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# Decreased Function of Survival Motor Neuron Protein Impairs Endocytic Pathways

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Spinal Muscular Atrophy (SMA) is caused by depletion of the ubiquitously expressed survival motor neuron (SMN) protein, with one in forty Caucasians being heterozygous for a disease allele. SMN is critical for the assembly of numerous ribonucleoprotein complexes, yet, it is still unclear how reduced SMN levels affect motor neuron function. Here, we examined the impact of SMN depletion in Caenorhabditis elegans and found that decreased function of the SMN ortholog SMN-1 perturbed endocytic pathways at motor neuron synapses and in other tissues. Diminished SMN-1 levels caused defects in C. elegans neuromuscular function and smn-1 genetic interactions were consistent with an endocytic defect. Changes were observed in synaptic endocytic proteins when SMN-1 levels decreased. At the ultrastructural level, defects were observed in endosomal compartments, including significantly fewer docked synaptic vesicles. Finally, endocytosis-dependent infection by JC polyomavirus (JCPyV) was reduced in human cells with decreased SMN levels. Collectively, these results demonstrate for the first time that SMN depletion causes defects in endosomal trafficking that impair synaptic function, even in the absence of motor neuron cell death.

endocytic trafficking | survival motor neuron | spinal muscular atrophy | C. elegans | infection

## Introduction

Spinal Muscular Atrophy (SMA) is one of the most severe neuromuscular diseases of childhood, with an incidence of 1 in 10,000 live births and a high carrier frequency of roughly 1 in 40 Caucasians (1-3). SMA is caused by reduced levels of the ubiquitously expressed survival of motor neuron (SMN) protein and results in degeneration of α-spinal cord motor neurons, muscle weakness, and/or death. Two human genes encode the SMN protein, *SMN1* and *SMN2*. SMA alleles arise at relatively high frequency due to small intrachromosomal *de novo* rearrangements including the *SMN1* locus (4). Patients often carry homozygous *SMN1* deletions, although missense and nonsense alleles exist (5). Multiple copies of *SMN2* rarely compensate for loss of *SMN1* due to a C>T nucleotide change in *SMN2* exon 7 that perturbs pre-mRNA splicing and results in a truncated protein of diminished function and stability (SMN<sup>Δ</sup>7) (5-9).

SMN has numerous roles and interacts with various proteins; yet, it remains unclear which interactions are most pertinent to SMA pathogenesis. As a component of the Gemin complex, SMN is required for biogenesis of small nuclear ribonucleoprotein (snRNP) particles critical for pre-mRNA splicing (10-12). Furthermore, SMN is needed for stress granule formation (13, 14), is found in RNP granules moving through neuronal processes, and is part of RNP complexes implicated in synaptic local translation (15-20). Additional roles for SMN, in transcription (21), in the PTEN-mediated protein synthesis pathway (22), in translational control (23) and in cell proliferation/differentiation (24) have been described. Importantly, no consensus has been reached

regarding the cellular and molecular pathways whose perturbation results in SMA pathology. Identifying the cellular pathways most sensitive to decreased SMN is essential to understand how SMN depletion causes neuronal dysfunction/death in SMA and to accelerate therapy development.

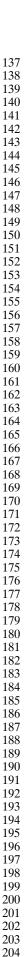
One of the early events in SMA pathogenesis is the loss of neuromuscular junction (NMJ) function, evidenced by muscle denervation, neurofilament accumulation, and delayed neuromuscular maturation (25-27). In addition, reduced neurotransmitter release and decreased numbers of docked vesicles that precede axonal degeneration and/or motor neuron death have been reported at synapses of severe SMA mouse models (28, 29). Notably, accumulation of synaptic vesicles away from release sites was observed in SMA fetal samples (30). The proximate cause of these synaptic changes is unclear. Numerous hypotheses have been proposed, including functional abnormalities in axonal transport and/or calcium channel loss in the nerve terminals (25-30), but none have explained the defects observed in SMA presynaptic regions.

Here, we use a previously established model of SMA in the nematode *Caenorhabditis elegans* (*C. elegans*) and show, using functional assays, pharmacological challenges, and genetic epistasis, that decreased SMN levels cause endocytic pathway defects. In *C. elegans* cholinergic motor neurons, decreased SMN levels caused aberrant localization of proteins critical for endocytosis.

# Significance

Spinal Muscular Atrophy (SMA) is a devastating motor neuron disease, caused by decreased levels of the ubiquitous Survival Motor Neuron (SMN) protein. Despite the well-characterized role of SMN in pre-mRNA splicing, it remains unclear why SMA has a high carrier frequency (~1:50 Caucasians) and why diminished SMN affects synaptic function. Here, we demonstrated for the first time that SMN depletion causes defects in endosomal trafficking that impair synaptic function. Additionally, diminished SMN in human cells reduced endocytosis-dependent viral infection. It is possible that decreased SMN function may increase resistance to infection. Our findings point to endocytic trafficking as a major player in SMA pathogenesis.

# **Reserved for Publication Footnotes**



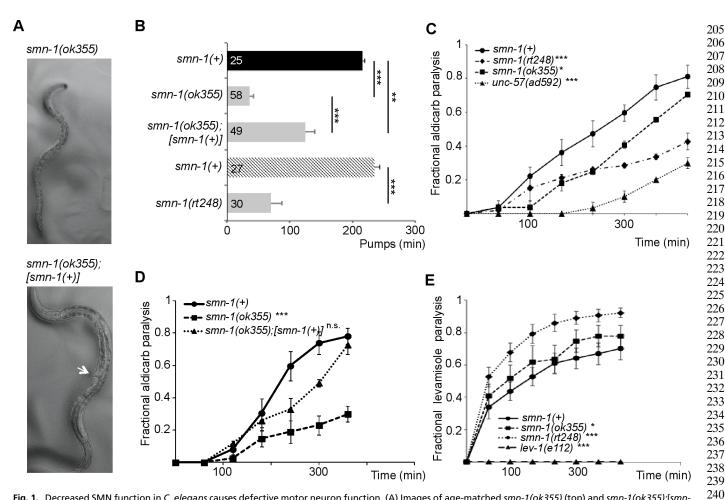


Fig. 1. Decreased SMN function in *C. elegans* causes defective motor neuron function. (A) Images of age-matched smn-1(ok355) (top) and smn-1(ok355);[smn-1(+)] (bottom) animals. Single-copy insertion of an [smn-1(+)] transgene rtSi10 rescued smn-1(ok355) larval lethality and growth, but did not restore fertility. Arrow indicates adult vulva, which has not developed in age matched smn-1(ok355). (B) smn-1(ok355) and smn-1(rt248) animals had reduced pumping rates versus respective smn-1(+) controls. Studies in panel B involving smn-1(ok355) were run independently from studies with smn-1(rt248), but combined into one panel for brevity (black column: smn-1(+) control for smn-1(+) smn-1(smn-1(+)) were ameliorated by introducing an smn-1(+) rescue construct. Mean smn-1(+) smn-1(smn-1(+)) animals were resistant to the acetylcholinesterase inhibitor aldicarb. Time course for paralysis induced by 1 mM aldicarb inappropriate resistance to aldicarb (43). Log-rank test: smn-1(+) smn-1(smn-1(+)) genomic rescue (smn-1(+)) animals was mostly restored by smn-1(+) genomic rescue (smn-1(+)). Log-rank test: smn-1(+)0. The aldicarb resistance of smn-1(+)1 was mostly restored by smn-1(+)2 genomic rescue (smn-1(+)3 animals was mostly restored by smn-1(+)3 animals was mostly restored by smn-1(+)4 hermaphrodite paralysis on 0.4 mM levamisole, a nicotinic ACh receptor agonist. smn-1(+)4 smn-1(smn-1(+)5 smn-1(smn-1(+)6 smn-1(smn-1(+)6

