

1 **Prediction of Pelvic Tumour Coverage by Magnetic Resonance-guided**
2 **High-Intensity Focused Ultrasound (MRgHIFU) from Referral**
3 **Imaging**

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27 BACKGROUND: Patient suitability for magnetic resonance-guided high
28 intensity focused ultrasound (MRgHIFU) ablation of pelvic tumours is initially
29 evaluated clinically for treatment feasibility using referral images, acquired using
30 standard supine diagnostic imaging, followed by MR screening of potential
31 patients lying on the MRgHIFU couch in a “best-guess” treatment position.
32 Existing evaluation methods result in $\geq 40\%$ of referred patients being screened
33 out because of tumour non-targetability.

34 We hypothesize that this process could be improved by development of a novel
35 algorithm for predicting tumour coverage from referral imaging.

36 METHODS: The algorithm was developed from volunteer images and tested
37 with patient data. MR images were acquired for five healthy volunteers and five
38 patients with recurrent gynaecological cancer. Subjects were MR imaged supine
39 and in oblique-supine-decubitus MRgHIFU treatment positions. Body outline
40 and bones were segmented for all subjects, with organs-at-risk and tumours also
41 segmented for patients. Supine images were aligned with treatment images to
42 simulate a treatment dataset. Target coverage (of patient tumours and volunteer
43 intra-pelvic soft tissue), i.e. the volume reachable by the MRgHIFU focus, was
44 quantified. Target coverage predicted from supine imaging was compared to that
45 from treatment imaging.

46 RESULTS: Mean (\pm standard deviation) absolute difference between supine-
47 predicted and treatment-predicted coverage for 5 volunteers was $9 \pm 6\%$ (range: 2-
48 22%) and for 4 patients, was $12 \pm 7\%$ (range: 4-21%), excluding a patient with
49 poor acoustic coupling (coverage difference was 53%).

50 CONCLUSION: Prediction of MRgHIFU target coverage from referral imaging
51 appears feasible, facilitating further development of automated evaluation of
52 patient suitability for MRgHIFU.

53 Keywords: treatment planning; magnetic resonance imaging guidance, high
54 intensity focused ultrasound; human body deformation; pelvis; referral imaging;
55 volunteer;

56

57 **1. Introduction**

58 Magnetic resonance guided high-intensity focused ultrasound (MRgHIFU) is a non-
59 invasive, non-ionizing treatment modality which has a number of established clinical
60 applications including the ablation of uterine fibroids and bone nerves (for pain
61 palliation)[1], and the treatment of essential tremor [2]. In addition, MRgHIFU is being
62 trialled in the UK for the thermal ablation of recurrent gynaecological tumours
63 (NCT02714621) [3].

64 MRgHIFU therapy of pelvic tumours is particularly challenging because of the
65 depth of the tumours within the body. MRgHIFU systems can only treat targets within
66 the focal length constraints of their transducers, and identifying acoustic access which is
67 free from obstruction by acoustically opaque tissues, such as gas and bone, and from
68 organs at risk is challenging [3]. Failure to correctly identify suitable patients for
69 MRgHIFU therapy could deprive them of their only treatment option, while failure to
70 identify patients who cannot be treated wastes patient time and hospital resources on
71 screening sessions. Patients must therefore be carefully assessed prior to being accepted
72 for treatment. We hypothesize that an algorithm could be developed, that could
73 accurately predict target tumour coverage by HIFU from referral imaging.

74 Currently, the clinical evaluation process relies heavily on experience and
75 opinion. The process is as follows: patients are referred to the MRgHIFU clinic on the
76 basis of supine diagnostic imaging, often follow-up imaging after unsuccessful prior
77 treatment [3, 4] and referred to here as the ‘referral image dataset’. If at this point
78 treatment appears qualitatively feasible, patients progress to the screening stage. At
79 screening, patients are imaged with treatment conditions being mimicked as closely as
80 possible. Patients are asked to lie in one or two ‘best guess’ treatment positions on the
81 MRgHIFU couch. The ‘best guess’ positions are identified by the treatment team using

82 prior clinical experience and subjective judgement. Suitable patients, those for whom a
83 majority of the tumour can be reached or who fulfil clinical trial eligibility criteria, are
84 invited back for treatment. The current process is challenging. In a previous metastatic
85 bone pain palliation trial, 16 of 37 patients (43%) initially considered for treatment were
86 found at screening not to satisfy eligibility criteria because of disease that could not be
87 targeted, for reasons that include tumour accessibility and size [4]. In a pilot planning
88 study which assessed MRgHIFU for the treatment of recurrent gynaecological tumours,
89 9 of 20 eligible patients (45%) who underwent screening imaging were subsequently
90 assessed as untreatable because of an eligibility criterion, namely, that >50% tumour
91 coverage could be achieved without risk of damage to surrounding structures [3]. These
92 two studies suggest that, for abdominal pelvic tumours, the current evaluation process
93 may overestimate the number of patients that are suitable for MRgHIFU by more than
94 40%.

95 Given the relatively poor results of the current subjective method, we propose a
96 workflow that would ultimately be suitable for the quantitative assessment of patient
97 suitability for MRgHIFU therapy (Figure 1). In this paper, we focus on a core aspect of
98 that workflow, as explained below. If the workflow were to be successfully
99 implemented, the number of patients incorrectly denied treatment could be minimised,
100 and the number who would benefit from a screening scan could be maximised. In the
101 long-term, it may even be possible to avoid the need for a screening visit, which could
102 mean that a sick patient will no longer need to travel to the magnetic resonance (MR)
103 imaging unit and undergo what may be a lengthy session in which optimal treatment
104 positions are investigated, only to return days to weeks later for a treatment session.
105 This may also reduce the load on the resources of a busy clinical MR department.

106 The proposed patient workflow (Figure 1) comprises three steps. In Step 1, key
107 anatomical components that could prevent access to targets, such as acoustic
108 obstructions and organs at risk, are segmented from the referral images. In Step 2, the
109 referral imaging dataset is orientated into plausible potential treatment positions. In
110 Step 3, the percentage of tumour volume that can be reached by the HIFU focus (%
111 target volume covered) is calculated at each orientation. In Step 4, acoustic and thermal
112 modelling are used to calculate the treatable target volume, in order to facilitate a
113 quantitative clinical decision as to whether a patient should proceed to screening.

114 The focus of this paper is Step 3, the calculation of tumour coverage. As far as
115 the authors are aware, no previous work has been done on predicting target tumour
116 coverage from referral images. A novel method has been developed to identify the
117 tumour coverage that could be achieved in the presence of acoustic obstructions and
118 organs at risk, and using this methodology, a feasibility study has been performed to
119 determine whether it is possible to accurately predict tumour coverage from referral
120 imaging by comparison with predictions made using subjects lying in treatment
121 orientations. For this purpose, volunteer imaging data was obtained, and used to
122 develop novel data processing and analysis techniques for the calculation of tumour
123 coverage. Subsequently, the method was tested using patient data obtained in a
124 concurrently started clinical trial.

125

126 **2. Methods**

127 ***2.1. Overview***

128 In order to evaluate the developed methodology for the calculation of tumour coverage,
129 estimations of target (tumour) coverage from referral and treatment images obtained for

130 each volunteer (patient) were compared. Here, the referral imaging dataset is the
131 expected input into the prospective patient workflow and is used to predict target
132 volume coverage. We assume the treatment images depict the subject positioned in a
133 plausible (volunteer) or actual (patient) treatment position, respectively, on the
134 MRgHIFU bed. The treatment imaging dataset is used to calculate the target coverage.
135 The workflow used in this study is shown in Figure 2. As the treatment position is
136 known from the treatment images, the referral imaging dataset was oriented into the
137 known treatment position to compare the predicted target coverage with the actual
138 target coverage. This was achieved by an affine registration of the referral imaging
139 dataset to the treatment imaging dataset (Step 1 in Figure 2). Segmentation of the
140 acoustic obstructions and organs at risk (Step 2 in Figure 2) from both datasets was
141 performed to identify tissues that could prevent target coverage. This was followed by
142 calculation of the target (tumour) coverage (Step 3 in Figure 2) and comparison of the
143 results for predictions from referral imaging datasets with those from treatment imaging
144 datasets.

145 At the start of the project, clinical trial data were not available. The method was
146 therefore developed using volunteer imaging data, with the goal of testing it on
147 anticipated clinical datasets. As a result of significant anatomical differences between
148 volunteers and patients, some adaptation was necessary. Firstly, volunteers lacked target
149 tumours. This could have been addressed by the creation of dummy tumours, but in the
150 absence of an obvious method for defining the size, shape and position of dummy
151 tumours in an unbiased and clinically relevant way, all the soft tissue in the pelvis was
152 defined as “target tissue”. Secondly, while patients undergo dietary and physical bowel
153 preparation prior to treatment in order to minimise the risk of bowel and rectal damage,
154 volunteers were not required to do so. These tissues were therefore not considered to be

155 organs-at-risk when processing volunteer data. While these two limitations present
156 challenges, they do not prevent like-for-like comparison between target coverage
157 predictions from referral and treatment imaging datasets. Datasets from 5 volunteers,
158 comprising pseudo-referral and pseudo-treatment imaging datasets were available for
159 the development of the method. The methodology was subsequently tested on 5
160 patients who had undergone ablative MRgHIFU treatment for recurrent gynaecological
161 tumours.

