

**Targeting transcriptional regulation with a CDK9 inhibitor suppresses tumor growth
of endocrine and palbociclib resistant ER+ breast cancers**

Arany Soosainathan¹, Marjan Iravani¹, Rania El-Botty², John Alexander¹, Laura Sourd²,
Ludivine Morisset², Pierre Painsec², Rebecca Orha¹, Joanna Nikitorowicz-Buniak¹, Sunil
Pancholi¹, Syed Haider¹, Mitch Dowsett^{1,3}, Elisabetta Marangoni², Lesley-Ann Martin^{1,4},

*Clare M. Isacke^{1,4}.

¹The Breast Cancer Now Toby Robins Research Centre, The Institute of Cancer Research,
London, UK.

²Translational Research Department, Institut Curie, 26 Rue d'Ulm, 75005 Paris, France

³Ralph Lauren Centre for Breast Cancer Research, Royal Marsden Hospital, London, UK

⁴Joint corresponding authors

***Corresponding Author:** Clare M. Isacke, The Breast Cancer Now Toby Robins Research
Centre, The Institute of Cancer Research, 237 Fulham Road, London SW3 6JB, UK.
Telephone +44 20 7153 5510, Email clare.isacke@icr.ac.uk

Running Title: CDK9 inhibition in treatment resistant ER+ breast cancer

Statement of Significance:

Addressing the challenge of treating patients with endocrine-resistant, palbociclib-resistant ER+ breast cancer, multiple models identify the synergistic effect of targeting the transcription-associated CDK9, in combination with CDK4/6 inhibitors, to drive tumor regression.

Key words: AZD4573, cyclin-dependent kinase, palbociclib, estrogen receptor, CDK9, CDK7

Financial Support: Programme Grants from Breast Cancer Now as part of Programme Funding to the Breast Cancer Now Toby Robins Research Centre (CMI, SH, LAM). Wellcome Trust Clinical Fellowship (AS). Support from the National Institute for Health Research (NIHR) Biomedical Research Centre at The Royal Marsden NHS Foundation Trust and the Institute of Cancer Research, London.

Conflict of Interest Statement: Mitch Dowsett has consultancies/advisory board roles for AstraZeneca, Lilly, Roche, Besins, ROVI, receives rewards for inventors income from ICR in relation to abiraterone and received institutional funding from Eli Lilly. Lesley-Ann Martin has received academic funding from Pfizer, Puma Biotechnology Inc. Radius and AstraZeneca and honoraria from Eli Lilly, Pfizer and Novartis. The other authors have no conflicts of interest to declare.

Abstract

Endocrine therapy and CDK4/6 inhibitors such as palbociclib provide effective, well-tolerated treatments for estrogen receptor positive (ER-positive) breast cancer, yet many patients relapse with therapy-resistant disease. Determining the mechanisms underlying endocrine therapy resistance are limited by the ability to recapitulate inter- and intra-tumor heterogeneity *in vitro*, and the lack of availability of tumor samples from women with disease progression or relapse. In this study multiple cell line models of resistant disease were employed for both 2D and 3D-based inhibitor screening. These screens confirmed the role of pro-proliferative pathways, such as PI3K-AKT-mTOR, in endocrine therapy resistance but additionally identified the transcription-associated cyclin-dependent kinase CDK9 as a common hit in ER+ cell lines and patient-derived organoids modeling endocrine therapy-resistant disease in both the palbociclib-sensitive and palbociclib-resistant settings. CDK9 plays a key role driving the continuous production of short-lived transcripts and proteins required for transcriptionally addicted cancer cell survival; however, little is known regarding its role in ER+ breast cancer, particularly in the context of therapy-resistant disease. Here we demonstrate that the CDK9 inhibitor, AZD4573, currently in clinical trials for hematological malignancies, acts synergistically with palbociclib in these ER+ *in vitro* 2D and 3D models. Additionally, in two independent patient-derived xenograft pre-clinical models of endocrine therapy and palbociclib resistance, treatment with AZD4573 in combination with palbociclib and fulvestrant resulted in tumor regression. Tumor transcriptional profiling identified a set of transcriptional and cell cycle regulators differentially downregulated only in combination treated tumors, providing insight into drug efficacy in targeting treatment-resistant disease.

Introduction

Approximately 80% of breast cancers are estrogen receptor positive (ER+) at diagnosis, with most patients receiving adjuvant endocrine therapy. Unfortunately, endocrine therapy resistance remains a significant clinical problem with 13-41% ER+ breast cancers relapsing following adjuvant endocrine therapy (1). The major mechanisms identified in driving

resistance are (a) acquisition of mutations in the estrogen receptor (*ESR1*) resulting in ligand-independent ER signaling, and (b) rewiring of the cross-talk pathways between ER and growth factor receptor signaling resulting in ER activation in the absence of estrogen (E2) and/or enhanced growth factor signaling via Ras-Raf-MAPK or PI3K-AKT-mTOR converging on cyclin D to promote cell cycle progression (2). Targeting the cell cycle with cyclin-dependent kinase (CDK)-4/6 inhibitors such as palbociclib, ribociclib, and abemaciclib in combination with aromatase inhibitors has been shown to improve clinical outcomes in patients with advanced ER+/HER2- breast cancer (3), and has been approved as first and second line treatment options. Unfortunately, resistance to these targeted therapies has become the next hurdle in the treatment of advanced ER+ disease. As such, there is a clinical need for new treatments for patients with advanced endocrine-resistant, CDK4/6 inhibitor-resistant disease.

The challenge presented by ER+ breast cancers is the heterogeneity of the disease and mechanisms by which treatment resistance disease develops. Consequently, in this study multiple cell line models of resistant ER+ disease were employed for both 2D and 3D-based inhibitor screening and *in vivo* validation.