Further, ultrastructural analysis of endosomal compartments revealed numerous defects when SMN levels were depleted, including loss of synaptic docked vesicles. Endocytic pathway defects were also observed in non-neuronal tissues. Finally, endocytosis-dependent infection by JC polyomavirus (JCPyV) was reduced in human cells with decreased SMN levels. Combined, these results demonstrate for the first time that SMN depletion causes widespread defects in endosomal trafficking that impair synaptic function in motor neurons, even in the absence of motor neuron death.

### Results

smn-1 is required for neuromuscular function. The *C. elegans* genome harbors a single ortholog of SMN, SMN-1. Animals with a wild type copy of the endogenous smn-1 gene are referred to herein as smn-1(+) and are used as controls. Diminished smn-1 function causes slow growth, larval lethality and impairs neuromuscular function in pharyngeal pumping during feeding (31, 32) (Figure 1A). *C. elegans* feed on microorganisms using a discrete subset of muscles and neurons in the pharynx (33).

Animals pump symmetrically and continuously roughly 250 times per minute when food is present. The pumping rates of smn-1 loss of function animals (smn-1(ok355)) are significantly reduced (p =3e-12, Figure 1B) (31, 32). To confirm that the defects described here are caused by smn-1 loss, we generated a new smn-1 allele, smn-1(rt248), using CRISPR/Cas9 targeted mutagenesis (34, 35). The rt248 allele results in a premature truncation at the 19th amino acid (D19fs) of the SMN-1 protein, disrupts RNA binding, Tudor and oligomerization domains, and likely eliminates SMN-1 protein function (Figure S1). The pumping rates of smn-1(rt248) animals were significantly reduced (p = 3e-9, Figure 1B). This defect was re-confirmed using RNA interference (smn-1(RNAi), p = 2e-09, Figure S2). These neuromuscular defects are progressive and not a developmental process; homozygous smn-1(ok355) and smn-1(rt248) larvae initially resemble wild type animals due to maternal *smn-1* loading. Eventually, homozygous *smn-1* animals lose maternally-loaded smn-1 product, suffer decreased motor neuron function, and usually die as larvae. However, no motor neuron loss is observed (36). The impact of smn-1 loss is recessive; heterozygous animals are overtly normal. Single copy insertion

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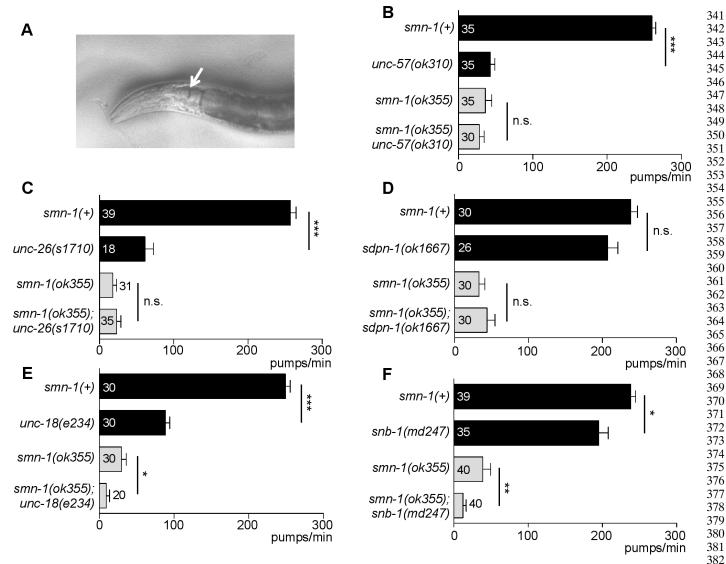
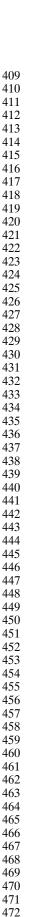


Fig. 2. Double mutant analysis using pharyngeal pumping suggests synaptic vesicle recycling defects. (A) *C. elegans* neuromuscular function is assessed as pharyngeal grinder (arrow) movement during feeding. (B-D) Complete loss of endophilin-A,synaptojanin, or syndapin(unc-57(ok310), unc-26(s1710), or sdpn-1(ok1667))did not alter smn-1(ok355) pharyngeal pumping defects. (E and F) Complete loss of Munc-18 or synaptobrevin(unc-18(e234) or snb-1(md247)) exacerbated smn-1(ok355) pharyngeal pumping defects. Additive defects with SV exocytosis loss of function mutants suggested that SMN-1 depletion impairs the SV recycling pathway. Total number of animals tested listed for each genotype  $\pm$  SEM;Mann-Whitney U-test, two tailed: \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*n.\*s, not significant.

of an smn-1(+) genomic DNA transgene (containing 445 bp of 5' regulatory sequences, 816 bp smn-1 genomic DNA and 558 bp of 3' non-coding sequences, called nSi10) fully rescued larval lethality, partially ameliorated pharyngeal pumping defects (p = 0.0004, Figures 1A and 1B), but did not restore fertility of smn-1(ok355) animals. The partial rescue of smn-1(ok355) defects may arise from regulatory sequences required for SMN-1 expression that were not included in the rescue construct. Nevertheless, the results presented here confirm that these defects can be attributed to SMN-1 depletion and that SMN-1 is required for normal neuromuscular function.

