

The Genomic and Epigenomic Landscape of Double-Negative Metastatic Prostate Cancer



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ABSTRACT

Systemic targeted therapy in prostate cancer is primarily focused on ablating androgen signaling. Androgen deprivation therapy and second-generation androgen receptor (AR)-targeted therapy selectively favor the development of treatment-resistant subtypes of metastatic castration-resistant prostate cancer (mCRPC), defined by AR and neuroendocrine (NE) markers. Molecular drivers of double-negative (AR-/NE-) mCRPC are poorly defined. In this study, we comprehensively characterized treatment-emergent mCRPC by integrating matched RNA sequencing, whole-genome sequencing, and whole-genome bisulfite sequencing from 210 tumors. AR-/NE- tumors were clinically and molecularly distinct from other mCRPC subtypes, with the shortest survival, amplification of the chromatin

remodeler CHD7, and PTEN loss. Methylation changes in CHD7 candidate enhancers were linked to elevated CHD7 expression in AR-/NE+ tumors. Genome-wide methylation analysis nominated Krüppel-like factor 5 (KLF5) as a driver of the AR-/NE- phenotype, and KLF5 activity was linked to RB1 loss. These observations reveal the aggressiveness of AR-/NE- mCRPC and could facilitate the identification of therapeutic targets in this highly aggressive disease.

Significance: Comprehensive characterization of the five subtypes of metastatic castration-resistant prostate cancer identified transcription factors that drive each subtype and showed that the double-negative subtype has the worst prognosis.

Introduction

Although localized prostate cancer is usually well controlled by radiation, surgery, or systemic androgen deprivation therapy (ADT), metastatic prostate cancer has a 5-year survival rate of only 31% (1). Hormone-refractory metastatic disease, known as castration-resistant prostate cancer (CRPC), develops after tumors become resistant to ADT (2). Progression to metastatic CRPC (mCRPC) is associated with recurrent driver gene alterations. In approximately 80% of cases, somatic alterations affect the androgen receptor (AR) itself or a nearby AR enhancer locus (3–5). Many patients with mCRPC receive AR-targeting therapies such as enzalutamide or abiraterone acetate. Progression on these therapies is associated with further AR alterations (6). However, a subset of treatment-resistant mCRPC infrequently harbors

AR somatic alterations and instead develops lineage features of small cell neuroendocrine carcinoma (7–12). Patients whose tumors have this phenotype have worse prognosis than those with adenocarcinoma mCRPC (8). It was recently proposed that five distinctive histologic and expression-based subtypes of mCRPC exist (13): adenocarcinoma (AR+/NE-), double-positive (AR+/NE+), low AR (ARL/NE-), neuroendocrine (AR-/NE+), and double-negative (AR-/NE-). While these subtypes have been described at the transcriptional level, the etiology and clinical implications of the low AR and double-negative subtypes are largely unknown. Herein, we define the somatic alterations and DNA-methylation changes among these five subtypes by integrating whole transcriptome RNA sequencing (RNA-seq), whole-genome sequencing (WGS), and whole-genome bisulfite sequencing (WGBS) from 210 mCRPC tumors.

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Materials and Methods

Tumor specimens

Image-guided fresh-frozen mCRPC biopsy acquisition and DNA extraction were performed as previously described (5, 11). WGS and WGBS libraries were prepared and processed as previously described (5, 11). The clinical characteristics of patients in this study are available in Supplementary Table S1. Human studies were approved and overseen by the UCSF Institutional Review Board (IRB) in accordance with the Declaration of Helsinki. All individuals provided written informed consent to obtain fresh tumor biopsies and to perform comprehensive molecular profiling of tumor and germline samples.

Data processing

RNA-seq data derived from laser-capture micro-dissected samples were aligned with STAR (14). RNA abundance was calculated using the default parameters, and transcripts were quantified at the gene level by GENECODE v.28, as previously described (11). The expression level of each gene was then converted to transcripts per million (TPM). WGBS data were aligned to GRCh38, and de-duplication, then base-level methylation calling was performed using Bismark 0.23.0 with “–pairedend” and “–no_overlap” parameters set; otherwise, default parameters were used, as recommended by the Bismark User Guide for the library kit.

Statistical analysis

All statistical analyses were conducted using the R statistical software version 4.2.0. Hierarchical clustering was performed using Ward’s linkage algorithm with Euclidean distances. Survival analysis was performed using the survival package in R and survival probability was visualized using the Kaplan–Meier method, with endpoint overall survival defined from the time biopsies were obtained from the patients to death from any cause. All correlation analyses were performed using Pearson’s method unless otherwise specified. Fisher exact test was applied to determine if DNA alterations were significantly different between the subtype groups. All tests were 2-sided when applicable, and $P < 0.05$ was considered statistically significant. Results were corrected for multiple testing using the Benjamini-Hochberg method (FDR) unless otherwise stated. All measurements were taken from distinct individual samples. Box plots should be interpreted as follows: horizontal lines denote median values; boxes extend from the 25th to the 75th percentile of each group’s distribution of values; vertical extending lines denote adjacent values (the most extreme values within 1.5 interquartile range of the 25th and 75th percentile of each group). Differences between groups were assessed by the Kruskal–Wallis test. Significance is indicated as follows in the figures: *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$; ****, $P \leq 0.0001$.

Differentially expressed gene analyses

Differential gene expression analysis was performed using RNA-seq raw feature counts with DESeq2 version 1.36.0 (15). The data were corrected for tumor purity and tumor ploidy. Genes with fold change ≥ 2 or ≤ -2 and $FDR \leq 0.01$ were considered significantly up- or downregulated, respectively.

Evaluation of copy-number alteration and tumor purity and ploidy

The PURPLE tool (16) was used on WGS data to evaluate copy-number alterations and assess the tumor purity and tumor ploidy. Copy number and biallelic status of the tumors were determined by incorporating tumor purity, tumor ploidy, and chromosome type (autosomal or sex chromosome). Genes were classified as amplified or deleted accord-

ing to the following criteria: for the genes in chromosomes X and Y, a gene was marked as amplified if a minimum coding copy number was higher than tumor ploidy * 0.9. A gene was marked as a single copy deletion if the coding copy number was lower than 0.75. A gene was marked as two copies deleted if the maximum coding copy number was lower than 0.5. For genes in autosomal chromosomes, a gene was marked as amplified if a minimum coding copy number exceeded tumor ploidy * 1.95. Genes were marked as deleted if their minimum coding copy number was lower than 1.1. Genes were marked as two copies deleted if their maximum coding copy number was lower than 0.5. Copy-number bounds used in this analysis were determined by reviewing genome-wide distributions of all corrected gene copy estimates.

Evaluation of structural variants and mutation calling

Somatic mutation analysis was performed with Strelka2 version 2.9.10 and MuTect version 1.1.7 (17). Alterations with a PASS score in both tools were used to improve the accuracy of the results as recommended (18). SnpEff version 4.3 was used to identify Frameshift, Missense, Splice donor, Splice acceptor, Stop gain or Stop loss. Germline mutation analysis was performed using HaplotypeCaller version 4.2.2.0. GRIDSS version 2.12.2 and LINX (19) version 1.17 were used to identify structural variations and gene fusions, respectively. Samples lacking a PASS designation were excluded from the analyses.

