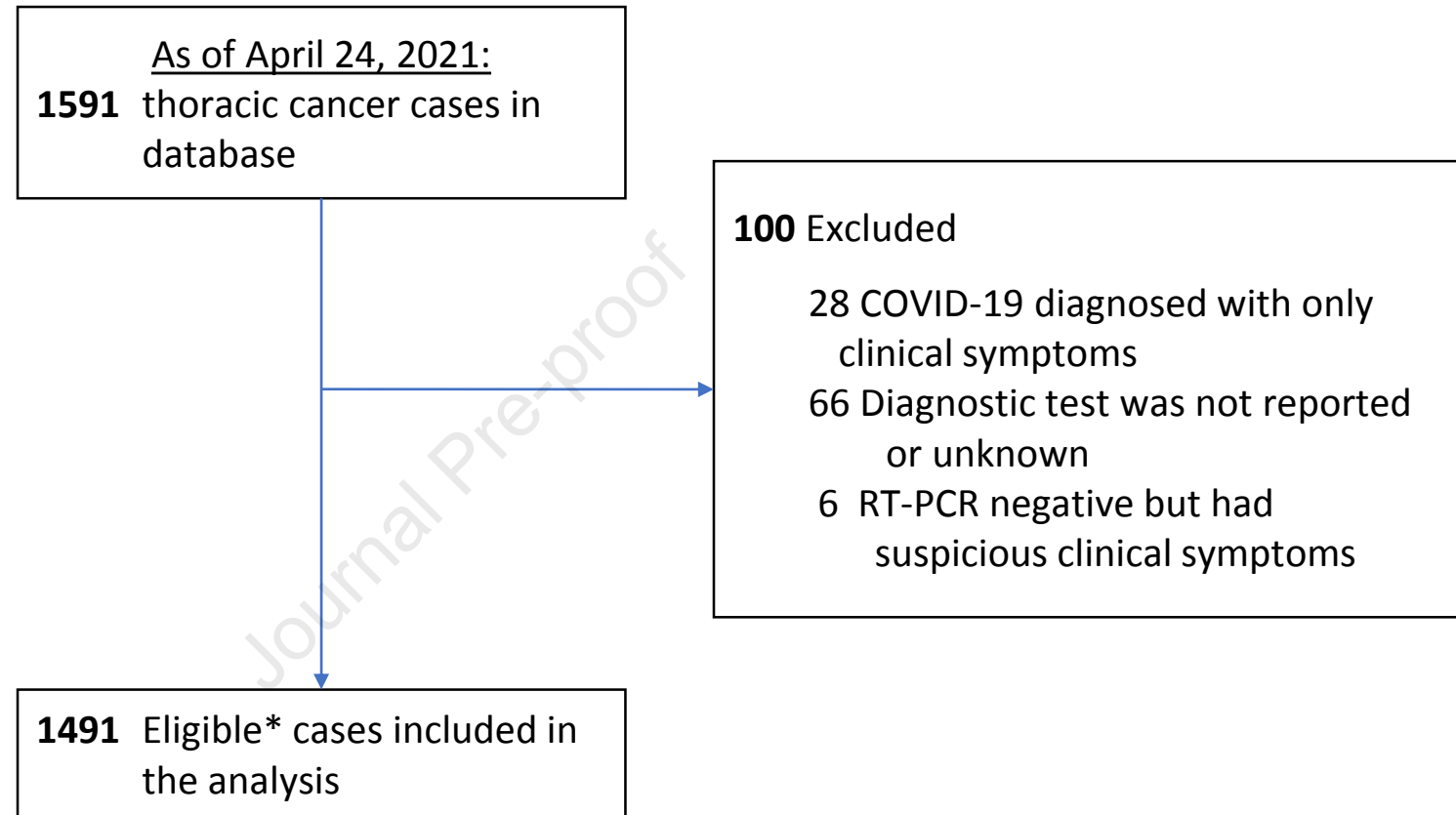
Table 1: Demographics and clinical characteristics