*smn-1* regulates synaptic transmission. Studies in SMA patients and mice revealed defects in motor neuron synapses when SMN levels are reduced (37). We examined motor neuron function pharmacologically in *smn-1*(*ok355*) and *smn-1*(*rt248*) animals by assessing sensitivity to aldicarb and levamisole. Aldicarb is an acetylcholinesterase inhibitor that causes muscle hypercontraction and paralysis due to accumulation of acetylcholine (ACh) in the synaptic cleft (38). Decreased ACh release slows

the onset of aldicarb-induced paralysis. We found that smn-1(ok355) and smn-1(rt248) animals were resistant to aldicarb compared to animals with normal smn-1 function (p = 0.03 smn-1(ok355) and p = 8e-6 smn-1(rt248) vs smn-1(+) derived from +/hT2 parents, Figure 1C). This increased resistance was due to diminished smn-1 as introducing an smn-1(+) genomic DNA fragment restored aldicarb sensitivity (p = 0.17, Figure 1D). Resistance to aldicarb was also observed when smn-1 was knocked down by RNAi specifically in cholinergic neurons (p = 0.004, Figure \$3) (39). Therefore, SMN-1 is required for neuromuscular function, likely in cholinergic neurons, which is consistent with a previous study reporting resistance to the acetylcholinesterase inhibitor pyridostigmine bromide (40). smn-1(ok355) and smn-1(rt248) aldicarb resistance could be caused by decreased neurotransmitter release or reduced post-synaptic response to acetylcholine. To discriminate, we assessed smn-1(ok355) and smn-1(rt248) sensitivity to levamisole, an agonist, which induces paralysis by directly activating post-synaptic nicotinic receptors. Levamisole resistance is thought to be purely post-synaptic (41). smn

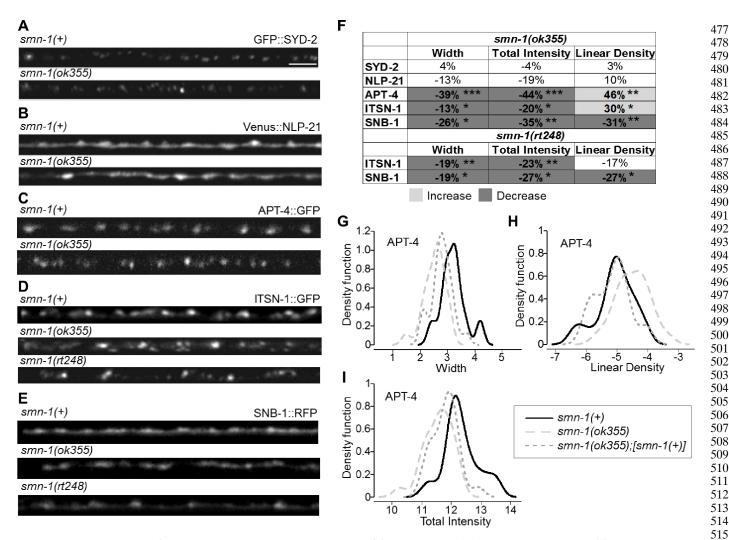


Fig. 3. Altered localization of presynaptic endocytic proteins in smn-1 loss of function animals. (A-E) Representative images of fluorescently-tagged, presynaptic proteins expressed in the dorsal nerve cord of cholinergic DA motor neurons of smn-1(+) control, smn-1(ok355), and smn-1(rt248) animals. Scale bar, 10 µm. (F) Percent change from control for SYD-2 ( $\alpha$ -liprin), NLP-21 (GGARAF neuropeptide family), APT-4 (AP2  $\alpha$ -adaptin), ITSN-1 (DAP160/Intersectin) and SNB-1 (synaptobrevin) in smn-1(ok355) animals is reported for average puncta width, puncta total intensity, and linear density (number/µm). ITSN-1 and SNB-1 are reported for smn-1(rt248). Light and dark shading indicates an increase or decrease, respectively, in the smn-1(ok355) and smn-1(rt248) animals compared to smn-1(+) control (See Dataset S1 for extended analysis). Mann-Whitney U-test, two tailed \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001. (G-I) A single copy of the [smn-1(+)] construct rtSi10 fully rescued APT-4 linear density (Control smn-1(+) animals versus rescued smn-1(ok355) versus smn-1(ok355) versus smn-1(ok355) versus smn-1(ok355) versus smn-1(ok355) versus smn-1(ok355) v

1(ok355) and smn-1(rt248) were hypersensitive in their response to levamisole ( $p=0.02 \ smn-1(ok355)$ ) and  $p=5e-4 \ smn-1(rt248)$  vs smn-1(+), Figure 1E). According to Miler and colleagues (42) a normal or hypersensitive response to levamisole suggests that an impaired ACh response at the muscle is not the cause of aldicarb resistance. Together, these data suggest that SMN-1 likely impacts presynaptic function and is required for normal neurotransmitter release.

Genetic interaction of *smn-1* with endocytic pathway genes. The *smn-1* (*ok355*) and *smn-1* (*rt248*) synaptic defects could be due to impairments in the synaptic vesicle (SV) cycle. Potential functional interactions between *smn-1* and genes encoding SV endo- or exocytosis proteins were examined by constructing double mutant strains. For this epistasis analysis, we used two quantitative neuromuscular phenotypes: aldicarb sensitivity and

pharyngeal pumping rates. First, double mutant animals were constructed with smn-1(ok355) and complete (null) loss of function alleles for unc-57 (endophilin-A) and unc-26 (synaptojanin), which encode proteins in the synaptic clathrin-mediated endocytosis (CME) pathway (43), and sdpn-1 (syndapin), which is required for activity-dependent bulk endocytosis (ADBE) (44). Loss of smn-1 did not exacerbate the aldicarb resistance defects of unc-57(ok310) nor unc-26(s1710) compared to each single mutant strain, but rather an intermediate defect was observed (Figure S4A and S4B). The aldicarb response of smn-1(ok355); sdpn-1(ok1667) animals was almost identical to that of smn-1(ok355) animals (p=0.76, Figure S4C). When double mutant strains were constructed with complete (null) loss of function mutants involved in SV exocytosis, snb-1 (synaptobrevin) or unc-18 (Munc18), the aldicarb response defects were non-additive (p

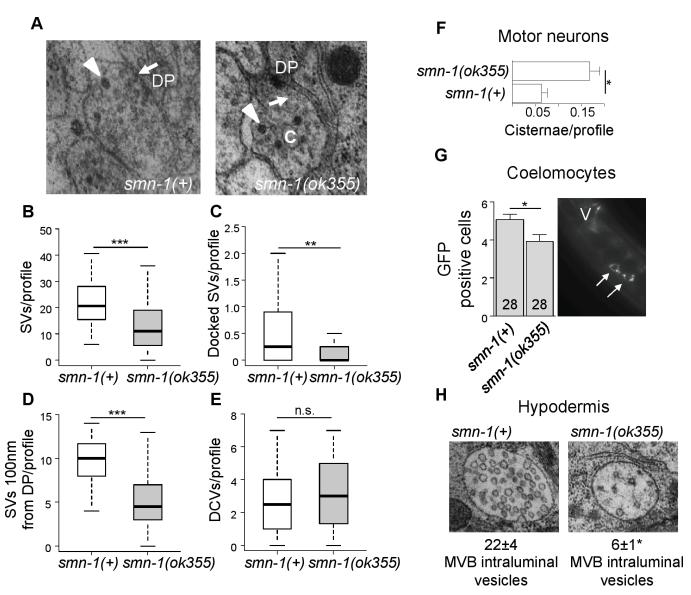
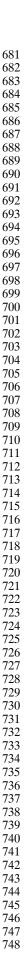


