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Functional annotation of the 2q35 breast cancer risk locus implicates a structural variant in influencing activity of a long-range enhancer element

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Abstract:	<p>A combination of genetic and functional approaches has identified three independent breast cancer risk loci at 2q35. A recent fine-scale mapping analysis to refine these associations resulted in one (signal 1), five (signal 2) and forty-two (signal 3) credible causal variants at these loci. We used publicly available <i>in silico</i> DNase I and ChIP-seq data with <i>in vitro</i> reporter gene and CRISPR assays to annotate signals 2 and 3. We identified putative regulatory elements that enhanced cell type-specific transcription from the IGFBP5 promoter at both signals (thirty to forty-fold increased expression by the putative regulatory element at signal 2, two to three-fold by the putative regulatory element at signal 3). We further identified one of the five credible causal variants at signal 2, a 1.4 kb deletion (esv3594306), as the likely causal variant;</p>

the deletion allele of this variant was associated with an average additional increase in IGFBP5 expression of 1.3-fold (MCF-7) and 2.2-fold (T-47D). We propose a model in which the deletion allele of esv3594306 juxtaposes two transcription factor binding regions (annotated by estrogen receptor alpha ChIP-seq peaks) to generate a single extended regulatory element. This regulatory element increases cell type-specific expression of the tumour suppressor gene IGFBP5 and, thereby, reduces risk of estrogen receptor-positive breast cancer (odds ratio = 0.77, 95% CI 0.74 - 0.81, P = 3.1×10^{-31}).

Dear Professor Korf

Many thanks for your email. We are delighted to hear that our article has been accepted in principle. We have made the requested further formatting changes to the manuscript to remove track changes and line numbering.

We look forward to seeing the article published in the near future.

Best wishes,

Joe Baxter

All track changes have been accepted, and page numbers removed from the manuscript. No further changes have been made.

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Running title: Functional annotation of 2q35 breast cancer risk locus

ABSTRACT

A combination of genetic and functional approaches has identified three independent breast cancer risk loci at 2q35. A recent fine-scale mapping analysis to refine these associations resulted in one (signal 1), five (signal 2) and forty-two (signal 3) credible causal variants at these loci. We used publicly available *in silico* DNase I and CHIP-seq data with *in vitro* reporter gene and CRISPR assays to annotate signals 2 and 3. We identified putative regulatory elements that enhanced cell type-specific transcription from the *IGFBP5* promoter at both signals (thirty to forty-fold increased expression by the putative regulatory element at signal 2, two to three-fold by the putative regulatory element at signal 3). We further identified one of the five credible causal variants at signal 2, a 1.4 kb deletion (esv3594306), as the likely causal variant; the deletion allele of this variant was associated with an average additional increase in *IGFBP5* expression of 1.3-fold (MCF-7) and 2.2-fold (T-47D). We propose a model in which the deletion allele of esv3594306 juxtaposes two transcription factor binding regions (annotated by estrogen receptor alpha CHIP-seq peaks) to generate a single extended regulatory element. This regulatory element increases cell type-specific expression of the tumour suppressor gene *IGFBP5* and, thereby, reduces risk of estrogen receptor-positive breast cancer (odds ratio = 0.77, 95% CI 0.74 - 0.81, $P = 3.1 \times 10^{-31}$).

INTRODUCTION

Over the last 15 years, genome-wide association studies have transformed our ability to map genetic variation underlying complex traits¹. The vast majority of variants identified in genome-wide association studies are non-coding and are thought to influence transcriptional regulation,^{2;3} a process which can be highly cell-type and tissue specific⁴. Our ability to translate these findings into a greater understanding of the mechanisms that influence an individual woman's risk will require the identification of causal variants (as opposed to correlative variants), the targets of these functional variants (the genes or non-coding RNAs that mediate the associations observed in genome-wide association studies) and an understanding of the disease causal cell-types and processes¹. Genome-wide association studies of breast cancer coupled with large-scale replication and fine-mapping studies have led to the identification of approximately 200 breast cancer risk loci^{3;5-9}; two of these loci, annotated by rs13387042¹⁰ and rs16857609⁵, map to a gene desert at chromosome 2q35. Fine-scale mapping, combined with *in silico* annotation, reporter gene assays and allele-specific qRT-PCR led to the identification of a putative causal variant (rs4442975) at the rs13387042 locus^{11;12}. rs4442975, which is highly correlated with the tag SNP rs13387042, ($r^2=0.92$, $D'=0.96$) maps to a consensus binding site for the transcription factor (TF) forkhead box A1 (FOXA1, MIM 602294) with the alternative T-allele promoting binding of FOXA1^{11;12}. To date, no putative causal variant at the rs16857609 locus has been reported. Chromatin interaction methods implicate *IGFBP5* (MIM 146734) as the target gene at both loci¹¹⁻¹³ and for the rs13387042 locus, eQTL analyses demonstrated association of the protective T-allele with slightly increased *IGFBP5* levels in normal breast tissue¹¹ and estrogen receptor-positive (ER+) breast cancers¹². Taking a functional approach based on chromosome conformation capture (3C) assays that were anchored at the *IGFBP5* promoter, Wyszynski and colleagues identified a putative regulatory element centred on a structural variant (SV; esv3594306) that maps approximately 400 kb telomeric to *IGFBP5*¹⁴. Allele-specific expression analyses and follow-up genotyping identified fourteen highly

correlated variants (all $r^2 > 0.8$ with the top SNP, rs34005590) associated with breast cancer risk, which represent a third risk signal (OR=0.82, $P=5.6 \times 10^{-17}$)¹⁴.

In this analysis we report fine-scale mapping of the 2q35 region in European and Asian breast cancer cases and controls from the Breast Cancer Association Consortium. We confirm three independent, high-confidence signals at 2q35 annotated by rs13387042 (signal 1), rs138522813 (signal 2) and rs16857609 (signal 3). We carry out functional annotation of credible variants at signals 2 and 3 and implicate the deletion variant (esv3594306) at signal 2 as causally associated with increased *IGFBP5* expression and reduced breast cancer risk.

MATERIAL AND METHODS

Fine-scale mapping of the 2q35 breast cancer risk locus

Fine-scale mapping of the 2q35 breast cancer risk locus was carried out as part of a large collaborative project; full details have been published³. Briefly, for the current analysis we accessed data from 94,391 invasive breast cancer cases and 83,477 controls of European ancestry and 12,481 invasive breast cancer cases and 12,758 controls of Asian ancestry from 87 studies participating in the Breast Cancer Association Consortium. All participating studies were approved by their appropriate ethics review board and all subjects provided informed consent.

Directly genotyped or imputed (info score > 0.8) calls for 10,314 SNPs mapping to a 1.4 Mb region at 2q35 (chr2:217405832-218796508; GRCh37/hg19) were available for analysis. At this threshold, the proportions of common variants ($MAF \geq 0.05$), low frequency variants ($0.01 \leq MAF < 0.05$) and rare variants ($0.001 \leq MAF < 0.01$)³ that could be analysed were 89.7%, 68.5% and 3.6% respectively for OncoArray and 64.2%, 40.5% and 0.8% respectively for iCOGS. Analysis of the association between each SNP and risk of breast cancer was performed using unconditional logistic regression assuming a log-additive genetic model, adjusted for study and up to 15 ancestry-informative principal components. *P*-values were calculated using Wald tests. Forward stepwise logistic regression was used to explore whether additional loci in the fine-mapping region were independently associated

with breast cancer risk. We carried out stratified analyses to determine whether each of the independent associations differed according to estrogen receptor (ER) status; heterogeneity between stratum specific estimates was assessed using Cochran's Q-test. All statistical analyses were carried out using R version 3.6.1.

In silico annotation of credible variants

Credible variants at each of the three independent signals were aligned with DNase I and ChIP-seq data (P300 (EP300, MIM 602700), H3K27Ac, H3K4me1, FOXA1, GATA3 (MIM 131320), ER α (ESR1, MIM 133430)) generated in T-47D and MCF-7 breast cancer cells¹⁵⁻¹⁷ (Table S1).

