

1 **Noninvasive MRI native T₁ mapping detects response to MYCN-**
2 **targeted therapies in the Th-MYCN model of neuroblastoma**

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Abstract (245 words)

Noninvasive early indicators of treatment response are crucial to the successful delivery of precision medicine in children with cancer. Neuroblastoma is a common solid tumor of young children that arises from anomalies in neural crest development. Therapeutic approaches aiming to destabilize *MYCN* protein, such as small molecule inhibitors of Aurora A and mTOR, are currently being evaluated in early phase clinical trials in children with high-risk *MYCN*-driven disease, with limited ability to evaluate conventional pharmacodynamic biomarkers of response. T_1 mapping is an MRI scan that measures the proton spin-lattice relaxation time T_1 . Using a multiparametric MRI-pathological cross-correlative approach and computational pathology methodologies including a machine learning-based algorithm for the automatic detection and classification of neuroblasts, we show here that T_1 mapping is sensitive to the rich histopathological heterogeneity of neuroblastoma in the Th-*MYCN* transgenic model. Regions with high native T_1 corresponded to regions dense in proliferative undifferentiated neuroblasts, whereas regions characterized by low T_1 were rich in apoptotic or differentiating neuroblasts. Reductions in tumor native T_1 represented a sensitive biomarker of response to treatment-induced apoptosis with two *MYCN*-targeted small molecule inhibitors: Aurora A kinase inhibitor alisertib (MLN8237) and MTOR inhibitor vistusertib (AZD2014). Overall, we demonstrate the potential of T_1 -mapping, a scan readily available on most clinical MRI scanners, to assess response to therapy and guide clinical trials for children with neuroblastoma. The study reinforces the potential role of MRI-based functional imaging in delivering precision medicine to children with neuroblastoma.

Significance

This study shows that MRI-based functional imaging can detect apoptotic responses to *MYCN*-targeted small molecule inhibitors in a genetically-engineered murine model of *MYCN*-driven neuroblastoma.

1 Introduction

2

3 Neuroblastoma is a tumor arising from anomalies in the embryonic sympatho-adrenal
4 lineage of the neural crest in children (1). Despite intensive frontline multimodal therapy,
5 neuroblastoma still accounts for 13% of all cancer-related deaths in children due to
6 resistant, relapsing and systemic disease. Promising novel targeted therapeutic approaches
7 against neuroblastoma are being developed and include small-molecule inhibitors as well as
8 epigenetic, noncoding-RNA, and cell-based immunologic therapies (2-5). Amplification of
9 the proto-oncogene *MYCN* is the most common genomic aberration, which defines a
10 subgroup of children with a high-risk disease. *MYCN* plays a central role in the biology of
11 high-risk neuroblastoma and as such represents a major therapeutic target.

12

13 The application of the mouse hospital and co-clinical trial concept represents a clear
14 paradigm shift in neuroblastoma translational research (2,6). This approach integrates more
15 advanced mouse modelling, including genetically-engineered mouse (GEM) models, such
16 as the Th-*MYCN* mouse (7), to accelerate the discovery and evaluation of novel therapeutic
17 strategies, and helps shape the clinical trial pipeline priorities for children with high-risk
18 disease. Small molecule inhibitors targeting the stability of MYCN protein have shown
19 strong anti-tumor activity in the Th-*MYCN* model and are being evaluated in early-phase
20 pediatric clinical trials (2,8-10). These include the selective inhibitor of Aurora A kinase,
21 alisertib (MLN8237, NCT01601535), and selective inhibitors of mTOR activity
22 (NCT01331135, NCT01467986, NCT01625351, NCT02343718, NCT02574728,
23 NCT02638428, NCT02813135).