Fig. 4. Endosomal defects are seen in smn-1(ok355) motor neurons and elsewhere. (A) Representative electron micrograph images of smn-1(+) control and smn-1(ok355) neuromuscular junctions. Arrows point to synaptic vesicles (SVs) and arrowheads to dense core vesicles (DCVs). DP indicates dense projection; C indicates a cistern. (B) SVs per synaptic profile, as defined by the presence of a DP, were reduced in smn-1(ok355) animals. Mann-Whitney U-test, two tailed: \*\*p < 0.001. (C) Docked SVs in contact with the DP were reduced in smn-1(ok355) animals. Mann-Whitney U-test, two tailed: \*\*p < 0.001. (D) smn-1(ok355) animals had reduced numbers of SVs within the region 100 nm from the synaptic profile/DP. Mann-Whitney U-test, two tailed: \*\*p < 0.001 (Table S2). E) The number of DCVs per synaptic profile/DP in smn-1(ok355) animals was not different than control smn-1(+) animals. Mann-Whitney U-test, two tailed: n.s., not significant. (F) Cisternae accumulated aberrantly in motor neurons of smn-1(ok355) mutants. Mean t SEM is shown, Mann-Whitney t -test, two tailed: \*t0.05. (G) Fluid-phase endocytosis by coelomocytes was decreased in smn-1(ok355) animals, Mann-Whitney t0.05. Image on the right shows the pair of coelomocyte cells lying just anterior to vulva; arrows indicate GFP accumulation inside the coelomocytes. No GFP accumulation in the body cavity of t0.255 animals was observed. (H) Representative electron micrograph images of multivesicular bodies (MVBs) in the hypodermis of t1. Control and t1. The MVBs of t1. Representative electron micrograph images of multivesicular bodies (MVBs) in the hypodermis of t1. Control animals, Mann-Whitney t1. Control animals. The MVBs of t2. Since t3. An image of multivesicular bodies (MVBs) in the hypodermis of t3. Ann-1(t4) control animals, Mann-Whitney t3. Ann-1(t4) control animals.

= 0.55 for snb-1(md247) vs smn-1(ok355);snb-1(md247) and p = 0.72 for unc-18(e234) vs smn-1(ok355);unc-18(e234), Figures S4D and S4E). These results are consistent with smn-1 depletion impairing SV function, but definitive conclusions could not be drawn. Therefore, we turned to pharyngeal pumping rates to assess genetic interactions (Figure 2A). Loss of C. elegans endophilin, synaptojanin or syndapin did not exacerbate the decreased pharyngeal pumping rates of smn-1(ok355) (p=0.34 for smn-1(ok355) unc-57(ok310), p=0.93 for smn-1(ok355);unc-26(s1710) and p=0.67 for smn-1(ok355);sdpn-1(ok1667), Figures 2B, 2C and 2D). By contrast, double mutant strains with genes involved in SV exocytosis were additive and had significantly

reduced pumping rates compared to each single mutant strain (p = 0.01 for smn-1(ok355);unc-18(e234)) and p = 0.008 for smn-1(ok355);snb-1(md247), Figures 2E and 2F). Overall, these results indicate that SMN-1 depletion impairs synaptic transmission, potentially by decreasing SV recycling.

**Diminished SMN-1 alters the presynaptic structure of motor neurons.** If SV recycling is defective when SMN levels are diminished, then the localization and/or levels of specific presynaptic proteins might change. Synaptic localization was examined in the DA motor neurons of *smn-1*(*ok355*) and *smn-1*(*rt248*) animals for two fluorescently-tagged presynaptic proteins: SNB-1 (synaptobrevin) and ITSN-1 (DAP160/Intersectin) (45, 46). In the dorsal



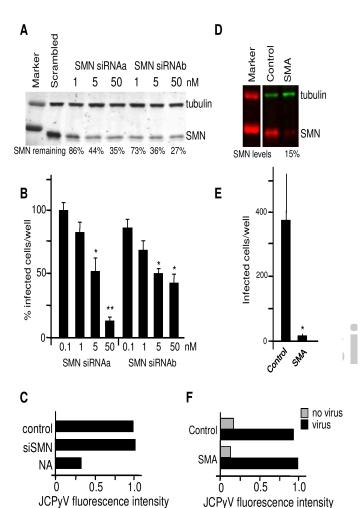
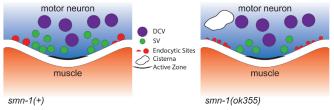


Fig. 5. Low SMN levels decrease JCPyV infection, but not virus binding. (A) SMN siRNA knockdown in SVG-A cells. SVG-A cells reverse-transfected with siRNAs specific for SMN: protein levels were determined by SDS-PAGE and immunoblot analysis using antibodies specific for SMN or tubulin (loading control). Percentage remaining SMN is indicated. (B) JCPyV infection is dependent on SMN. SVG-A cells were reverse-transfected with SMN siRNAs, infected with JCPvV and quantified based on nuclear VP1 staining. Here, we report the percentage of infected cells, relative to the siRNA scrambled control (n=3 independent experiments). For each experiment, 5 fields of view of a 12 well plate were scored for infection and each was compared to siRNA scrambled control (100%). Error bars indicate SEM; Student's t-test, two tailed: \*p < 0.05, \*\*p < 0.01.(C) JCPvV binding to SVG-A cells is not affected by SMN knockdown. Following siRNA-knockdown of SMN, SVG-A cells were either treated or untreated with neuraminidase (NA). Cells were incubated with JCPyV-633 and analyzed by flow cytometry. Data represent the relative fluorescence intensity of JCPyV-633 binding to cells normalized to control. (D) SMN protein levels in fibroblasts from an individual with SMA and control fibroblasts were determined by SDS-PAGE and immunoblot analysis using antibodies specific for SMN or tubulin (loading control). SMN levels are represented as % control SMN. (E) Fibroblasts derived from an SMA patient do not support JCPyV infection. Fibroblast cells were infected with JCPyV and quantified based on nuclear VP1 staining. Bar graphs represent the average number of infected cells/well for 3 wells and represent data from 3 independent experiments. Error bars indicate standard deviation (SD); Student's t-test, two tailed: \*p < 0.05. (F) JCPyV binding is not decreased in SMA patient fibroblasts. SMA patient and control fibroblasts were incubated with JCPyV-633 and analyzed by flow cytometry. Relative fluorescence intensity of JCPyV-633 binding to cells normalized to control is indicated.

cord, DA motor neurons have no presynaptic inputs and form *en passant* synapses onto muscle processes (47). This results in a punctate pattern of protein localization along the length of the dorsal cord, composed primarily of presynaptic active zones (45).