Differentially methylated regions

Differential methylation analysis was performed using the DSS tool, version 2.26.0107 (20). No minimum CpG read coverage was set because DSS considers the read depth for calculating the differentially methylated regions (DMR). The smoothing was set to TRUE, otherwise, default parameters were used in DSS. DMRs were required to pass the following criteria: hypermethylated regions should have at least 10% higher methylation level and hypomethylated regions should have at least 10% lower methylation level in each subtype compared with the same regions in AR+/NE–. The same criteria were used to identify DMRs in AR+/NE– when compared with all other subtypes combined.

Motif analysis in DMR regions

A list of all known *Homo sapiens* transcription factor (TF) motifs was downloaded from the JASPAR database (21). This list was employed to perform an unbiased motif analysis using FIMO version 5.1.0 (22) with default parameters. FIMO was used to identify the occurrence of known motifs with potential regulatory functions that may bind the putative enhancer regions identified in the *CHD7* gene. Regions of interest in the *CHD7* gene (DMR2 and DMR3) on build GRCh38 were used as inputs in FIMO. Results were ranked by FDR (q value). DMRs including hyper- and hypomethylated regions identified by DSS for each subtype were converted to bed files using the GenomicRanges package version 1.48.0. We excluded ENCODE Blacklist (23) regions annotated in GRCh38, under accession number ENCFF419RSJ, and genomic coordinates outside of chromosomes 1–22, X, and Y. The BED files were used as inputs for the motif enrichment analyses using the HOMER program suite version 4.11.1 (24) (findMotifsGenome.pl) with “–size given”, otherwise default parameters. Significantly enriched motifs, were ranked by log (P value). The top 20 motifs, if available, within each subtype were plotted on heat maps. Genes mapped to Krüppel-like factor 5 (*KLF5*) were annotated using HOMER (annotatePeaks.pl).

Gene set enrichment analysis

We obtained gene sets of the Cancer Hallmark pathways from the Molecular Signatures Database (MSigDB) using *msigdb* version 7.5.1 to conduct gene set enrichment analysis (GSEA) and single sample

GSEA (ssGSEA). ssGSEA was carried out using GSVA version 1.44.1 (25). A matrix of RNA-seq read counts was used as an input and the recommended parameters were applied for the ssGSEA analysis ($\tau = 0.25$, $kcdf = "Poisson"$, $method = "ssgsea"$). In Gene Ontology (GO) enrichment analyses, differentially expressed genes unique to each subtype were ranked by their \log_2 (fold change) value, and the GO enrichment analyses were computed using the clusterProfiler R package version 4.4.2 (26) with default parameters. The gene sets with enrichment of $FDR < 0.1$ were considered significant. Genes annotated to the *KLF5* TF using HOMER were ranked by FDR and GSEA was performed using the enrichR (27) tool with default parameters. The *P* values of enriched pathways were then adjusted for multiple testing using FDR. Pathways enriched with $FDR < 0.1$ were considered to be significant.

Code availability

Code used in this manuscript is available at https://github.com/DavidQuigley/WCDT_subtypes.

Data availability

RNA-seq FASTQ files of 148 localized samples from the CPC-GENE cohort (28) were obtained from the European Genome-Phenome Archive (EGA) under accession number EGAS00001000900 and the FASTQ files of eight benign samples from the PAIR cohort (29) were retrieved from Gene Expression Omnibus (GEO) database under accession number GSE115414. The files were aligned with STAR, and the gene level quantification was performed using gene models in GENCODE version 28. The expression value of each gene was converted to TPM. The chromatin immunoprecipitation sequencing (ChIP-seq) data of DNase I hypersensitive sites (DHS; ref. 30) was obtained from the ENCODE project under accession number ENCSTR857UZV. The H3K27ac ChIP-seq data of primary prostate tumors (31) was obtained from GEO, under accession number GSE120738. WGBS and WGS from 100 samples of mCRPC tumors from the West Coast Prostate Cancer Dream Team (WCDT) cohort are available on dbGaP with study number phs001648 (11) and an additional 28 samples are available on EGA with study number EGAS00001006649. RNA-seq data from 210 samples of mCRPC tumors from the WCDT cohort are available on EGA with study numbers EGAD00001008991, EGAD00001008487, and EGAD00001009065 (Supplementary Table S2). All other raw data are available upon request from the corresponding author.

Results

Subtypes of mCRPC are associated with distinct transcriptional phenotypes

We developed a cohort of 210 mCRPC tumors from fresh-frozen core biopsies obtained through a prospective multi-institutional IRB-approved study (NCT02432001; ref. 8). All 210 tumors of the WCDT cohort were characterized by RNA-seq, with 128 tumors also characterized by WGS and WGBS. The clinical characteristics of patients in the cohort are listed in Supplementary Table S1, and characteristics of the molecular analysis are summarized in Supplementary Table S2. All samples were processed by a uniform analysis pipeline to evaluate transcriptional activity, somatic alterations, and tumor methylation status (Materials and Methods). We first tested the hypothesis that the AR and NE tumor subtypes identified in Labrecque and colleagues (13) could be replicated in this independent cohort. To this end, we clustered the WCDT gene expression data by employing a gene set previously demonstrated to distinguish these subtypes (13). Using

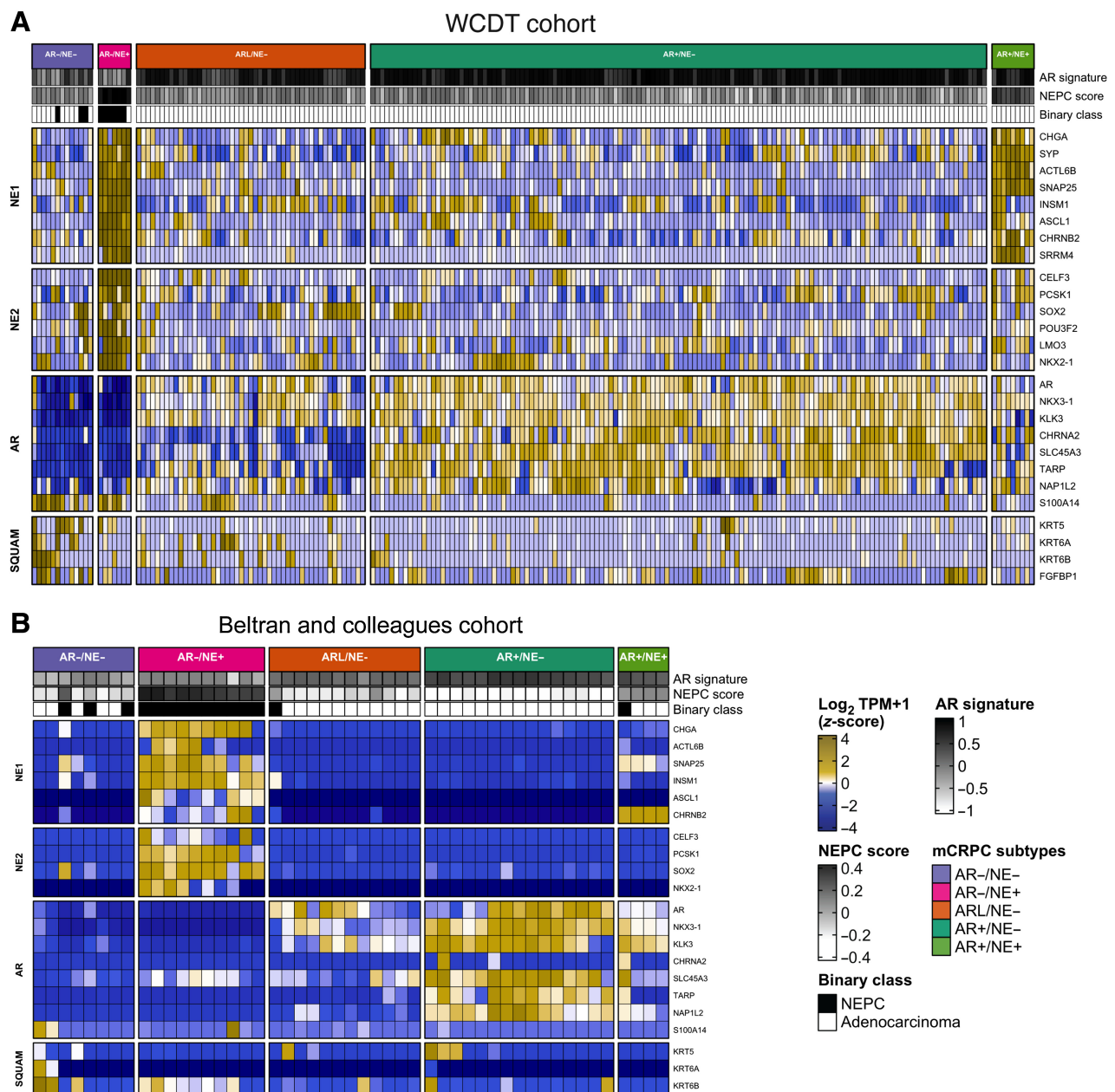
hierarchical clustering we identified 132 tumors as AR+/NE-, 9 as AR+/NE+, 49 as ARL/NE-, 7 as AR-/NE+, and 13 as AR-/NE- (Fig. 1A). An unbiased genome-wide principal component analysis performed on tumor gene expression data identified clusters consistent with the supervised gene set clustering analysis (Supplementary Fig. S1). We inferred that the hierarchical clustering approach identified subtypes in the WCDT cohort consistent with those previously described by Labrecque and colleagues (13), and that these subtypes were associated with a large proportion of the overall transcriptional variance in our cohort. We repeated this analysis in an independent cohort of mCRPC tumors (7) and identified the same set of five transcriptionally defined subtypes (Fig. 1B), further supporting the generality of this subtype classification.