Cloning of reporter assay constructs

All reporter assay plasmids were derived using the pGL4 reporter vector (Promega). Reporter vectors were constructed using a restriction digest-based cloning approach. The *IGFBP5* promoter and putative regulatory element regions (containing WT alleles) were synthesised as gBlocks (Integrated DNA Technologies, full details in Table S2). Double restriction digests of plasmid or gBlock were performed using BglII and XhoI (for *IGFBP5* promoter) or Sall and BamHI (for putative regulatory element regions) according to the manufacturer's instructions (New England Biolabs (NEB)). Ligations were performed in a 3:1 insert:vector ratio using T4 DNA ligase (NEB), according to manufacturer's instructions. Correct cloning was validated by Sanger sequencing using a commercially available service (Eurofins Genomics). Alternative (ALT) alleles of each variant were introduced into reporter vectors using QuikChange Lightning Site-directed Mutagenesis kit (Agilent Technologies), according to the manufacturer's instructions. Accurate mutagenesis was confirmed by Sanger sequencing (Eurofins Genomics). All reporter gene constructs are shown in Figure S1.

Cell Culture

T-47D cells were grown in RPMI (Gibco) supplemented with 10% FBS (Gibco), 10 µg/ml human insulin (Sigma), 100 U/ml penicillin with 100 µg/ml streptomycin (Sigma). HCT116 cells were grown in RPMI supplemented with 10% FBS, 100U/ml penicillin and 100 µg/ml streptomycin. HepG2 cells were grown in EMEM (LGC Standards-ATCC) supplemented with 10% FBS and 100 U/ml penicillin with 100 µg/ml streptomycin. MCF-7 cells (including derivative Cas9-expressing cell lines) and 293T cells were grown in DMEM (Gibco) supplemented with 10% FBS and 100 U/ml penicillin with 100 µg/ml streptomycin. All cell lines were routinely short tandem repeat (STR)-typed and tested for mycoplasma contamination.

Reporter assays

Reporter assays were performed in T-47D, MCF-7, 293T, HCT116 and HepG2 cell lines. Antibiotics were removed from standard growth media 24 hours before transfection to improve viability. For assays performed under standard conditions, approximately 16,000 cells were seeded per well of a 96-well plate for T-47D, MCF-7 and HepG2, and approximately 8,000 cells were seeded per well of a 96-well plate for 293T and HCT116. Transfection was performed upon reaching 70% confluency (~24 hours after cell seeding). For assays performed following 17β-estradiol treatment, cells were first hormone starved for 48 hours. Approximately 10,000 cells (T-47D) and 8,000 cells (MCF-7) were seeded, per well of a 96-well plate, in standard growth media and cultured for 24 hours. The media was then replaced with phenol red-free media (Gibco) supplemented with 10% charcoal-stripped FBS (Gibco), 100 U/ml penicillin with 100 µg/ml streptomycin, 10nM fulvestrant (I4409, Sigma), and 10 µg/ml human insulin (T-47D only). After 48 hours, growth media was replaced with phenol red-free media supplemented with 10% charcoal-stripped FBS, 10 µg/ml human insulin (T-47D only), with the addition of either (a) 10nM 17β-estradiol (E2758, Sigma) or (b) vehicle (ethanol). Transfection was performed upon reaching 80% confluency (6 hours after 17β-estradiol or vehicle treatment).

Transfection was performed using X-treme GENE HP DNA transfection reagent (Roche). Equimolar

amounts of the test pGL4-based firefly luciferase vector and pRL-TK renilla luciferase control (Promega) were combined in a 3:1 reagent:DNA ratio in OptiMEM (Fisher Scientific). After a 30 minutes incubation at room temperature, 10 μ l transfection mixture was added per well. Each biological replicate was performed in technical triplicates with non-transfected, mock-transfected and pEGFP-transfected controls (Takara Bio Inc). Cells were screened for luciferase activity 48 hours after transfection using the Dual-Glo Luciferase Assay System (Promega) according to the manufacturer's instructions.

Confirmatory genotyping and sequencing of putative regulatory element 2 (PRE2)

Four of the five variants mapping to PRE2 (rs72951831, rs199804270, rs138522813 and esv3594306) are highly correlated based on 1000 Genomes data (1KGP), with the ALT alleles of, rs72951831, rs199804270, rs138522813 all predicted to occur in combination with the ALT (deletion) allele of esv3594306 (esv3594306: rs72951831 $r^2=1.0$, $D'=1.0$; esv3594306: rs199804270 $r^2=0.95$, $D'=1.0$; esv3594306: rs138522813 $r^2=1.0$, $D'=1.0$). However, rs572022984 (hg19, chr2:217955897) theoretically maps within the esv3594306 deleted region (chr2:217,955,891-217,957,273) casting doubt on whether the (imputed) rs572022984-del allele could occur in combination with the esv3594306 deletion allele. To clarify this, we genotyped all five variants in 300 randomly selected women participating in the Generations Study¹⁸ using MassARRAY (Agena Bioscience; full details of primers available on request). The number of carriers of the alternative (A>-) allele at rs572022984 (MAF=0.035) was 0 (expected number = 21; $P=0.00002$). To confirm our genotyping, we carried out Sanger sequencing (Eurofins) of a 2.4 kb region spanning (chr2:217,955,586-217,958,000) in two individuals who were heterozygous at the linked PRE2 SNP rs138522813. Primers were: forward CGCTTCCCCTTCATCACTTG and, reverse TCTCTCAGGCCAAGTCACAG. Sequencing confirmed the presence of REF and ALT alleles of esv3594306, rs72951831 and rs199804270 (rs138522813 maps just outside the amplified region) but only REF alleles at rs572022984; on this basis we excluded rs572022984 from further analyses.

Cloning of guides for CRISPR-based enhancer perturbation

Guides were designed using the online design tool CHOPCHOP (<http://chopchop.cbu.uib.no>). Guides were selected based on their proximity to variants of interest and specificity scores. Full details are provided in Table S3. Cloning was performed essentially as described in Ran et al., 2013¹⁹. Briefly, guides were produced as two complementary oligonucleotides with overhangs to facilitate cloning. Oligos were annealed with T4 Polynucleotide Kinase (NEB). The expression vector pKLV-U6gRNA(BbsI)-PGKpuro2ABFP (Addgene #50946) was digested using BbsI (NEB), and ligation performed using T4 DNA ligase (NEB). Cloning was validated by sequencing (Eurofins Genomics).

CRISPR-based enhancer perturbation

All CRISPR cell lines were derived from a parental MCF-7 cell line. Expression of each dCas9 construct was introduced by transduction with a specific Cas9-expressing lentivirus: pGH125_dCas9-Blast (Addgene #85417) for dCas9; pHR-SFFV-KRAB-dCas9-P2A-mCherry (Addgene #60954) for dCas9-KRAB; Lenti-hEF1-BLAST-dCas9-VPR (Dharmacon, CAS11916) for dCas9-VPR. Successfully transduced cells were then selected for by mCherry expression (dCas9-KRAB) or treatment with 10 µg/ml blasticidin (dCas9 and dCas9-VPR; Gibco). Cells were then seeded into 24-well plates at a density of 50,000 cells per well. 100 µl of sgRNA lentivirus was added. After 24 hours, media was replaced and after 48 hours cells were lysed using the Cells-to-Ct kit (Life Technologies) for subsequent gene expression analysis by RT-PCR.

Real-time PCR

Real-time PCR analysis of gene expression in cDNA samples was performed using Taqman probes (Life Technologies) for *IGFPB2* (MIM 146731), *IGFBP5* and *RPL37A* (MIM 613314) normalised to the housekeeping gene *GAPDH* (ThermoFisher; *IGFBP2*: Hs01040719_m1, *IGFBP5*: Hs00181213_m1, *RPL37A*: Hs01102345_m1, *GAPDH*: Hs03929097_g1). Reactions of 5 µl were established using

Taqman Universal Master Mix II, without UNG (Applied Biosystems) according to the manufacturer's instructions.

Statistical analysis of reporter gene assays and CRISPR-based enhancer perturbation

Reporter gene constructs: Firefly luciferase activity was internally normalised to renilla luciferase activity, and each test condition normalised to the "IGFBP5 promoter-alone" (IGFBP5-PROM) construct. Setting IGFBP5-PROM to 1.0, for each putative enhancer-containing reporter gene construct we used t-tests to test (i) H_0 : the mean dual luciferase ratio does not differ from 1.0 and (ii) H_0 : the ALT construct does not differ from the REF construct. To compare mean dual luciferase ratios for each combination of SNP and SV at PRE2, we used three-way analysis of variance adjusting each variant for all other variants. To account for multiple testing, we used a Bonferroni corrected P -value of 0.0056 (individual constructs, Figure 2, 9 tests) and 0.017 (PRE2 combinations, Figure 3, 3 tests).