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**Fig. 6.** The motor neurons of *smn-1(ok355)* animals display endocytic defects. Schematic summary of puncta and TEM analysis. The number of active zones and dense core vesicles (DCV) in *smn-1(ok355)* motor neurons was unchanged, but fewer presynaptic docked vesicles were observed, more irregular large vesicles, called cisternae, and aberrant localization of both AP2 α-adaptin and Intersectin/DAP160 endocytic proteins was observed in *smn-1(ok355)* motor neurons.

We quantified puncta width, puncta total intensity, and puncta linear density (number/µm). In both smn-1(ok355) and smn-1(rt248) animals, puncta of the SV protein SNB-1 were diminished in width, intensity and density (average puncta width decreased 26% and 19% for ok355 and rt248, respectively, p = 0.02 for ok355 and p = 0.04 for rt248; average total intensity decreased 35% and 27% for ok355 and rt248, respectively, p = 0.005 for ok355 and p = 0.02for n248; density decreased roughly 30% for both alleles; p =0.004 for ok355 and p = 0.01 for rt248, Figure 3E and 3F). Puncta of the endocytic protein ITSN-1 (DAP160/Intersectin) were also altered in width and intensity in both smn-1(ok355) and smn-1(rt248) animals; linear density changed only in smn-1(ok355) animals (Figure 3D and 3F). ITSN-1 puncta were smaller in size (ITSN-1 average puncta width decreased by 13% and 19% for ok355 and rt248, respectively, p = 0.03 for ok355 and p = 0.008for rt248. Puncta total ITSN-1 intensity was reduced by 20% and 23% for ok355 and rt248, respectively, p = 0.02 for ok355 and p= 0.004 for rt248.

As both *smn-1* loss of function alleles had the same profile, we also examined three more presynaptic markers in the cholinergic DA motor neurons of smn-1(ok355) animals only: SYD-2 (α-liprin), NLP-21 (GGARAF neuropeptide family) and APT-4 (AP2 α-adaptin). SYD-2 and NLP-21 puncta were unaltered in smn-1(ok355) animals, suggesting that the number of synapses based on active zones and dense core vesicles (DCV), respectively - is unchanged when SMN-1 levels drop (Figure 3A, 3B and 3F). This is consistent with previous work showing that smn-1(ok355) animals have no overt defects in nervous system morphology and no motor neuron death (31). Puncta of the endocytic APT-4 (AP2  $\alpha$ -adaptin) were altered in intensity and number in smn-1(ok355) animals (Figure 3C and 3F). APT-4 puncta were more numerous along the neuronal processes (APT- $\hat{4}$  increased 46%, p = 0.003), but were smaller in size (APT-4: decreased 39%, p = 8e-06). Puncta total intensity was reduced by 44% for APT-4 ( $p = 3e^{-1}$ 05). To further confirm that changes in synaptic protein levels were due to decreased smn-1 function, a wild type copy of smn-1(+) was introduced to smn-1(ok355) animals expressing APT-4::GFP. Synaptic defects were ameliorated or eliminated (Figure 3G, 3H and 3I). Combined, these results suggest that smn-1 loss of function likely causes defects in SV recycling resulting in aberrant localization of endocytic proteins and fewer functional synaptic vesicles. To confirm this interpretation, we examined smn-1(ok355) presynaptic specializations at a higher resolution.

Decreased *smn-1* function causes defects in endocytic compartments. We sectioned and reconstructed part of the ventral nerve cord for both normal smn-1(+) and mutant smn-1(ok355) animals to determine the number and distribution of vesicles in the presynaptic specializations by using transmission electron microscopy (TEM) (Figure 4A). The total number of SVs per presynaptic region was reduced by 36% in motor neurons of smn-1(ok355) animals  $versus\ smn-1(+)\ (14\pm 2,\ n=45\ versus\ 22\pm 2,\ n=45\$ 

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n=35, respectively, p=0.0002, Figure 4B). The average number of docked vesicles at the plasma membrane (p = 0.008) and average number of SVs 100 nm away from the active zone (p = 4e-08) were also decreased in smn-1(ok355) animals versus smn-1(+) (Figure 4C and 4D). By contrast, the average number of DCVs in each presynaptic profile was not significantly different between smn-1(+) and smn-1(ok355) animals (p = 0.34, Figure 4E). The changes observed in synaptic vesicles were consistent with results from the puncta analysis (Figure 3). TEM studies also revealed an unusual accumulation of cisternae in smn-1(ok355) synapses (Figure 4F). Cisternae are large and abnormal-sized vesicles that often reflect arrested endocytic vesicle maturation and sorting. Cisternae accumulation was reported in the synapses of C. elegans defective in endocytosis, such as unc-57 and unc-26 animals (43, 48). smn-1(+) animals had 0.06±0.01 cisternae/synaptic specialization, whereas smn-1(ok355) animals exhibited a three fold increase (0.17 $\pm$ 0.02 cisternae/profile; p = 0.02). The ultrastructural abnormalities in smn-1(ok355) animals further support a model in which diminished SMN-1 function impairs endosomal trafficking in motor neuron synapses.

Diminished SMN-1 causes endosomal defects in nonneuronal tissues. Synaptic vesicle recycling shares common elements with endosomal trafficking in other cells. To determine if smn-1 depletion causes endosomal defects more broadly, other tissues were examined. The C. elegans body cavity contains six coelomocyte cells that rely on fluid-phase endocytosis to clear soluble moieties from the pseudocoelom (49). Animals engineered to secrete GFP from body wall muscles into the body cavity have been used extensively to characterize endocytic activity in coelomocyte cells (50). We compared coelomocyte GFP uptake in smn-1(+) and smn-1(ok355) animals. GFP uptake in smn-1(ok355) animals (Figure 4G, p = 0.01) is significantly reduced, indicating that diminished SMN-1 causes endocytic pathway defects in these non-neuronal cells. As GFP does not accumulate in the body cavity of smn-1(ok355) animals, the coelomocytes defect is not severe. To confirm that smn-1 loss decreases endocytosis, we used RNAi to knock down SMN-1 specifically in coelomocytes. Loss of SMN-1 resulted in increased GFP intensity in coelomocytes and significant accumulation of GFP in the body cavity, consistent with stalled endocytosis and a cell-autonomous defect. No gross morphology changes or large vacuoles were observed (Figures S5 and S6).