Methods

Cell culture

The origin and culture conditions for the cell lines is listed in **Supplementary Table S1**. Cell lines were authenticated by short tandem repeats profiling (GenePrint 10 System; Promega), routinely screened for mycoplasma (MycoAlert; Lonza) and used within 10-15 passages after thawing. Unless otherwise stated, estradiol and palbociclib were removed from the media of the parental and palbociclib-resistant cells, respectively, 48 hours prior to each experiment.

Drugs

The 2D drug screen was performed with the SelleckChem 378 kinase inhibitor library plus 15 additional compounds, staurosporin (positive control) and DMSO (vehicle control) (**Supplementary Table S2**). The 3D drug screen was performed with 71 compounds (**Supplementary Table S3**). For validation and *in vivo* studies AZD4573, NVP-2 and palbociclib were purchased from MedChemExpress, fulvestrant was purchased from Teva, France.

2D drug screen

Drugs (10 nM, 100 nM, and 1 μ M final concentration) were pre-aliquoted into 384-well 2D culture plates (Grenier Bio-One) using an ECHO550 acoustic liquid handler prior to seeding 1,200-2,400 cells/well in 50 μ L of cell line-specific media. Cell viability was assessed using CellTiter-Glo (Promega) (day 5). Assay quality was assessed using calculation of Z-prime per plate, and only plates with Z-prime of ≥ 0.5 were taken for further analysis. Three independent biological replicates (each replicate formed of six plates) were performed to reduce the risk of false hit identification. To generate a percentage of control (POC) score, the raw luminescence value for each well was normalized by dividing this value by the median value of the negative controls on the plate. The mean of the POC scores of the three independent biological replicates was used to generate a mean response score for each drug, at each concentration tested.

3D drug screen

Drugs were dispensed into ultra-low attachment round-bottomed 96-well 3D culture plates (Corning) using the Hamilton liquid handler prior to seeding 2,500 cells/well in 100 μ L of culture medium. Cell viability was assessed using CellTiter-Glo (day 7). Assay quality was assessed using calculation of Z-prime per plate, and only plates with Z-prime of ≥ 0.5 were taken for further analysis. Three independent biological replicates for each cell line (each replicate formed of one plate) were performed to reduce the risk of false hit identification. A robust Z-score threshold was set at ≤ -1.65 to classify and compare hits.

Patient-derived organoid (PDO) studies

Organoids were established from patient-derived xenografts (PDX) of ER+ primary or metastatic tumors (4,5) (**Supplementary Table S4**) as described elsewhere (6). Briefly, PDX tumors were dissociated with an enzymatic solution, embedded in 100 μ L Matrigel (Corning, 354230), and plated in six-well tissue culture plates onto a 70 μ L Matrigel base layer. After a 30 minutes incubation organoid medium consisting of Advanced DMEM/F12 with 5% FBS, 10 mM HEPES, 1 \times Glutamax, 1 μ g/mL hydrocortisone, 50 μ g/mL gentamicin, 10 ng/mL hEGF, 100 ng/mL FGF2, 10 μ M Y-27632 and 1 mM N-acetyl cysteine (NAC) was added. Medium was replenished every 3 to 4 days. Organoids were dissociated using TrypleExpress (Life Technologies, 12605010) for 20 minutes. Established organoids were treated with AZD4573 at different concentrations and cell viability assessed using CellTiter-Glo 3D (Promega, G9682) (*v/v*) following 20 minutes incubation at room temperature. Luminescence was measured using Tecan Infinite 200.

In vivo PDX studies

All animal works were carried out in accordance with institutional guidelines and rules of the French ethics committee CEEA-IC (Comité d'Ethique en matière d'expérimentation animale de l'Institut Curie, National registration number: #118), project authorization number 02163.02. Generation of the ER+ PDX models HBCx-180 and HBCx-134-palboR31 have been described previously (5). Palbociclib (75 mg/kg) was administered orally 5 days per week, AZD4573 (15 mg/kg) was administered twice daily a 2 day on/5 day off schedule, fulvestrant (50 mg/kg) was administered by intramuscular injection once a week. Mice were weighed daily, and tumor size measured twice per week. Tumor volumes were calculated as $V = a \times b^2/2$, with a being the largest diameter, b the smallest. Tumor volumes were reported to the initial volume as relative tumor volume (RTV), and growth curves were established as a function of time. For each tumor the percent change in volume was calculated as $(V_f - V_0/V_0)/100$, V_0 being the volume at the beginning of treatment and V_f the final volume. Mice were culled 1 hour following the 2nd AZD4573 treatment of the day.

Transcriptional profiling of PDX tumors

2 µg of total RNA was used for RNA-Seq analysis (Azenta). See Supplementary Methods for details of RNA extraction and bioinformatic analysis.

Statistics

All statistical tests were performed in GraphPad Prism except RNA-Seq and high-throughput screen data. Unless otherwise stated, dose-response curves and IC₅₀ values were calculated using a 4-parameter non-linear regression.

Data Availability

RNA-Seq data has been deposited in SRA submission ID: PRJNA933383. Reviewer link - <https://dataview.ncbi.nlm.nih.gov/object/PRJNA933383?reviewer=du1cnqf9c7hs0vmh6kd73pun4q>

Results

The ER+ breast cancer cell lines used in this study (MCF7, SUM44, HCC1428, T47D and ZR-75-1; **Supplementary Table S1**) were chosen to encompass a range of ER+ breast cancer molecular phenotypes. Each of the cell lines had been extensively cultured in the absence of exogenous E2 to generate long-term estrogen-deprived (LTED) lines that proliferate in an E2-independent manner thus modelling breast cancer that has become resistant to aromatase inhibitor therapy (see Supplementary Methods; **Supplementary Fig. S1**). For MCF7 and SUM44, independent LTED lines harboring WT *ESR1* or *ESR1* activating mutations were used.

