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2 **Platinum Priority – Review – Prostate Cancer**
 3 *Editorial by XXX on pp. x-y of this issue*

5 **Local Failure Events in Prostate Cancer Treated with Radiotherapy: A**
 6 **Pooled Analysis of 18 Randomized Trials from the Meta-analysis of**
 7 **Randomized Trials in Cancer of the Prostate Consortium**
 8 **(LEVIATHAN)**

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Abstract

Context: The prognostic importance of local failure after definitive radiotherapy (RT) in National Comprehensive Cancer Network intermediate- and high-risk prostate cancer (PCa) patients remains unclear.

Objective: To evaluate the prognostic impact of local failure and the kinetics of distant metastasis following RT.

Evidence acquisition: A pooled analysis was performed on individual patient data of 12 533 PCa (6288 high-risk and 6245 intermediate-risk) patients enrolled in 18 randomized trials (conducted between 1985 and 2015) within the Meta-analysis of Randomized Trials in Cancer of the Prostate Consortium. Multivariable Cox proportional hazard (PH) models were developed to evaluate the relationship between overall survival (OS), PCa-specific survival (PCSS), distant metastasis-free survival (DMFS), and local failure as a time-dependent covariate. Markov PH models were developed to evaluate the impact of specific transition states.

Evidence synthesis: The median follow-up was 11 yr. There were 795 (13%) local failure events and 1288 (21%) distant metastases for high-risk patients and 449 (7.2%) and 451 (7.2%) for intermediate-risk patients, respectively. For both groups, 81% of distant metastases developed from a clinically relapse-free state (cRF state). Local failure was significantly associated with OS (hazard ratio [HR] 1.17, 95% confidence interval [CI] 1.06–1.30), PCSS (HR 2.02, 95% CI 1.75–2.33), and DMFS (HR 1.94, 95% CI 1.75–2.15, $p < 0.01$ for all) in high-risk patients. Local failure was also significantly associated with DMFS (HR 1.57, 95% CI 1.36–1.81) but not with OS in intermediate-risk patients. Patients without local failure had a significantly lower HR of transitioning to a PCa-specific death state than those who had local failure (HR 0.32, 95% CI 0.21–0.50, $p < 0.001$). At later time points, more distant metastases emerged after a local failure event for both groups.

Conclusions: Local failure is an independent prognosticator of OS, PCSS, and DMFS in high-risk and of DMFS in intermediate-risk PCa. Distant metastasis predominantly developed from the cRF state, underscoring the importance of addressing occult microscopic disease. However a “second wave” of distant metastases occurs subsequent to local failure events, and optimization of local control may reduce the risk of distant metastasis.

Patient summary: Among men receiving definitive radiation therapy for high- and intermediate-risk prostate cancer, about 10% experience local recurrence, and they are at significantly increased risks of further disease progression. About 80% of patients who develop distant metastasis do not have a detectable local recurrence preceding it.

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1. Introduction

Distant metastasis-free survival (DMFS) has been demonstrated to be a strong surrogate endpoint for overall survival (OS) for localized prostate cancer (PCa) [1,2]. Recent evidence derived from prostate-specific membrane antigen (PSMA) positron emission tomography/computed tomography (PET/CT) suggests that occult distant metastases at presentation may be the true driver of PCa natural history, especially for patients with National Comprehensive Cancer Network (NCCN) high-risk disease [3,4]. This is especially relevant for assessing the prognostic impact of local failure and the clinical importance of local treatment intensification strategies such as radiotherapy (RT) dose escalation. At the core of dose escalation is the hypothesis that local failure eventually “seeds” distant metastases, leading to a “second wave” of distant metastases (the first wave being undiagnosed occult metastatic disease at presentation) [5,6]. However, data in this domain are not entirely consistent. Retrospective studies as well as post hoc analyses of

randomized trials have shown that increased local control is associated with increased DMFS as well as PCa-specific survival (PCSS) [5,7–11]. However, only two randomized controlled trials (RCTs) among many have suggested a distant metastasis benefit from dose escalation and none identified a PCSS or OS benefit [12,13]. In contrast, while androgen deprivation therapy (ADT) may have radiosensitizing effects that improve local control, it also has cytostatic and cytotoxic effects on occult microscopic disease and has been shown in multiple randomized trials to improve not only DMFS, but PCSS and OS as well [14–19]. As each form of treatment intensification has quality of life implications, it is critical to develop a unified framework that takes into account the temporal relationship of local failure and distant metastasis (ie, first and second “waves” of distant metastasis), and how different treatment strategies (ie, dose escalation and ADT) impact the development of distant metastasis and other clinical outcomes. It is hypothesized that a first wave of distant metastasis stems from the emergence of occult micrometastatic disease that

129 was present at the time of initial treatment, which may be
 130 followed by a subsequent second wave of distant metastasis
 131 representing “seeding” from a preceding local failure event.
 132 The magnitude of the first wave distant metastasis may be
 133 smaller in intermediate-risk patients than in high-risk
 134 patients given a lower burden of occult metastasis at initial
 135 treatment. In this study, we leveraged the Meta-analysis of
 136 Randomized Trials in Cancer of the Prostate (MARCAP) Con-
 137 sortium to analyze individual patient data from 18 RCTs of
 138 definitive RT of varying RT dose levels and ADT durations
 139 that included local failure as a prespecified endpoint to
 140 explore the prognostic impact of local failure events and
 141 the kinetics of distant metastasis after RT in intermediate-
 142 and high-risk PCa.

143 2. Evidence acquisition

144 The current study followed the Preferred Reporting Items
 145 for Systematic Reviews and Meta-analyses (PRISMA) state-
 146 ment regarding the process of identifying eligible trials to
 147 be included in the pooled analysis (Fig. 1) [20]. Individual

148 patient data for 18 RCTs were obtained from the MARCAP
 149 Consortium. Although a minority of the trials permitted
 150 node-positive patients, all patients included in this analysis
 151 had clinically node-negative disease. For trials that included
 152 ADT, only those with short-term ADT (STADT) and long-
 153 term ADT (LTADT) were included. STADT was defined as
 154 3–9 mo of ADT and LTADT was defined as 18–36 mo. Trials
 155 with nonstandard ADT duration (eg, life-long ADT) and non-
 156 standard ADT agents (eg, bicalutamide monotherapy) were
 157 excluded (Fig. 1). Intention-to-treat data were used. Trials
 158 included in the analysis are listed in Table 1, and trial-
 159 specific definitions of local failure and distant metastasis
 160 are listed in Supplementary Table 1. All time-to-event out-
 161 come variables were measured from the date of randomiza-
 162 tion to the reported occurrence of the event of interest. If a
 163 specific event was not reported during the follow-up period,
 164 the patient was considered censored for that particular
 165 event. The reverse Kaplan-Meier (KM) method was used
 166 to assess the length and completeness of the follow-up.
 167 Multivariable Cox proportional hazard (PH) models were
 168 developed to evaluate the relationship between OS, PCSS,
 169 DMFS, and local failure (as a time-dependent covariate),
 170