Real-time PCR analysis of relative gene expression: Relative gene expression was calculated using the $\Delta\Delta C_T$ method. For the negative control sgRNAs (TAG-1 and TAG-2) we used t-tests to test H_0 : the relative gene expression does not differ from 1.0. To maximise the power of subsequent analyses we then combined the negative control data and for each of the other sgRNAs we tested H_0 : relative gene expression does not differ from the combined negative control relative gene expression. To account for multiple testing, we used a Bonferroni corrected P -value of 0.017 (PROM sgRNAs Figure 4A, 3 tests per gene) and 0.0056 (PRE2 sgRNAs, Figure 4B-C, 9 tests per gene).

Ethics approval and consent to participate

All participating studies were approved by their appropriate ethics review board and all subjects provided informed consent.

RESULTS

Fine-scale mapping of a 1.4 Mb region at 2q35 (chr2:217,407,297-218,770,424; GRCh37/hg19; Figure 1A) in combined data from up to 109,900 breast cancer cases and 88,937 controls of European Ancestry from the Breast Cancer Association Consortium confirmed the presence of three independent signals ($P < 5 \times 10^{-8}$; Figure S2) at this region³. After conditioning on the top SNP at each of these three signals (signal 1: rs4442975, signal 2: rs138522813, signal 3: rs5838651) there were no additional high-confidence signals (defined as signals for which $P < 1 \times 10^{-6}$)³. Defining credible causal variants at each signal as variants with conditional P -values within two orders of magnitude of the index variant there were one, five and forty-two credible causal variants at PRE1, PRE2 and PRE3, respectively (Table S4). Fine-scale mapping of this region in women of Asian Ancestry (12,481 cases and 12,758 controls) did not identify any population-specific signals (all associations $P > 5 \times 10^{-8}$; Figure S3). None of the credible causal variants at signal 2 was present in women of Asian ancestry. The published causal variant at signal 1 (rs4442975) and all of the signal 3 credible causal variants (Table S5) were nominally associated with breast cancer risk in Asian women ($P < 0.05$). At signal 3, the index variants differ between Europeans and Asians (rs5838651 and 2:218265091:G:<INS:ME:ALU>:218265367, respectively) but none of the European credible causal variants could be excluded on the basis of the Asian data.

The T-allele of rs4442975 was associated with reduced breast cancer risk (per allele OR=0.88, 95% CI 0.87–0.89, $P = 1.3 \times 10^{-75}$ and OR=0.94, 95% CI 0.89-1.00, $P = 0.04$ in European and Asian women, respectively) and the delG-allele of rs5838651 was associated with increased risk (per allele OR=1.07, 95% CI 1.05-1.08, $P = 1.5 \times 10^{-16}$ and OR=1.07, 95% CI 1.03-1.11, $P = 0.0008$ in European and Asian women, respectively; Table 1). The delT-allele of rs138522813 was associated with reduced risk (carrier OR=0.80 95% CI 0.77-0.83, $P = 5.5 \times 10^{-32}$). Stratifying by ER status, the signal 1 (rs4442975) and signal 2 (rs138522813) SNPs were more strongly associated with ER+ disease; for the signal 3 SNP (rs5838651) there was no evidence that the ORs differed by ER status (Table S6).

Prioritisation of credible variants for functional follow up

Fachal and colleagues³ used a Bayesian approach (PAINTOR) that combines genetic association, linkage disequilibrium and enriched genomic features to determine variants with high posterior probabilities of being causal (Table S4)²⁰. rs4442975, the only credible causal variant at signal 1 (posterior probability=0.84), has previously been proposed to have a functional effect on breast cancer risk^{11; 12}. Four of the five variants at signal 2 had posterior probabilities ≥ 0.20 (combined posterior probability 0.997); none of the variants at signal 3 had posterior probabilities > 0.15 . To further prioritise putative causal variants at signals 2 and 3 we aligned the 47 credible variants at these signals with markers of open chromatin (DNase I), active transcription (P300), active enhancers (H3K27Ac, H3K4me1) and breast relevant TFs (FOXA1, GATA3, ER α) generated in T-47D and MCF-7 breast cancer cells¹⁵⁻¹⁷ (Table S4). Consistent with the PAINTOR posterior probabilities, four variants at signal 2 that colocalised with at least one of these features. In addition, we identified two variants at signal 3 that colocalised with one of these features. These six variants were prioritised for further functional annotation.

Reporter gene assays of prioritised variants

For SNPs, we generated reference (REF) and alternative (ALT) constructs in which the putative regulatory element, defined in the first instance as a 500 to 700 bp region centred on the SNP or SNP pair (PRE2A rs572022984; PRE2B rs199804270 and rs72951831; PRE3 rs12694417 and rs12988242, Table S2; Figures 1B and 1C), was cloned upstream of a luciferase reporter gene, driven by the *IGFBP5* promoter (Figure S1). For the structural variant esv3594306, which is defined by the presence (REF) or absence (ALT) of a 1.4 kb region (chr2:217955891-217957273; GRCh37/hg19) we generated separate REF constructs for PRE2A and PRE2B and a single ALT construct in which the centromeric sequences at PRE2A were juxtaposed to the telomeric sequences at PRE2B with the intervening 1.4 kb deleted (Figure 1B). Comparing the REF construct at each region with the *IGFBP5* promoter construct (IGFBP5-PROM) there was evidence that two of the putative regulatory

elements (PRE2B and PRE3) enhanced transcription from the *IGFBP5* promoter (Figure 2). For PRE2B both alleles demonstrated strong enhancer activity (PRE2B-REF/REF: fold change (FC)=27.9, $P=0.004$ and FC=28.7, $P=0.0005$; PRE2DEL-ALT/ALT: FC=50.5, $P=0.004$ and FC=44.9, $P=0.03$ in MCF-7 and T-47D respectively). For PRE3 the activity was more modest and only significant ($P<0.0056$; Methods) for the ALT allele in T-47D (PRE3-REF/REF: FC=1.8, $P=0.03$ and FC=2.9, $P=0.006$; PRE3-ALT/ALT FC=2.2, $P=0.008$ and FC=2.8, $P=0.003$ in MCF-7 and T-47D respectively; Figure 2). To test these constructs for cell-type specificity we used HepG2 (hepatocyte carcinoma), 293T (embryonic kidney) and HCT116 (colorectal carcinoma) cells; the only construct that influenced transcription from the *IGFBP5* promoter in these non-breast cells was PRE2DEL-ALT/ALT in 293T cells and with an effect size that was an order of magnitude lower (FC=1.9, $P=0.002$; Figure S4) compared to the breast cancer cell lines (FC > 40; Figure 2). Comparing ALT constructs with REF constructs, only the PRE2 region showed a significant difference between alleles, with the (protective) PRE2DEL-ALT/ALT allele being associated with greater activity than PRE2B-REF/REF allele (MCF-7 FC=1.8, $P=0.003$; T-47D FC=1.6, $P=0.09$; Figure 2). Repeating these assays in cells that were grown in the presence of low-dose estradiol did not alter these results; both PRE2B and PRE3 were responsive to low dose estradiol (Figures S5A and S5B) but only PRE2 showed a difference between alleles, with the protective PRE2DEL-ALT/ALT allele once again being associated with significantly greater activity than the PRE2B-REF/REF allele, this time in T-47D cells (MCF-7 FC=1.5, $P=0.15$; T-47D FC=2.7, $P=0.002$; Figure S5A).

The PRE2DEL-ALT/ALT construct comprises a haplotype of three tightly linked variants: the ALT alleles of the two SNPs (rs199804270:GA:G, rs72951831:G:T) with the ALT (deletion) allele of the structural variant (esv3594306) that brings two separate ER α , FOXA1, GATA3 and P300 ChIP-seq peaks into juxtaposition (Figure 1B). To differentiate individual effects, each allele of each SNP was introduced onto esv3594306 insertion and deletion backgrounds separately using site-directed mutagenesis. The PRE2A SNP (rs572022984) was not considered further due to technical issues

(Methods). In a combined analysis, adjusting each variant for the other two variants, there was evidence that deletion constructs consistently showed greater activity than insertion constructs (MCF-7: DEL FC=43.4, INS FC=34.4, i.e. average additional FC for DEL=1.3, $P_{het}=0.01$; T-47D: DEL FC=47.3, INS FC=21.6, i.e. average additional FC for DEL=2.2, $P_{het}=1.7 \times 10^{-8}$; Figure 3).