We next asked whether these subtypes are present in localized tumors, or if they instead are exclusively observed in tumors that have progressed on ADT. We clustered gene expression data from eight benign samples from the PAIR cohort (29) and 148 localized prostate cancer samples from the CPC-GENE cohort (28) in addition to the WCDT mCRPC tumors using the Labrecque gene sets (13). Localized tumors were not associated with subtypes in this analysis (Supplementary Fig. S2). Six localized tumors with high levels of chromogranin-A (*CHGA*) expression, a neuroendocrine lineage marker, and low AR expression clustered with the mCRPC tumors, closer to NE+ and AR-low biopsies. This analysis was consistent with a model wherein these subtypes either arise *de novo* after progression on ADT or arise from rare cell populations among localized tumors that cannot be readily identified by bulk sequencing (32).

We next set out to identify the expression pathways that distinguish the subtypes. Consistent with previous studies, AR expression status was the major determinant in mCRPC molecular measurements (5, 9, 11) and was associated with the largest number of differentially expressed genes. In comparison with AR+/NE- tumors, we identified 1,557 and 2,856 differentially expressed genes specific to AR-/NE- and AR-/NE+ subtypes, respectively (Supplementary Fig. S3; Supplementary Data 1). AR+ subtypes were significantly enriched for androgen response, while NE+ subtypes were enriched for neuronal lineage and proliferation gene sets such as Hallmarks of Pancreas Beta Cells and E2F targets (Student *t* test $P < 0.001$; Fig. 2A; Supplementary Fig. S4). Tumors in AR- subtypes were enriched for hallmarks of hypoxia and proliferation (Student *t* test $P < 0.001$; Fig. 2A). Double-negative AR-/NE- tumors had downregulation of adaptive immune response genes, consistent with reports that this subtype has an immunosuppressed tumor microenvironment (33), and elevated expression of genes related to innate immune response and fibroblast growth factor signaling, as previously reported (Supplementary Fig. S4; ref. 34). Taken together, these data validate the presence of these mCRPC transcriptional subtypes in metastatic prostate tumors and demonstrate that these subtypes can be identified at a time when this knowledge could potentially lead to a change in therapy.

The AR-/NE- subtype is associated with the worst prognosis

Neuroendocrine mCRPC, which has also been termed aggressive variant disease, is associated with poor patient outcomes (8, 35). We assessed the patient outcomes of the five molecular subtypes of mCRPC that we identified in the WCDT cohort of men with mCRPC. We tested for association between molecular subtypes and patients' survival from the date tumor biopsies were obtained. Survival analyses confirmed that patients with AR- tumors had inferior overall outcomes relative to AR+ tumors (log-rank $P < 0.001$). There was not a significant association between AR signaling inhibitor exposure and either AR- status or individual tumor subtype (Supplementary

**Figure 1.**

mCRPC tumors cluster into five groups using the expression of androgen, neuroendocrine, and squamous gene panels. **A** and **B**, Heat map representing RNA-seq gene expression level of AR, NE, and squamous (SQUAM) gene panels of mCRPC tumors from the WCDT cohort (**A**; refs. 5, 11) and the Beltran and colleagues cohort (**B**; ref. 7). Results are expressed as \log_2 TPM (z-score) and colored from low (blue) to high (yellow) expression level. AR gene panel includes AR and AR-regulated genes, NE gene panels (NE1 and NE2) include NE related genes, and SQUAM panel includes genes associated with squamous cell differentiation. The expression levels of genes included in neuroendocrine prostate cancer (NEPC) panel from Beltran and colleagues cohort (7) were used to assign a binary classification (Binary class) of the samples based on their gene expression. White, adenocarcinoma tumors; black, small cell NEPC. AR and NEPC signature scores were calculated based on the AR and NEPC-related gene expression values as reported previously (7). The tumor subtypes can be read as follows: AR+/NE-, dark turquoise; ARL/NE-, dark orange; AR-/NE-, light purple; AR-/NE+, pink; AR+/NE+, light green.

Table S1). Notably, pairwise-comparisons tests between the AR- and AR+ subtypes indicated that the strongest significant difference in survival was associated with the AR-/NE- subtype (vs. AR+/NE- $P < 0.001$, vs. AR+/NE+ $P = 0.008$, vs. AR-/NE+ $P = 0.06$, vs. ARL/NE- $P < 0.001$; **Fig. 2B**).