162 **2.2. Input Images**

163 All subjects were scanned on a 3.0T Philips Achieva[®] MR scanner (Amsterdam,
164 Netherlands), using a multi-point Dixon sequence [5] ($TE_1/TE_2 = 1.186$ (out-of-phase)
165 / 2.372 (in-phase) ms, $TR = 3.62$ ms, number of echoes = 2, flip angle = 10°). This
166 produced four 3D image sets for each referral and treatment imaging dataset: in-phase
167 ('IP'), out-of-phase ('OP'), water-only ('Water') and fat-only ('Fat') image sets. Patients
168 were further imaged using, amongst others, a T2w Large Field-of-View (T2wLFOV)
169 sequence.

170 All referral imaging datasets were acquired with subjects lying supine on the
171 standard MR bed using SENSE XL torso coils (Philips, Netherlands) wrapped around
172 the pelvis. Treatment imaging datasets were acquired with subjects lying oblique
173 supine decubitus on a gel-pad, which was placed on top of an acoustically transparent
174 membrane on the top surface of the Sonalleve[®] V2 MRgHIFU couch (Profound
175 Medical, Mississauga, Canada), using two Sonalleve[®] coils – one integrated into the
176 acoustic window, and an external pelvic coil. The subject's body weight caused the gel-
177 pads to compress and the membrane to bow. Subjects were positioned by a

178 radiographer experienced in MRgHIFU. Cohort-specific imaging information for
179 volunteers is given in Section 2.2.1, and for patients, in Section 2.2.2.

180 Treatment angles were measured using ITK-Snap 3.6.0 software [6] (University
181 of Pennsylvania, USA), by manually drawing a line between the axial-plane positions of
182 the left and right ischial spines, and finding the angle between this and a horizontal line.

183 2.2.1. *Volunteers*

184 Five female volunteers (age: 28-44 years, weight: 55-72 kg, body mass index: 20.2-26.4
185 kg/m²), were scanned (with ethics approval from The Royal Marsden and ICR
186 Committee for Clinical Research (internal protocol CCR1406)). In addition to the
187 supine referral imaging dataset described above, each volunteer was scanned in two
188 “treatment” positions deemed to be plausible from experience of treating patients with
189 pelvic bone pain with MRgHIFU [3, 4]. These positions were nominally ‘steep’ and
190 ‘shallow’, but were dependent on a subject’s size and shape, which affected how they
191 fitted into the bore of the MR scanner. This generated two treatment imaging datasets
192 per volunteer. The volunteers, wearing thin trousers, were placed with their left buttock
193 roughly centered over the acoustic window and with their right side elevated using
194 angled foam pads. They were scanned from the L5-sacrum disc to the inferior-most
195 point of the ischial tuberosity in the axial direction. Fields-of-view were chosen to
196 include the full body outline in the axial slices. 15 mm-thick gel-pads were used to
197 provide acoustic coupling between the skin and the Sonalleve[®] acoustic window for all
198 volunteers. The voxel size for referral imaging and treatment imaging datasets was
199 approximately 0.78×0.78×1.50 mm³. Volunteer details are recorded in Table 1.

200 2.2.2. *Patients*

201 Five patient datasets were acquired after volunteer image acquisition began, as part of a

202 recurrent gynaecological tumour clinical trial (NCT02714621, REC: 15/WM/0470) [3].
203 For treatment imaging datasets, patients were oriented into a clinically judged treatment
204 position, with the tumour as close to the magnetic isocentre as possible. Because pre-
205 treatment diagnostic referral imaging was not available, the earliest (Day-7) follow-up
206 supine images were used as ‘referral’ imaging datasets. These were chosen to minimise
207 anatomical changes between the two imaging datasets. 15 mm-thick gel-pads were used
208 for patients P2 to P5. For patient P1, a 40 mm-thick gel-pad was manually cut out to
209 provide a degassed-water-filled recess, into which the patient was lowered. Patient
210 details are recorded in Table 2. Weight data had been collected from patients as part of
211 the trial data, but height data (and therefore BMI data) had not.

212 Patient referral and treatment imaging datasets were acquired after gadolinium
213 contrast injection for improved contrast, and were acquired with a Field-of-View (FoV)
214 of $288 \times 288 \times 133$ voxels and voxel size $0.87 \times 0.87 \times 1.50 \text{ mm}^3$. As part of a separate
215 study, patient’s tumours were segmented from patient T2-weighted Large Field-of-
216 View (T2wLFOV) datasets (TE = 90 ms, TR = 3620.4 ms, number of echoes = 16, flip
217 angle = 90° , FoV $672 \times 672 \times 40$ voxels, voxel size $0.45 \times 0.45 \times 4.5 \text{ mm}^3$) obtained
218 immediately pre-treatment. These segments were used to define the target tumour
219 volume for each patient.

220 ***2.3. Image Registration***

221 Registration of referral imaging datasets to treatment imaging datasets rotated the
222 referral imaging dataset into the same treatment orientation as used in the treatment
223 imaging dataset, which allowed the target coverage predicted from the registered-
224 referral imaging dataset to be compared to that calculated from the treatment imaging
225 dataset. Each subject’s referral imaging dataset was registered to their treatment

226 imaging dataset(s) by aligning 10 or more manually placed bony landmark points,
227 distributed throughout the pelvis, using Horos v2.4.0 (Horos Project) [7]. The software
228 calculated the required affine transformation and applied it to the referral imaging
229 dataset [8] to generate the registered-referral imaging dataset.

230 To quantify the quality of this registration, the intra-observer (3 volunteer
231 datasets) error and inter-observer (3 observers, 1 volunteer dataset) error associated with
232 the referral-to-treatment registration was calculated. The errors were quantified as the
233 mean Euclidean distance between corresponding points.

234 ***2.4. Image Segmentation***

235 The presence of acoustic obstructions and organs at risk in the beam path prevents safe
236 sonication of the target, and hence they were segmented in order to identify acoustic
237 access to the target. The tumour defined the target volume for patients, and hence was
238 segmented. The body outline was segmented to assist with the other segmentation
239 processes, and to assist in positioning the MRgHIFU system relative to the registered-
240 referral imaging dataset. Organs at risk, bone (an acoustic obstruction) and the tumour
241 were manually segmented from the MR datasets (as shown in Figure 2, Step 2). The
242 body outline and extracorporeal air (an acoustic obstruction) were segmented
243 automatically, as described below.

244 ***2.4.1. Body Outline***

245 The body outline delineates the skin surface, and, particularly for treatment imaging
246 datasets, needs to be separated from the gel-pad the subject lies on. An automatic
247 process involving Otsu thresholding [9] was developed to separate the body from
248 surrounding extracorporeal air and the gel-pad. Connected-components labelling [10]
249 was used to collate segments of the body, and morphological operations [11] and flood-

250 filling [12] were employed to link disparate segments and fill holes within segments.

251 *2.4.2. Acoustic Obstructions*

252 Internal acoustic obstructions, primarily bone, were segmented by manual contouring of
253 axial slices using OsiriX Lite v10.0.4 [13] (Pixmeo, Geneva, Switzerland) and Horos.
254 For volunteers, pelvic bones were manually segmented from referral imaging datasets.
255 The registered referral imaging dataset pelvic bone segments were applied to the
256 corresponding treatment imaging dataset in order to reduce the burden of manual
257 contouring. Femora were manually segmented separately from referral and treatment
258 imaging datasets, because of the likelihood of different articulation between datasets
259 (unlike the more rigid pelvis). For patients, the treatment region was considerably
260 smaller and therefore pelvic bones as well as femora close to the target (tumour) could
261 be manually segmented in a realistic time. However, contouring was restricted to ± 10
262 axial slices from the edges of the tumour to reduce the time burden of manual
263 segmentation. The pelvic bones at the greater sciatic notch were always segmented,
264 because the notch defines the superior edge of the sciatic foramen through which the
265 acoustic beam is expected to sonicate the tumour.

