

1 **Cytoreductive nephrectomy in the tyrosine kinase inhibitor era: a question that**  
2 **may never be answered**

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25 Renal cancer surgeons are acutely aware of the pre-eminent data from the  
26 immunotherapy era demonstrating a significant survival advantage for patients with  
27 metastatic renal cell cancer (mRCC) having cytoreductive nephrectomy (CNx) prior to  
28 IFN-alpha treatment [1,2]. However, oncological treatments given to patients with  
29 mRCC have radically changed in the current era where pan-tyrosine kinase inhibitors  
30 (TKIs) and those specifically targeting VEGF or mTOR are used. In 2009/2010 the  
31 urological surgery community widely supported the launch of two randomised  
32 controlled trials that aimed to assess the place of CNx in mRCC patients treated with  
33 TKIs, as well as assessing the timing of the CNx in relation to TKI administration. In  
34 the French CARMENA trial (NCT00930033), patients were to be randomised to CNx  
35 and sunitinib vs sunitinib alone without CNx (supplemental figure 1). In the EORTC  
36 sponsored SURTIME trial (EORTC 30073; NCT01099423), the sequencing of drug  
37 and surgical therapies was to be assessed. Patients were randomised to sunitinib  
38 followed by CNx and subsequent sunitinib vs CNx followed by sunitinib (supplemental  
39 figure 2). However, recruitment to these two studies has proven to be hugely  
40 challenging.

41 After initial robust recruitment in France, CARMENA was opened to recruitment in the  
42 UK in May 2011. A total of 26 sites around the UK were opened for CARMENA  
43 recruitment. However, in 2014 CARMENA was closed to recruitment in the UK, as  
44 over four years only 14 patients were recruited. However, the CARMENA study does  
45 continue to recruit slowly in France (411 of 576 patients recruited) and it is likely to  
46 complete recruitment in September 2017; the study is estimated to end 6 years later  
47 than originally planned. In an attempt to try and determine why this study failed to  
48 recruit in a nation with robust trials infrastructure an investigator questionnaire was

49 sent to the UK investigators. Responses indicated that there was a lack of patient and  
50 clinician equipoise and inability of the clinical team to convince patients to be  
51 randomised (see box 1). Within the investigator questionnaire, 34 varied mRCC  
52 clinical scenarios were described, with investigators asked if they would recommend  
53 surgery, drug treatment, best supportive care or entry into CARMENA. Of the 17  
54 respondents (65% response rate), the 5 urologists gave a median of 20 of the  
55 scenarios (range=11-22) where their preferred management strategy would be  
56 CARMENA and the 12 oncologists gave a median of 8.5 CARMENA scenarios  
57 (range=6-19). Thus urologists appeared to have greater levels of equipoise for the  
58 study. However, if there is one key individual within the clinical team who lacks  
59 equipoise this is usually transferred to the patient making recruitment more  
60 challenging.

61 The SURTIME study has also been hugely challenging with poor recruitment in many  
62 centres. Efforts were made by the EORTC to improve accrual by online education  
63 tools and regular updates. Accrual was strongest in the Netherlands and Canada and  
64 best in centres with a main focus on RCC management, where study eligibility was  
65 discussed at multidisciplinary tumour boards with urologists and oncologists together.  
66 However, SURTIME eligibility criteria were complex and were considered among the  
67 main reasons for the poor accrual. This was especially true for smaller centres, where  
68 small numbers of patients precluded experience with the entry criteria from being  
69 gained. In addition, despite surgery and therapy being offered in both arms, it proved  
70 difficult to convince patients to be randomised. The study closed early in 2016 and is  
71 likely underpowered to show differences in the primary and secondary endpoints of  
72 PFS and OS but may answer the question of rapid progression after pretreatment and  
73 interruption for surgery.

74 As such, the main hope for level 1 evidence regarding the place of CNx in metastatic  
75 kidney cancer in the TKI era comes from recruitment to CARMENA study by the  
76 French team. However, there are some concerns that this study will only answer the  
77 question of whether both arms are “equivalent”; 1134 patients would need to be  
78 recruited to be able to determine if either arm was deleterious. As such, it maybe that  
79 lower levels of evidence, which suggest CNx is beneficial in selected situation such as  
80 those patients predicted to have greater than 1 year life expectancy, are the best we  
81 will have to answer this common clinical dilemma [3]. Concerningly, there is evidence  
82 that CNx utilisation is now underutilised, especially in non-academic centres, Black or  
83 uninsured patients. This underutilisation of CNx was associated with a 10 month worse  
84 survival from mRCC [4].

85 However, recruitment issues with surgical trials are not a urology specific  
86 phenomenon. It has been recognised for a number of years that randomised controlled  
87 trials in surgery are exceedingly challenging for a number of reasons [5,6]: surgeon  
88 and patient equipoise, perceived threat to surgeon’s personal interests, lack of  
89 funding, infrastructure and experience in data collection, operative learning curves,  
90 and blinding. Indeed, a recent study revealed that 1 in 5 surgical randomised controlled  
91 trials was stopped early and 1 in 3 completed trials did not publish after a median of  
92 4.9 years [7]. The commonest reason for discontinuation was, as in the example of  
93 SURTIME, poor recruitment. Numerous initiatives have been tried to improve  
94 recruitment to surgical RCTs and are currently ongoing to improve renal cancer  
95 surgery related trials but it is clear that there is not one solution that will improve the  
96 situation (box 2). As such, in addition to the multiple sensible measures to improve  
97 recruitment once the trial has opened it is now recommended that in any renal cancer  
98 surgery related RCT a feasibility or pilot study in a limited number of patients and

99 centres be instigated prior to launching the main trial. The results of successful  
100 feasibility/pilot studies will allow the launch of a fully powered study, may influence the  
101 power calculation for the full study and provide a cadre of engaged urologists to deliver  
102 future clinical trials.

103 There are signs that in surgery in general the tide is changing in terms of delivery of  
104 successful RCTs [8]. Despite this, as we move into RCC immunotherapy era v2, it is  
105 likely that we will never answer the question of the place of CNx in patients treated  
106 with TKIs.

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142 **Box 1.** Selection of quotes from investigator questionnaire, illustrating lack of  
143 clinician and patient equipoise.

'Randomisation is difficult and if offered surgery as a possible treatment, most patients decided to have it off trial'

'Relatively few patients with clinical equipoise'

'Patient choice was our main failure'

'Patients unwilling to be randomised between surgical and non-surgical option. Patients often have strong views as to whether they would want to undergo surgery or not in a palliative setting.'

'There was rarely equipoise at MDT discussion'

'Unwillingness to recruit due to surgeon/oncology bias.'

'Many patients I saw either "obviously" needed a nephrectomy or "obviously" needed oncology. I did not want to delay their treatment.'

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146 **Box 2.** Recommendations for future surgery related RCTs

Canvassing of the speciality regarding key questions for clinical trials e.g. using Delphi process
Iterative process of discussion with NCRI Clinical Studies Group during development
Initial pilot or feasibility study (refine recruitment procedures and inform recruiter training by: piloting recruitment materials, determine reason for screening failures)
Consideration of clinical nurse specialist providing information in unbiased manner with enough time for full discussion
Confirm commitment and explicitly make the case for equipoise with potential investigators at each site by interview process
Education and training programme for recruiters
Ensure clear 'reward' process (i.e. authorship rights, research nurse funding) for high recruiters

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