Biallelic loss of *PTEN* is associated with the AR-/NE- subtype

Leveraging the integrated molecular data available for the WCDT cohort, we tested for association between somatic alterations and the five mCRPC subtypes. We focused on 131 frequently altered prostate cancer driver genes (5, 36), and conducted somatic mutation and

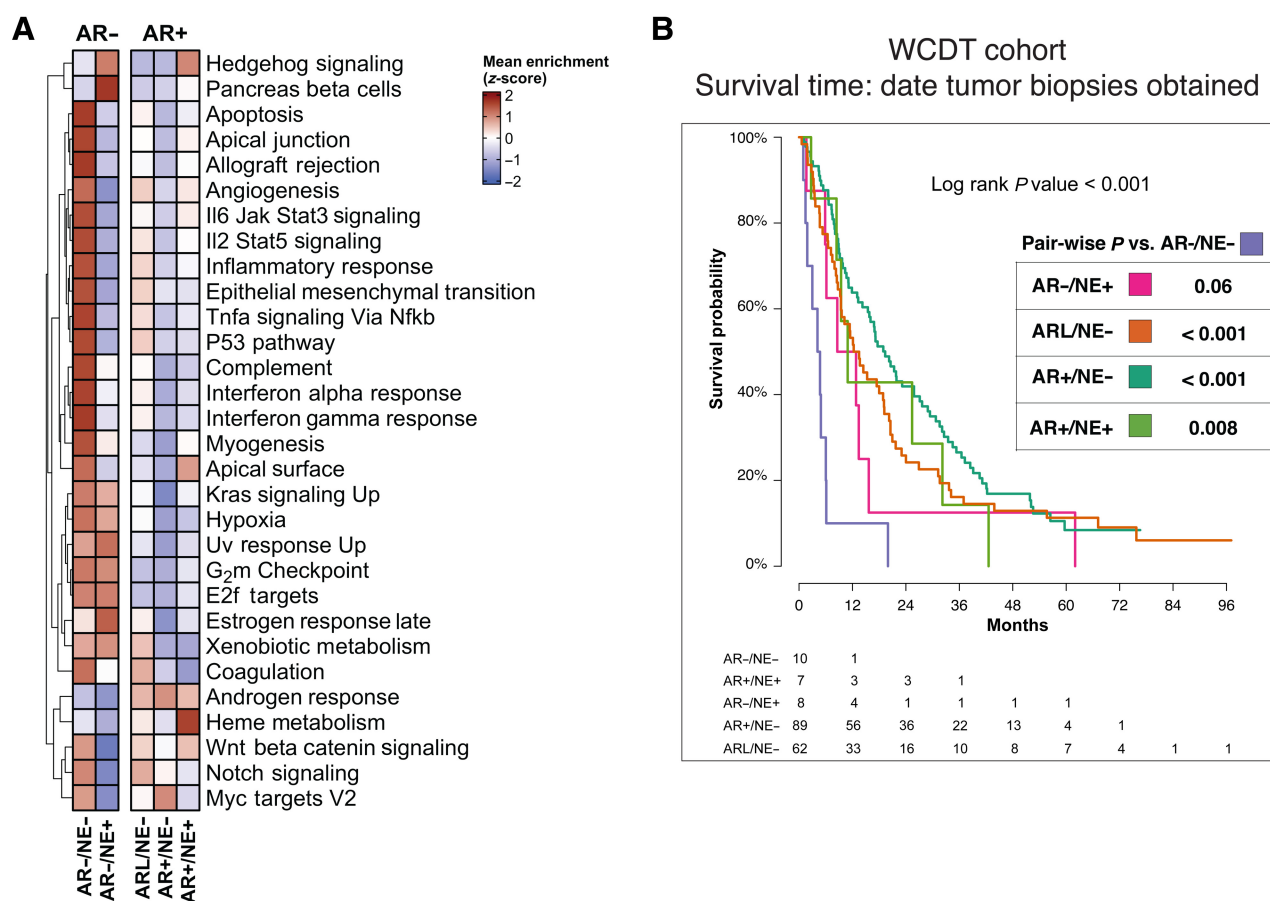


Figure 2.

Distinct clinical outcomes associated with the five subtypes of mCRPC. **A**, Heat map representing results of ssGSEAs and colored according to the legends. **B**, Kaplan-Meier curves representing clinical outcome of patients in the WCDT cohort, using survival from date of biopsy acquisition as the clinical outcome. Pairwise test conducted between AR-/NE- and other subtypes. The tumor subtypes can be read as follows: AR+/NE-, dark turquoise; ARL/NE-, dark orange; AR-/NE-, light purple; AR-/NE+, pink; AR+/NE+, light green.

structural variation analyses to identify variants linked to each subtype. As expected, AR+ tumors harbored more frequent amplification of *AR* and a nearby *AR* enhancer than AR- tumors (*AR* amplified in 69% vs. 15% Fisher exact test $P = 0.001$ and *AR* enhancer amplified in 79% vs. 23%, Fisher exact test $P < 0.001$; **Fig. 3A**). Inactivation of the tumor suppressor genes *TP53* and *RB1* has been reported to be frequent in neuroendocrine prostate cancer (37). Combined biallelic loss of *RB1* and *TP53* alterations was significantly more frequent in AR- tumors than other subtypes (23% vs. 0%, Fisher exact test $P = 0.002$; **Fig. 3A**).

Loss of the tumor suppressor gene *PTEN* has been associated with castration resistance and worse survival outcomes in response to AR-targeted therapy (38–40). We observed more frequent *PTEN* biallelic loss and inactivation in AR-/NE- tumors compared with the other subtypes (57%, AR-/NE- vs. 17%, Fisher exact test $P = 0.031$; **Fig. 3A and B**). Germline alterations inactivating an allele of *BRCA2* are associated with more aggressive prostate cancer (41), and biallelic inactivation of homologous recombination repair genes including *BRCA2* is predictive of response to PARP inhibitor therapy (42, 43). Two of the eight tumors with biallelic inactivation of *BRCA2* were AR-/NE- (29% of AR-/NE- vs. 5% in other subtypes, Fisher exact test $P = 0.061$). *MYC*

activation is a key driver of aggressive prostate cancer tumors and is associated with poor prognosis (44), and it has been observed that *MYC* overexpression impacts the activity of *AR* targets (45). We observed positive correlation between *MYC* copy gain and *MYC* gene expression level among the tumors ($R = 0.3$, $P < 0.001$). AR- tumors were more likely to harbor copy gain of *MYC* than AR+ tumors (69% in AR- vs. 29% in AR+, Fisher exact test $P = 0.019$; **Fig. 3A and B**). Gene fusions in the ETS family are the most common alterations in localized prostate cancer. 62% of the WCDT tumors harbored ETS fusions and was not associated with tumor subtypes (Supplementary Table S3). These results demonstrated that *PTEN* biallelic loss, previously associated with poor prognosis, was most frequently observed in AR-/NE- tumors compared with the other subtypes. These associations were consistent with our observation that AR-/NE- tumors were associated with the worst prognosis for WCDT patients (**Fig. 2B**).

Alterations in the chromatin remodeling gene *CHD7* are associated with AR- tumors

Of the 131 prostate cancer genes we examined, chromodomain helicase DNA binding protein 7 (*CHD7*) was the only gene with