266 Air gaps between the patient and the gel-pad act as acoustic obstructions.
267 Extracorporeal air in volunteer treatment imaging datasets was not segmented, because
268 the trousers worn by volunteers during image acquisition prevented skin-to-gel-pad
269 acoustic coupling. Instead, volunteer acoustic coupling limits in the left-right direction
270 were manually identified, as shown in Figure 4. For volunteers, it was assumed that the
271 intergluteal cleft would be filled with acoustic-coupling gel as part of clinical
272 preparations, and hence, they were not treated as acoustic obstructions. Extracorporeal
273 air in the patient treatment imaging datasets was segmented to define the limits of

274 acoustic coupling , using an automatic segmentation algorithm inspired by Kullberg et
275 al. [14]. In some cases, the intergluteal cleft was seen to contain air, and was therefore
276 manually contoured and included as part of the extracorporeal air segment.

277 *2.4.3. Target Volume*

278 As part of a separate study, patient tumours had been contoured by an experienced
279 radiographer (SG) using in-house software (Adept v0.2, The Institute of Cancer
280 Research, UK) [3] on referral and treatment imaging T2wLFOV images, where the slice
281 thickness was 10 times that of the in-plane voxel dimensions. Segmented tumours were
282 registered to align with the Dixon imaging datasets using the same procedures described
283 above in order to obtain tumour outlines in the Dixon images. Since healthy volunteers
284 had no tumours, all soft tissue within the pelvic region was designated as the target.

285 *2.4.4. Organs at Risk*

286 Organs at risk, namely the uterus, rectum, bladder, and intestines were manually
287 segmented for patients. Some patients had previously undergone pelvic exenteration
288 surgery resulting in the removal of most pelvic organs.

289 *2.4.5. Evaluation of automated segmentation quality*

290 Automatic segmentation quality for the body outline and for extracorporeal air was
291 assessed by comparing randomly selected image slices with corresponding manually
292 segmented slices (body: five slices per dataset, from three ‘steep’ treatment imaging
293 datasets and two ‘steep’ registered-referral imaging datasets originating from three
294 volunteers; air: five slices per dataset from three patient treatment datasets). In order to
295 determine the ability of the segmentation to determine acoustic coupling between
296 patient and transducer, only the extracorporeal air segments around the body/gel-pad

297 interface were assessed.

298 The assumption that the manually-segmented pelvic bone in volunteer
299 registered-referral datasets could be used to automatically segment the pelvic bones in
300 the treatment imaging dataset was similarly tested against manual contouring performed
301 on the treatment imaging dataset (five slices per treatment dataset, four treatment
302 datasets originating from three volunteers). The segmentation quality of the volunteer
303 bony pelvis and femora was taken to be indicative of the segmentation quality for all
304 manually segmented tissues. Quality metrics were Dice Similarity Coefficient (DSC)
305 and mean contour-to-contour distance [15, 16].

306 ***2.5. Prediction of Target Volume Coverage***

307 ***2.5.1. Overview***

308 To calculate the target volume that can be covered, an MRgHIFU transducer was
309 simulated. Positioning of the MRgHIFU transducer was known for the treatment
310 imaging datasets, but had to be derived for the registered-referral imaging datasets. In
311 the process of positioning the virtual transducer/referral imaging dataset, patient-
312 induced compression of the gel-pad and bowing of the oil-bath membrane had to be
313 taken into account. To reduce the computational time required, additional practical and
314 clinically-relevant restrictions were placed on transducer translation, as described in
315 greater detail below. The target volume covered by treatment cells was calculated for
316 corresponding pairs of registered-referral and treatment datasets, and then, for each
317 subject, the two volumes were compared. The details of these procedures are presented
318 below.

319 2.5.2. *MRgHIFU System Characteristics*

320 The simulated transducer was modelled on The Royal Marsden Hospital's MRgHIFU
321 system, the Sonalleve[®] V2. The system replaces the imaging couch in the bore of the
322 MR scanner for treatment. The 256-element phased-array transducer (130 mm
323 diameter, focal length 140 mm, source frequency 1.22 MHz) is mounted on a robotic
324 positioner with 3 linear and 2 rotational motion capabilities in an oil bath, and faces the
325 patient through a thin (50 μ m thick) acoustically transparent membrane. The
326 transducer's home position (black cross in Figure 3) always lies 140 mm below the
327 magnetic isocentre, and the undeformed membrane-to-isocentre distance is 72.5 mm.
328 Acoustic coupling is achieved using a degassed-water wetted gel-pad (either 15 or 40
329 mm thick). When a subject is in place, the gel-pad is compressed and the acoustic
330 membrane bowed under their weight. From its home position, the transducer can
331 translate in 50 μ m steps up to: 72.5 mm left or right and inferior or superior, and 34 mm
332 towards the patient (anterior) and 33 mm away (posterior). The transducer can be
333 angled up to 10° away from the perpendicular in the left-right and inferior-superior
334 directions.

335 The transducer was simulated in MATLAB R2018b. It consisted of 256 points
336 that represented the centre of each transducer element. Ultrasound rays traced from
337 each element on the transducer surface to the transducer focal point were used to
338 represent the acoustic beam. The transducer was restricted to being able to tilt $\pm 10^\circ$ in
339 2.5° steps in the left-right direction only, in order to avoid incomplete registered-referral
340 dataset image slices resulting from registration, but otherwise possessed the
341 translational extents of the clinical device as described above. The transducer is
342 assumed to produce a perfect 8 mm treatment cell, i.e. an 8mm x 21.84 mm ellipsoid
343 [17, 18] centred at the focal point with its long-axis aligned to the beam axis.

344 2.5.3. *Practical and Clinically-relevant Restrictions on Transducer*
345 *Translation*

346 In order to improve computational efficiency of target coverage prediction, transducer
347 translation in the left-right and inferior-superior axes was restricted to the left-right and
348 inferior-superior extents of the targets. For patients, practical restrictions on left-right
349 and inferior-superior translation were calculated from the left-right and inferior-superior
350 extents of the tumour. For volunteers, the target is all soft tissue within the pelvic
351 region. Hence, practical and clinically-relevant limits were manually identified (see
352 Figure 4) and implemented. The left-right limits represent the extents of acoustic
353 coupling. The inferior-superior limits represent the inferior-superior extents of the
354 registered-referral imaging dataset containing complete body outlines and pelvic bone.
355

356 2.5.4. *Estimated Patient Deformation Resulting from Reorientation into*
357 *the Treatment Position*

358 In this study, the treatment position was known from the treatment imaging dataset. In
359 treatment imaging datasets, the isocentre, and hence the transducer's home position
360 (Section 2.5.2), was known. In the registered-referral imaging dataset, because the
361 treatment position is the same, the transducer's home position left-right and inferior-
362 superior coordinates were taken from the treatment imaging dataset. However, to
363 mimic the prospective workflow, the anterior-posterior coordinate had to be estimated
364 from data within the registered-referral imaging dataset. The method of doing so is
365 shown in Figure 5. Briefly, it was assumed that: i) the gel-pad would be most
366 compressed and the membrane most bowed at the isocentre line, and ii) after soft tissue
367 deformation resulting from the reorientation into the treatment position, the isocentre-
368 to-skin point distance would remain the same. The membrane bowing distance and gel-

369 pad thickness for patients was assumed to be that calculated for volunteers. These
370 quantities were obtained by determining the average gel-pad thickness and membrane
371 bowing distance close to the isocentre line, using ITK-Snap, in the 7/10 volunteer
372 treatment imaging datasets in which measurement was possible. From this, the position
373 of the undeformed membrane, and hence the transducer anterior-posterior home
374 position, was estimated (see Figure 3). Patient P1 had been treated on a customised gel-
375 pad, the thickness of which was independently measured and used for positioning. For
376 comparison, the actual patient gel-pad thicknesses and membrane bowing distances
377 were measured and compared to the volunteer-derived averages.

378

379 *2.5.5. Calculation of Target Coverage*

380 For volunteers, a regular grid of target points, one per image voxel, was created in the
381 soft tissue (see Figure 6); for patients, this grid was created solely within the tumour
382 [19]. The transducer acoustic beam had been discretised into 256 rays, linking the
383 centre of a transducer element to the focus. Each ray was discretised into regularly
384 spaced (0.2 mm) points along its length, and each was tested for intersection with
385 acoustic obstructions or organs at risk. If no point intersected these, an 8-mm treatment
386 cell was drawn around the focal point, and all grid points within this were marked as
387 covered (Figure 6). This was repeated as the transducer was exhaustively translated and
388 tilted. The number of grid points covered, multiplied by the image voxel volume, was
389 used to quantify the target volume covered. For volunteers, the transducer was
390 translated in 4 mm steps, whereas for patients, 2 mm steps were used in order to ensure
391 coverage of the smaller tumour volume.

392 For volunteers, the accuracy of the methodology was quantified by calculating
393 how much of the soft tissue volume coverage calculated from the treatment imaging
394 dataset was predicted to be covered from the registered-referral imaging dataset, as
395 described in equation (1). In effect, the treatment imaging dataset covered soft tissue
396 volume becomes the target volume for the registered-referral imaging dataset, allowing
397 calculation of the percentage target volume covered (TVC_{vol}).

$$TVC_{vol} = 100\% \times \frac{CV_{RegisteredReferral} \cap CV_{Treatment}}{CV_{Treatment}} \quad (1)$$

398 where CV is the covered target volume.