Kinase inhibitor screens of LTED breast cancer cell lines in 2D and 3D

Seven LTED lines were subjected to high-throughput 2D kinase inhibitor screening ($n=393$ drugs) at three concentrations (10 nM, 100 nM and 1 μ M). Treatment with 1 μ M drug concentrations (**Fig. 1A,B; Supplementary Table S2**), revealed three broad areas key to endocrine resistance: (a) the PI3K-AKT-mTOR pathways, with drugs targeting the pathway in all LTED cell lines; (b) cell cycle checkpoints, with CDK inhibitors frequently identified; and (c) ER signaling, with ER inhibitors such as fulvestrant and tamoxifen featuring as recurrent hits. Next, a mean response score was calculated for each drug and each cell line, with those causing $\geq 50\%$ reduction in cell viability categorized as hits. At the lower drug dose (100 nM) the majority of LTED lines retained sensitivity to compounds targeting the PI3K-AKT-mTOR pathway and cell cycle checkpoints (**Fig. 1C; Supplementary Fig. S2A**).

The design of the 3D drug screen using 71 drugs (**Supplementary Table S3**) was informed by the results of the 2D screen and included two palbociclib-resistant lines MCF7 LTED^{PalboR} and HCC1428 LTED^{PalboR} (**Supplementary Table S1**). 3D spheroid screening in triplicate at a single drug concentration (250 nM) identified drugs targeting the PI3K-AKT-mTOR pathway in all cell lines, with the multi-CDK inhibitor flavopiridol also a common hit (**Fig. 2A**). Drugs that were significant hits in three of the four cell line groups were: PIK-75 (PI3K α inhibitor), the CDK7 inhibitor THZ1, and the CDK9 inhibitor NVP-2. The palbociclib-resistant models retained their sensitivity to PI3K α inhibition; however, they were less sensitive to pan-PI3K inhibitors than the palbociclib-sensitive models (**Fig. 2B**). Comparison of the two palbociclib-resistant models indicates a shared common vulnerability to compounds targeting cell cycle control, and CDK9 inhibitors (**Fig. 2C**). Of note, in addition to the palbociclib-resistant and sensitive lines, CDK7 and/or CDK9 inhibitors targeted both WT (HCC1428) and mutant PIK3CA (MCF7) LTED cell lines.

The identification of PI3K-AKT-mTOR pathway as a key dependency in endocrine therapy and palbociclib-resistant breast cancers is well documented (7). In addition, agents targeting CDK7 have been shown to have favorable activity in several cancer types (8,9), and early studies

have shown promising results in administering the CDK7 inhibitor samuraciclib in combination with fulvestrant in a heavily pretreated cohort of ER+ breast cancer patients (NCT03363893), with phase 1 trials also underway with an independent CDK7 inhibitor, SY-5609 (NCT0424716). Although the efficacy of CDK7 inhibition in endocrine-resistant, palbociclib-resistant breast cancer is currently being investigated (10), little is known as to the potential of targeting the transcriptional regulator CDK9 in ER+ breast cancers.

Targeting CDK9 impairs viability in palbociclib-sensitive and resistant LTED cells lines

For validation studies, the specific CDK9 inhibitor NVP-2 (**Fig. 3A**) and the chemically distinct inhibitor AZD4573 (**Fig. 3B**) known to induce tumor regression in a PDX model of AML (11) and now being examined in phase 2 trials for advanced hematological malignancies (NCT03263637, NCT04630756) were used. These compounds were chosen for their high selectivity for CDK9 over other kinases, including CDK7 (11,12). Cell viability assays in 2D confirmed that both MCF7 and HCC1428 lines are sensitive to low doses of these drugs, findings corroborated by siRNA knockdown of CDK9 (**Supplementary Fig. S3**), but of great interest the palbociclib-resistant cell lines showed a lower IC₅₀ than their MCF7 LTED^{Y537C} and HCC1428 LTED counterparts. Equivalent IC₅₀ values were obtained in 3D spheroid assays (**Fig. 3C**), with all cell lines showing sensitivity to the CDK9 inhibitor AZD4573, and both the MCF7 and HCC1428 palbociclib-resistant lines showing increased sensitivity compared to their palbociclib-sensitive counterparts.

To assess whether CDK9 activity contributes to the mechanism of palbociclib resistance, the MCF7 LTED^{Y537C} line and its palbociclib-resistant derivative, as well as endocrine-resistant palbociclib-sensitive MCF7 LTED^{WT} cells, were treated with escalating doses of both palbociclib and AZD4573 (**Fig. 3D**). In 2D, all three MCF7 lines showed positive Bliss synergy scores >10, indicative of a synergistic effect with the greatest effect seen in the MCF7 LTED^{PalboR} line (synergy score of 19.38) indicating that the development of palbociclib resistance may be accompanied by an increased reliance on CDK9 activity.