CRISPR-based perturbation of PRE2

Reporter gene assays do not reflect the “normal” genomic context of a regulatory element. Specifically, the assay tests whether the putative regulatory element can influence expression in an episomal context²¹ and from a distance of a few kb; *in vivo*, PRE2 maps approximately 400 kb from the *IGFBP5* promoter. To determine whether PRE2 acts as an enhancer element in a cellular context, we used a systematic CRISPR-based enhancer perturbation approach. We hypothesised that if PRE2 acts as an enhancer *in vivo*, targeting a catalytically inactive Cas9 (dCas9) fused to a repressive (KRAB) domain to regions within PRE2 would result in lower levels of expression of *IGFBP5* (CRISPR interference; CRISPRi); by contrast, targeting dCas9 fused to an activating VPR domain would result in higher levels of expression of *IGFBP5* (CRISPR activation; CRISPRa)^{22; 23}. We designed CRISPR single guide (sg)RNAs to the ER α ChIP-seq peak at the centromeric breakpoint of the deletion (guides PRE2-1 and 2), within the esv3594306 deletion region (guides PRE2-3 to 6) and to the ER α ChIP-seq peak at the telomeric breakpoint of the deletion (guides PRE2-7 to 9; Figure 1B). As positive controls we designed sgRNAs to target the *IGFBP5* promoter (guides PROM-1 to 3; Figure S6A) and the previously characterised causal variant (rs4442975, guide PRE1-1; Figure S6B). As negative controls we designed sgRNAs to the published genome-wide association study signal 1 tag SNP (rs13387042, guides TAG-1 and 2; Figure S6B). We used MCF-7 cell lines engineered to stably express (i) dCas9 with a repressive KRAB domain and (ii) dCas9 with an activating VPR domain; as an additional control we used MCF-7 cells that expressed dCas9 without the KRAB or VPR domains.

In the dCas9 cell line, there was just one sgRNA (PROM-2) that influenced *IGFBP5* expression; this sgRNA targets the *IGFBP5* promoter, localising with the transcription start site (TSS) and likely reduces expression of *IGFBP5* by steric hindrance (60% reduction, $P=0.004$; Figure S7A). In the CRISPRi setting, all three sgRNAs targeting the *IGFBP5* promoter repressed *IGFBP5* expression significantly to 8-15% of levels in the negative controls ($P=0.001$, $P=0.001$ and $P=0.0008$ for guides PROM-1, 2 and 3 respectively; Figure S8A). No sgRNA targeting non-promoter sequences influenced *IGFBP5* expression (Figure S8A and Figure S8B). In the CRISPRa setting, the sgRNA 5' to the *IGFBP5* promoter (PROM-3; Figure 4A) enhanced *IGFBP5* expression more than sixty-fold ($P = 0.00008$) and the PRE-1 positive control sgRNA (PRE1-1) targeting rs442975 also enhanced *IGFBP5* expression (FC=3.7, $P = 0.006$; Figure 4A). In addition, four of the nine sgRNAs targeting sequences at PRE2 enhanced *IGFBP5* expression; specifically PRE2-1 and 2 targeting the ER α ChIP-seq peak at the centromeric deletion breakpoint (PRE2-1: FC=3.7, $P=0.0005$; PRE2-2: FC=3.1, $P=0.001$), PRE2-5 at the distal end of the deletion region (PRE2-5: FC=3.2, $P=0.002$) and PRE2-8 targeting the ER α ChIP-seq peak immediately telomeric to the deletion region (PRE2-8: FC=5.3, $P=0.002$; Figure 4B, Figure 5A). None of the sgRNAs influenced expression of two genes mapping immediately 3' to *IGFBP5* (*IGFBP2* and *RPL37A*; Figure 4C).

DISCUSSION

Fine-scale mapping at the 2q35 breast cancer locus in women of European Ancestry³ confirmed rs4442975 as the probable causal variant at signal 1 and reduced the number of credible causal variants at signal 2 from fourteen to five^{3; 14}; at signal 3, however, there remained 42 credible causal variants that could not be excluded as causal on statistical grounds alone in either the European or the Asian data. Low-throughput functional approaches that are used to investigate putative causal variants, including reporter gene assays and CRISPR screens, become prohibitive with large numbers of credible causal variants and most single locus^{11; 14; 24-38} and global^{3; 6} annotation studies have used co-localisation of credible causal variants with markers of open chromatin, active histone

modifications and transcription factor binding in relevant cell types to prioritise credible causal variants for functional follow up. Of the 811 annotation tracks that were examined in a recent global fine-scale mapping analysis³, credible causal variants were enriched at three types of genomic features that are relevant to long range regulatory elements: (i) open chromatin in ER+ cell lines and normal breast, (ii) the active histone marks H3K4me1 and H3K27ac in MCF-7 cells and (iii) ESR1, FOXA1, GATA3 and P300 TF binding sites. By aligning the five credible causal variants at PRE2 and the 42 credible causal variants at PRE3 with these marks (Table S4) we were able to prioritise four of the five credible causal variants at PRE2 and two of the 42 credible causal variants at PRE3 for follow up studies. By taking this approach there is, inevitably, the possibility that we have excluded one or more causal variants from our follow up analyses. For PRE2 this seems unlikely as we selected four out of the five credible causal variants for further follow up studies. For PRE3 it is entirely possible, or even probable, that we failed to prioritise one or more causal variant(s); improving our ability to discriminate more accurately between potentially functional variants and large numbers of correlated variants will require genome-wide data sets with functional outputs^{21; 39; 40} generated in more relevant cellular disease models and taking advantage of single cell technologies¹.

Using reporter gene assays, we have demonstrated that both the distal region of PRE2 (PRE2B) and the entire PRE3 region can enhance transcription from the *IGFBP5* promoter in a cell type-specific manner. Despite co-localising with multiple markers, we found no evidence that the proximal region of PRE2 (PRE2A) acts as an independent enhancer element. The ChIP-seq peaks at this region are, however, relatively weak (Figure 1B); combining data from both PRE2A alleles, in both breast cancer cell lines to increase our power (i.e. using 12 replicates rather than 3) the overall mean fold change for PRE2A was 1.14 (1.03 – 1.26, $P=0.01$) consistent with the presence of a very modest enhancer element. Comparing REF constructs with ALT constructs, we found no evidence that either of the credible causal variants at PRE3 (*rs12694417*, *rs12988242*) altered the activity of the PRE. This does not exclude these SNPs as functional; as above, modest effects on enhancer activity may be difficult

to detect and variants that, for example, influence chromatin accessibility may not be detectable in transient assays¹¹. However, without preliminary *in vitro* evidence to suggest that one of these variants alters cell type-specific transcription from the *IGFBP5* promoter, pursuing further functional studies that are predicated on this very assumption seems unlikely to be fruitful. By contrast, one comparison that was consistent and significant between constructs and across the two breast cancer cell lines was that PRE2 deletion alleles had stronger enhancer activity than PRE2 insertion alleles.

The purpose of our CRISPR-based enhancer perturbation was two-fold; specifically, to interrogate the PRE2 region within its normal genomic context and more generally to evaluate CRISPRi and CRISPRa approaches for interrogating long-range regulatory elements that harbour credible causal variants. As none of our PRE2 sgRNAs impacted *IGFBP5* expression significantly in the CRISPRi setting, our analysis raises questions as to the utility of this approach for characterising long-range regulatory elements (PRE2 maps approximately 400 kb telomeric to the *IGFBP5* promoter). This is at odds with results of a systematic CRISPRi screen to identify enhancer elements in K562 cells, which demonstrated CRISPRi mediated repression of c-MYC expression by sgRNAs targeting sequences mapping up to 1.9 Mb downstream of c-MYC²². In this analysis, however, CRISPRi mediated repression by these distal elements was modest compared to CRISPRi mediated repression by more proximal elements and, even based on 12 biological replicates, of borderline statistical significance²². By contrast, using CRISPRa we were able to confirm that one or more elements within PRE2 can act as a long-range regulatory element that specifically targets *IGFBP5* (rather than *IGFBP2* or *RPL37A*). Four of the nine guide RNAs targeting dCas9-VPR to sequences at PRE2 increased expression of *IGFBP5*; three of these colocalised with ER α , FOXA1 and GATA3 ChIP-seq peaks (PRE2-1, 2 and 8) and a fourth (PRE2-5) mapped within the esv3594306 deleted region (Figure 5A). There were also two guides which targeted dCas9-VPR to sequences that map close to the distal ER α , FOXA1 and GATA3 ChIP-seq peak (PRE2-6 and 7) but did not increase *IGFBP5* expression; this may reflect the very variable efficiency of different guide RNAs²². We present a theoretical model in which we

hypothesise that all of the PRE2 guides that increased expression of *IGFBP5* increased the local density of activating TF domains by bringing a VPR domain into the proximity of a cluster of TF ChIP-seq peaks; one implication of the increase in *IGFBP5* expression we observed with PRE2-5, which maps approximately 450 bp from the centre of the nearest cluster of ChIP-seq peaks (Figure 5A), is that these regulatory elements may extend over relatively large (>1 kb) regions. This should not, perhaps, be surprising; at a subset of strongly activated E2-responsive enhancers, it has previously been shown that ER α recruits DNA-binding transcription factors *in trans*, to form a large (1-2 MDa) complex⁴¹.