399 For patients, the accuracy of the methodology was quantified using the
400 difference between the percentage target (tumour) volumes covered (TVC_{pat}), calculated
401 from treatment imaging dataset and that calculated from registered-referral imaging
402 dataset. TVC_{pat} is given by:

$$TVC_{pat} = 100\% \times \frac{CV}{TV} \quad (2)$$

403 where CV is the covered tumour volume and TV is the total tumour volume.

404 **3. Results**

405 **3.1. Subjects**

406 Details for the volunteers involved in the study are recorded in Table 1, and those for
407 patients in Table 2, as are the (pseudo-)treatment angle(s), compressed gel-pad
408 thickness and membrane bowing distance for each subject. For volunteers, 15 mm gel-
409 pads were compressed to an average of 9.8 ± 0.3 (mean \pm standard deviation, with
410 range: 9.3 to 10.2) mm, and the average membrane bowing distance close to the

411 isocentre line was 10.0 ± 1.3 (range: 7.8 to 11.7) mm. The weight ranges of volunteers
412 and patients (patients: 59 ± 11 kg vs volunteers: 63 ± 6 kg) were similar. The range of
413 patient treatment angles ($6-33^\circ$) slightly exceeded the range of volunteer angles ($8-29^\circ$).

414 ***3.2. Image Registration Quality***

415 Between three observers, the mean distance between corresponding points for the
416 referral imaging dataset for one volunteer, registered to one of their treatment imaging
417 datasets, was on average 1.2 ± 0.2 mm. For one observer, the mean distance between
418 corresponding points for the referral imaging datasets for three volunteers, each
419 registered to one of their corresponding treatment imaging datasets, was on average
420 1.3 ± 0.2 mm. These distances are less than the axial slice thickness of the Dixon image
421 datasets and less than double the in-plane image resolution.

422 ***3.3. Segmentation Quality***

423 ***3.3.1. Automatic Segmentation Quality***

424 Automatically segmented body outlines agreed with validation slices with a mean DSC
425 of 0.991 ± 0.003 and an average mean contour-to-contour distance of 0.9 ± 0.4 mm.
426 Automatic extracorporeal air segmentation of patient data agreed with validation slices
427 with a mean DSC of 0.89 ± 0.06 and an average mean contour-to-contour distance of
428 0.25 ± 0.16 mm.

429 ***3.3.2. Manual Segmentation Quality***

430 Volunteer treatment image pelvic bone segmentation agreed with the validation slices,
431 with mean DSC of 0.93 ± 0.01 and an average mean contour-to-contour distance of 0.76
432 ± 0.10 mm. Volunteer femur segmentation agreed with the validation slices with mean

433 DSC of 0.96 ± 0.01 and an average mean contour-to-contour distance of 0.53 ± 0.11
434 mm.

435 ***3.4. Prediction of Target Volume Coverage***

436 The TVC_{vol} for each volunteer in each of their two treatment positions is shown in
437 Figure 7(a). For volunteers, the registered-referral imaging dataset predicted target
438 volume coverage of $91 \pm 6\%$ (range: 78 to 98%) of that calculated from the
439 corresponding treatment imaging dataset. The TVC_{pat} for each patient's treatment
440 imaging and referral imaging are shown in Figure 7(b). Patient P4 appears to be an
441 outlier. Excluding their data, for patients, registered-referral TVC_{pat} predicted the
442 treatment TVC_{pat} to within an average of $12 \pm 7\%$ (range: 4 to 21%). Representative
443 images of the target volumes covered for volunteers and patients are shown in Figure
444 7(c) and (d), respectively.

445

446 **4. Discussion**

447 The aim of this study was to develop a novel method to calculate tumour coverage and
448 assess the feasibility of predicting tumour coverage from (supine) referral imaging, as
449 part of a wider study into automating the evaluation of patient suitability for MRgHIFU
450 therapy.

451 ***4.1. Subjects***

452 Although patient mean age was nearly double that of the volunteers, their weights were
453 similar. Compressed gel-pad thickness and membrane bowing for volunteers varied
454 minimally (mean \pm standard deviation being 9.8 ± 0.3 mm and 10.0 ± 1.3 mm

455 respectively), suggesting that use of mean values for the prediction of patient tumour
456 coverage should be acceptable. Minimum and maximum patient tilt angles exceeded
457 those of volunteer by at most 4° despite acquiring the volunteer imaging before the
458 patient data was available.

459 ***4.2. Image Registration***

460 Mean post-registration misalignment between referral and treatment images was found
461 to be less than the axial slice thickness of the Dixon MR imaging, in line with results
462 from literature [20].

463 ***4.3. Image Segmentation***

464 Automatic and manual segmentation of acoustic obstructions, organs at risk and the
465 body outline resulted in mean DSCs ≥ 0.89 and mean contour-to-contour distances that
466 were less than the axial slice thickness (1.5 mm). A mean contour-to-contour distance
467 of 2.81 mm has been deemed acceptable for breast-air boundary segmentation from MR
468 imaging (voxel size: isotropic 2.5 mm) [21]. The DSC for extracorporeal air
469 segmentation (patient treatment imaging datasets only) was less than that for body
470 outline segmentation (volunteers and patients, treatment and registered-referral datasets)
471 while the mean contour-to-contour distance was better than that for body outline
472 segmentation. This was probably due to the smaller size of the air segments around the
473 patient/gel-pad interface, causing a misidentified voxel to have a greater effect than for
474 the larger body outline. From the DSC (0.96) and mean contour-to-contour distance
475 (0.53 mm) values, the assumption that pelvic bone segments identified on treatment
476 images were identical to post-registration, manually outlined referral image segments
477 appears to be valid (Section 3.3.2).

478 Since tumours were manually segmented by an expert, any segmentation
479 imprecision or inaccuracy was ignored. Tumours were segmented on datasets with slice
480 thickness (4.5 mm) 10 times the in-plane resolution (0.45 mm), and thus rotation during
481 registration could introduce relatively large discrepancies between the interpolated and
482 actual tumour outlines, thus increasing uncertainty in the TVC_{pat} predicted from referral
483 imaging datasets.

484

485 **4.4. Target Coverage**

486 4.4.1. *Volunteer Study*

487 The volunteer's results show an average target coverage agreement between treatment
488 and registered-referral imaging datasets of 91% (range: 78 - 98%), corresponding to a
489 mean difference of 9%. This suggests that the techniques used for positioning the
490 transducer in the registered-referral imaging datasets were sufficient to proceed to
491 testing with patient data. The worst agreement (78%, for Volunteer 2 tilted at a 12°
492 treatment angle) was attributed to inaccurate placement of the transducer's home
493 position, caused by the skin point directly below the isocentre (see Figure 5) not
494 remaining at constant position between the registered-referral and treatment datasets, as
495 had been assumed. Consequently, the HIFU focus was predicted to reach 12 mm
496 deeper into the volunteer than it could. The next worst agreements, (88% for Volunteer
497 2 tilted at 19° and Volunteer 3 tilted at 17° and 8°) were due to the same cause, resulting
498 in overestimation of the focal depth by 6 mm.

499 For a single volunteer, the difference between target coverage predicted from
500 registered-referral datasets and that from treatment datasets results from differing femur
501 segments and differing transducer home positions. Since angulation was restricted to

502 tilting left-right only, and the transducer was restricted to prevent translation beyond the
503 inferior-superior extents of the pelvis, differences in femur segmentations were judged
504 to have only a small effect. Refinement of the transducer positioning technique, by
505 sampling within a 15 x 15 mm region around the isocentre line (see Figure 5) instead of
506 using a single skin position to predict the anterior-posterior position, provided no
507 statistically significant improvement (data not presented).