Targeting CDK9 impairs viability in PDO and PDX models

To validate our results in more clinically relevant models, we determined the efficacy of AZD4573 inhibition on 13 patient-derived organoids (PDOs) established from PDX tumors of ER+ patient primary or metastatic tumors. These PDOs harbored a range of genetic and copy number alterations and, for 5 of the PDOs, were derived from patients who had progressed on CDK4/6 and aromatase inhibitor treatment (**Fig. 4A; Supplementary Table S4**). 11 out of 13 PDOs had IC₅₀ values ≤ 7 nM AZD4573 and only 2 PDOs showed no response to treatment, demonstrating that a broad range of ER+ PDOs are sensitive to AZD4573 as a monotherapy. Finally, we extended this study to PDX *in vivo* models of palbociclib intrinsic and acquired resistance. First AZD4573 treatment was assessed in the HBCx-180 PDX model developed from an ER+ metastatic breast cancer patient who had progressed on treatment with the aromatase inhibitor letrozole and palbociclib (5). Consistent with the HBCx-180 PDO *in vitro* results (**Fig. 4A**) and the intrinsic palbociclib resistance observed in the patient, neither AZD4573 alone nor dual therapy with palbociclib and fulvestrant impacted PDX tumor growth; however the triple combination of AZD4573, palbociclib and fulvestrant resulted in stable disease (**Fig. 4B**). The second PDX model, HBCx-134-palboR31, was established from a palbociclib-sensitive tumor and developed into a palbociclib-resistant model by *in vivo* palbociclib treatment (5). In this model of acquired palbociclib resistance, there was no impairment in tumor growth in mice treated with palbociclib and fulvestrant. Consistent with the PDO *in vitro* data for the HBCx-134 parental line (**Fig. 4A**), AZD4573 treatment alone slow tumor growth and resulted in tumor regression in two mice; however a profound effect on tumor growth in all mice was observed with the triple fulvestrant, palbociclib and AZD4573 combination (**Fig. 4C**).

CDK9 is a transcriptional regulator acting downstream of CDK7 to promote phosphorylation of RNA Pol II and transcriptional elongation. RNA-Seq analysis of HBCx-134-palboR31 tumors from mice treated with AZD4573 revealed extensive transcriptional downregulation, including

that of known CDK9 target genes *MYC*, *MYB* and *MCL1* (13-16) (**Fig. 4D,E; Supplementary Fig. S4A,B; Supplementary Table S5**). Pathway analysis of AZD4573-treated tumors confirmed the effective downregulation of RNA polymerase II-mediated transcription, whereas in palbociclib plus fulvestrant treated tumors, in the absence of AZD4573, downregulated pathways were enriched for cell cycle control processes (**Supplementary Fig. S4C**).

Given the synergistic effect observed when utilizing AZD4573 in combination with palbociclib and fulvestrant (**Fig 4C**), we identified genes that showed synergistic downregulation in the combination treatment arm while accounting for the univariate effect of other treatment groups (**Fig. 4E; Supplementary Table S6**). The major cluster of differentially expressed genes was dominated by transcriptional regulators, such as the known CDK9 target gene *MYCN* (17), whose expression was further diminished by combination treatment (**Fig. 4G**). The minor cluster comprised genes, including cell cycle regulators, significantly downregulated in the triple combination arm but with broadly similar expression in the other 3 arms, as exemplified by the expression of *WEE1* (**Fig. 4G**) previously shown to mediate resistance to CDK4/6 inhibitors (18). Of note, expression of other key regulators of CDK9 activity and palbociclib resistance such as *BRD4* and *CCNE1* showed a similar expression trend (**Supplementary Fig. S4D**). To validate these findings *in vitro* we compared the response of MCF7 LTED and T47D LTED lines to combination treatment. As expected, both LTED^{PalboR} lines showed resistance to palbociclib and sensitivity to AZD4573 but only the MCF7 LTED^{PalboR} line, which express higher levels of *MYC*, *MYB*, *CCNE1*, *CCNE2*, *BRD4*, *MYCN* and *WEE1* (**Supplementary Fig. S5**), showed an enhanced response to the combination of AZD4573 and palbociclib (**Fig. 4H**).

Discussion

The ~20 CDKs can be grouped into the cell cycle-associated CDKs, such as the palbociclib targets CDK4 and CDK6, and transcription-associated CDKs, which include CDK7 and CDK9. CDK9, in complex with cyclin T, forms the positive transcription elongation factor b (P-

TEFb) which is recruited by BRD4 to paused transcription sites to facilitate RNA polymerase II-mediated transcriptional elongation (13,14). In both hematological malignancies and solid tumors, there is increasing evidence that CDK9 activity is required to maintain sufficient levels of short half-life transcripts and proteins such as mixed-lineage leukemia (MLL)-fusion genes products, the anti-apoptotic myeloid cell leukaemia-1 (MCL1) protein, and the proto-oncogenes MYB and MYC, which cancer cells are dependent upon for their survival (13-16). This has led to the development of CDK9 small molecular inhibitors and PROTACs (19).

To date, clinical trials of CDK9 inhibitors have been restricted to hematological malignancies (15,20) where, despite positive pre-clinical results, the efficacy as monotherapy in clinical trials has been mostly mediocre. More encouraging has been in combination studies where there is evidence of CDK inhibitors potentiating the efficacy of other agents such as bortezomib, doxorubicin and venetoclax (20). In ER+ breast cancer cell lines, CDK9 targeting has been shown to reduce the level of *MYC* and *MYB* expression and E2-independent tumor cell proliferation (21,22) but little information is available of the effectiveness of CDK9 inhibition in reducing tumor growth *in vivo*. However, a new selective CDK9 inhibitor, KB-0742 has been shown to display potent anti-tumor activity in prostate cancer models and to downregulate key androgen receptor driven transcriptional pathways (23) while KB-0742 and the D11 CDK9 inhibitor have been reported to target MYC-high triple negative breast cancers (24,25).

Current standard of care for advanced ER+ breast cancer is endocrine therapy in combination with CDK4/6 inhibitors and although these treatments have had significant impact on extending progression-free and overall survival, the development of therapy-resistant disease remains an inevitability for many patients. The findings presented here provide evidence for the utility of inhibitors against the transcription-associated CDK, CDK9, in endocrine-therapy-resistant disease in both the CDK4/6 inhibitor-sensitive and -resistant settings. Moreover, in both PDX and cell line models we demonstrate that AZD4573 can act synergistically with palbociclib with greatest synergy seen at the IC₅₀ value of AZD4573 (~3 nM) and between

300-3000 nM palbociclib. In patients with advanced ER+ breast cancer receiving daily oral doses of 125 mg palbociclib, the mean plasma concentration of palbociclib at steady-state is 116 ng/mL (259 nM) (26), indicating that CDK9 inhibitor synergy in palbociclib-resistant cells is achieved at, or near, clinically-relevant concentrations of palbociclib, and could translate into using subtherapeutic combination dosing to avoid toxicities.