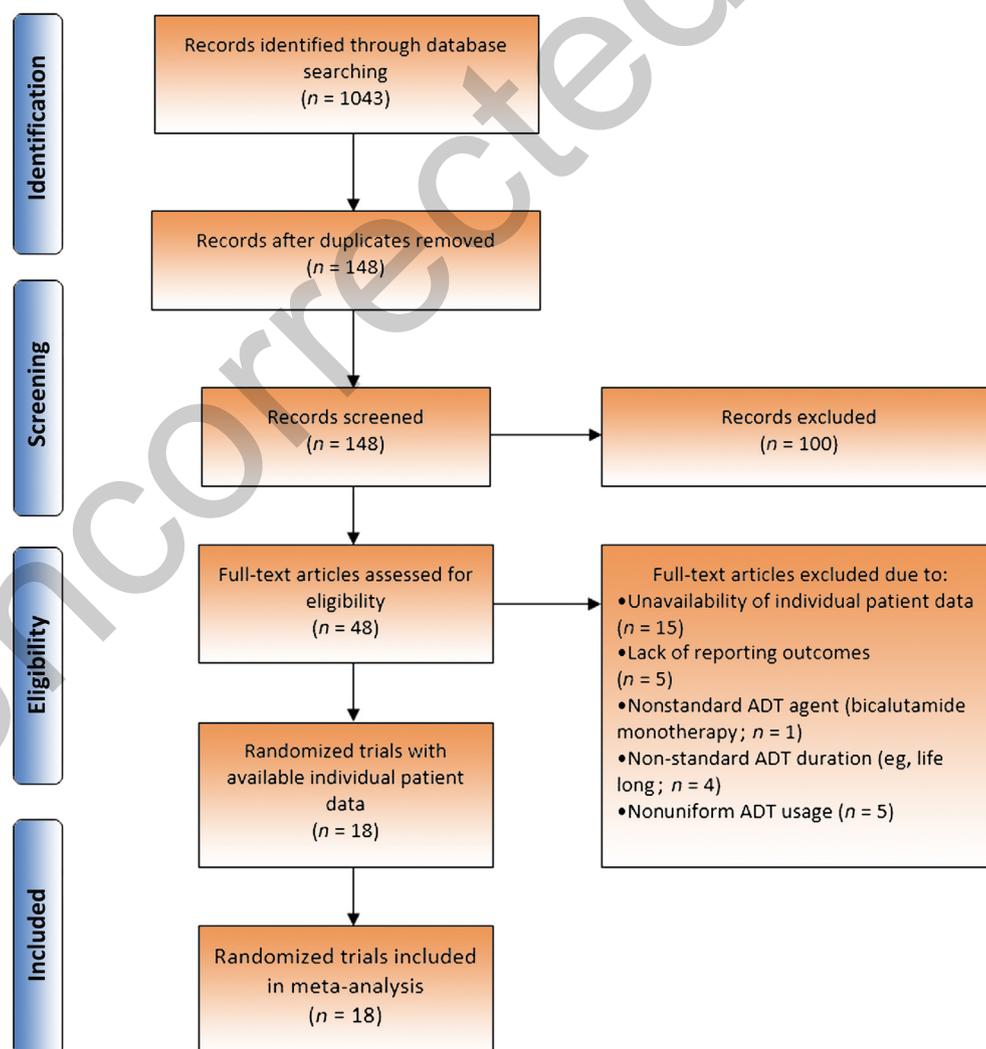


Fig. 1 – Preferred Reporting Items for Systematic Reviews and Meta-analyses flowchart. ADT = androgen deprivation therapy.

Table 1 – Summary of trials included in study (by treatment categories)

Trial name	Trial recruitment year	Radiation dose (Gy)	ADT duration (mo)	Median age (yr)	No. of intermediate-risk patients	No. of high-risk patients	Median follow-up (yr)
<i>Low-dose RT alone</i>							
RTOG 8610	1987–1991	65–70	NA	72	2	50	6.7
EORTC 22863	1987–1995	70	NA	69	1	87	5.0
RTOG 9408	1994–2001	66.6	NA	72	435	91	9.4
TROG 96.01	1996–2000	66	NA	68	68	148	10
CKTO 9610	1997–2003	68	NA	69	84	111	8.5
EORTC 22991	2001–2008	70	NA	68	46	33	12
RTOG 0126	2002–2008	70.2	NA	71	751	0	8.4
Subtotal					1387	520	
<i>High-dose RT alone</i>							
CKTO 9610	1997–2003	78	NA	69	84	105	8.4
PCS III	2000–2010	76	NA	72	192	0	11
EORTC 22991	2001–2008	74 or 78	NA	71	166	62	11
RTOG 0126	2002–2008	79.2	NA	71	748	0	8.2
Subtotal					1190	167	
<i>Low-dose RT + short-term ADT</i>							
RTOG 8610	1987–1991	65–70	4	70	3	48	8.8
RTOG 9202	1992–1995	65–70	4	70	42	456	8.9
RTOG 9408	1994–2001	66.6	4	71	420	94	10
RTOG 9413	1995–1999	70.2	4	70	208	993	8.9
TROG 96.01	1996–2000	66	6	69	148	284	11
ICORG 97-01	1997–2001	70	4 or 8	67	42	135	10
EORTC 22961	1997–2001	70	6	70	30	307	5.9
CKTO 9610	1997–2003	68	6	69	1	30	6.8
MRC RT01	1998–2001	64	3–6	68	141	147	9.2
RTOG 9910	2000–2004	70.2	4 or 9	71	1057	353	8.7
PCS III	2000–2010	70	6	71	193	0	11
EORTC 22991	2001–2008	70	6	70	44	35	11
TROG RADAR	2003–2007	66 or 70	6	70	124	154	11
Subtotal					2453	3036	
<i>Low-dose RT + long-term ADT</i>							
EORTC 22863	1987–1995	70	36	71	2	86	7.5
RTOG 9202	1992–1995	65–70	24	70	50	487	9.6
EORTC 22961	1997–2001	70	36	69	33	297	6.1
CKTO 9610	1997–2003	68	36	66	5	28	8.0
RTOG 9902	2000–2004	70.2	24	65	0	239	10
PCS IV	2000–2008	70	18 or 36	71	0	617	11
TROG RADAR	2003–2007	66 or 70	18	69	111	158	11
Subtotal					201	1912	
<i>High-dose RT + short-term ADT</i>							
CKTO 9610	1997–2003	78	6	68	5	20	5.1
MRC RT01	1998–2001	74	3–6	67	129	157	9.2
PCS III	2000–2010	76	6	71	195	0	11
Ottawa 0101	2002–2012	76	6	70	394	0	10
TROG RADAR	2003–2007	74 or 46 Gy23 fx plus HDR-BT boost	6	68	60	186	10
EORTC 22991	2001–2008	74 or 78	6	72	175	56	11
Subtotal					958	419	
<i>High-dose RT + long-term ADT</i>							
CKTO 9610	1997–2003	78	36	67	3	36	8.3
TROG RADAR	2003–2007	74 or 46 Gy23 fx plus HDR-BT boost	18	68	53	198	10
Subtotal					56	234	
Total					6245	6288	

ADT = androgen deprivation therapy; fx = fraction; HDR-BT = high-dose-rate brachytherapy; RT = radiation therapy.