Next, the impact of SMN depletion on late endosomal/lysosomal compartments was examined by assessing multivesicular body (MVB) morphology in TEM sections. MVBs contain internal intraluminal vesicles that can be degraded in the lysosome or transported to the cellular membrane for recycling/secretion. In smn-1(ok355) animals, the average number of MVB intraluminal vesicles per MVB was dramatically decreased compared to smn-1(+) animals (22±4 versus 6±1, p =0.002, Figure 4H) suggesting that diminished SMN-1 impacts either generation or clearance of intraluminal vesicles in this late endosomal compartment. The average diameter of MVBs in smn-1(ok355) animals was unaffected in relatifon to controls (p = 0.81). Additionally, apical (luminal) endocytosis in the intestine of smn-1(ok355) animals was tested by feeding nematodes rhodamine-dextran. No difference in the endocytosis-dependent accumulation of fluorescence in intestinal cells was observed in smn-1(ok355) versus smn-1(+) animals (Figure S7). Overall, these results demonstrate that SMN-1 depletion causes endocytic pathway defects in motor neurons and in other tissues.

**Decreased SMN impairs endocytosis-dependent viral infection.** Endocytic trafficking is required for multiple cellular events in both invertebrates and vertebrates, including infection by pathogenic organisms. Many viruses use cellular endocytic pathways to enter cells and reduction of classical endocytic proteins impairs viral infection in *Drosophila* (51) and human cells (52-54).

To determine whether the defects caused by diminished SMN in C. elegans are conserved in vertebrates, we examined endocytosisdependent infection of human cells by a virus known to use the endocytic pathway for entry and internalization. JCPyV binds to cell surface receptors and enters cells via clathrin-mediated endocytosis (52-54). Initially, we tested two different siRNAs and found that both depleted SMN in SVG-A glial cells that support JCPyV infection (Figure 5A). To determine whether JCPyV infection was reduced by SMN1 knockdown, we compared infection in SMN-depleted cells versus control scrambled siRNAtreated cells; decreased SMN impaired JCPvV infection (Figure 5B). To rule out an effect on virus binding prior to endocytosis, we assessed the binding of fluorescently-labeled virus (JCPyV-633) to glial cells treated with SMN-specific or control siRNA by flow cytometry. Binding of JCPyV-633 to glial cells was not affected when SMN levels were decreased by siRNA treatment (Figure 5C). However, binding was reduced when cells were pretreated with neuraminidase, indicating that JCPyV bound to the appropriate sialic acid receptor (Figure 5C) (55, 56). To confirm the impact of SMN knockdown on infection, the same experiments were undertaken using commercially available fibroblasts from an unaffected carrier and a Type I SMA patient, SMA Type I fibroblasts were resistant to infection, while virus binding was equivalent to control fibroblasts from a carrier individual with normal SMN levels (Figures 5D-5F). Collectively, these data suggest that decreased SMN levels result in resistance to JCPyV infection. Overall, SMN depletion may impair infection at the stage of endosomal trafficking and/or at subsequent steps in the infection cycle.

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#### Discussion

Despite decades of work we still do not know why decreased SMN levels causes abnormal synaptic organization and defective synaptic neurotransmission in SMA. Here, we report for the first time that decreased SMN protein levels impair endocytic pathways, which have not been previously implicated in SMA pathogenesis. The majority of the work presented here is based on a previously defined C. elegans model of SMA in which decreased function of the C. elegans SMN ortholog, SMN-1, results in neuromuscular functional defects, without motor neuron death. We found that the motor neurons of smn-1 loss of function animals had reduced numbers of presynaptic docked vesicles, inappropriately high numbers of irregular vesicles called cisternae, aberrant localization of endocytic proteins, and decreased synaptobrevin levels. Additionally, genetic interactions of *smn-1* with known components of the synaptic vesicle cycle suggested that endocytosis was impaired. Combined, these results indicate impaired synaptic vesicle recycling and perturbed endocytic pathway function (Figure 6). We also observed defects in the endosomal compartments of non-neuronal tissues, consistent with the observation that SMA has consequences outside the neuromuscular system. Finally, SMN depletion reduced endocytosis-dependent viral infection in human cells, but did not affect virus binding, consistent with results from the C. elegans SMA model. Combined these results suggest that impaired endocytic trafficking may be a major player in SMA pathology.

**Depletion of SMN has widespread endocytic consequences.** Neuromuscular defects are the most obvious hallmarks of SMA, but SMN is ubiquitously expressed and numerous studies have suggested non-neuronal requirements for SMN function in heart (57-59), liver (60), muscle vascular system (61), lung, intestine (62), and in pancreatic islets (63). Therefore, it was not surprising that endosomal defects were observed in other *C. elegans* tissues when SMN levels were compromised. SMN-1 reduction led to impaired endocytic trafficking by coelomocyte cells, which clear the body cavity of small solutes. Also, the number of intraluminal vesicles in hypodermal late endosomes/MVBs was decreased by

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72% in smn-1 mutants, suggesting that defects in transmembrane receptor recycling/clearance may occur when SMN levels are diminished. Endosomal pathways play critical roles in protein trafficking and receptor signaling in all tissues. Overall, our results suggest that endosomal defects may contribute to the systemic problems of SMA patients.

SMN-depleted cells are resistant to viral endocytosis**dependent infection.** To independently assess the impact of SMN depletion on endocytic pathways, we turned to an established model of endocytosis-dependent viral infection. In Drosophila, heterozygous mutations in genes involved in endocytosis result in resistance to Drosophila C virus infection, consistent with a critical role for endocytosis in infection and pathogenesis (51). Here, we report that SMN depletion results in decreased JCPyV infection both in cells treated with SMN siRNA and in SMA patient-derived cells. There are multiple steps in viral endosomal trafficking and infection that may be impacted by diminished SMN but viral attachment was not affected. The results presented here are consistent with SMN decrements affecting viral infection that is dependent on endocytosis, but viral infection is complex and further studies will be required to determine how diminished SMN decreases infection. A link between decreased SMN levels and increased resistance to viral endocytosis-dependent infection would be of significant interest and might explain why SMA mutant alleles are common. To our knowledge, a link between SMN levels and infection has not been examined previously. The SMA heterozygosity frequency (1:40 to 1:60) is similar to the frequency observed for sickle cell anemia and cystic fibrosis alleles, which are known to confer carrier survival benefits in populations at risk. (64-66). SMA alleles frequently arise de novo via chromosome rearrangement and in some cases the neighboring neuronal apoptosis inhibitory protein (NAIP) gene is deleted (4). Mice lacking the NAIP gene fail to activate a response to Legionella pneumophila infection (67), but results herein suggest a role for SMN should be considered in future studies.