It has previously been suggested that sequences mapping to PRE2 act as a repressor element which, in the presence of low dose estradiol, acts to reduce *IGFBP5* expression¹⁴. By contrast, our data support PRE2 acting as a powerful enhancer element with the deletion allele increasing expression of *IGFBP5* over and above that of the insertion allele with or without estradiol stimulation. Overall, our data are consistent with a hypothetical model in which the juxtaposition of the two ER α , FOXA1, GATA3 binding sites at PRE2 by deletion of approximately 1.4 kb of intervening sequence generates a single extended binding region (Figure 5B) that is causally associated with increased enhancer activity, higher levels of expression of the putative tumour suppressor gene *IGFBP5*⁴² and a reduction in breast cancer risk (OR=0.77, $P=2.2 \times 10^{-29}$) that is largely restricted to ER+ disease. In conclusion, we have identified putative enhancer elements at two additional 2q35 breast cancer risk loci. One of these, mapping approximately 400 kb telomeric to *IGFBP5* enhances transcription from the *IGFBP5* promoter by a factor of thirty to forty-fold. For this element we provide evidence that a deletion of 1.4 kb is causally associated with increased enhancer activity and suggest a mechanism for this increased activity.

SUPPLEMENTAL DATA

Supplemental data include seven figures and six tables. Acknowledgements and funding details can be found in Supplemental data.

Data and Code Availability

Summary results for all variants genotyped by the Breast Cancer Association Consortium BCAC (including rs45446698) are available at <http://bcac.ccge.medschl.cam.ac.uk/>. Requests for data can be made to the corresponding author or the Data Access Coordination Committee (DACC) of the Breast Cancer Association Consortium via email to: BCAC@medschl.cam.ac.uk.

Declaration of interests

Matthias W. Beckmann conducts research funded by Amgen, Novartis and Pfizer. Peter A. Fasching conducts research funded by Amgen, Novartis and Pfizer. He received Honoraria from Roche, Novartis and Pfizer. Allison W. Kurian received research funding to her institution from Myriad Genetics for an unrelated project (funding dates 2017-2019). Usha Menon has stockownership in Abcodia Ltd. All other authors declare no conflict of interest.

WEB RESOURCES

1000 Genomes Project (1KGP) data can be accessed at <https://www.internationalgenome.org/>.

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Figure Legends:

Figure 1: 2q35 breast cancer risk locus

(A) Fine-scale mapping at 2q35 identified three high confidence ($P < 1 \times 10^{-6}$) signals annotated by rs4442975 (signal 1), rs138522813 (signal 2) and rs5838651 (signal 3). The putative target gene (*IGFBP5*) maps 360 kb, 399 kb and 703 kb from signals 1, 2 and 3 respectively. All coordinates are based on GRCh37/hg19. (B) Putative regulatory element 2 (PRE2; chr2:217,955,458-217,957,767) at signal 2, colocalises with four highly correlated variants: three single nucleotide polymorphisms (SNPs; rs572022984, rs199804270 and rs72951831) and a 1.4 kb insertion/deletion variant (esv3594306; indicated by a black bar). A fourth SNP (rs138522813) maps outside the proposed boundaries of PRE2. Regions of open chromatin (DNase I) and ChIP-seq binding peaks for transcription factors are shown as grey bars where the shade of grey indicates the strength of the ChIP-seq peak (light grey=weak binding, dark grey=strong binding). Also shown (yellow bars) are the coordinates of three reporter gene constructs (PRE2A, PRE2B and PRE2DEL) and the locations of sequences targeted by nine small guide (sg)RNAs. (C) PRE3 (chr2:218,305,944-218,306,443) indicated by a blue bar colocalises with two SNPs (rs12694417 and rs12988242). Regions of open chromatin and ChIP-seq binding peaks are as in (B).

Figure 2: Luciferase reporter assays following transient transfection of PRE2 and PRE3, REF and ALT constructs, into MCF-7, T-47D and HepG2 cells.

The PRE containing the reference (REF) allele at each SNP was cloned downstream of the *IGFBP5* promoter to generate reference (REF) luciferase constructs. Alternative (ALT) alleles were generated by site-directed mutagenesis. Coordinates of the PREs are given in Table S2, diagrams are in Figure S1. Error bars denote standard deviations based on three independent experiments each done in triplicate. *P*-values were determined by *t*-tests and a Bonferroni correction was applied to account for multiple testing. Comparing each PRE containing construct to *IGFBP5*-PROM, * $P < 0.0056$, ** $P \leq 0.00056$; comparing ALT to REF constructs # $P < 0.0056$

Figure 3: Luciferase reporter assays following transient transfection of constructs with allelic variants at PRE2B and PRE2DEL into (A) MCF-7 and (B) T-47D cells.

Reporter gene constructs with all possible combinations of rs199804270 and rs72951831 and esv3594306 were generated by site-directed mutagenesis of the naturally occurring haplotypes at PRE2B and PRE2DEL (Methods). Coordinates of the PREs are given in Table S2, diagrams are in Figure S1. Error bars denote standard deviations based on three independent experiments each done in triplicate. 3-way ANOVA was used to compare each variant, adjusted for the other two variants, a Bonferroni correction was applied to account for multiple testing. * $P < 0.017$, ** $P \leq 0.0017$

Figure 4: Systematic CRISPRa analysis of 2q35 putative regulatory elements

MCF-7 cells expressing dCas9-VPR were transduced with CRISPR sgRNAs targeting: (A) the PRE1 tag SNP rs13387042 (negative control), the *IGFBP5* promoter and the PRE1 causal variant rs4442975 (positive control) and (B) and (C) a series of sites mapping across PRE2 (Figure 1B). Relative gene expression (compared to vector alone) was calculated using the $\Delta\Delta C_T$ method. Full details of guide RNAs are listed in Table S3. Error bars denote standard deviations based on three independent experiments each done in triplicate. P -values were determined by t -tests and a Bonferroni correction was applied to account for multiple testing; (A) * $P < 0.017$, ** $P < 0.0017$, *** $P < 0.00017$ (B) and (C) * $P < 0.0056$, ** $P \leq 0.00056$

Figure 5: Increasing the local density of activator TF domains with dCas9-VPR or by juxtaposition of two ChIP-seq peaks is associated with increased expression of *IGFBP5*

(A) Introducing dCas9 fused to a VPR activator domain at the ER α , FOXA1, GATA3 ChIP-seq peak at the centromeric end of the deletion breakpoint (PRE2-1 and PRE2-2), proximal to, or at, the ER α , FOXA1, GATA3 ChIP-seq peak at the telomeric end of the deletion breakpoint (PRE2-5 and PRE2-8, respectively) increases expression of *IGFBP5* in MCF-7 cells. (B) deletion of 1.4 kb on the ALT allele of

esv3594306 juxtaposes these two ER α , FOXA1, GATA3 ChIP-seq peaks. In each case ((A) and (B)) this increases the density of activating TF domains in the region and is associated with increased expression of *IGFBP5*.

1 TABLES
2

	iCOGS						Oncoarray					Combined							
	MAF ^a	Cases	Controls	OR ^b	95% CI	P ₁ ^c	MAF	Cases	Controls	OR	95% CI	P ₁	Cases	Controls	OR	95% CI	P ₁	P _{het1} ^d	P _{het2} ^e
Europeans																			
rs4442975	0.49	36,471	37,251	0.88	0.86 - 0.89	4.9 x 10 ⁻³⁵	0.48	57,920	46,226	0.88	0.87 - 0.90	1.7 x 10 ⁻⁴²	94,391	83,477	0.88	0.87 - 0.89	1.3 x 10 ⁻⁷⁵	0.46	0.49
rs138522813 ^f	0.035			0.81	0.76 - 0.86	2.2 x 10 ⁻¹²	0.03			0.79	0.75 - 0.83	3.0 x 10 ⁻²¹			0.80	0.77 - 0.83	5.5 x 10 ⁻³²	0.62	0.035
rs5838651	0.3			1.07	1.05 - 1.10	4.2 x 10 ⁻⁹	0.3			1.06	1.04 - 1.08	4.6 x 10 ⁻⁹			1.07	1.05 - 1.08	1.5 x 10 ⁻¹⁶	0.40	0.3
Asians																			
rs4442975	0.87	4,994	5,866	0.96	0.88 - 1.04	0.29	0.88	7,487	6,892	0.93	0.87 - 1.01	0.07	12,481	12,758	0.94	0.89 - 1.00	0.04	0.68	0.02
rs138522813 ^f																			
rs5838651	0.61			1.03	0.97 - 1.10	0.29	0.62			1.09	1.04 - 1.14	0.0005			1.07	1.03 - 1.11	0.0008	0.18	0.95