508 *4.4.2. Patient Study*

509 The goal of this study was to develop and test a method for quantitatively assessing
510 tumour coverage from referral imaging, as opposed to the current clinical practice of
511 qualitative assessment, and to assess the feasibility of the new methodology. From the
512 results, quantitative prediction of tumour coverage from referral imaging appears
513 feasible. Despite the simplicity of the technique used to account for the expected body
514 deformation resulting from reorientation from supine into a treatment position, the
515 TVC_{pat} predicted from the registered referral and the treatment imaging datasets had a
516 mean difference of 12% (range: 4-21%), excluding an outlier for whom the difference
517 was 53% (see below). In the literature, a median difference of 21% in automatic
518 segmentation had been judged as acceptable [22]. In the context of the current clinical
519 practice, where $\geq 40\%$ of referred patients fail screening, these results are encouraging
520 [3, 4]. The small cohort involved in this study (5 volunteers, 5 patients) represents
521 lower than expected patient recruitment for the clinical trial. However, other published
522 studies have also involved small patient cohorts, e.g. a transcranial simulation study
523 involved 5 patients [23], a simulation study for kidney ablation examined 4 patients
524 [24], and in various therapeutic feasibility studies, between 10 and 13 patients were
525 considered [25, 26, 27]. In addition, an automatic geometric optimisation technique for

526 the packing of HIFU treatment cells demonstrated its capabilities using test objects and
527 the publicly available dataset of a single volunteer [28]. Results from these small-
528 cohort feasibility studies also demonstrate high variance in results. For example, in the
529 transcranial simulation study, simulation results differed from measured data by up to
530 $40 \pm 13\%$ [23]. The results here indicate a step towards the long-term objective of
531 widespread quantitative analysis of patient suitability for MRgHIFU therapy, with the
532 aim of improving clinical decision-making and minimising the impact on patient and
533 hospital time and resources.

534 The outlier referred to above was patient P4, whose poor results were due to the
535 assumption of perfect acoustic coupling between patient and gel-pad when calculating
536 TVC_{pat} for the registered-referral imaging dataset. In practice, treatment imaging
537 showed that the tumour periphery was obstructed by air between the patient and gel-
538 pad. This highlights a possible advantage of the proposed workflow. Having
539 established that a greater tumour coverage could have been achieved at the referral
540 stage, clinicians may have been able to improve the clinical preparations, and increase
541 tumour coverage.

542 In general, the marginally poorer results for patients compared to volunteers
543 (excluding the outlier patient) may be partially due to volunteer target volumes being
544 over 10 times larger ($\sim 300,000 \pm 100,000 \text{ mm}^3$) than patient targets ($\sim 20,000 \pm 10,000$
545 mm^3). A missed voxel has a larger proportional effect for smaller target volumes.

546 A source of error for the patient cohort may arise from the differences in the
547 actual gel-pad thickness and membrane bowing (Table 2) compared to the mean values
548 determined from the volunteer cohort which were used in the predictive calculations.
549 Membrane bowing differences from the average of 10.0 mm ranged from 0.9 mm to 4.7
550 mm for patients, and from 0.4 mm to 2.2 mm for volunteers. Gel-pad thickness

551 differences from the average of 9.8 mm ranged from 1.1 to 2.5 mm for patients who
552 were treated on 15 mm gel-pads, and from 0.0 to 0.7 mm for volunteers. To evaluate
553 the effect of this, the TVC was recalculated with the actual gel-pad thickness and
554 membrane bowing distance for all patients. The maximum difference in $TVC_{\text{pat Registered-}}$
555 Referral that resulted from using the average membrane bowing and gel-pad thickness,
556 rather than the actual measured values, was 0.3% (patient P1). As more data from
557 clinical studies becomes available, modelling the relationship between membrane
558 bowing distance, or compressed gel-pad thickness, and patient weight and orientation
559 may generate more accurate predictions of the transducer home position from referral
560 imaging.

561 Deformation and translation of organs at risk, due to reorientation from referral
562 to treatment position, clinical preparation such as pre-treatment dieting and bowel-
563 preparation, and the time between referral and treatment (1 week), may explain why the
564 patient results show worse agreement overall than the volunteer results. In clinical
565 experience, organs at risk such as the rectum are known to vary substantially and
566 unpredictably in shape, position and volume [29, 30]. The overall accuracy of the
567 proposed patient workflow is expected to be limited by the patient-specific soft tissue
568 deformation and coupling to the gel-pad. At the very least, the methodology presented
569 here allows quantitative assessment of tumour coverage prior to the screening stage,
570 reducing the need for clinical experience, and the influence of subjective opinion, on
571 patient suitability for progression through the treatment pathway.

572 ***4.5. Limitations of the Study***

573 One of the major limitations is the small volunteer and patient cohort, which restricts
574 the statistical certainty of the results. This study is also limited to predicting pelvic

575 tumour coverage. However, the proposed patient workflow may be adaptable for other
576 tumour sites. Assessment of the tumour volume that can be successfully ablated will
577 require acoustic propagation and thermal bioeffects modelling. This is the subject of
578 extensive ongoing work. Patient deformation resulting from orientation into the
579 treatment position was only accounted for using the simple assumption that the
580 isocentre-to-skin point distance would remain constant. This produced acceptable
581 results for tumour coverage. However, accurate acousto-thermal modelling requires an
582 accurate description of the medium of propagation, which may require simulation of
583 soft tissues deformation between the gel-pad and the target.

584 Only reorientations from supine to oblique supine decubitus positions were
585 tested in this study. While the results of this study are only applicable to the specific
586 diagnostic MR bed and MRgHIFU couch used, the core principles are expected to be
587 applicable to other HIFU devices, and referral datasets obtained from X-ray
588 tomographic imaging. Furthermore, since the patient mean age was almost twice that of
589 the volunteers, patient soft tissue could have different elastic properties than that of
590 volunteers and therefore exhibit different deformation behaviour. This could have
591 affected the developed methodology.

592 **5. Conclusion**

593 Novel methodology for predicting the MRgHIFU target coverage from supine (MR)
594 referral imaging was developed using 10 volunteer datasets and was retrospectively
595 applied to 5 patient datasets. The difference between the target coverage computed
596 using referral and treatment image datasets was within 12% on average (range: 4-21%),
597 after one patient, with inadequate acoustic coupling during treatment, was excluded
598 from analysis. Despite the relatively small cohort size, the focus on pelvic tumours, and

599 the limited range of patient positions and MRgHIFU equipment on which the
600 methodology was devised and tested, these results suggest quantitative, automated
601 screening and treatment planning should be feasible, eventually obviating the need for
602 patient suitability to be assessed using qualitative clinical judgement based on operator
603 experience.

604

605 **Geolocation Information**

606 The study was conducted at the Institute of Cancer Research and the Royal Marsden Hospital,
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627 Data Availability Statement

628 The data that support the findings of this study are not available due to limitations in the ethical
629 review.

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737

738 **Appendices**

739 *Appendix 1: Registration Standard Operating Procedure*

- 740 1. Open Horos™ on Mac OS X. Make sure the pyOsiriX plugin is installed.
- 741 2. Import the in-phase MRI datasets that are to be registered. Double click
742 them to bring them up together.
- 743 3. Select a dataset. Then, at the top menu 2D Viewer → Sort By... → Slice
744 Location Ascending.
- 745 4. Below the menu bar, in a section titled “Mouse button function”, select the
746 point function. Use the point function to mark an anatomical feature on one
747 dataset and the same anatomical feature on the other. The same point names,
748 e.g. “Point 1”, must correspond to the same anatomical features in both
749 datasets. Repeat this for the list of anatomical features mentioned below. If
750 the same anatomical feature cannot be found in one or both of the datasets,
751 ignore that anatomical feature and continue down the list. At least 10

752 features should be marked by the end.

753 Anatomical Features:

754 a. Femur/pelvis landmark marks where the two bones meet in the head-
755 most direction (Right and Left)

756 b. ischial spine (Right and Left)

757 c. Superior-most or inferior-most of ischial tuberosity (Right and Left)

758 d. Pubic arch/top of pubic arch connection

759 e. Anterior-facing spur in axial plane where pelvis first encloses femur
760 head (Right and Left)

761 f. Sacral nerve bundle (S1 and S2) when just-enclosed by bone (Right
762 and Left)

763 g. Spinal nerves splitting from spinal cord (Right and Left)

764 h. Sacrum/L5 disc border

765 i. Coccyx

766 5. Open the pyOsiriX console within Horos. A Python script can be used to
767 extract point data from a dataset in Horos and save it in a format that can be
768 processed in an external Python environment. Do this for both datasets.