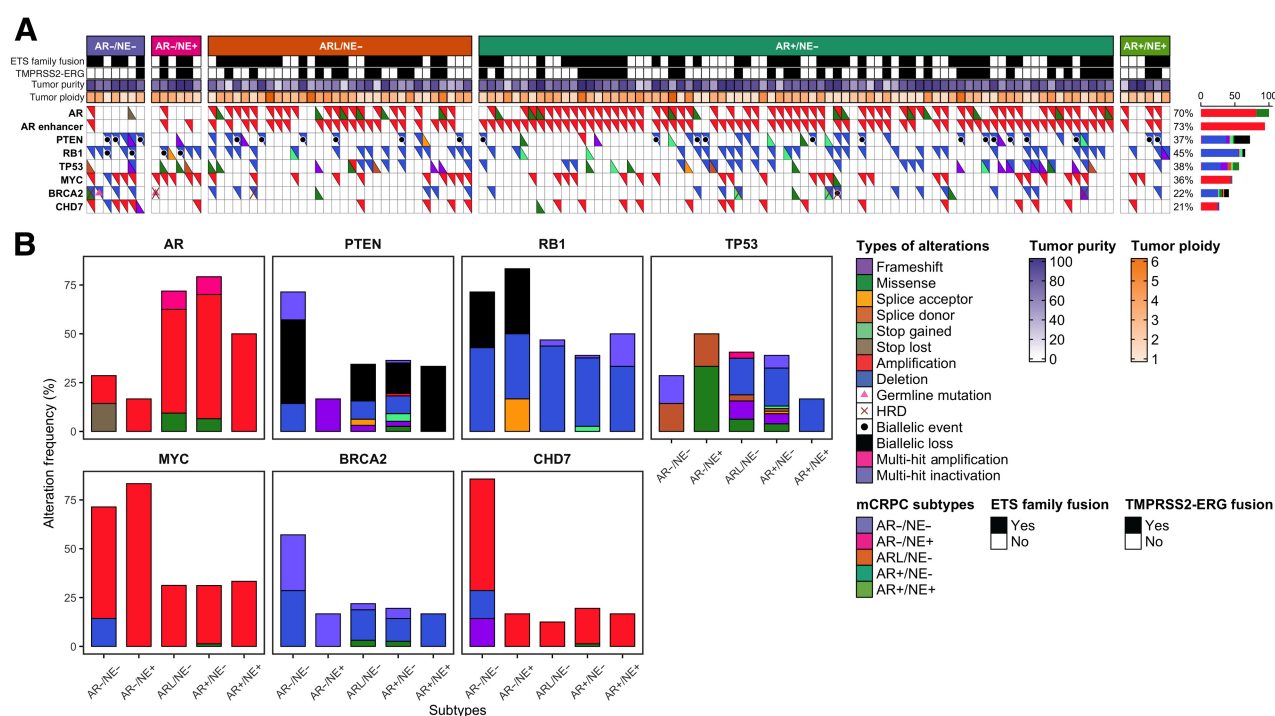


Figure 3.

Somatic and structural alterations associated with subtypes of mCRPC. **A**, Top rows show mCRPC subtypes, ETS family fusions, TPRSS2-ERG fusions, tumor purity, and tumor ploidy in the WCDT cohort. Bottom rows show occurrence of *AR*, *AR* enhancer, *PTEN*, *RB1*, *TP53*, *MYC*, *BRCA2*, and *CHD7* alterations in each sample. Tumors are sorted by their subtypes. **B**, Bar plots representing alteration frequency (%) of *AR*, *PTEN*, *RB1*, *TP53*, *MYC*, *BRCA2*, and *CHD7* genes within each subtype. In both panels, types of alterations are colored (and/or marked with symbols) according to the legends.

significantly higher copy numbers in the AR-/NE- tumors compared with the other subtypes (57% vs. 17%, Fisher exact test $P = 0.031$; Fig. 3A and B). Copy-number gain of *CHD7*, located at 8q12, was distinct from gain of *MYC*, located at 8q24. Notably, *CHD7* expression was significantly higher in AR- tumors compared with AR+ tumors (Kruskal-Wallis, $P = 0.0031$; Fig. 4A) and was positively correlated with *SOX2* expression ($R = 0.25$; $P < 0.001$; Supplementary Fig. S5). *CHD7* was expressed at the highest levels in AR-/NE+ tumors, despite a very low rate of somatic alterations in this subtype (Fig. 3A and B). *CHD7* was also expressed at significantly higher levels in AR-/NE+ tumors than other subtypes in an independent cohort (7) of mCRPC tumors (Supplementary Fig. S6). *CHD7* is an ATP-dependent chromatin remodeler essential for multipotent neural crest formation (46). *CHD7* plays a key role in promoting neural progenitor differentiation in embryonic stem cells (ESC), where it colocalizes with active gene enhancers such as *SOX2* and subsequently modulates the expression of ESC-related genes (47–49). *SOX2* plays an important role in disease progression, promoting androgen independence and lineage plasticity in prostate cancer (50–52). The consistent elevated expression of *CHD7* in AR- tumors led us to hypothesize that *CHD7* plays a role in AR- mCRPC.

We observed that elevated *CHD7* expression in AR-/NE+ tumors was not associated with increased *CDH7* copy number; thus, we investigated the hypothesis that DNA methylation changes impact *CHD7* expression in this subtype. DNA methylation plays a prominent role in the modulation of cellular states such as cell differentiation and tumorigenesis (53, 54). Increased methylation at DNA enhancer regions can reduce the expression of the targets of that enhancer (55, 56) by preventing TF binding (57–59). We tested for differential meth-

ylation at the *CHD7* promoter and nearby genomic loci and predicted the presence of enhancers by intersecting these loci with regions marked by H3K27ac ChIP-seq in localized prostate tumors (31), and by DNase I sensitivity, assays that predict enhancer activity (Fig. 4B; ref. 30). We identified four statistically significant DMRs overlapping with H3K27ac ChIP-seq and DHS peaks. The loci were designated DMR1 (Chr8: 60714901–60714964), DMR2 (Chr8: 60791842–60791875), DMR3 (Chr8: 60846924–60850679), and DMR4 (Chr8: 60864944–60866961). DMR2 and DMR3 had 43% lower methylation levels in AR-/NE+ tumors compared with AR+/NE- tumors (Fig. 4C–F). Methylation levels in DMR2 and DMR3 were negatively correlated with *CHD7* gene expression level, consistent with a role as enhancers of *CHD7* expression ($R = -0.43$; $P < 0.001$ and $R = -0.27$; $P = 0.010$, respectively; Fig. 4D and E).

Having identified two candidate enhancer regions that are preferentially hypomethylated in AR-/NE+ compared with AR+/NE-, we next performed a DNA motif enrichment analysis on the DMR2 and DMR3 regions to identify TFs that may affect *CHD7* expression. Unbiased motif enrichment analyses indicated that DMR2 was most significantly enriched for neuronal lineage TFs including *BCL11B* (q value = 0.003; ref. 60) and *ASCL1* (q value = 0.009; ref. 10). In DMR3, *NEUROG2* (q value = 0.006) and *OLIG2* (q value = 0.01; refs. 10, 61) were the most significantly enriched TFs. In contrast, DMR1 and DMR4, whose methylation levels were not significantly correlated with *CHD7* expression, do not contain these motifs (Fig. 4G). These data are consistent with a model in which hypomethylation at these neuroendocrine TF binding regions of *CHD7* could contribute to the upregulation of *CHD7* expression in AR-/NE+ tumors via binding of neuronal TFs such as *ASCL1*.