3
4 **Table 1: Association of rs4442975, rs138522813 and rs5838651 among women of European and Asian ancestry.**
5

6 ^a MAF = Minor allele frequency

7 ^b OR = per allele odds ratio

8 ^c P₁ = test of H₀ no association between SNP and breast cancer risk

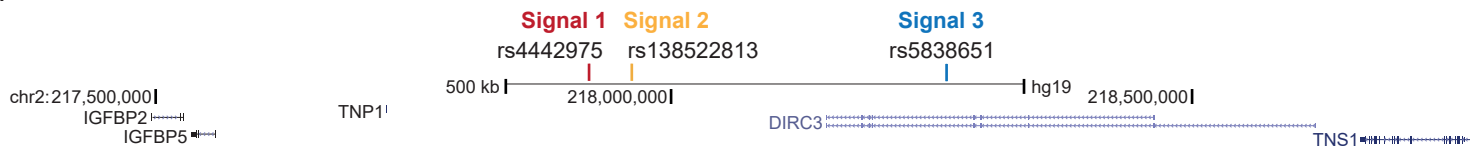
9 ^d P_{het1} = test of H₀ no difference between iCOGS and OncoArray data

10 ^e P_{het2} = test of H₀ no difference between European and Asian data

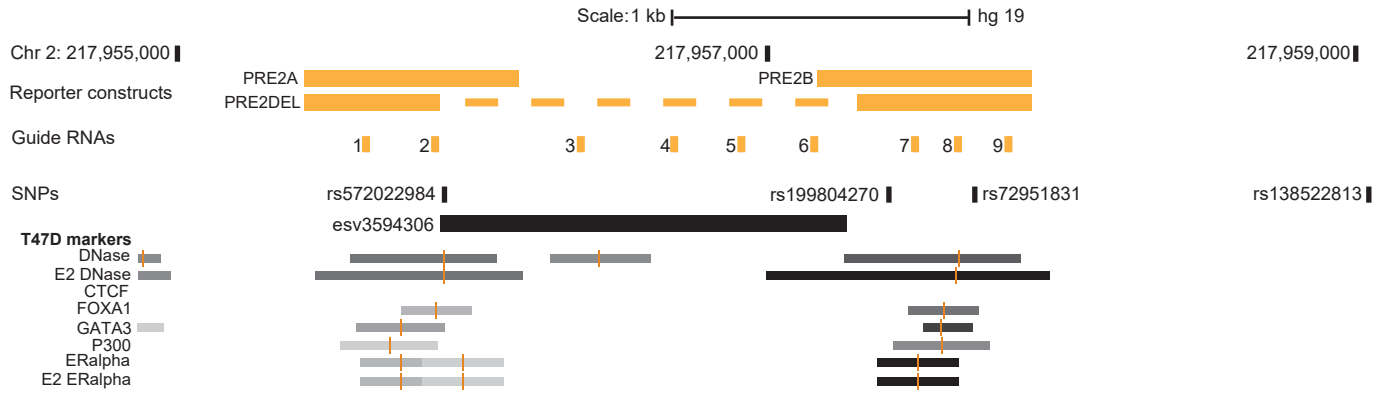
11 ^f rs138522813-Del allele is extremely rare in Asians (MAF ~ 0.05%) and was not analysed in Asian data