170 while adjusting for the following variables: initial prostate-
 171 specific antigen (iPSA; continuous variable), Gleason score
 172 (GS; 6, 7, and 8–10; GS 6 as reference), treatment category
 173 (low-dose RT only, low-dose RT + STADT, low-dose RT
 174 + LTADT, high-dose RT only, high-dose RT + STADT, and
 175 high-dose RT + LTADT; low-dose RT as reference [Cox PH
 176 model], or RT, STADT, and LTADT; RT as reference [Markov
 177 model]), T stage (T1–2 and T3–4; T1–2 as reference), age
 178 (continuous variable; per 10 yr), and time from midpoint
 179 year of the trial (continuous variable). These variables were
 180 chosen because of availability and prior data suggesting
 181 that these were of prognostic importance. RT doses of ≥ 74
 182 Gy were considered “high dose” (presuming an α/β of 3.0).
 183 Patients without clinically diagnosed extracapsular

184 extension or seminal vesicle invasion were classified as hav-
 185 ing T1-T2 disease.

186 Fine and Gray competing risk regression was performed
 187 for PCa-specific mortality (PCSM) and distant metastasis
 188 with all-cause mortality death as the competing event; in
 189 these analyses, local failure was a time-independent covari-
 190 ate. The hazard function for the development of distant
 191 metastasis over time was estimated via kernel-based meth-
 192 ods in subgroups of patients based on local failure status
 193 and ADT duration, to provide an overview as an exploratory
 194 analysis. Furthermore, within each treatment category, haz-
 195 ard rates for distant metastasis over 2-yr intervals were cal-
 196 culated using the life-table method for patients with and
 197 without local failure as a time-independent covariate. The

198 hypothesis of first and second waves of distant metastasis
 199 was evaluated based on the hazard rate of distant metastasis
 200 as well as the event rate of different transition states to
 201 distant metastasis over time in local failure and local control
 202 patients.

203 We developed a four-state model to simultaneously analyze
 204 multiple events occurring during the natural history of
 205 PCa (Fig. 2). The model consists of a clinical relapse-free
 206 survival state (cRF state, which may or may not include bio-
 207 chemical recurrence), a local failure state, a distant
 208 metastasis state, and a death state. Patients who did not
 209 have a PCSM event were censored for PCSS. Markov PH
 210 models for the four-state model were developed to assess
 211 the effects of the aforementioned covariates on PCSS and
 212 OS along with the effect of a transition from the cRF state
 213 versus local failure state to the death state. This model
 214 was not stratified by NCCN risk groups. The potential
 215 heterogeneity between trials was accounted for by includ-
 216 ing random effects in Cox PH and Markov PH models. The
 217 PH assumption was examined via the diagnostic plot

method. The chi-square test of independence (or Fisher's
 exact test when applicable) was used to assess the associa-
 tion of the rate of transition between disease states with
 certain treatment subgroups. The Mann-Whitney *U* test
 was used to compare the median time to a specific transi-
 tion state between patients of different risk levels or treat-
 ment categories. The level of significance was set to be 0.05.
 All analyses were carried out via R version 3.6.0/4.1.2 (R
 Foundation for Statistical Computing, Vienna, Austria) [21]
 with packages *survival* [22,23], *muhaaz* [24], *KMsurv* [25],
crrSC [26], *cmprsk* [27], *coxme* [28], *mstate* [29,30], *dplyr*
 [31] and *ggplot2* [32], *devtools* [33], *ggforestplot* [34], and
gridExtra [35].

3. Evidence synthesis

3.1. Results

A total of 12 533 patients (6288 high risk and 6245 interme-
 diate risk) were included in the analysis from 18