How could SMN regulate endocytic trafficking? Two nonexclusive models may explain why diminished SMN causes endocytic pathway defects. In the first model, SMN depletion leads to aberrant trafficking or splicing of mRNAs that encode proteins critical for endocytic function. For example, SMN loss impairs axonal transport of RNP granules containing mRNAs that encode numerous proteins, including: annexin 2, annexin 3 and Rab18 (68); their loss may result in endosomal defects. Or more indirectly, loss of SMN may cause missplicing of mRNAs that encode proteins with a role in endocytic trafficking; mRNAs such as annexin 2 are known to be misspliced when SMN levels are depleted (69). In the second model, SMN is part of an RNA/protein complex that promotes endocytic trafficking. SMN directly binds and is transported within axons with the alpha subunit of the coat protein 1 (COPI), a critical player in intracellular vesicular trafficking. COPI can be found on Golgi, ER and MVB membranes and COPI loss results in defective endosomal function (70-72). Alternatively, the functional interaction between SMN, Plastin 3 and actin might play a role in the endocytic pathway defects observed when SMN levels are depleted. Plastin 3, an actin-bundling protein was identified as a gender-protective SMA modifier and was found in a protein complex along with SMN and actin (73). Loss of fimbrin, the yeast PLS3 ortholog, inhibits endocytosis (74) and Plastin 3 interacts with activated Rab5 to facilitate mammalian endocytosis (75). Also, actin filaments can form a critical collar-like structure around the neck of endocytic vesicles as they pull away from the cell membrane (76-78), but it is unclear if SMN or Plastin 3 are associated with these structures. Hence, we consider it possible that SMN and Plastin 3 are essential players of a protein complex required for endocytic trafficking, but additional studies will be needed to determine which of these models best explains the endosomal defects caused by SMN reduction.

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Conclusions. The genetic, pharmacological and functional studies presented here demonstrate that SMN depletion impacts endocytic trafficking. Previous studies connect endocytosis and endosomal trafficking with other neurodegenerative diseases. For example, over-expression of the endosomal protein Rab11 reverses the synaptic transmission and vesicular deficits caused by mutant huntingtin in a *Drosophila* model of Huntington's disease (HD) (79). Furthermore, CHMP2B mutations cause frontotemporal dementia (FTD) and impair endosome-lysosome fusion (80). Additionally, Farg and co-workers identified a role in Rab-mediated endosomal trafficking for C9ORF72, a cause of sporadic Amyotrophic Lateral Sclerosis (ALS) (81). Finally, BICD2 mutations are linked to autosomal-dominant SMA (82), and notably loss of the BICD2 Drosophila ortholog impairs clathrin-mediated endocytosis at presynaptic specializations (83). Identifying the functionally relevant components that connect diminished SMN levels to endocytic pathways could lead to the identification of novel therapeutic interventions for SMA and related neurodegenerative disorders.

# **Materials and Methods**

C. elegans strains, constructs and transgenes. Strains listed in Table S1 were maintained at 20°C under standard conditions (84). For all experiments involving smn-1(ok355) or smn-1(rt248), animals tested were first generation progeny of parents heterozygous for the hT2 balancer. To maintain a common genetic background, control smn-1(+) animals were similarly derived from +/hT2 parents. Plasmid pHA#582 contains a 1819 bp fragment corresponding to the smn-1 promoter, coding sequence and 3' untranslated region subcloned as an AfIIIIXhoI product into pCFJ356 (Addgene plasmid 34871) (85). Primers for amplification: 5-tgatcttaagtctacgagcgacattcatcg and 5-tgatctcgagcagcctctcatcctgattgc. rtSi9[Cb-unc-119(+)]IV and rtSi10[smn-1p::smn-1;Cb-unc-119(+)] IV transgenes were generated by Mos1-mediated single-copy insertion (85, 86). 50 ng/µl of targeting plasmid (pCFJ356 or pHA#582) was injected into EG6703 (unc-119(ed3)///icxTi10816 IV) animals along with 50 ng/µl pCFJ601 (eft-3p::Mos1 transposase), 10 ng/µl pGH8 (rab-3p::mCherry), 5 ng/µl pCFJ104 (myo-3p::mCherry) and 2.5 ng/µl pCFJ90 (myo-2p::mCherry). Insertion events were identified based on rescue of unc-119 in non-fluorescent animals and confirmed by PCR-genotyping, prior to crossing into smn-1(ok355)/hT2. In Figures 1A, B and D and in Figure 3 G, H and I, smn-1(ok355)/[smn-1(+)] animals carry rtSi10. For these same figures, smn-1(+) and/or smn-1(ok355) animals carry rtSi9, which differs from rtSi10 only in the absence of an smn-1(+) gene copy. The small guide RNA (sgRNA) plasmid targeting the smn-1 gene (pHA#730) for CRISPR/Cas9-mediated genome editing was generated by amplification of *PU6::klp-12* (35) and subsequent ligation of the PCR product obtained by primers: 5'- AACATCGTCTAAACATTTAGAT-TTGCAATTCAATTATATAGGGACC-3' and 5'- TGGGATGATAGTTTTAGAGCTA-GAAATAGCAAGTTAAAATAAGGC-3'. The resulting plasmid was injected at 50 ng/µl into wild type animals following the dpy-10(cn64) co-conversion protocol from Arribere et al., 2014 (34). After backcross, the resulting smn-1(rt248) allele was balanced over the hT2 chromosomal translocation. smn-1(rt248) creates D19fs and likely eliminates SMN-1 function.

C. elegans behavioral assays. Pharyngeal pumping assays were performed in the last larval stage as previously described (32). Grinder movement in any axis was scored as a pumping event. Average pumping rates (± SEM) were combined from at least three independent trials (n>25 animals in total/genotype). Aldicarb and levamisole assays on early L4 stage larval animals were carried out blinded as to genotype in at least three independent trials (n≥30 animals in total/genotype) as described elsewhere (38, 87). Druginduced paralysis caused by 1 mM aldicarb (Sigma) or 0.4 mM levamisole (Sigma) was scored as inability to move/pump in response to prodding with a metal wire.

C. elegans light level microscopy. Early L4 stage larval animals were mounted on 2% agar pads and immobilized using 30 mg/ml BDM (Sigma) in M9 buffer. Images were captured as Z-stacks from the dorsal cord above the posterior gonad reflex (100x objective, Zeiss Axiolmager ApoTome and AxioVision software v4.8). At least three independent trials (n>25 animals in total/genotype) were undertaken. Puncta total intensity, width and linear density were quantified using the 'Punctaanalyser' program in Matlab (88). Kernel density estimation of the puncta population was determined in R (v3.0.3). Invariant fluorescent illumination was confirmed daily using 0.5 µm fluorescent beads (FluoSpheres, Molecular Probes) (46). Coelomocyte imaging was undertaken blinded as to genotype in three independent trials (n>25 animals in total/genotype). GFP levels in the six coelomocytes of early L4 stage animals were assessed using a Zeiss V20 stereoscope (50).