Author Contributions

Conceptualization: AS, LAM, CMI

Formal Analysis: AS, JA, SH, EM

Funding Acquisition: AS, LAM, CMI

Investigation: All authors

Supervision: SH, MD, EM, LAM, CMI

Writing (original draft): AS, MI, CMI

Writing (review & editing): All authors

Acknowledgments

We thank Breast Cancer Now, working in partnership with Walk the Walk for supporting the work of SH. The SUM44 LTED^{WT} cell line was kindly provided by Steffi Oesterreich (University of Pittsburgh). We thank Kate Tourna (ICR) for performing the *ESR1* ddPCR assays. Graphical depictions were created with BioRender.com.

References

1. Pan H, Gray R, Braybrooke J, Davies C, Taylor C, McGale P, *et al.* 20-Year Risks of Breast-Cancer Recurrence after Stopping Endocrine Therapy at 5 Years. *N Engl J Med* **2017**;377:1836-46
2. Hanker AB, Sudhan DR, Arteaga CL. Overcoming Endocrine Resistance in Breast Cancer. *Cancer Cell* **2020**;37:496-513
3. O'Leary B, Finn RS, Turner NC. Treating cancer with selective CDK4/6 inhibitors. *Nat Rev Clin Oncol* **2016**;13:417-30
4. El-Botty R, Morriset L, Montaudon E, Tariq Z, Schnitzler A, Bacci M, *et al.* Oxidative phosphorylation is a metabolic vulnerability of endocrine therapy and palbociclib resistant metastatic breast cancers. *Nat Commun* **2023**;14:4221
5. Montaudon E, Nikitorowicz-Buniak J, Sourd L, Morisset L, El Botty R, Huguet L, *et al.* PLK1 inhibition exhibits strong anti-tumoral activity in CCND1-driven breast cancer metastases with acquired palbociclib resistance. *Nat Commun* **2020**;11:4053
6. Guillen KP, Fujita M, Butterfield AJ, Scherer SD, Bailey MH, Chu Z, *et al.* A human breast cancer-derived xenograft and organoid platform for drug discovery and precision oncology. *Nat Cancer* **2022**;3:232-50
7. Alvarez-Fernandez M, Malumbres M. Mechanisms of Sensitivity and Resistance to CDK4/6 Inhibition. *Cancer Cell* **2020**;37:514-29
8. Chipumuro E, Marco E, Christensen CL, Kwiatkowski N, Zhang T, Hatheway CM, *et al.* CDK7 inhibition suppresses super-enhancer-linked oncogenic transcription in MYCN-driven cancer. *Cell* **2014**;159:1126-39
9. Kwiatkowski N, Zhang T, Rahl PB, Abraham BJ, Reddy J, Ficarro SB, *et al.* Targeting transcription regulation in cancer with a covalent CDK7 inhibitor. *Nature* **2014**;511:616-20
10. Sava GP, Fan H, Coombes RC, Buluwela L, Ali S. CDK7 inhibitors as anticancer drugs. *Cancer Metastasis Rev* **2020**;39:805-23

11. Cidado J, Boiko S, Proia T, Ferguson D, Criscione SW, San Martin M, *et al.* AZD4573 Is a Highly Selective CDK9 Inhibitor That Suppresses MCL-1 and Induces Apoptosis in Hematologic Cancer Cells. *Clin Cancer Res* **2020**;26:922-34
12. Olson CM, Jiang B, Erb MA, Liang Y, Doctor ZM, Zhang Z, *et al.* Pharmacological perturbation of CDK9 using selective CDK9 inhibition or degradation. *Nat Chem Biol* **2018**;14:163-70
13. Anshabo AT, Milne R, Wang S, Albrecht H. CDK9: A Comprehensive Review of Its Biology, and Its Role as a Potential Target for Anti-Cancer Agents. *Front Oncol* **2021**;11:678559
14. Chou J, Quigley DA, Robinson TM, Feng FY, Ashworth A. Transcription-Associated Cyclin-Dependent Kinases as Targets and Biomarkers for Cancer Therapy. *Cancer Discov* **2020**;10:351-70
15. Constantin TA, Greenland KK, Varela-Carver A, Bevan CL. Transcription associated cyclin-dependent kinases as therapeutic targets for prostate cancer. *Oncogene* **2022**;41:3303-15
16. Parua PK, Fisher RP. Dissecting the Pol II transcription cycle and derailing cancer with CDK inhibitors. *Nat Chem Biol* **2020**;16:716-24
17. Poon E, Liang T, Jamin Y, Walz S, Kwok C, Hakkert A, *et al.* Orally bioavailable CDK9/2 inhibitor shows mechanism-based therapeutic potential in MYCN-driven neuroblastoma. *J Clin Invest* **2020**;130:5875-92
18. Pancholi S, Ribas R, Simigdala N, Schuster E, Nikitorowicz-Buniak J, Ressa A, *et al.* Tumour kinome re-wiring governs resistance to palbociclib in oestrogen receptor positive breast cancers, highlighting new therapeutic modalities. *Oncogene* **2020**;39:4781-97
19. Huang Z, Wang T, Wang C, Fan Y. CDK9 inhibitors in cancer research. *RSC Med Chem* **2022**;13:688-710
20. Borowczak J, Szczerbowski K, Ahmadi N, Szyllberg L. CDK9 inhibitors in multiple myeloma: a review of progress and perspectives. *Med Oncol* **2022**;39:39