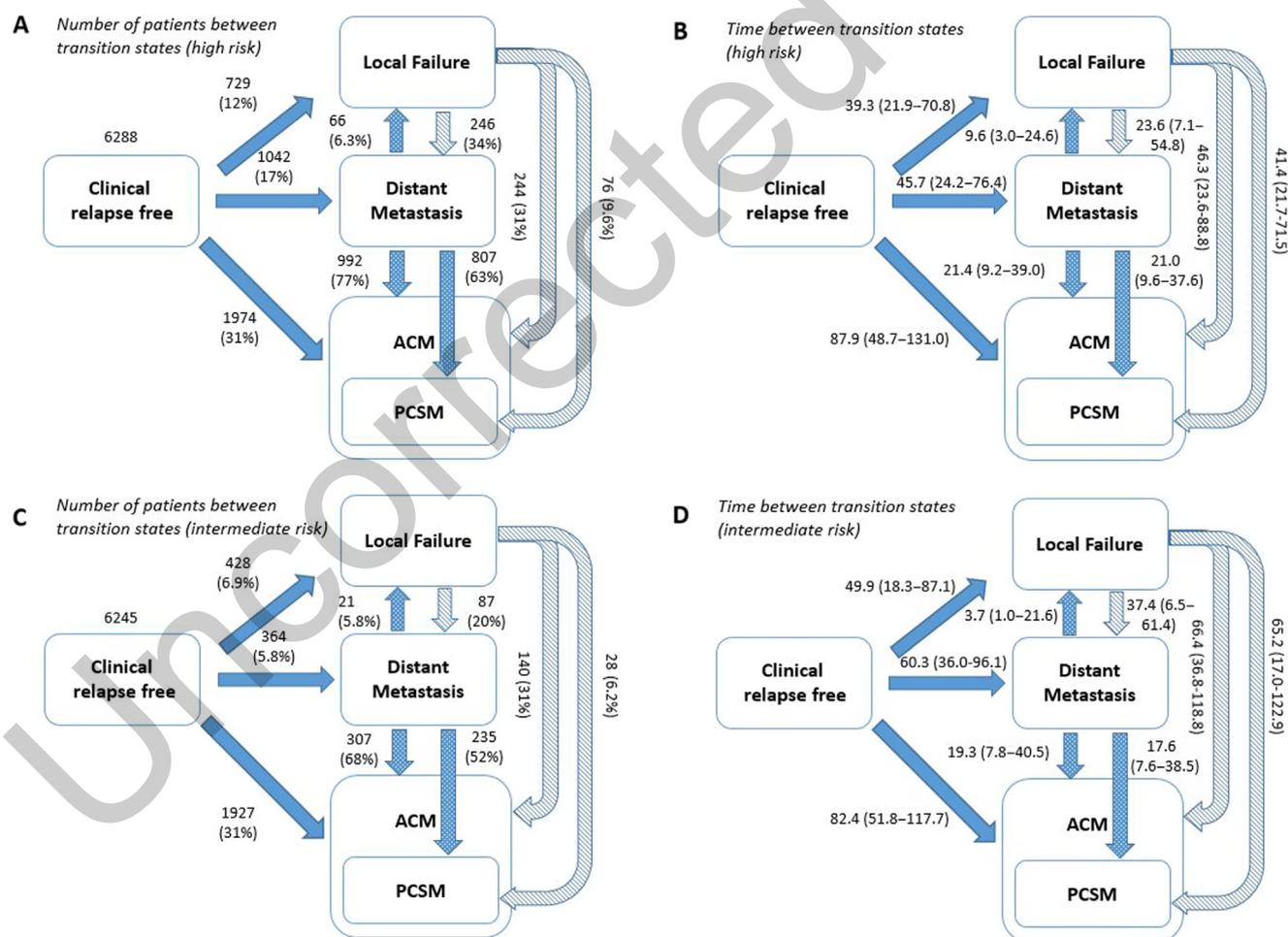


Fig. 2 – Crude rates of events and transition time between disease states in the four-state model. The four states are clinical relapse-free state, local failure state, distant metastasis state, and death state (all-cause mortality and prostate cancer-specific mortality). (A and B) NCCN high-risk patients, and (C and D) NCCN intermediate-risk patients. Figures 2A and 2C) show the number of patients in each transition state, with percentage in parenthesis. Percentage was calculated with the number of patients in the beginning state as the denominator (eg, for distant metastasis to PCSM transition, the denominator was the number of patients with distant metastasis [ie, 1288 for NCCN high risk]). Arrows with the same fill patterns (solid, dotted, or hashed) share the same denominator. Figures 2B and 2D show the median transition time between disease states in months with interquartile range in parenthesis; overall cohort of patients are same as in Figures 2A and 2C). Each transition time in Figures 2B and 2D was calculated based on different subcohorts of patients. ACM = all-cause mortality; NCCN = National Comprehensive Cancer Network; PCSM prostate cancer-specific mortality.

randomized trials, recruited from 1987 to 2012 (Supplementary Table 1). The median follow-up was 11 yr overall, 12 yr for high-risk patients, and 11 yr for intermediate-risk patients, using the reverse KM method. The numbers of events of local failure, distant metastasis, PCSM, and all-cause mortality were 795, 1288, 1034, and 3210, respectively, for patients with high-risk PCa; these numbers were 449, 451, 353, and 2374, respectively, for patients with intermediate-risk PCa.

We first evaluated the crude rates of events and transit time between states in the four-state model (Fig. 2). For high-risk patients, 39% of distant metastasis events occurred within 2 yr after RT; 81% (n = 1042) of distant metastases developed from a cRF state, with a median interval of 46 (interquartile range [IQR] 24–76) mo. In contrast, 19% (n = 246) of distant metastases developed after local failure, with a median interval of 24 (IQR 7–55) mo after local failure. With respect to local failure, 92% (n = 729) of events occurred from a cRF state with a corresponding median interval of 39 (IQR 22–71) mo after initial treatment. Among patients who developed distant metastasis, 63% (n = 807) died of PCa. The median interval from distant metastasis to death was 21 (IQR 10–38) mo. For

intermediate-risk patients, 13% of distant metastasis events occurred within 2 yr after RT; 81% (n = 364) of distant metastases developed from a cRF state, with a median interval of 60 (IQR 36–96) mo. In contrast, 19% (n = 87) of distant metastases developed after local failure, with a median interval of 37 (IQR 7–61) mo after local failure. Regarding local failure, 95% (n = 428) of events occurred from a cRF state with a corresponding median interval of 50 (IQR 18–87) mo after initial treatment. For patients who developed distant metastasis, 52% (n = 235) died of PCa. The median interval from distant metastasis to death was 18 (IQR 8–39) mo. Rates and transit times between four states within each treatment group are shown in Supplementary Figs. 1 and 2.

Next, we assessed the impact of local failure on the development of distant metastasis and other clinical endpoints. In high-risk patients, local failure, as a time-dependent variable, was significantly associated with a greater hazard of distant metastasis or death (as a composite endpoint, hazard ratio [HR] of 1.94 [95% confidence interval {CI} 1.75–2.15], p < 0.001; Fig. 3A) in the Cox PH model adjusted for iPSA, GS, treatment categories, T stage, age, and time from midpoint year of the trial. Local failure