C. elegans transmission electron microscopy. Animals were prepared in parallel for transmission electron microscopy as described (89). Briefly, early L4 nematodes were fixed by a high-pressure freezing apparatus followed

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by a 2% osmium in acetone freeze substitution. Ultra-thin serial sections (50-60nm thickness) were collected on Formvar/Pioloform-coated copper slot grids, stained with 4% uranyl acetate in 70% methanol, followed by washing and lead citrate incubation. Images were obtained on a Philips CM10 transmission electron microscope using an Olympus Morada camera system driven by the iTEM software (Olympus Soft Imaging Solutions). Image registration and annotation was performed using TrakEM2 (90). Three hundred and five hundred serial sections were imaged for control and smn-1(ok355) animals, respectively. The anterior ventral nerve cord was reconstructed from one animal for each genotype. Synapses were examined from VA and VB cholinergic neurons and the VD  $\gamma$ -aminobutyric acid (GABA) neuron (47). Thirty five control (27 cholinergic and 8 GABAergic) and 45 smn-1(ok355) (25 ACh and 20 GABAergic) neuromuscular synapses were examined. The ratio of GABAergic to cholinergic (ACh) synapses was not significantly different from control, suggesting that ACh synapses are not preferentially lost (Chi-squared test, p > 0.05). A synapse was defined as a set of serial sections containing a dense projection. Docked vesicles were defined as those contacting the plasma membrane adjacent to a dense projection. The number of synaptic vesicles ( $\sim$ 30 nm diameter) and dense core vesicles ( $\sim$ 40 nm diameter) were counted in sections containing a dense projection and the numbers of each profile were averaged to obtain the final value. The presence of large clear vesicles/cisternae (>40 nm diameter) was analyzed by counting every other serial section within one micron to either side of a dense projection. For multivesicular bodies, the intraluminal vesicles were counted for >30 cell profiles per genotype.

Cells and viruses. Cells were grown at 37°C in a humidified incubator with 5% CO<sub>2</sub>. SVG-A cells are a subclone of the human glial cell line SVG transformed with an origin-defective SV40 mutant and grown in minimum essential medium (MEM) supplemented with 10% fetal bovine serum (FBS) and 1% penicillin-streptomycin (P/S) (Mediatech, Inc.) (91). Untransformed primary fibroblasts were from a patient with SMA Type I (GM09677) and control cells from a disease-free SMA carrier (GM03814) (Coriell Cell Repositories). Fibroblasts were grown in MEM supplemented with 10% FBS and non-essential amino acids. Generation and propagation of the virus strain Mad-1/SVEΔ was previously described (92).

Transfection. Cells were reverse-transfected with SMN-specific siRNAs using Lipofectamine RNAiMax (Life Technologies). SMN siRNAs (SMN siRNAa [Hs\_SMN1\_11]: ACGGTTGCATTTACCCAGCTA (Cat. No. SI04950932) and SMN siRNAb [Hs-SMN1\_12]: ATCAGATAACATCAAGCCCAA (Cat. No. SI04950939)) from Qiagen were prepared according to manufacturer's instructions. Serumfree medium was added to triplicate wells of a 12 well plate and siRNAs were diluted to a final concentration of 0.1, 1, 5, and 50 nM. Two microliter of RNAiMax Lipofectamine was added, solutions were mixed and incubated at room temperature (RT) for 20 min. Following incubation, 2 x 10<sup>5</sup> cells in 1 mL of media containing FBS was added to each well. Cells were incubated at 37°C for 36 h and then infected or harvested for protein quantitation by immunoblot analysis. Infection data were compared to cells transfected with Allstars siRNA negative control (Qiagen).

Infection. Patient fibroblasts were plated to 60% confluency in 12well plates O/N. Cells from siRNA transfections were infected at 36 h posttransfection. Media was aspirated and cells were infected with a multiplicity of infection (MOI) of 5 (JCPyV) fluorescent focus units (FFU)/cell in MEM containing 2% FBS at 37°C for 1.5 h. Infected cells were then fed with 2 mL of appropriate media and incubated at 37°C for 72 h. Cells were washed in 1X phosphate-buffered saline (PBS), fixed in cold methanol, and incubated at  $-20\,^{\circ}$ C. Fixed cells were washed in PBS, permeabilized with 0.5%

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Triton X-100 (USB Corporation) at RT for 5 min, incubated with PAB597, a hybridoma supernatant that produces a monoclonal antibody against JCPyV VP1 (generously provided by Ed Harlow) (93), at a 1:10 dilution in PBS at 37°C for 1 h, washed with PBS, incubated with a goat anti-mouse Alexa Fluor 488-conjugated antibody (1:1000; 20 µg/mL) (Life Technologies) in PBS at 37°C for 1 h, and washed again in PBS. Cells were analyzed for VP1 staining in the nucleus under a 20X objective using an Eclipse TE2000-U microscope (Nikon).

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Immunoblot. Cell lysates were prepared by washing cells in 200 µL PBS, scraping, and collecting the lysates. Cells were centrifuged at 3000 rpm for 5 min and pellets were resuspended in 1X RIPA buffer with protease (1:10) and phosphatase (1:100) inhibitors (Sigma) and incubated on ice for 30 min. Cells were pelleted at 14,000 rpm and supernatants were diluted at a 1:1 ratio in SDS loading buffer, boiled at 95°C for 5 min, and 15 µL was resolved by SDS-PAGE using a 4-15% Tris-HCL gel (BioRad). Gels were transferred to PVDF membranes (BioRad) using a Transblot system (BioRad) at 10V for 30 min. Membranes were blocked in 2% milk in PBS with 0.1% Tween 20% (PBS-T) O/N, then incubated with a purified mouse anti-SMN primary antibody at 1:5000 dilution (BD Biosciences; 610647) and an anti-alpha tubulin polyclonal antibody loading control at 1:450 dilution (Abcam; ab4074) at RT for 1 h, washed in PBS-T, then incubated with a 680 nM goat-anti-mouse secondary antibody at 1:1000 dilution (Life Technologies) and a 800 nM anti-rabbit secondary antibody at 1:5000 dilution (LI-COR Biosciences) at RT for 1 h. All antibodies were diluted in 2% milk. Immunoblots were scanned and analyzed using an Odyssey CLx Infrared Imaging System (LI-COR Biosciences).

Flow Cytometry. All cells (SVG-A treated with SMN or control siRNAs or fibroblasts) (1 x 10<sup>6</sup>) were washed with PBS, incubated with cell stripper (Cellgro), and removed from plates. Cells were pelleted, washed, and incubated in 100 μL of PBS with 2.5 μg of JCPyV labeled with Alexa Fluor 633 (JCPyV-

633) or PBS alone for 2 h on ice. For neuraminidase treatment, cells were first incubated for 45 min at 37°C in the presence of 5 U/mL Type V neuraminidase from Clostridium perfringens (Sigma) or PBS control. Cells were pelleted and washed with PBS twice, resuspended in 1x PBS and analyzed for virus binding using a BD FACSCalibur equipped with a 633 nm laser line (BD Bioscience). Data were analyzed using FlowJo software (Tree Star, Inc.).

Statistical analysis. Two-tailed Mann-Whitney *U or* Log-rank test was

used for C. elegans statistical analysis. For JCPyV infection, p values were determined using an unpaired Student's t-test (two-tailed distribution).

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