769

770

Table 1: Details of volunteers participating in this study						
Volunteer	1	2	3	4	5	Mean ± Standard Deviation
Age (years)	28	44	29	27	36	33 ± 6
Body Mass Index (kg/m ²)	20.2	26.4	23.5	23.8	20.9	23 ± 2
Height (cm)	165	165	170	160	168	166 ± 3
Weight (kg)	55	72	68	61	59	63 ± 6
Pelvic tilt from supine (°)						
Steep	23,	19,	17,	24,	29,	22 ± 4,
Shallow	17	12	8	13	16	13 ± 3
Gel-pad Thickness (mm)						
Steep	10.2,	N/A,	9.8,	9.7,	N/A,	9.8 ± 0.3
Shallow	9.8	N/A	9.8	9.3	10.0	
Membrane Bowing (mm)						
	10.4,	N/A,	8.6,	10.9,	N/A,	10.0 ± 1.3

Steep,	11.7	N/A	9.4	10.9	7.8	
Shallow						

771

772

Table 2: Details of patients participating in this study						
Patient	P1	P2	P3	P4	P5	Mean ± Standard Deviation
Age (years)	64	53	72	74	59	64 ± 8
Weight (kg)	42	76	57	61	61	59 ± 11
Treatment Angle (°)	6	33	16	9	24	18 ± 10
Gel Pad Thickness (mm, mean ± SD) (Nominal)	5.3±0.5 (40)	10.9±0.6 (15)	8.6±0.4 (15)	12.3±0.4 (15)	8.0±0.4 (15)	10 ± 2 (15)
Membrane Bowling (mm, mean ± SD)	4.1±0.2	10.0±0.5	9.0±0.5	5.0±0.2	10.0±0.1	7.6 ± 2.8

773

774

775 Figure captions

776 **Figure 1. Schematic of proposed patient workflow.** Workflow designed to assess the
777 potentially MRgHIFU-treatable percentage of a patient's target tumour. Using a supine
778 referral image dataset, step 1 involves segmentation of important structures: organs at
779 risk, acoustic obstructions, and the target tumour. Step 2 rotates the referral imaging
780 dataset into possible treatment positions, with the tumour centroid lying, by idealised
781 design, along a vertical line through the magnetic isocentre and, by system design, the
782 transducer's home position. In step 3, target coverage (i.e. percentage of target volume
783 coverable by an 8 mm treatment cell) is calculated. Cycling through steps 2 & 3
784 identifies the patient orientation with the maximum target volume coverage. In step 4,
785 the treatable percentage of the target volume is quantified, using acoustic and thermal
786 modelling of MRgHIFU treatment. This allows a clinical decision of whether to
787 progress to treatment to be made.

788

789 **Figure 2. Schematic of developmental methodology used in this study.** The
790 accuracy of the methodology to calculate target coverage from referral imaging was
791 assessed using this workflow. The target volume coverage by MRgHIFU was
792 calculated from a subject's treatment image dataset, acquired with the subject placed in
793 a plausible or actual treatment position (bottom row) for volunteers or patients,
794 respectively. Comparison with the target volume coverage predicted from a supine
795 referral image dataset allowed assessment of the methodology. Step 1: the referral
796 imaging dataset is rotated into the same orientation as the treatment imaging dataset
797 using affine registration both to allow comparison with the treatment imaging dataset.
798 Step 2: segmentation of acoustic obstructions (e.g. bones, shown), organs at risk
799 (patients only) and the target tumours (patients only) was performed to identify tissues
800 that impede target coverage. Step 3: Target volume coverage was calculated for the
801 registered-referral imaging dataset and the treatment imaging dataset, and finally, the
802 two quantities were compared to assess the predictive capacity of the methodology.

803

804 **Figure 3. Schematic of the Sonalleve® V2 MRgHIFU system:** LEFT - a subject
805 lying on the MR bed will compress the acoustic-coupling gel-pad and bow the acoustic
806 membrane, which seals the oil bath. Ideally, target tissue would be centred directly
807 above the transducer's home position and the centre of the membrane/gel pad and below
808 the magnetic isocentre. RIGHT- a coronal view of the MRgHIFU couch showing the
809 transducer's home position below the centre of the membrane.

810

811 **Figure 4. Transducer translation restrictions for volunteer data.** Practical
812 restrictions applied to the transducer's translation capabilities (solid red lines) for
813 volunteer datasets only. (a) For a treatment imaging dataset, the left-right translation
814 was limited by the extent of acoustic coupling between the volunteer's skin and the gel
815 pad. The corresponding registered-referral imaging dataset shared these left-right
816 restrictions. (b) For a registered-referral imaging dataset, the transducer's inferior-
817 superior translation was restricted by the extent of pelvic bone and the requirement for a
818 full body outline within the image. The corresponding treatment imaging dataset shared
819 these inferior-superior restrictions.

820

821 **Figure 5. Method used to predict transducer's anterior-posterior home position in**
822 **a registered-referral imaging dataset.** The treatment dataset magnetic isocentre is
823 known because the registered-referral imaging dataset had been registered to the
824 treatment imaging dataset. A line was drawn downwards from the treatment dataset
825 isocentre and intersected the skin at the skin point. From this skin point, the home
826 position was calculated using the average compressed gel-pad thickness, the average
827 membrane bowing distance, and the calibrated distance between undeformed membrane
828 and home position of 67.5 mm (see Figure 3).

829

830 **Figure 6. Method for quantifying target volume covered within a dataset**
831 **(volunteer treatment imaging dataset in this example).** A regular 3D grid of
832 potentially accessible points was created (blue crosses) within the target: soft tissue
833 (volunteers) or tumour (patients). For each transducer position and tilt identified in

834 Figure 4, the acoustic beam was checked for intersection with any acoustic obstructions
835 (green contours) or organs at risk. If no obstruction exists, an 8 mm treatment cell was
836 created around the focus (yellow ellipse). Grid points within a treatment cell were
837 marked as 'accessible' (red crosses).

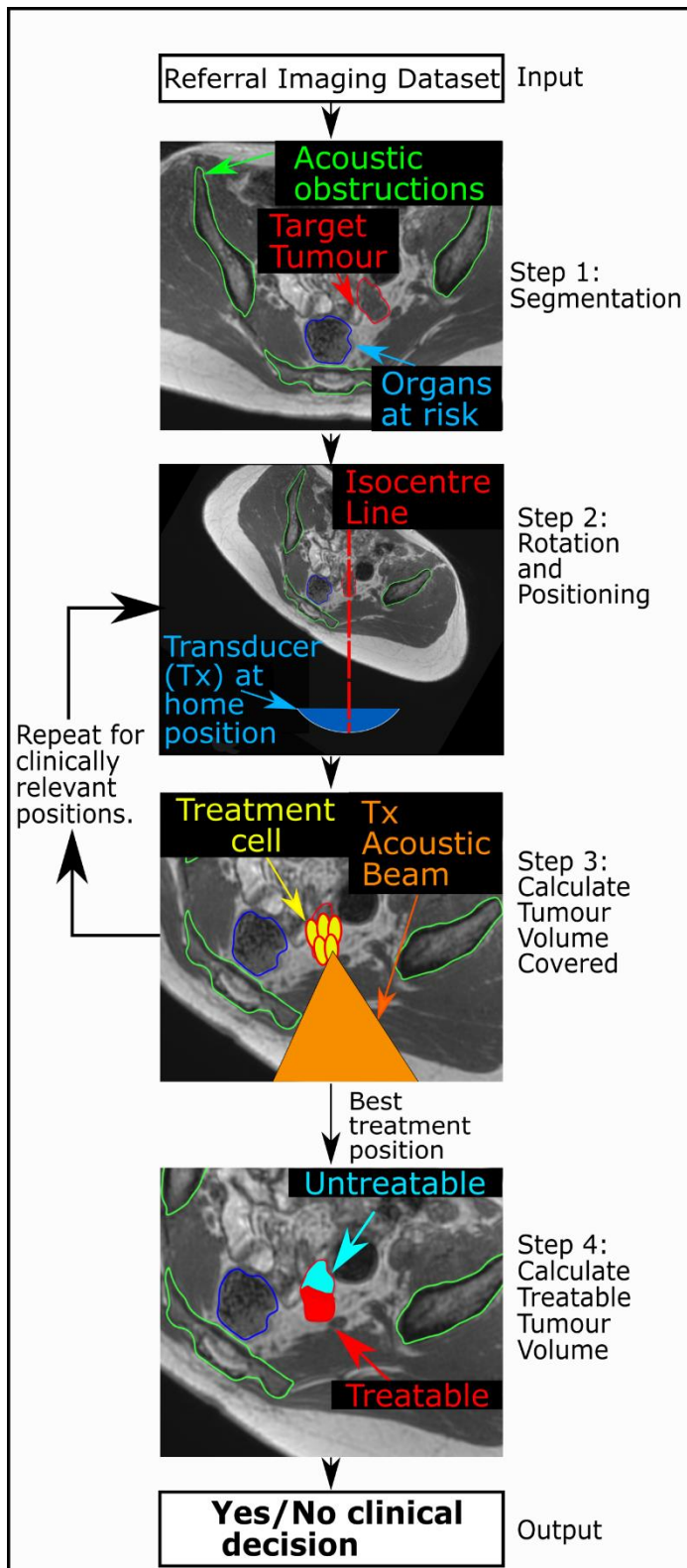
838

839 **Figure 7. Percentage of target volume covered.** (a) For volunteers, the agreement
840 between the referral and treatment covered volumes is shown, where the treatment
841 covered volume is the ground-truth. (b) For patients, the percentage of the registered-
842 referral tumour (red) and the treatment tumour (blue) that was covered is shown. The
843 numbers on top of each set of bars represent the difference in % Tumour Volume
844 Covered predicted from the registered-referral dataset, and that calculated from
845 treatment dataset. Representative examples of target coverage for volunteers (c) and
846 tumour coverage for patients (d) are shown, with a scale bar in (d). The anatomy is
847 shaded purple in the registered-referral dataset, and green in the treatment dataset.