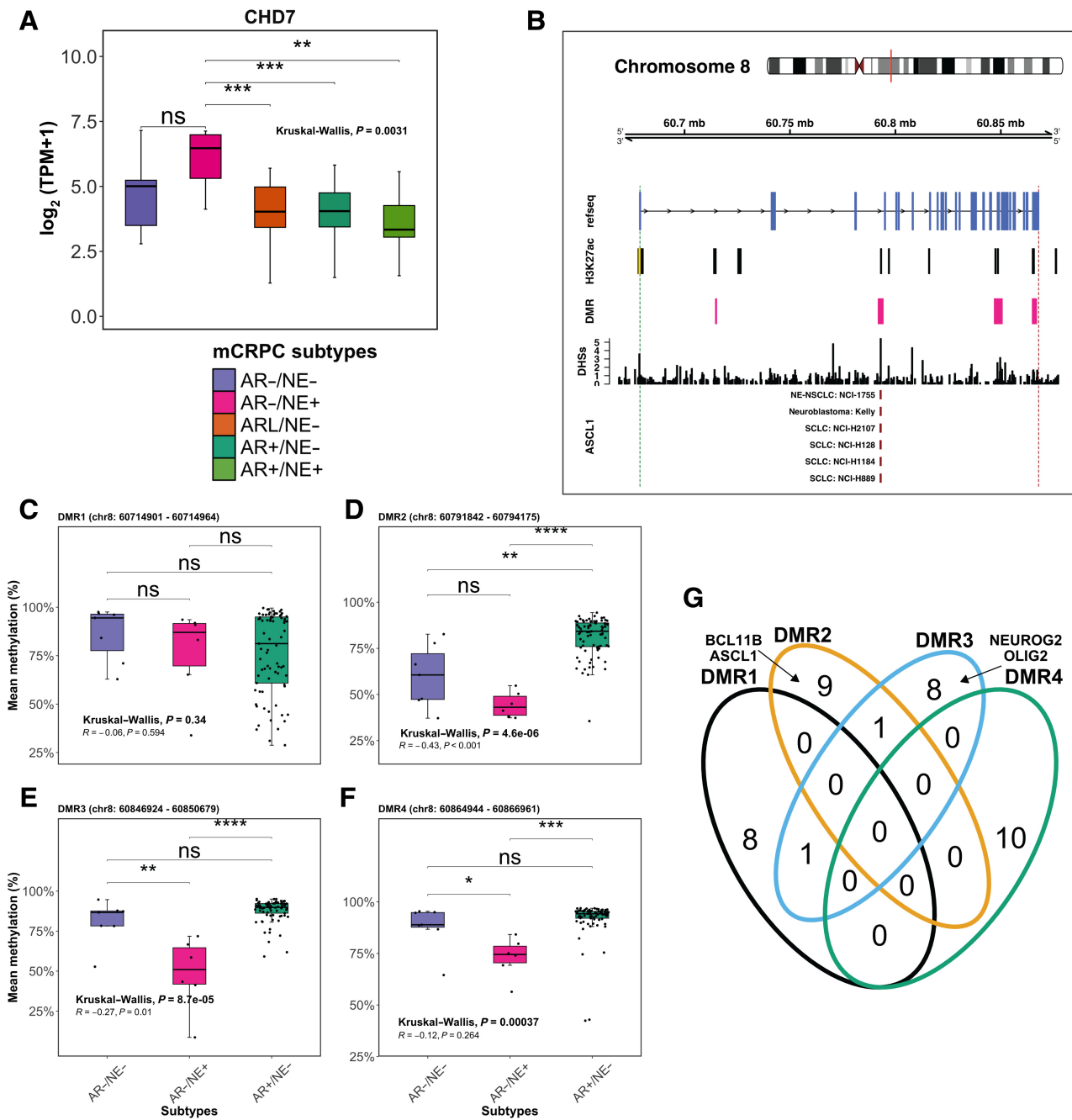


Figure 4.

Hypomethylation in the putative enhancer regions of *CHD7* is correlated with elevated gene expression in AR-/NE+. Integration of gene expression and DNA-methylation data for the *CHD7* gene. **A**, Box plots representing *CHD7* gene expression in the five mCRPC subtypes, colored according to the key below the plot. **B**, Top, chromosomal location of the *CHD7* gene along with H3K27ac ChIP-seq marker, DHS, and DMRs in AR-/NE+ tumors compared with AR+/NE-. Bottom, ChIP-seq data for *ASCL1* in different cell lines as indicated in the panels. The vertical dashed green and red lines show the transcription start site and transcription end site of the *CHD7* gene, respectively. The yellow bar indicates the canonical promoter region of *CHD7*. **C-F**, Box plots showing mean methylation level per sample in DMR1 (**C**), DMR2 (**D**), DMR3 (**E**), and DMR4 (**F**) for AR-/NE-, AR-/NE+, and AR+/NE- subtypes. Pearson correlations were calculated between *CHD7* gene expression and mean methylation of each sample at DMRs1-4. Box plots should be interpreted as follows: horizontal lines, median values; boxes extend from the 25th to the 75th percentile of each group's distribution of values; vertical extending lines, adjacent values (the most extreme values within 1.5 interquartile range of the 25th and 75th percentile of each group). Differences between groups were assessed by the Kruskal-Wallis test. Significance is indicated as follows: ns, not significant; *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$; ****, $P \leq 0.0001$. **G**, Venn diagram representing the overlap between the top 10 TF motifs enriched at each DMR location. Neuroendocrine-lineage motifs found in DMRs are labeled in the panel.

Expression and methylation analysis converges on *KLF5* in AR⁻/NE⁻ tumors

We next extended this analysis to nominate TFs that influence the development and activity in all mCRPC subtypes. We combined two orthogonal unbiased methods to identify the strongest candidates: subtype-specific differential expression analysis, and motif enrichment analysis at regions preferentially hypomethylated in each subtype. We hypothesized that subtype-specific driver TFs would be both upregulated and would have an increased number of hypomethylated binding sites in that subtype. Differential expression analysis across all subtypes, restricted to established TFs (62), identified subtype-specific upregulation of numerous TFs previously associated with AR⁺/NE⁻ and AR⁻/NE⁻ disease. As expected, AR⁺/NE⁻ tumors expressed AR, *GATA2*, *NKX3-1*, and *MYC* at significantly higher levels than other subtypes (Fig. 5A). Consistent with prior reports, AR⁻/NE⁺ tumors had significantly higher expression of *ASCL1*, *INSM1*, and *NKX2-1* (Fig. 5A). We then focused on double-negative tumors, which have been less well-studied. We found that many TFs previously linked to AR⁻ mCRPC such as *KLF5*, *MYCN*, and *FOXA2* were expressed at significantly higher levels in AR⁻/NE⁻ tumors.

We next performed genome-wide differential methylation analysis comparing each subtype to AR⁺/NE⁻ tumors, followed by motif enrichment analysis to identify TF binding sites that were preferentially exposed in that subtype. Hypomethylated regions in AR⁺/NE⁻ tumors were enriched for motifs associated with Androgen Response elements, FOX family motifs, GRE motifs, and *GRHL2* (Fig. 5B). This positive control result demonstrated differential methylation analysis could identify binding sites associated with driver TF and pioneer factors. Complementing these observations, hypermethylated regions in AR⁻ tumors were enriched for ETS family motifs such as *ETV2* and

ERG, and androgen-associated motifs including *HOXB13* and *GRHL2* (Supplementary Fig. S7). Hypomethylated regions in AR⁻/NE⁺ tumors were significantly enriched for NE lineage-related TFs such as *ASCL1* and *NEUROD1* as well as TFs that promote epithelial-mesenchymal transition (EMT) including *SNAIL1* and *SLUG* (Fig. 5B; refs. 63, 64).