Figure 1

A



B



C

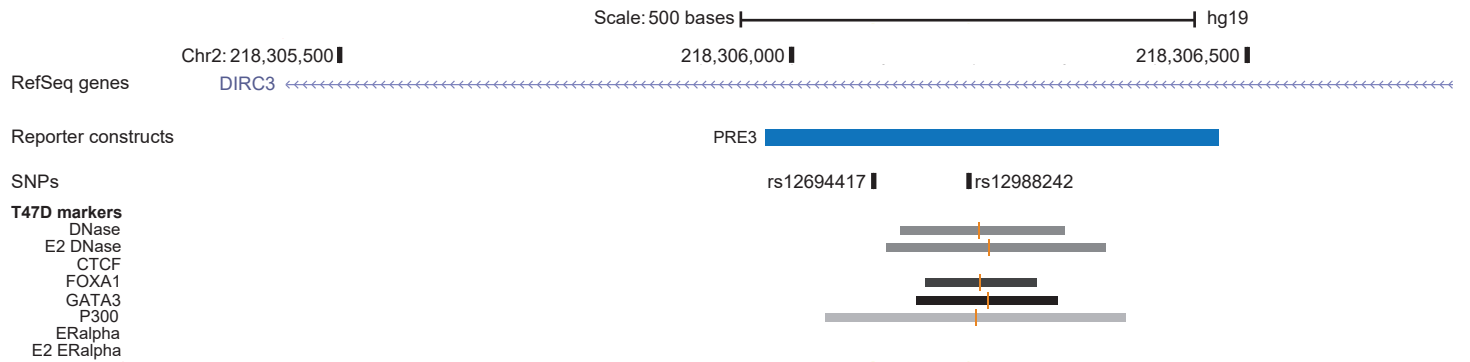


Figure 2

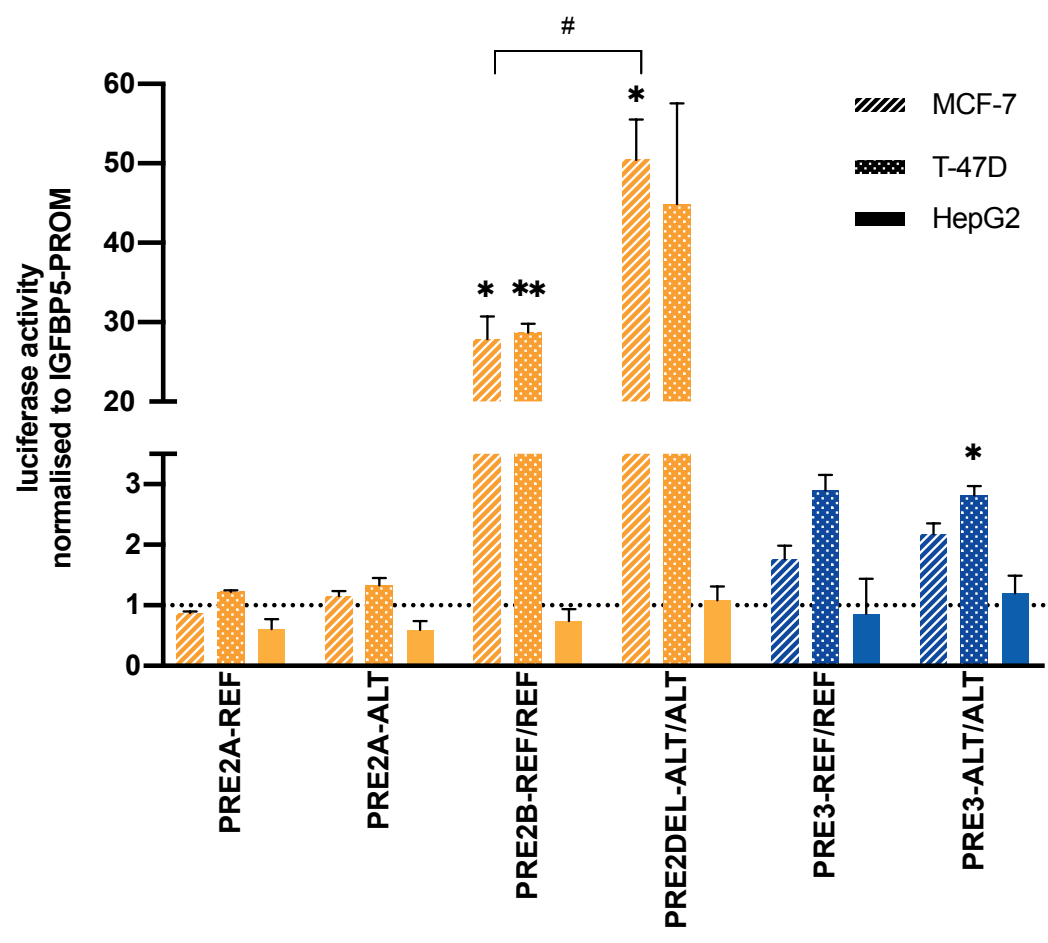


Figure 3

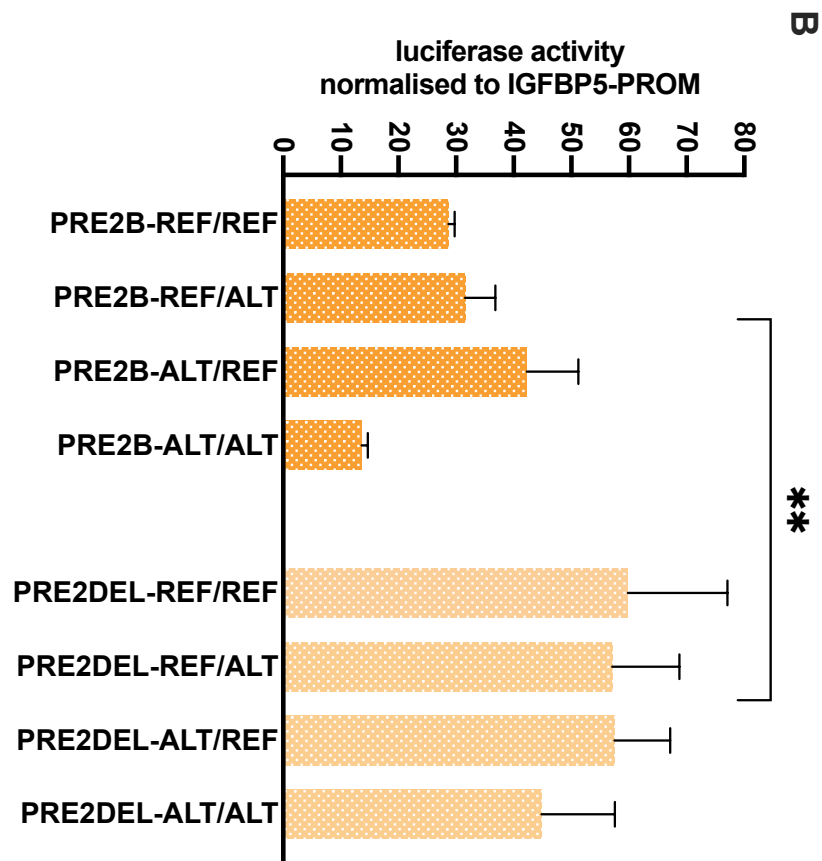
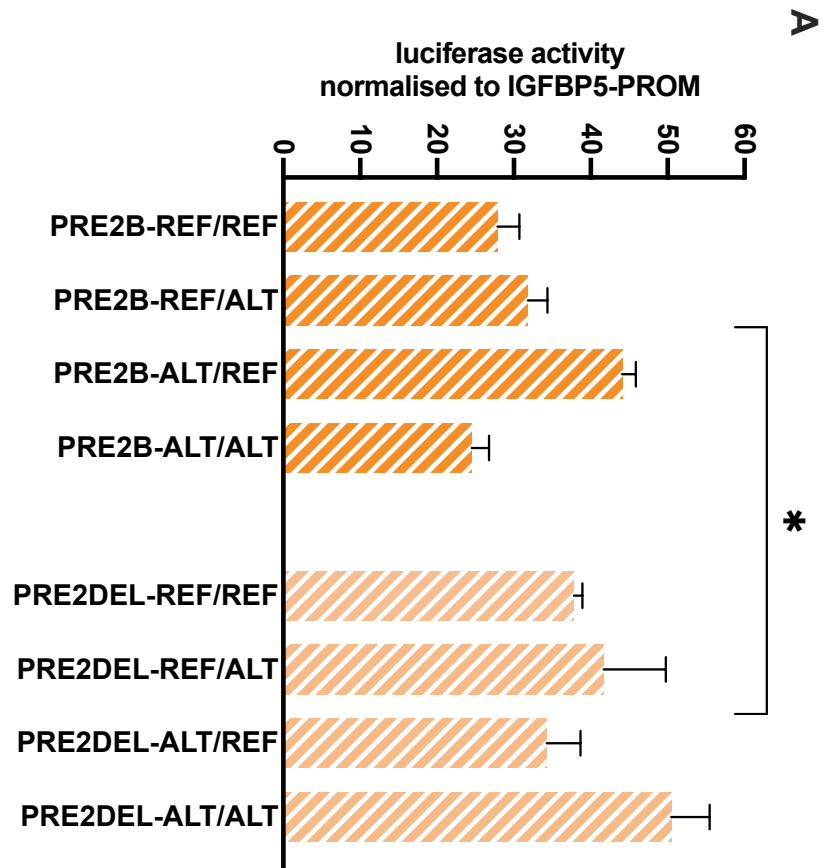
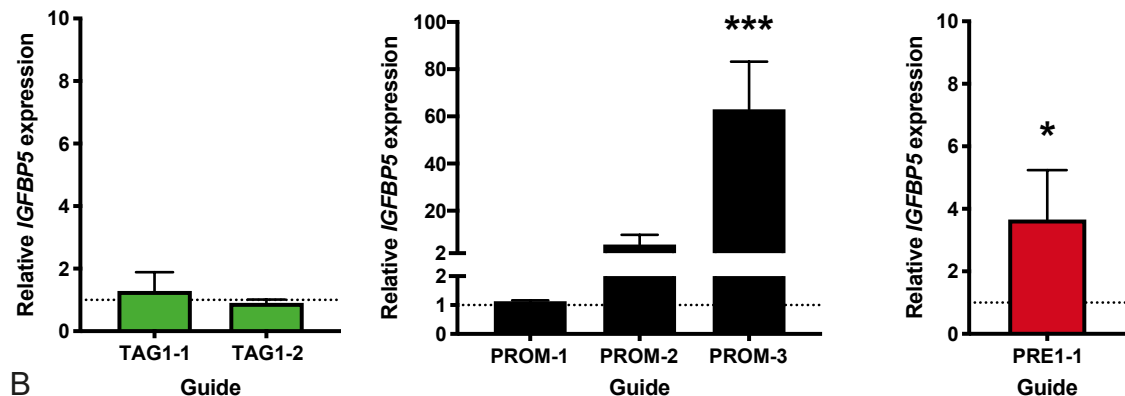
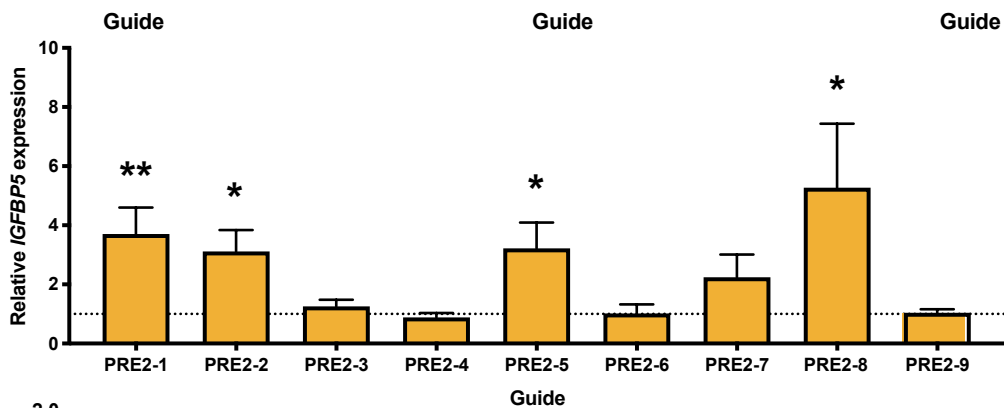