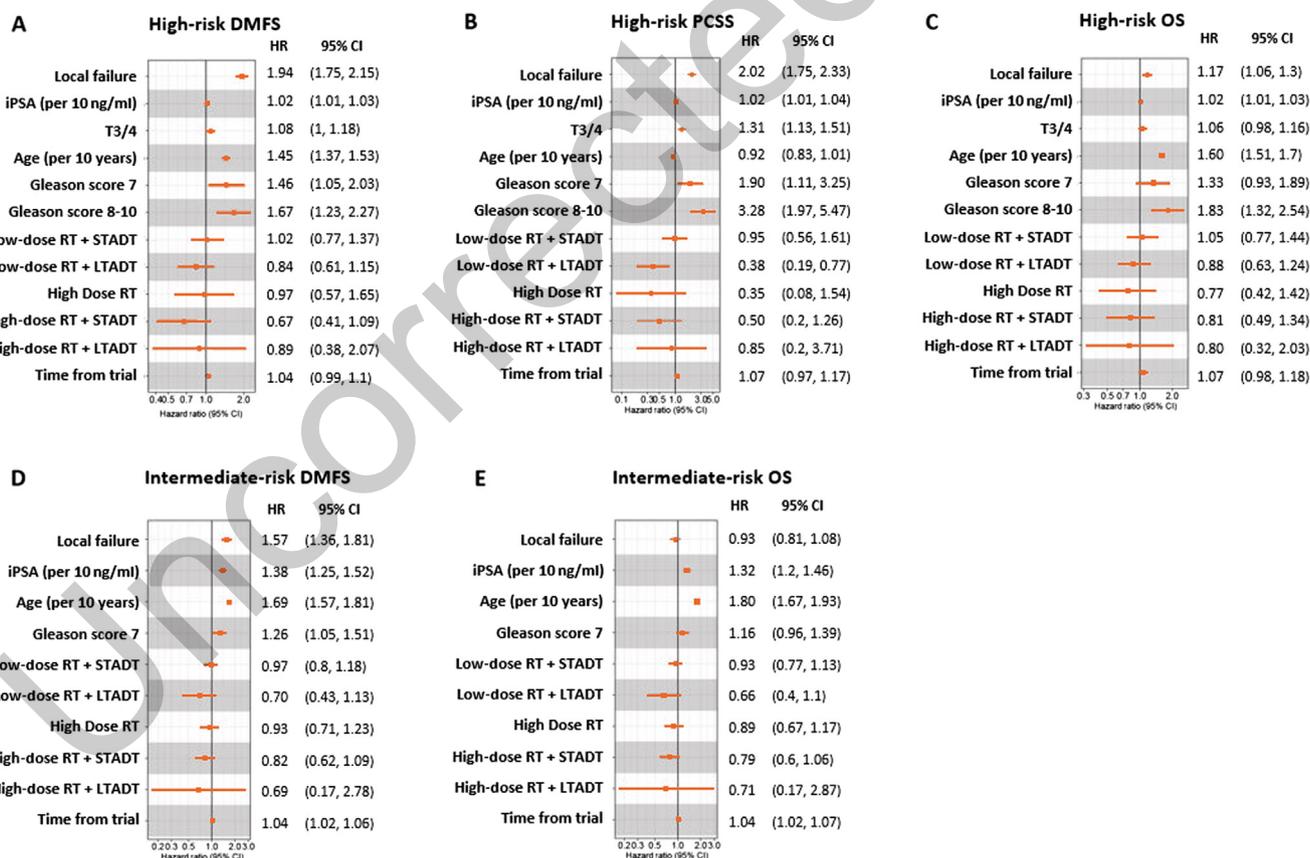


Fig. 3 – Forest plots of Cox proportional hazard model with local failure as a time-dependent variable. (A) DMFS, (B) PCSS, and (C) OS for NCCN high-risk patients, and (D) DMFS and (E) OS for NCCN intermediate-risk patients. T1/2, Gleason score 6, and low-dose RT only were used as the reference for their respective categories. The interactions between the Gleason score and treatment strategies were found to be insignificant and not reported in the forest plots. See the text for definition of low/high-dose RT and STADT/LTADT. CI = confidence interval; DMFS = distant metastasis-free survival; HR = hazard ratio; iPSA = initial prostate-specific antigen; LTADT long-term androgen deprivation therapy; NCCN = National Comprehensive Cancer Network; OS = overall survival; PCSS = prostate cancer-specific survival; RT = radiation therapy; STADT = short-term androgen deprivation therapy.

281 was also significantly associated with PCSS and OS (HRs
 282 2.02 [95% CI 1.75–2.33], $p < 0.001$ and 1.17 [95% CI 1.06–
 283 1.30], $p < 0.01$; Fig. 3B and 3C). In intermediate-risk
 284 patients, local failure was significantly associated with a
 285 greater hazard of distant metastasis or death (HR 1.57
 286 [95% CI 1.36–1.81], $p < 0.001$), but not OS (HR 0.93 [95% CI
 287 0.81–1.08], $p = 0.35$; Fig. 3D and 3E). The model fit was
 288 not attainable for the PCSS endpoint. In the Fine and Gray
 289 competing risk regression with all-cause mortality death
 290 as the competing event and local failure as a time-
 291 independent covariate, local failure was significantly associ-
 292 ated with PCSS (subdistribution HR [sHR] 2.15 [95% CI 1.84–
 293 2.5], $p < 0.001$) and distant metastasis (sHR 1.77 [95% CI
 294 1.46–2.14], $p < 0.001$) in high-risk patients (Supplementary
 295 Fig. 3A and 3B). In intermediate-risk patients, local failure
 296 was also significantly associated with a greater hazard of
 297 PCSS (sHR 3.34 [95% CI 2.52–4.44], $p < 0.001$) and distant
 298 metastasis (sHR 3.63 [95% CI 2.93–4.49], $p < 0.001$; Supple-
 299 mentary Fig. 3C and 3D). In the Markov model derived from
 300 the four-state model adjusting for the GS, iPSA, T stage,
 301 treatment category, age, and time from midpoint year of
 302 the trial, patients who did not have local failure had a signifi-
 303 cantly lower hazard of PCSM than those who had local failure
 304 (HR 0.32 [95% CI 0.21–0.5], $p < 0.001$; Fig. 4A), but not of
 305 all-cause mortality (HR 1.07 [95% CI 0.88–1.31], $p = 0.5$;
 306 Fig. 4B). Patients who developed distant metastasis had a
 307 significantly greater hazard of PCSM (HR 12.85 [95% CI
 308 8.67–19.03], $p < 0.001$) and all-cause mortality (HR 4.81
 309 [95% CI 3.85–6.01], $p < 0.001$) than those who developed

only local failure (Fig. 4A and 4B). Crude event rates by 2-yr intervals are shown for each transition for patients with high- and intermediate-risk disease (Supplementary Figs. 4 and 5).

When stratified by local failure status, estimated by kernel-based methods, high-risk patients with local failure seem to have a higher risk of distant metastasis numerically, with a steep increase within the first 10 yr after RT, while those without local failure had an initial peak around year 3, with a gradual decline for the rest of the study period (Fig. 5A). Patients with intermediate-risk disease followed a similar trend, although the hazard rate was generally lower, and patients without local failure maintained a steady hazard rate without a discernable initial peak (Fig. 5B). Similar temporal changes were observed in the hazard rate of distant metastasis over 2-yr intervals using the life-table method (Supplementary Figs. 6 and 7). In addition, the percentage of distant metastasis events occurring from a cRF state declined over time, while the proportion occurring after a local failure event increased steadily among both high- and intermediate-risk patients (Fig. 5C and 5D). In high-risk patients, 91% and 9% of distant metastasis originated from a cRF state and a local failure state, respectively, during 0–2 yr after RT; these changed to 66% and 34%, respectively, when assessing distant metastasis events developing between 8 and 10 yr after RT. In intermediate-risk patients, 92% and 8% of distant metastasis originated from a cRF state and a local failure state, respectively, during 0–2 yr after RT, and 73% and 27%, respectively, between