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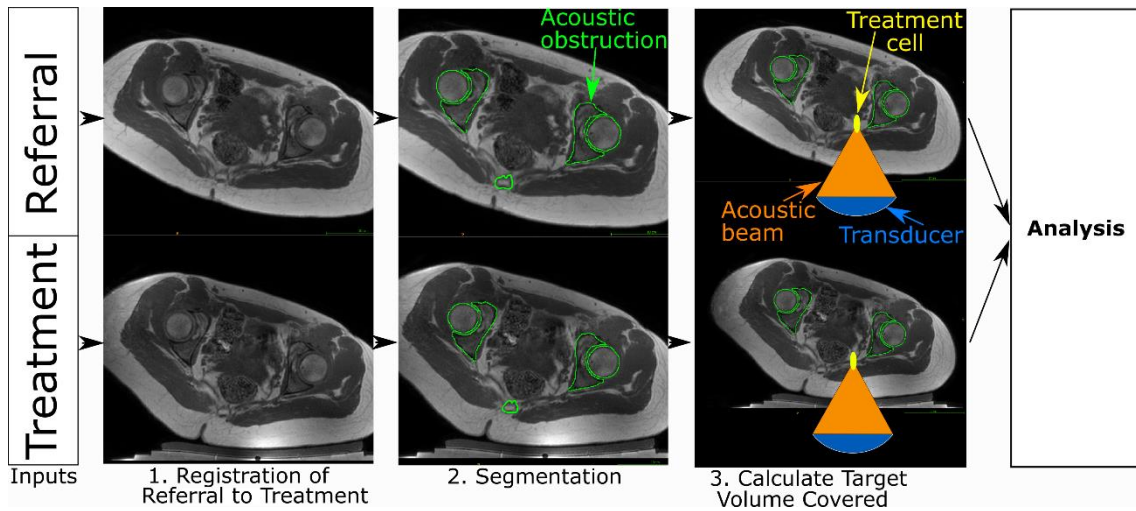
850 Figure 1



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853 Figure 2

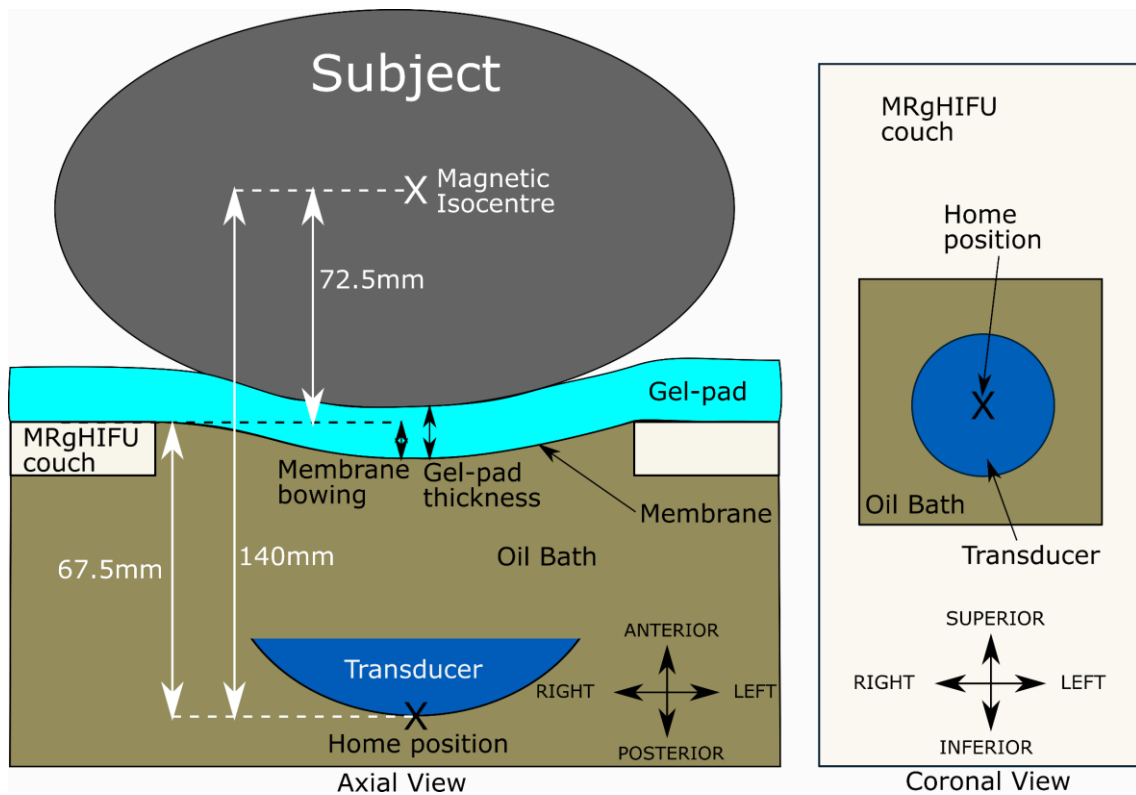


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857 Figure 3

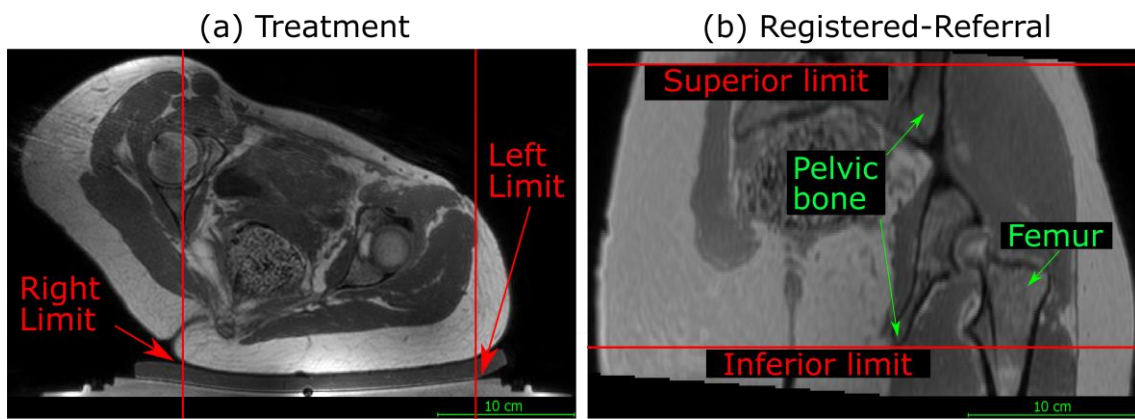


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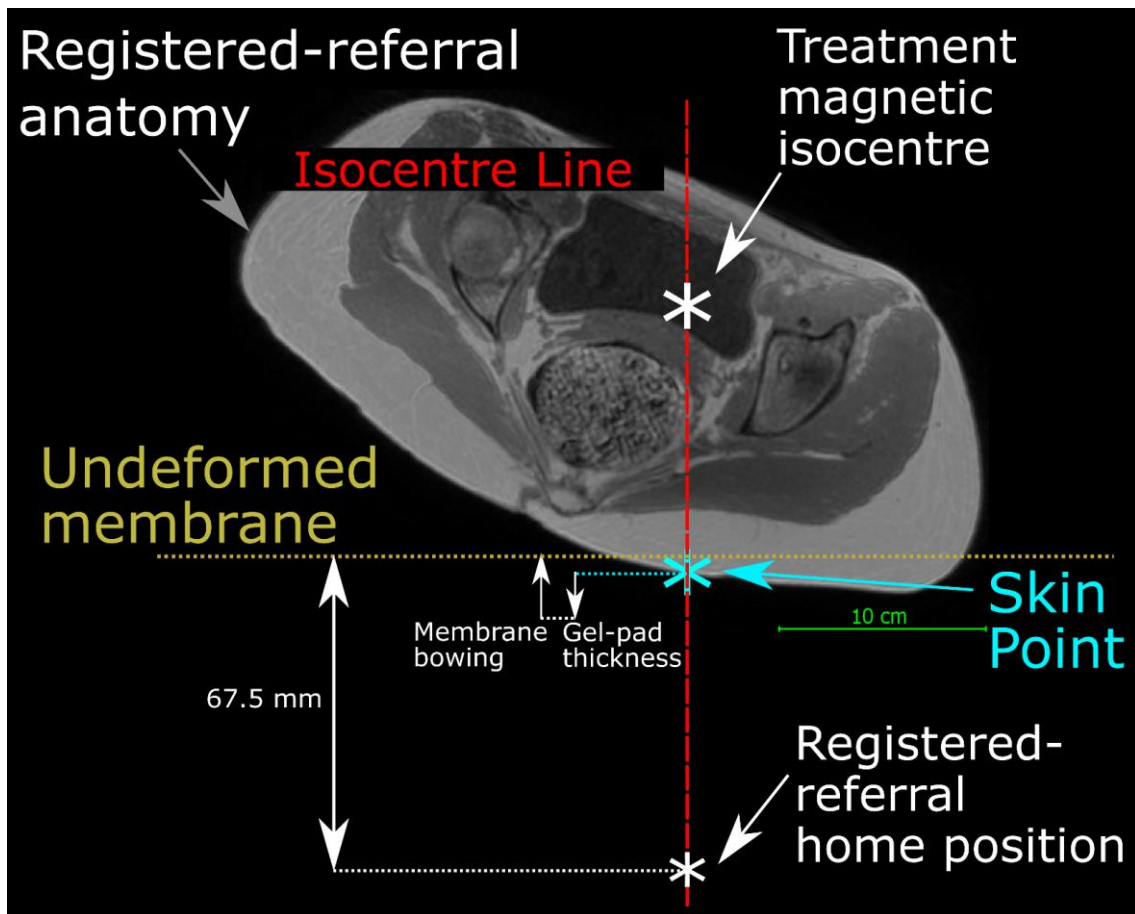
861 Figure 4