21. Mitra P, Yang RM, Sutton J, Ramsay RG, Gonda TJ. CDK9 inhibitors selectively target estrogen receptor-positive breast cancer cells through combined inhibition of MYB and MCL-1 expression. *Oncotarget* **2016**;7:9069-83
22. Sengupta S, Biarnes MC, Jordan VC. Cyclin dependent kinase-9 mediated transcriptional de-regulation of cMYC as a critical determinant of endocrine-therapy resistance in breast cancers. *Breast Cancer Res Treat* **2014**;143:113-24
23. Richters A, Doyle SK, Freeman DB, Lee C, Leifer BS, Jagannathan S, *et al.* Modulating Androgen Receptor-Driven Transcription in Prostate Cancer with Selective CDK9 Inhibitors. *Cell Chem Biol* **2021**;28:134-47 e14
24. Mustafa E. A novel and highly selective CDK9 inhibitor (D11) suppresses proliferation of triple negative breast cancer cells [abstract]. . In: Proceedings of the 2020 San Antonio Breast Cancer Virtual Symposium; 2020 Dec 8-11; San Antonio, TX Philadelphia (PA): **2021**;AACR; *Cancer Res* 2021;81(4 Suppl):Abstract nr PS11-40.
25. Saffan D, Day MAL, Rioux N, Chen T, Lee C, Naffar-Abu Amara S, *et al.* Preclinical activity of KB-0742, an oral, highly selective, CDK9 inhibitor, in cell lines and in MYC-high expressing, patient-derived models of multiple breast cancer subtypes [abstract]. . In: Proceedings of the 2021 San Antonio Breast Cancer Symposium; 2021 Dec 7-10; San Antonio, TX Philadelphia (PA): **2022**;AACR; *Cancer Res* 2022;82(4 Suppl):Abstract nr P5-08-05.
26. FDA. Clinical Pharmacology Review of Palbociclib. https://www.accessdata.fda.gov/drugsatfda_docs/nda/2015/207103orig1s000clinpharm_rpdf **2014**

Figure 1. 2D kinase inhibitor screens in LTED breast cancer cell line models. **A**, Schematic representation of the study. **B**, 2D screens at 1 μ M final drug concentration (see Methods). Sigma plots represent robust Z-score rank order, with drugs achieving a robust Z-score ≤ -2 in red. Compounds causing $\geq 50\%$ reduction in cell viability were classified as hits. Representative drugs of the following classes are highlighted: Green, targeting ER signaling; blue, targeting cyclin-dependent kinases (CDKs); grey, targeting PI3K-AKT-mTOR pathway. **C**, 2D screens at 100 nM final drug concentration. Compounds causing $\geq 50\%$ reduction in cell viability were classified as hits. Venn diagram illustrating the number of hits in common to three or four of the cell line groups indicated. Full list provided in **Supplementary Figure S2**.

Figure 2. 3D kinase inhibitor screens in LTED and palbociclib-resistant breast cancer cell line models. 3D assays were performed at 250 nM final drug concentration (see Methods). Compounds with a robust Z-score < -1.65 were classified as hits. **A**, Venn diagram illustrating the number of hits common to three or four of the cell line groups indicated. **B**, Comparison of hits in palbociclib-sensitive MCF7 and HCC1428 LTED breast cancer cell lines and their palbociclib-resistant (PalboR) derivatives. **C**, Comparison of the hits in the palbociclib-resistant cell lines MCF7 LTED^{PalboR} and HCC1428 LTED^{PalboR}.

Figure 3. CDK9 inhibitors impair cell viability in 2D and 3D culture. **A,B** 4,000-8,000 cells were seeded in 96-well tissue culture plates and treated at day 1 and 3 with the CDK9 inhibitors **A**, NVP-2 or **B**, AZD4573 at the indicated concentrations. Cell viability was assessed using CellTiter-Glo (day 7). Mean values \pm SEM. Data represents percentage NVP-2/AZD4573 treated viable cells compared with vehicle control. $n=4$ technical, $n=1$ biological replicates. **C**, 2,500 cells were seeded in 96-well ultra-low attachment round-bottomed plates. The resulting spheroids were treated with AZD4573 at days 3 and 6. Cell viability was assessed on day 10

(endpoint). Graphs showing percentage of viable AZD4573-treated cells compared with vehicle control. $n=1$ biological replicate, $n=4$ technical replicates. Mean values \pm SEM. Representative images and quantification of spheroid area at endpoint following treatment with 0 nM, 3 nM or 30 nM AZD4573 are shown. Scale bar, 1 mm. **D**, 3,000-5,000 MCF7 LTED^{WT}, LTED^{Y537C} or LTED^{PalboR} cells were seeded in 2D 96-well tissue culture plates and treated with escalating doses of palbociclib and AZD4573 at day 1 and 3. Cell viability was assessed using CellTiter-Glo on day 7. Data representing percentage of viable cells compared with vehicle control. $n=1$ biological replicate, $n=3$ technical replicates, mean values \pm SEM. Top panel, individual drug responses. Bottom panel, synergy plots. The Bliss synergy score references the most synergistic area of the plot.