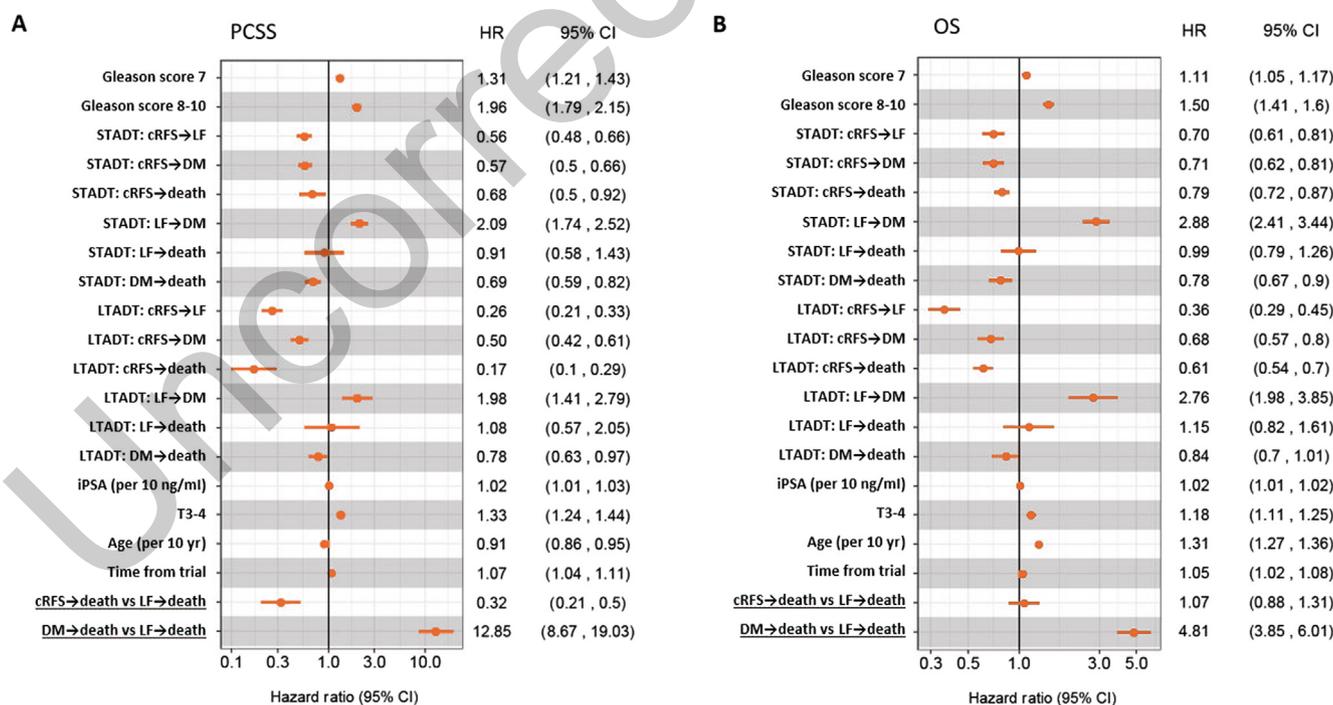


Fig. 4 – Forest plots of the Markov model for prostate cancer-specific survival and overall survival in the four-state model. T1/2 and Gleason score 6 were used as the reference for their respective categories. ADT: transition state indicates that the effect is specific on the respective transition. For example, “STADT: cRFS → LF” denotes the effect of STADT specifically on the transition between the cRF state and LF state. For those without appended transition states, a homogeneous effect of the covariate across transitions was assumed. CI = confidence interval; cRFS/cRF state = clinical relapse-free state; DM = distant metastasis; HR = hazard ratio; iPSA = initial prostate-specific antigen; LF = local failure; LTADT = long-term androgen deprivation therapy; OS = overall survival; PCSS = prostate cancer-specific survival; STADT = short-term androgen deprivation therapy.

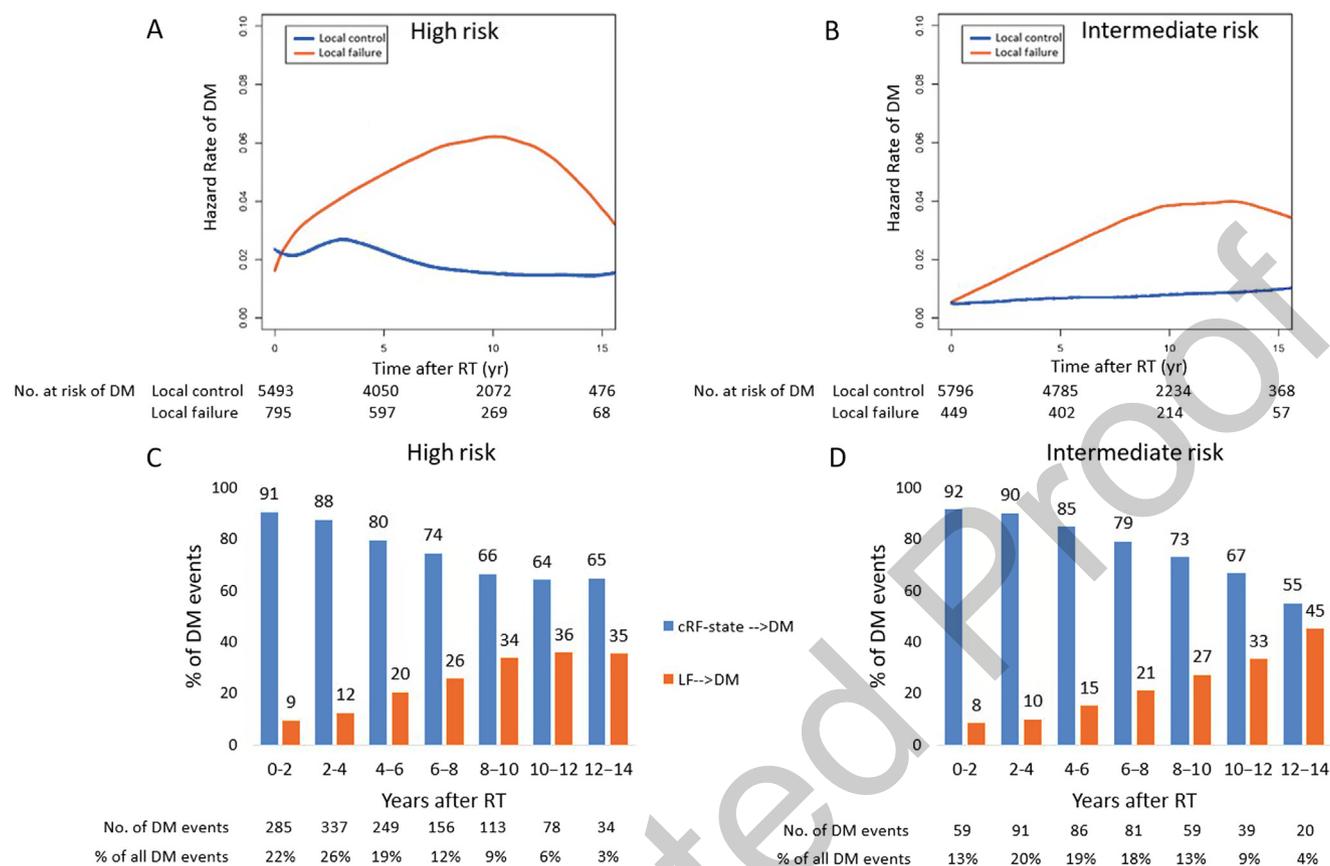


Fig. 5 – Hazard rate of distant metastasis over time and percentage of distant metastasis from a clinically relapse-free state versus a local failure state during different time periods in NCCN high- and intermediate-risk patients stratified by local failure status. Hazard rates of distant metastasis over time using kernel-based methods are shown in NCCN (A) high-risk and (B) intermediate-risk patients. Tables below the graphs indicate the number of patients who were still at a risk of distant metastasis event at different time points. Percentages of distant metastasis from a clinically relapse-free state versus a local failure state during different time periods are shown in NCCN (C) high-risk and (D) intermediate-risk patients. The percentage of distant metastasis events denotes the proportion of distant metastasis during the specified 2-yr interval after RT that was preceded by a cRF state versus an LF state. For example, for high-risk patients at 4–6 yr after RT, 80% of metastatic events arose from a cRF state and 20% from an LF state. The number of distant metastasis events below the graphs indicate the number of distant metastasis events developed in specific intervals. For example, 337 distant metastasis events developed between 2 and 4 yr after RT. Note that in [Figures 5C and 5D](#), the percentages of all distant metastasis events below the graphs do not add up to 100% as a small percentage of patients developed distant metastases beyond 14 yr after RT. cRF state = clinically relapse-free state; DM = distant metastasis; LF = local failure; NCCN = National Comprehensive Cancer Network; RT = radiation therapy.