	All patients (n=1491)
Age, years (median)	67.0 (60.0-74.0)
> 65	855/1491(57.3%)
≤ 65	636/1491 (42.7%)
Total	1491
Sex	
Female	634/1489 (42.6%)
Male	853/1489 (57.3%)
Other	2/1489 (0.1%)
Total	1489
Smoking Status	
Current	264/1429 (18.5%)
Former	848/1429 (59.3%)
Never	317/1429 (22.2%)
Total	1429
Race	
White	1058/1465 (72.2%)
Black or African American	123/1465 (8.4%)
Other	284/1465 (19.4%)
Total	1465
Region	
Europe	875 (58.8%)
North America	504 (33.9%)
North Africa	31 (2.1%)
Central America	27 (1.8%)
South Asia	20 (1.3%)
Middle East	15 (1.0%)
Central Asia	10 (0.7%)
South America	9 (0.6%)
Total	1491
Cancer Stage at COVID-19 Diagnosis	
I	115/1443 (8.0%)
II	79/1443 (5.5%)
III	270/1443 (18.7%)
IV	979/1443 (67.8%)
Total	1443
Cancer Diagnosis	
Small Cell Lung Cancer	184/1489 (12.4%)
NSCLC, squamous	277/1489 (18.6%)
NSCLC, non-squamous	841/1489 (56.5%)
NSCLC, NOS	69/1489 (4.6%)
Malignant Pleural Mesothelioma	58/1489 (3.9%)
Thymic Carcinoma	8/1489 (0.5%)
Thymoma	23/1489 (1.5%)
Carcinoid/Neuroendocrine	29/1489 (1.9%)
Total	1489
ECOG Performance Status	
0	332/1315 (25.2%)
1	612/1315 (46.5%)
2	253/1315 (19.2%)
3	95/1315 (7.2%)
4	23/1315 (1.7%)

Total	1315
Currently undergoing anti-cancer treatment	
Yes	954/1480 (64.5%)
No	526/1480 (35.5%)
Total	1480
Lines of Therapy	
0	312/1379 (22.6%)
1	638/1379 (46.3%)
2	242/1379 (17.5%)
3	116/1379 (8.4%)
≥ 4	71/1379 (5.1%)
Total	1379

Table 2: Final multivariable logistic model for the association with death. Fast backward step-down variable selection with total residual AIC as stopping rule.

Variable	OR (95%CI); p-value
Age (> 65 vs ≤ 65 years)	1.71 (1.29-2.25); 0.0001
ECOG-PS (≥ 2 vs 0-1)	2.47 (1.86-3.26); 0.0000
Stage at COVID-19 diagnosis (VI vs < IV)	1.96 (1.45-2.65); 0.0000
Neutrophils (> vs ≤ ULN)	2.46 (1.76-3.44); 0.0000
Procalcitonin (> vs ≤ ULN)	2.37 (1.63-3.43); 0.0000
CRP (> vs ≤ ULN)	1.89 (1.43-3.43); 0.0000
Pneumonia (yes vs no)	1.95 (1.48-2.57); 0.0000

Figure 1: Consort Flow

*Eligible refers to those cases with a laboratory confirmed (RT-PCR, serology, antigen) diagnosis of COVID-19 OR suspicious radiological symptoms with clinical symptoms.