Focusing next on AR⁻/NE⁻ tumors, we observed enrichment for motifs associated with SOX family and KLF motifs in the hypomethylated regions of this subtype (Fig. 5B). The *KLF5* motif was the most highly enriched motif identified in AR⁻/NE⁻ tumors, but it ranked 257th of 433 motifs in the AR⁻/NE⁺ subtype and was not enriched in AR⁺ subtypes (Fig. 6A; Supplementary Data 2). Among the KLF family genes with binding motifs enriched in AR⁻/NE⁻ tumors (*KLF5*, *KLF3*, *KLF1*, *KLF14*, *KLF6*, *KLF9*), only *KLF5* had significantly higher expression in AR⁻/NE⁻ tumors (Fig. 5A; Supplementary Fig. S8). Genes harboring *KLF5* binding sites that were hypomethylated in AR⁻/NE⁻ tumors were enriched for roles in EMT, myogenesis, and estrogen response (Fig. 6B). This result was consistent with prior reports that *KLF5* maintains epithelial cell identity in normal prostate and mammary tissues (65–67). To nominate subtype-specific associations between *KLF5* and other genes linked to lineage phenotypes, we performed differential correlation analysis centered on *KLF5*. *KLF5* expression was significantly correlated with luminal markers such as *KRT18* in AR⁻ and AR-low subtypes (Fig. 6C). *KLF5* was not correlated with basal markers such as *KRT5*, which were expressed at low levels in all subtypes, though at significantly higher levels in AR⁻/NE⁻ tumors than other subtypes (Fig. 6C). *KLF5* expression levels were positively correlated with mitotic cyclin *CCNB2* (Fig. 6C).

One of the strongest significant correlations we observed was an inverse correlation between expression of *KLF5* and *RB1* in AR⁻/NE⁻

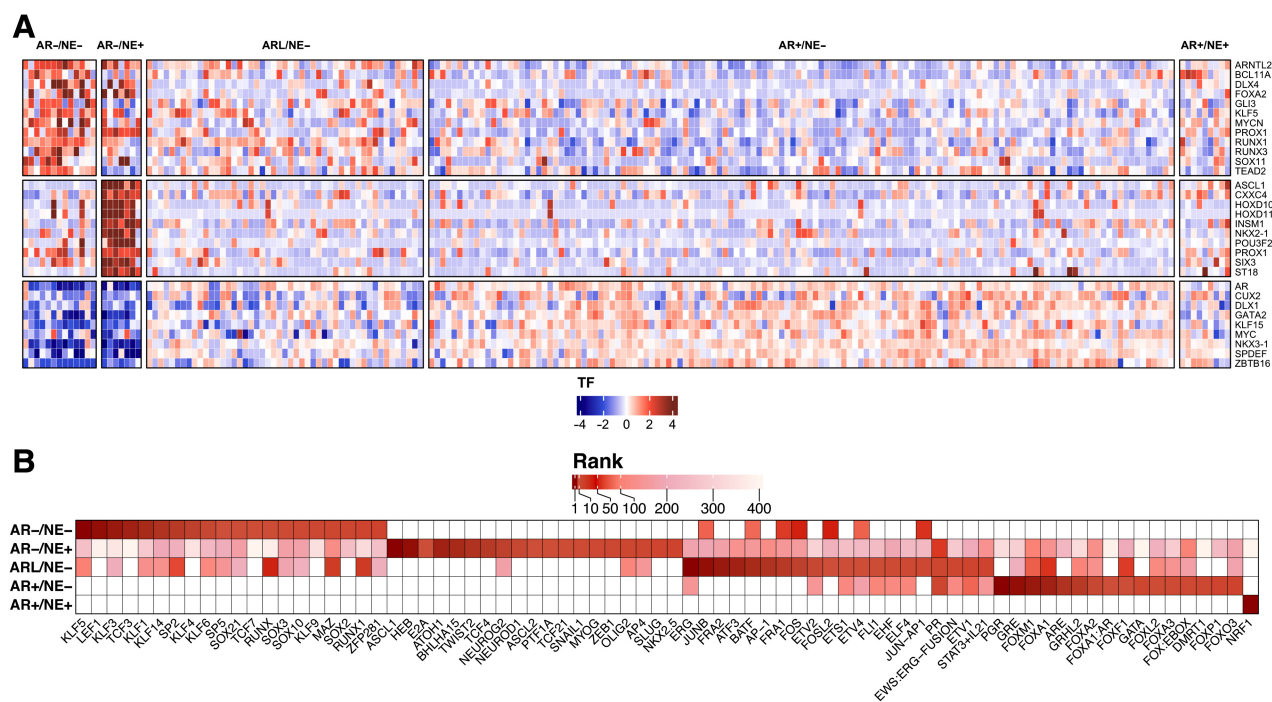


Figure 5.

Gene expression and DNA methylation analysis converges on *KLF5* TF in AR⁻/NE⁻ tumors. **A**, Heat map representing differentially expressed TFs in five subtypes of mCRPC. **B**, Heat map representing top 20 enriched TFs in hypomethylated regions of the five mCRPC subtypes. TFs are ranked by log (*P* value). The color intensity indicates the rank of the TFs from most enriched (dark red) to least enriched (white).