339 8 and 10 years after RT. Similar trends were seen when
340 stratified by treatment categories ([Supplementary Figs. 8](#)
341 [and 9](#)).

342 Finally, we examined the effect of ADT and RT dose on
343 various transition states. ADT significantly reduced the inci-
344 dence (24% vs 16%, $p < 0.0001$) and delayed the onset of dis-
345 tant metastasis from a cRF state (27.1 vs 48.5 mo,
346 $p < 0.0001$) in high-risk patients. However, ADT did not sig-
347 nificantly reduce the rates of distant metastasis from the
348 cRF state (6.4% vs 5.4%, $p = 0.13$) or delay the time from
349 the cRF state to distant metastasis for intermediate-risk
350 patients (60.3 vs 61.8 mo, $p = 0.24$). ADT significantly
351 decreased the local failure rate from a cRF state in both
352 high-risk (11% vs 20%, $p < 0.0001$) and intermediate-risk
353 (6.2% vs 7.8%, $p = 0.017$) patients. Compared with low-
354 dose RT, high-dose RT significantly decreased the local fail-
355 ure rate from a cRF state in high-risk (12% vs 8.0% for low-
356 vs high-dose group, $p = 0.0007$) and intermediate-risk (8.6%
357 vs 3.7%, $p < 0.0001$) patients. The proportions of distant
358 metastasis developed after local failure in regard to the total

number of distant metastasis events were significantly 359
reduced with high-dose RT for both high-risk (12% vs 20%, 360
 $p = 0.0035$) and intermediate-risk (13% vs 22%, $p = 0.019$) 361
PCa patients. The hazard rate of distant metastasis over 362
time in patients treated with RT only, RT + STADT, and RT 363
+ LTADT in high- and intermediate-risk patients is shown 364
in [Supplementary Fig. 10](#). Two waves of distant metastases 365
were seen in high-risk patients treated without ADT; the 366
first wave was reduced, while the second wave was delayed 367
by STADT; only delayed first wave was seen in patients treat- 368
ed with LTADT with no discernable second wave. 369

3.2. Discussion 370

In this individual patient-level pooled analysis of 18 ran- 371
domized trials, we demonstrate that the vast majority of 372
distant metastasis events (>80%) occur in patients who are 373
clinically relapse free. Local failure events, however, por- 374
tend a poor prognosis in both patients with high-risk dis- 375
ease (for whom it is associated with OS, PCSS, and DMFS) 376
and those with intermediate-risk disease (for whom it is 377

378 associated with DMFS). We also identified a biphasic pat- 438
379 tern of distant metastasis development wherein an initial 439
380 large first wave of distant metastases was followed years 440
381 later by a smaller second wave occurring subsequent to 441
382 the time when the majority of local failure events occurred. 442
383 The proportion of distant metastasis events arising from a 443
384 cRF state decreased steadily, while the proportion occurring 444
385 after a local failure event increased over time. Finally, we 445
386 demonstrated that the upfront use of ADT in patients with 446
387 high-risk disease decreased distant metastasis development 447
388 irrespective of whether the distant metastases originated 448
389 from the cRF state or the local failure state, while dose esca- 449
390 lation reduced only the development of local failure from 450
391 the cRF state. 451

392 These data provide a framework for understanding the 452
393 patterns of clinical relapse in high- and intermediate-risk 453
394 PCa, and how different treatment intensification strategies 454
395 might alter these relapse patterns. The major mode of dis- 455
396 tant metastasis development is from a cRF state, likely rep- 456
397 resenting the emergence of occult micrometastatic disease 457
398 that was present at the time of initial treatment. This can 458
399 be suppressed with the use of upfront ADT and/or androgen 459
400 receptor signaling inhibitors such as abiraterone [36,37]. A 460
401 smaller proportion of distant metastasis events—albeit one 461
402 that grows with time—emerges after a local failure event 462
403 has occurred. This proportion can be minimized with the 463
404 use of both upfront ADT and higher-dose RT; together these 464
405 would be expected to improve local control. Local failure 465
406 events, when these occur, are associated with a worse prog- 466
407 nosis. Mechanistically, this might be either because they 467
408 directly seed subsequent distant metastasis events or 468
409 because cancers that relapse locally may simply be more 469
410 aggressive and thus also more likely to metastasize. In sup- 470
411 port of the former possibility is the distinct temporal pat- 471
412 tern of distant metastasis development among patients 472
413 with and without local failure, as well as the increasing rate 473
414 of distant metastasis over time in patients with local failure. 474
415 Interestingly, we also observed that a minority of local fail- 475
416 ure events developed after distant metastases (8.3% and 476
417 4.7% of local failure events in high- and intermediate-risk 477
418 patients, respectively; Fig. 2A and 2C), raising the possibility 478
419 that distant metastasis may seed a second wave of local fail- 479
420 ure, as observed in a whole-genome sequencing study [38]. 480
421 A schematic depiction of transitions over time for patients 481
422 with high-risk disease, as well as potential effects of ADT 482
423 use and RT dose escalation, is shown in Supplementary 483
424 Fig. 11. The peak distant metastasis rate was within 2–4 484
425 yr of RT completion, with most events arising from a cRF 485
426 state. The smaller-amplitude second wave was seen 486
427 approximately 6–10 yr after RT completion, and coincided 487
428 with the rise in distant metastases in patients with local 488
429 failure and increase in local failure to distant metastasis 489
430 transitions. The true amplitude of the second wave may 490
431 be underestimated here given relatively short follow-up 491
432 time of certain trials. The first wave was reduced in ampli- 492
433 tude and delayed by the addition of ADT, with LTADT having 493
434 more dramatic effect than STADT. The second wave was also 494
435 delayed by STADT, while no discernable second wave was 495
436 observed with LTADT (Supplementary Fig. 10). For patients 496
437 with intermediate-risk disease, no first wave of distant 497