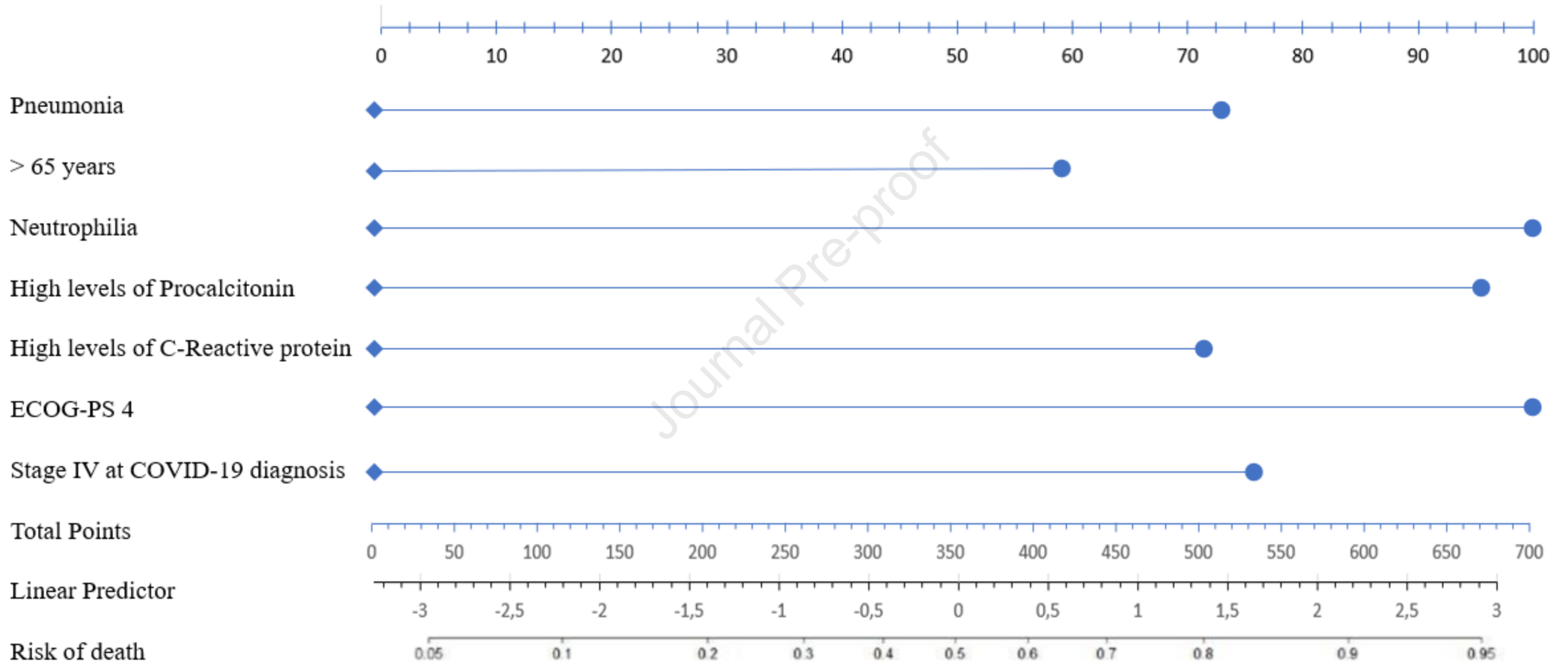
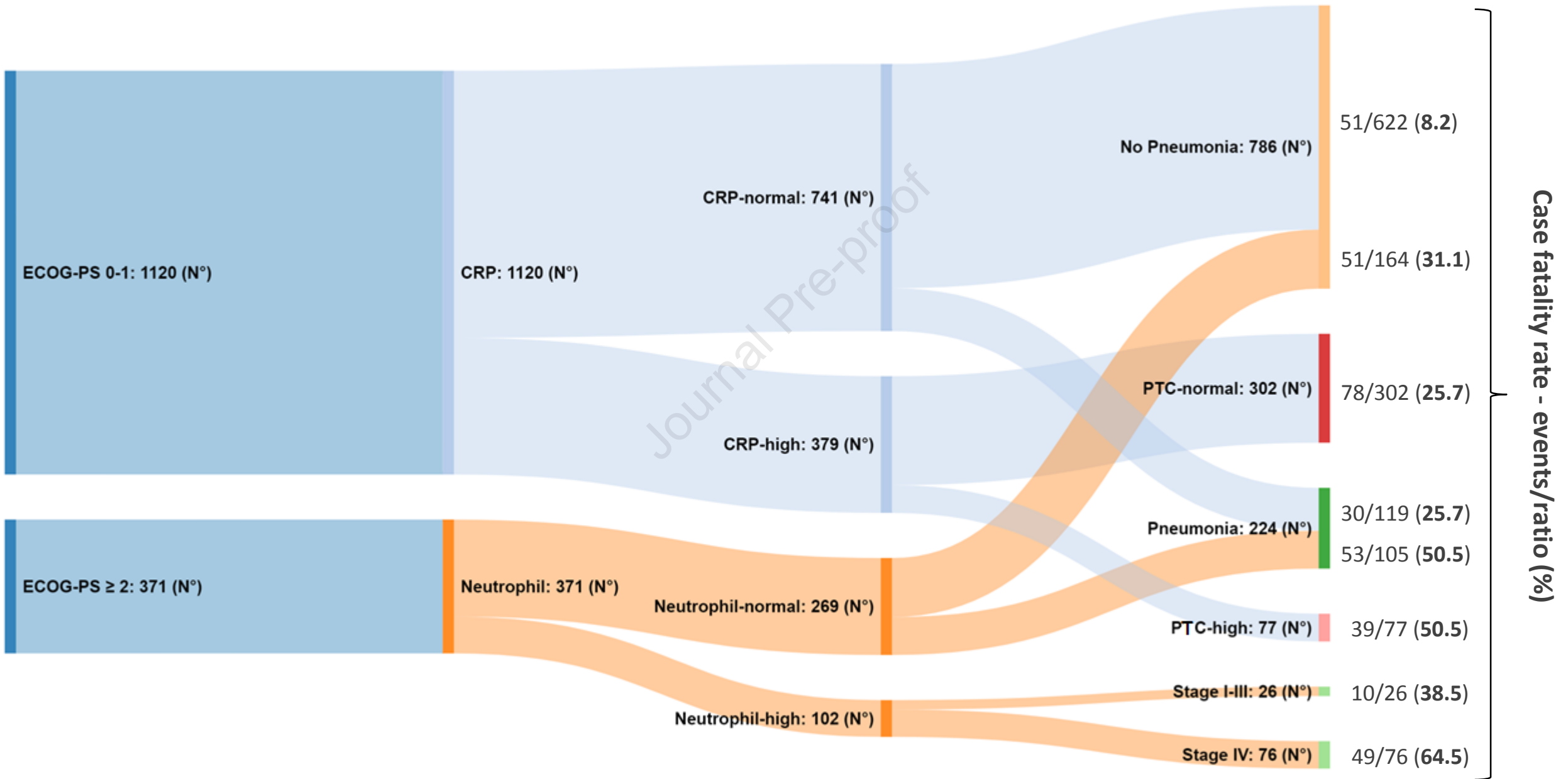
Figure 2: Nomogram

Figure 3: Sankey diagram

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