Figure 4. CDK9 inhibitor AZD4573 drives tumor regression in endocrine therapy and palbociclib resistant PDXs. **A**, $2 - 5 \times 10^4$ dissociated PDO cells were seeded per well in 96-well tissue culture plates in 10% Matrigel. The resulting organoids were treated with escalating doses of AZD4573 at day 2. Cell viability was assessed on day 7. Dose-response curves showing percentage of viable AZD4573-treated cells compared with vehicle control ($n=4$ wells per PDO). Drug response represented by sigmoidal dose-response curve. IC₅₀ and AUC (area under curve) values are shown. ND, not determined. **B**, HBCx-180 and **C**, HBCx-134-palboR31 PDXs were inoculated into 8-week old Swiss nude mice. Xenografts were randomly assigned to different groups when tumors reached a volume of 100 - 200 mm³ and treated with vehicle, palbociclib + fulvestrant, AZD4573 or the combination of palbociclib + fulvestrant + AZD4573 (V, P+F, A, P+F+A). Upper panels, relative tumor volumes \pm SD. HBCx-180 $n=6-7$, HBCx-134-palboR31 $n=7-10$ mice per group (two-sided Mann-Whitney *U* test). Lower panels, waterfall plots showing % change in tumor volume from baseline, HBCx-180 $n=5-7$, HBCx-134-palboR31 $n=7-8$ mice per group. **D-G**, RNA-Seq analysis of HBCx-134-palboR31 treated tumors. **D**, Number of genes differentially up and downregulated in tumor treatment group comparisons, using significance thresholds of $|\log_2FC| > 1$ and FDR adjusted $p < 0.05$.

E, Expression of *MYC*, *MYB* and *MCL1* in tumor treatment groups. *p* values were estimated using edgeR's implementation of quasi-likelihood F-test. **F**, Heatmap of genes with synergistic downregulated expression in palbociclib + fulvestrant + AZD4573 treated tumors ($\log_2FC > 1$, FDR adjusted $p < 0.05$). **G**, Expression of *MYCN* and *WEE1* in tumor treatment groups. *p* values were estimated using edgeR's implementation of quasi-likelihood F-test. **H**, 5,000 MCF7 LTED^{Y537C} or T47D LTED (L) or LTED^{PalboR} (LP) cells were seeded in 96-well ultra-low attachment round-bottomed plates and resulting spheroids treated with AZD4573 (10 nM) or palbociclib (1 μ M) or combination at days 3 and 6. Cell viability was assessed on day 10. Data represents relative cell viability compared to vehicle control ($n=4$ biological replicate, $n=5$ technical replicates per biological replicate; mean values \pm SEM; two-way ANOVA with Sidak's multiple comparisons test, and confidence intervals of 95%) Veh, vehicle; Palbo, palbociclib, AZD, AZD4573; Combi, AZD4573 + palbociclib.