438 metastasis was seen, likely due to a lower prevalence of 439
440 occult metastatic disease at presentation substantiated by 441
442 studies using PSMA PET/CT [39]. Occult metastatic disease 443
444 exists in a measurable proportion of unfavorable 445
446 intermediate-risk patients, given early rise in distant metas- 447
448 tasis rates within the first 12 mo after STADT seen in RTOG 449
450 9408 [40], which is diluted out by minimal occult meta- 451
452 static disease in the favorable intermediate-risk patients 453
454 [40], explaining the absence of first wave seen in the com- 455
456 bined cohort in the current study. While a second wave 457
458 was not noticeably present in intermediate-risk patients, a 459
460 late-onset increase in local failure to distant metastasis 461
462 transition events and an increase in the proportion of dis- 463
464 tant metastasis events arising from the local failure state 464
465 over time were still observed, consistent with the concept 465
466 of distant seeding from local failure events. As would be 466
467 expected with this framework, dose escalation alone with- 467
468 out ADT is unlikely to robustly augment DMFS as the pre- 468
469 dominant mode of distant metastasis is from the cRF 469
470 state, and not from local failure. On the contrary, ADT pre- 471
472 vents the development of distant metastasis by inhibiting 472
473 both the cRF state to distant metastasis transition and the 473
474 cRF state to local failure transition. This is consistent with 474
475 the observation that ADT has both a cytostatic and a cyto- 475
476 toxic effect [41,42], and synergizes with RT for optimal 476
477 PCa cell killing [43,44]. The effect of ADT on the cRF state 477
478 to distant metastasis transition in patients with 478
479 intermediate-risk disease was not significant, although the 479
480 low event rate likely impacted the power to detect a signif- 480
481 icant difference, and multiple other lines of evidence sug- 481
482 gest that upfront ADT certainly limits the development of 482
483 distant metastasis events in patients with intermediate- 483
484 risk disease [19,40]. Emerging strategies, such as focal 484
485 microboosts, may be associated with lower rates of regional 485
486 failure, although a significant change in distant metastatic 486
487 failure has not been reported [45]. 487

474 The present study has several limitations. First, despite 474
475 pooling across multiple trials, some treatment subgroups 475
476 remained small in size, potentially limiting the statistical 476
477 power of subgroup analysis and generalizability. For exam- 477
478 ple, only 10% of high-risk patients received high-dose RT 478
479 plus ADT. Second, heterogeneity between trials is also a lim- 479
480 itation for a pooled analysis in general, including the cur- 480
481 rent study. We have attempted to mitigate this by using 481
482 random effects in our modeling [46]. Third, there was 482
483 heterogeneity in the definition of local failure and distant 483
484 metastasis across trials (Supplemental Table 1). Some trials 484
485 did not specify the definition, while some were reliant on 485
486 digital rectal examination to determine the local failure sta- 486
487 tus. Certain trials (eg, RTOG 9902) included regional lymph 487
488 node involvement in the definition of local failure. Nonuni- 488
489 form definition of local failure and PSA-driven imaging also 489
490 likely impacted the reliability of cRF-state determination in 490
491 certain cases. However, trials with nonconventional defini- 491
492 tions remained a minority. Fourth, incorporating post- 492
493 treatment prostate biopsy [47,48] and/or advanced imaging 493
494 such as multiparametric magnetic resonance imaging and 494
495 PSMA PET/CT at different stages would likely alter the pro- 495
496 portion of patients labeled as having local failure or distant 496
497 metastasis events. Not all patients underwent ascertain- 497

498 ment of local failure at the time of recurrence. Therefore,
 499 the local failure rate in our study is most likely underesti-
 500 mated. RTOG 9408 showed a 2-yr post-RT repeat prostate
 501 biopsy positive rate of 20–39% in a patient population of
 502 mixed-risk groups treated with or without ADT [49]; this
 503 is considerably higher than the 13% local failure rate in
 504 high-risk patients in the current study, although the RT dose
 505 used in RTOG 9408 was low (66.6 Gy in 37 fractions) and
 506 positive biopsies may represent inactive tumor cells with
 507 severe treatment effect. For example, for PSMA PET/CT,
 508 when used at initial staging, the first wave of distant metas-
 509 tases may diminish in amplitude as more patients with
 510 occult metastatic disease would have been detected and
 511 excluded from the study; when used at local failure, more
 512 distant metastases would be detected concurrently, reduc-
 513 ing the rate of local failure to distant metastasis transition
 514 while increasing the rate of the cRF state to distant metas-
 515 tasis transition. Potentially, this may augment the outcomes
 516 of our models and their implications on the impact of treat-
 517 ment modification (dose escalation, focal boost, and ADT)
 518 on distant metastasis and PCSS outcomes. Fifth, we could
 519 not distinguish local disease that had a complete response
 520 initially after RT but subsequently recurred (true local
 521 recurrence) from local disease that never achieved a com-
 522 plete response (locally persistent disease), and the latter
 523 may be more biologically aggressive and may exhibit a dif-
 524 ferent clinical phenotype including the propensity for dis-
 525 tant metastasis. We were also unable to definitely
 526 distinguish a local recurrence stemming from the original
 527 prostate tumor or a new primary, especially for a delayed
 528 presumed local recurrence; however, the incidence of a
 529 new primary in the prostate is likely low. Additionally, there
 530 was no uniform salvage therapy standard when local failure
 531 or distant metastasis events were discovered, and therefore
 532 heterogeneous management practices could not be
 533 accounted for. Systemic salvage therapy evolved rapidly
 534 during the follow-up periods of most trials included; thus,
 535 the transition of distant metastasis to PCSM is skewed
 536 toward earlier trials when systemic therapy was less effec-
 537 tive. Finally, more effective systemic salvage therapies have
 538 been developed over the years, leading to a prolongation
 539 between distant metastasis and PCSM, as well as an
 540 improvement in PCSM and OS. The population studied
 541 may not be fully representative of contemporary out-
 542 comes/survival. It is uncertain whether the impact of local
 543 failure on PCSM and OS may be reduced with these more
 544 effective therapies.

545 4. Conclusions

546 This patient-level pooled analysis from 18 RCTs provides
 547 high-level evidence that local failure is an independent
 548 prognosticator of OS, PCSS, and DMFS in high-risk PCa and
 549 of DMFS in intermediate-risk PCa. With the caveat that local
 550 failure and distant metastasis may be underestimated in
 551 these trials, the predominant mode of distant metastasis
 552 development is from a cRF state for both high- and
 553 intermediate-risk PCa, likely from occult metastatic disease
 554 at presentation, underscoring the importance of accurate

upfront staging and systemic therapy. This source of distant 555
 metastasis constitutes the first wave of distant metastases 556
 in high-risk patients, which occurred within the first 4 yr 557
 after the completion of RT. This is inconspicuous in 558
 intermediate-risk patients, likely due to a much smaller 559
 burden of occult metastatic disease. However, particularly 560
 at late time points, an increasing proportion of distant 561
 metastasis events originated after the diagnosis of local fail- 562
 ure, constituting a second wave of distant metastasis events 563
 in both patients with high- and intermediate-risk disease. 564
 This suggests that in order for a regional/systemic therapy 565
 to improve long-term outcome, local control needs to be 566
 also optimized to minimize the second wave and vice versa. 567
 Finally, ADT reduces the development of distant metastases 568
 from a cRF state and indirectly from a local failure state by 569
 reducing local failure, while higher-dose RT impacted only 570
 the local failure rate, consistent with the observation that 571
 ADT has a more significant impact on DMFS irrespective 572
 of the RT dose than RT dose escalation. 573
 574

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 study and takes responsibility for the integrity of the data and the accu- 576
 racy of the data analysis. 577
 578

Study concept and design: Ma, Kishan, Chu, Spratt. 580

Acquisition of data: All authors. 581

Analysis and interpretation of data: Ma, Kishan, Chu. 582

Drafting of the manuscript: Ma, Kishan, Chu. 583

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626 Supplementary data

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