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864 Figure 5



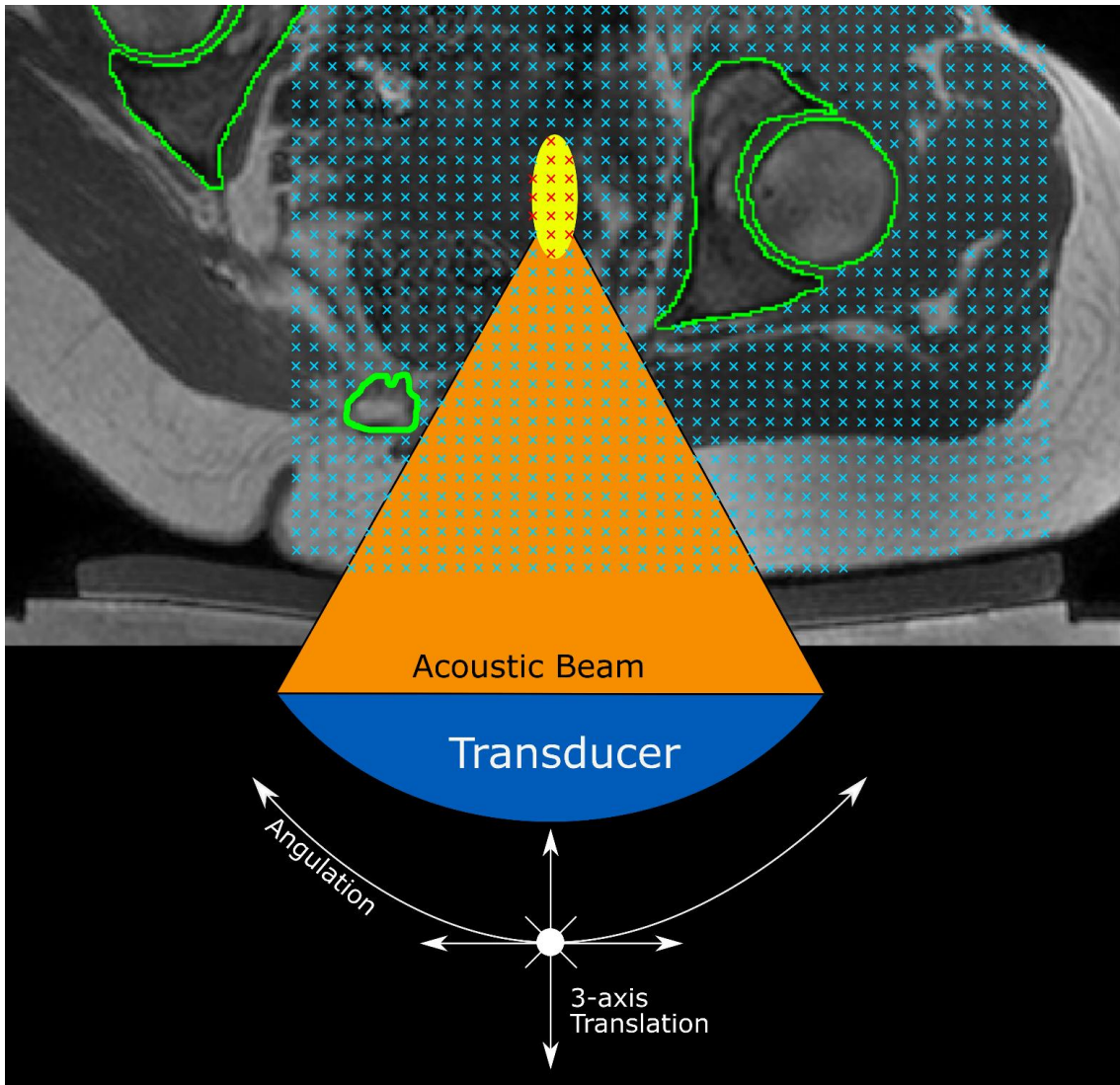
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869 Figure 6



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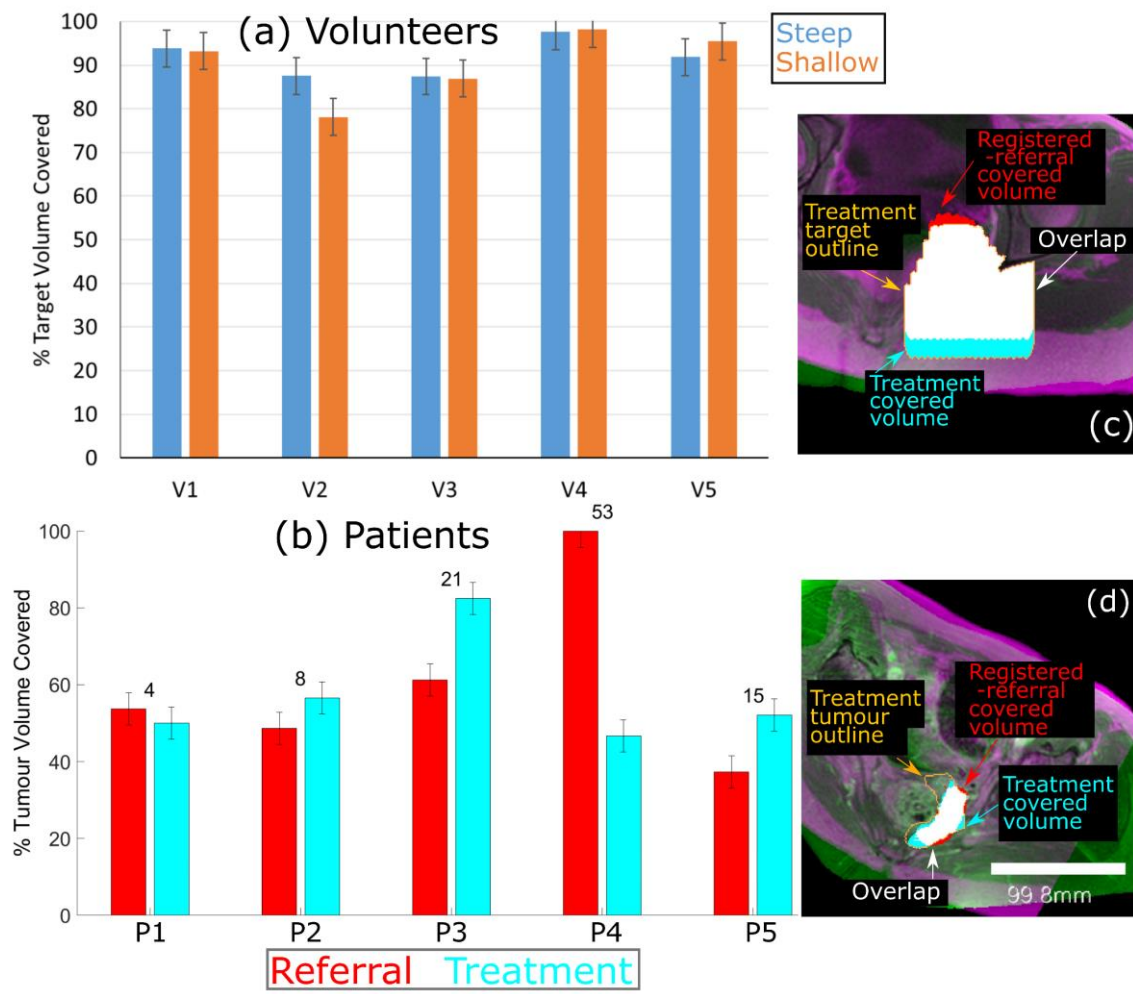
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880 Figure 7



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