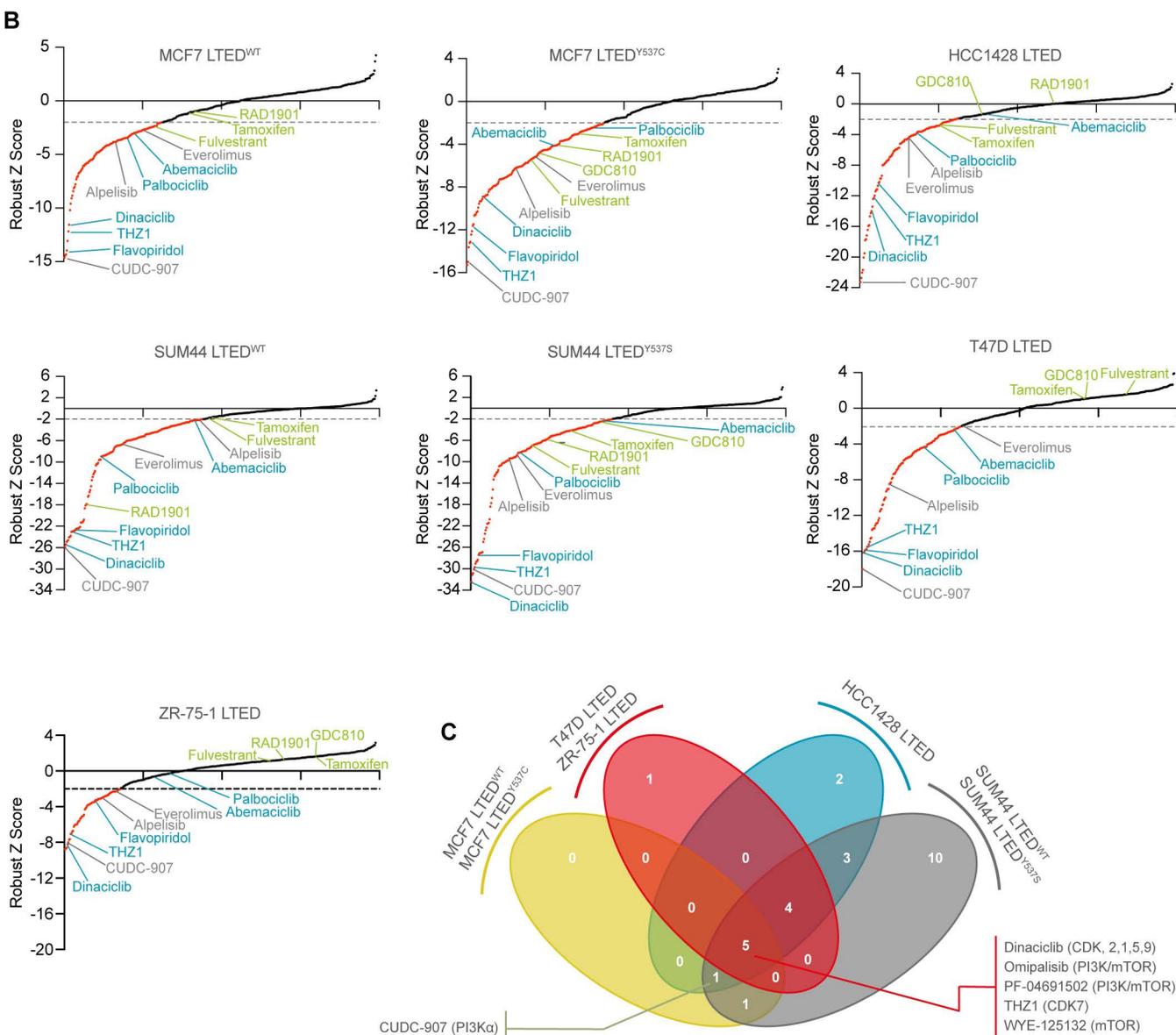
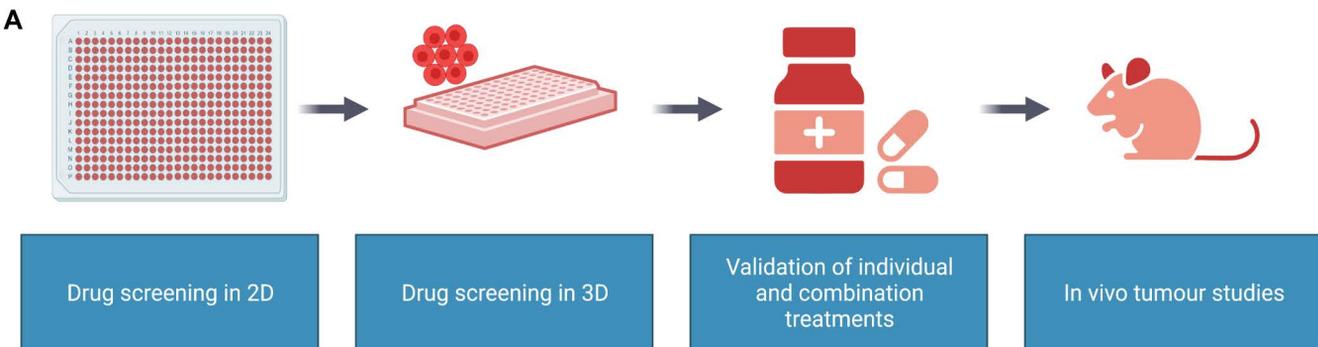
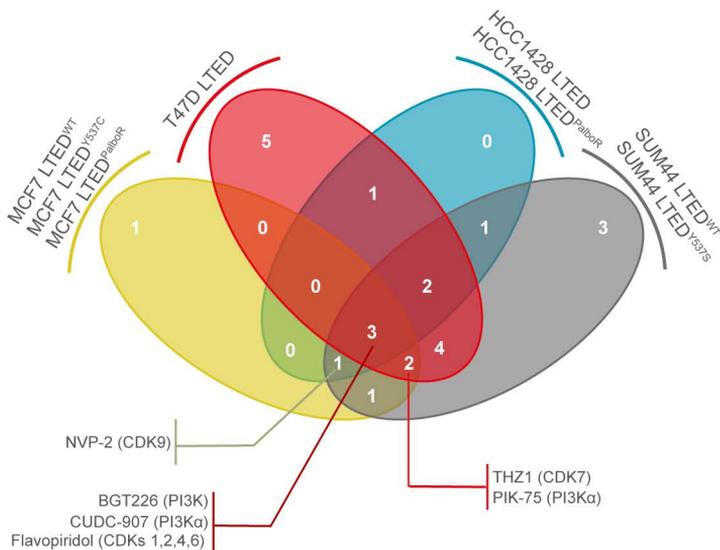
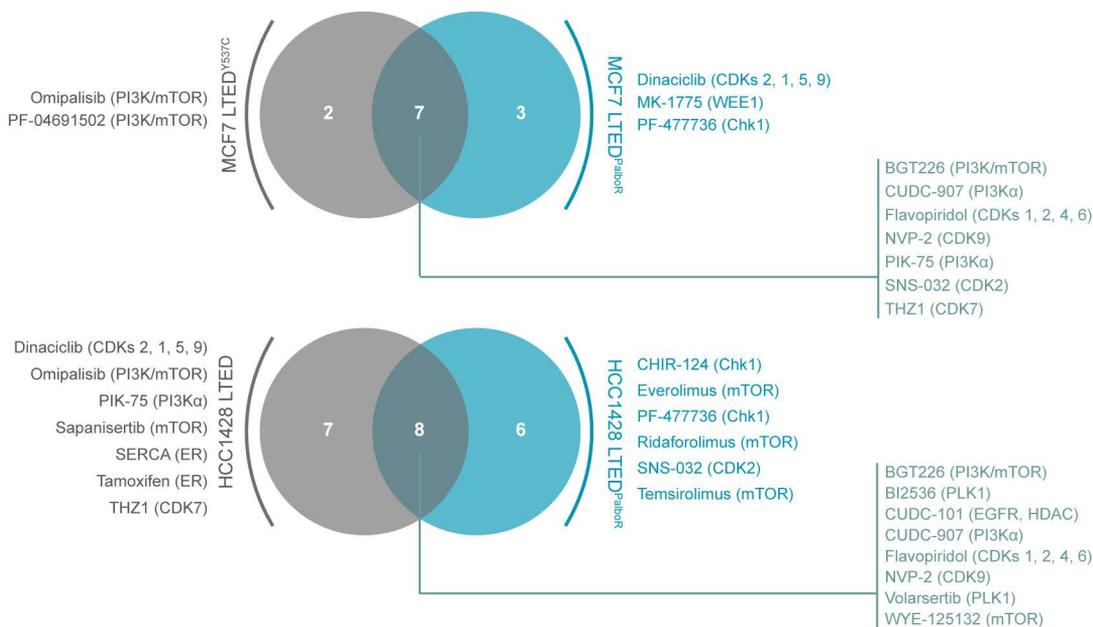
Figure 1

Figure 2**A****B****C**