Figure 4



B



C

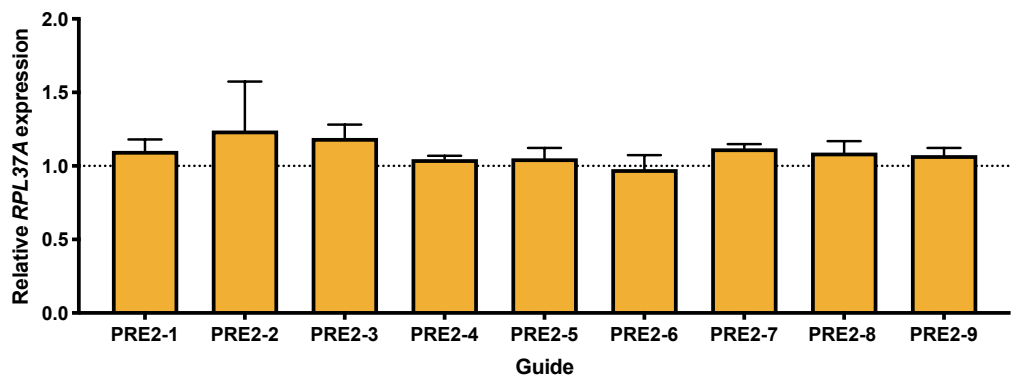
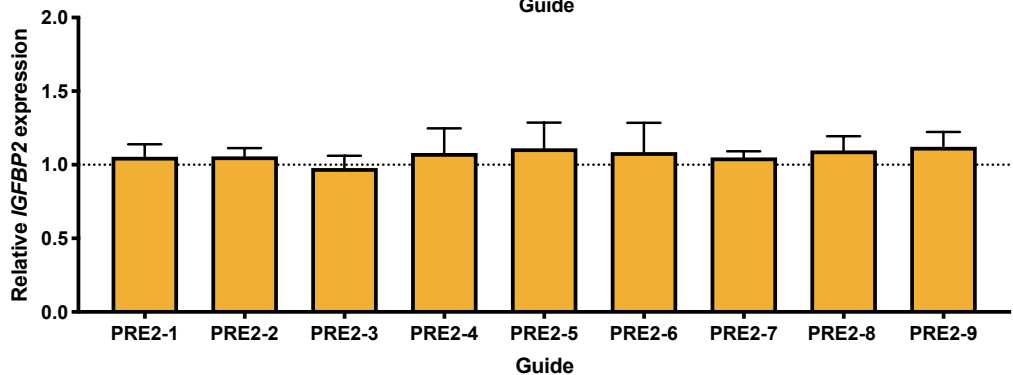
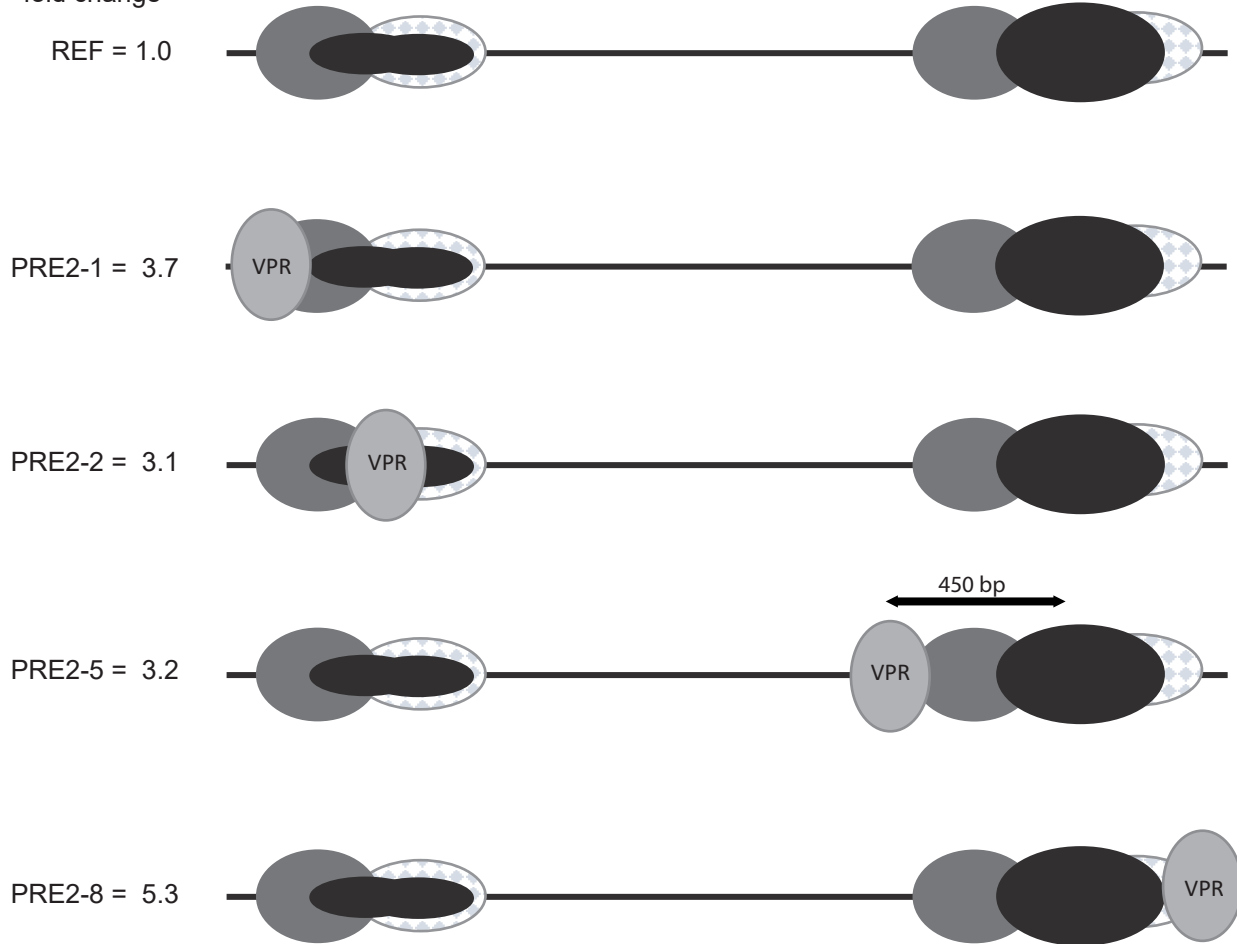
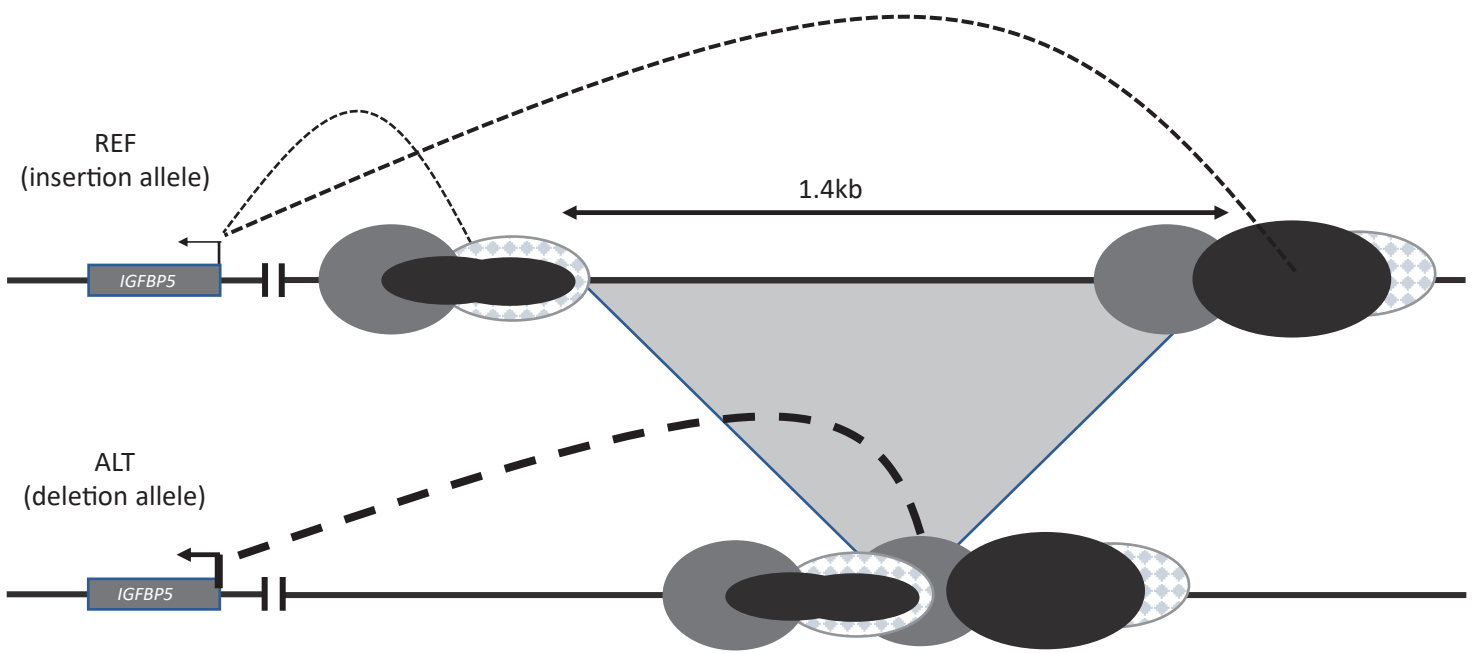


Figure 5
A

IGFBP5 expression
fold change



B





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