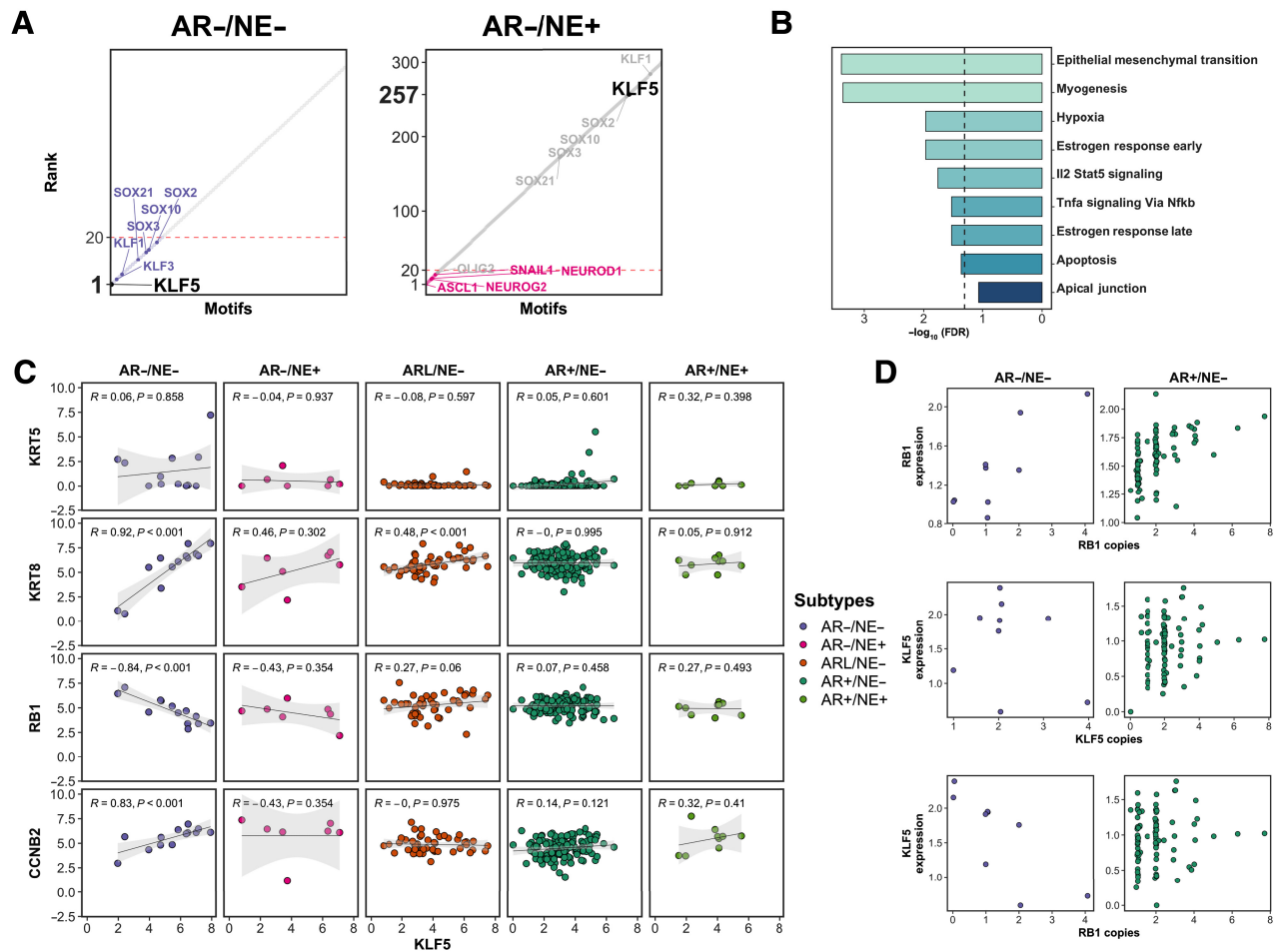


Figure 6. Association between *KLF5* TF enrichment and *RB1* gene loss in AR-/NE- tumors. **A**, Rank order plots show the enrichment rank of *KLF5* in AR-/NE- and AR-/NE+ subtypes on the left to right. Dashed red color indicates rank 20. **B**, Bar plots showing the gene set enrichment analyses for genes mapped to the *KLF5* motif. Dashed line, FDR = 0.05. **C**, Scatter plots representing Spearman correlation between *KLF5* gene expression and *KRT5*, *KRT8*, *RB1*, and *CCNB2* genes. **D**, Scatter plots showing the relation between *RB1* gene expression and *RB1* copy numbers (top row), *KLF5* gene expression and *KLF5* copy numbers (middle row), and *KLF5* gene expression and *RB1* copy number (bottom row).

tumors (Figs. 6C and 4F). *RB1* and *KLF5* are located on chromosome 13 at 48.3 and 73 Mb, respectively. *RB1* is frequently deleted in mCRPC (5), and expression levels of *RB1* were correlated with *RB1* copy number in both AR-/NE- and AR+/NE- tumors (Fig. 6D, top row). *KLF5* was rarely deleted or amplified in AR-/NE- tumors, and there was no significant association between *KLF5* expression and *KLF5* copy number (Fig. 6D, middle row). *KLF5* expression was, however, negatively correlated with *RB1* copy levels only in AR-/NE- tumors (Fig. 6D, bottom row). The *RB1* inverse correlation with *KLF5* was the 22nd strongest correlation among all genes in the genome for AR-/NE- tumors. These observations were consistent with *RB1* loss being linked to increased *KLF5* activity in AR-/NE- tumors.

Discussion

Several studies have shown subtype heterogeneity among mCRPC tumors (5, 11, 13) and have identified that a subtype variously called small cell, neuroendocrine (7), t-SCNC (8), and aggressive variant (68) disease exists and has worse prognosis than prostate adenocarcino-

ma (8). This study characterized genomic and epigenomic drivers of mCRPC by integrating RNA-seq, deep WGS and WGBS, and clinical outcomes from 210 mCRPC tumors to assess subtypes defined by AR and NE status including adenocarcinoma (AR+/NE-), double-positive (AR+/NE+), low AR (ARL/NE-), neuroendocrine (AR-/NE+), and double-negative (AR-/NE-). We demonstrated that AR-/NE- tumors have the worst survival outcomes of these subtypes and harbor distinct genomic and epigenomic changes compared with the AR-/NE+ subtype, which may facilitate the identification of novel therapeutic targets in AR-independent tumors. We identified transcriptional subtypes that were consistent with five molecular subtypes reported by Labrecque and colleagues (13). These five subtypes were not observed in primary prostate tumors. This suggests mCRPC tumors evolve from the AR+/NE- phenotype concurrently with the development of castration-resistant disease in response to therapeutic pressure from androgen-targeting therapy (69). Our observation that patients with AR-/NE- tumors had the worst survival outcome among men with mCRPC who are actively being treated supports the expansion of the adenocarcinoma versus neuroendocrine dichotomy

to include these five subtypes in genomic and clinical studies of mCRPC. The small number of tumors with the AR–/NE– phenotype in our cohort limited our statistical power to perform multivariate survival analysis.

AR–/NE– tumors were enriched for biallelic inactivation of *PTEN* and amplification of a DNA region that included *CHD7*, an ATP-dependent chromatin remodeling gene. Despite a low frequency of somatic changes in *CHD7* among AR–/NE+ tumors, these tumors express the highest levels of *CHD7*. In normal tissues, *CHD7* is abundantly expressed only in the cerebellum. *CHD7* is essential for proper formation of the multipotent migratory neural crest (46), it plays an important role in promoting neural progenitor differentiation in ESCs, and it colocalizes with *SOX2* (47–49). We identified two intragenic candidate enhancer regions of *CHD7* (DMR2 and DMR3) that were hypomethylated in the AR–/NE+ subtype. Hypomethylation of DMR2 and DMR3 was significantly correlated with higher *CHD7* expression, consistent with the profile of an enhancer. Published ChIP-seq experiments in neuroendocrine lineage tumors showed *ASCL1* binds at DMR2 at the location of an *ASCL1* binding motif. Analysis of chromatin interactions in models of prostate cancer using Chromatin Interaction Analysis with Paired-End Tag (ChIA-PET) techniques (70) would be informative to explore this relationship further; our observations predict influence of DMR2 and DMR3 would be conditional on whether the cells have a neuroendocrine phenotype. Ectopic overexpression of *CHD7* in preclinical models of glioblastoma cell-line increases cell motility and invasiveness (71). Abundant prior evidence therefore links *CHD7* to neural development, though to our knowledge this is the first study linking *CHD7* to neuroendocrine mCRPC.

We nominated TFs specifically relevant to each subtype by unbiased genome-wide methylation analysis of TF binding motifs. This analysis underscored the profound differences in transcriptional control of AR–/NE– and AR–/NE+ tumor cells. *ASCL1* binding motifs had the strongest enrichment in AR–/NE+ tumors. Together with our *CHD7* analysis, this observation adds to emerging evidence that *ASCL1* plays a key role driving lineage plasticity in this subtype (10). This analysis also showed KLF-family motifs were significantly enriched in the hypomethylated regions of AR–/NE– tumors. Among KLF family genes, *KLF5* was most highly expressed in this subtype. A positive association has been reported between *KLF5* gene expression and *SPOP* gene expression in an early-onset primary prostate tumors (72). It has been proposed that *KLF5* plays contrasting roles in advanced prostate cancer depending on *AR* activity (65). In AR+ tumors, *KLF5* interacts with *AR* and decreases *AR* expression. In the absence of *AR*, *KLF5* has been reported to function as an oncogene that promotes cell migration and invasion (65). We observe highly divergent enrichment in our methylation analysis for *KLF5* binding sites in AR–/NE– and AR–/NE+ subtypes. Notably, *KLF5* was the most enriched motif in AR–/NE– tumors, while it ranked 257th in AR–/NE+ tumors. These observations, combined with elevated expression of *KLF5* in AR–/NE– tumors, support our hypothesis that *KLF5* drives AR–/NE– tumors. The link that we observed between elevated *KLF5* expression and *RB1* inactivation was striking, but further studies will be required to determine whether *RB1* loss directly impacts *KLF5* expression in AR– disease.

Authors' Disclosures

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