24

25 Evaluation of response to treatment in children with neuroblastoma is based on Response
26 Evaluation Criteria in Solid Tumours (RECIST) using non-invasive anatomical imaging such
27 as computed tomography (CT) or magnetic resonance imaging (MRI). The revised
28 International Neuroblastoma Response Criteria (INRC) guidelines now also include
29 sensitive nuclear medicine-based functional imaging approaches such
30 (metaiodobenzylguanidine [MIBG] scans and [F-18]2-fluoro-2-deoxyglucose positron
31 emission tomography/CT [FDG PET/CT]) for the assessment of bone and bone marrow
32 metastatic disease, present in 50% of cases (11). In addition to providing more accurate
33 detection of active disease, functional imaging techniques may also provide biomarkers of
34 response to novel therapies in neuroblastoma clinical trials, in which conventional
35 pharmacodynamic biomarkers can be difficult to evaluate. MRI is becoming the preferred
36 clinical imaging technique for the management of children with neuroblastoma because of

1 its exquisite soft tissue contrast. MRI provides excellent anatomical information at diagnosis
2 and follow up while sparing exposure to ionising radiation associated with CT. Advanced
3 MRI-based functional imaging techniques can be used to define quantitative imaging
4 biomarkers that inform on biologically relevant structure-function relationships in pediatric
5 cancers *in vivo* (12).

6
7 The mouse hospital concept provides a unique opportunity to evaluate predictive and
8 prognostic imaging biomarkers of response in neuroblastoma and to perform the close
9 imaging-pathology correlation necessary to understand the biological processes
10 underpinning the imaging measurement and provide the stringent validation needed before
11 they can be deployed clinically. We have previously demonstrated that a reduction in the
12 tumor native spin-lattice relaxation time T_1 , measured using inversion recovery true fast
13 imaging with steady-state precession (IR-TrueFISP) MRI, can provide a sensitive biomarker
14 of response to cyclophosphamide, which is a usual component of various frontline protocols
15 for neuroblastoma, and anti-vascular therapies in the Th-MYCN model (13).

16
17 In this study we evaluate how a reduction in native tumor T_1 provides a robust biomarker of
18 response to alisertib and the mTOR inhibitor vistusertib (AZD2014) in the Th-MYCN model.
19 By comparing native T_1 maps with those derived from multi-parametric MRI and
20 computational pathology, we demonstrate that native T_1 mapping (the voxel-wise
21 quantification of T_1) is sensitive to the rich histological presentation of neuroblastoma,
22 including regional differences in undifferentiated, differentiating and apoptotic neuroblast
23 density. This study demonstrates the potential application of T_1 mapping for
24 diagnosis/prognosis, surgical planning and the evaluation of novel therapies for children with
25 neuroblastoma.

1 **Materials and Methods**

2

3 *Animals, imaging and drug treatment schedule*

4 All experiments were performed in accordance with the local ethical review panel, the UK
5 Home Office Animals (Scientific Procedures) Act 1986, the United Kingdom National Cancer
6 Research Institute guidelines for the welfare of animals in cancer research (14) and the
7 ARRIVE (animal research: reporting in vivo experiments) guidelines (15).

8

9 Transgenic Th-*MYCN* mice were genotyped to detect the presence of the human *MYCN*
10 transgene (7). The study was performed using both male and female homozygous mice,
11 which developed a single palpable abdominal tumor at 40–80 days old with 100%
12 penetrance. Tumor development was monitored weekly by palpation by an experienced
13 animal technician. A total of 46 mice were enrolled with a median tumor volume of 861 ± 86
14 mm^3 (derived from T_2 -weighted MRI; median ± 1 s.e.m., ranging from 280 to 2557 mm^3).
15 MRI was performed prior to treatment (*Day 0*). Mice were left to recover for 24h, and then
16 treated (*Day 1*) with 30 mg/kg p.o. of Alisertib (MLN8237, purchased from Selleckchem,
17 $n=11$) or vehicle (10% 2-hydroxypropyl β -cyclodextrin, 1% NaHCO_3 , $n=9$), or 25 mg/kg p.o.
18 of Vistusertib (AZD2014, obtained under material transfer agreement with AstraZeneca,
19 $n=14$) or vehicle (5% DMSO, 95% PEG300, $n=12$). Post treatment MRI was performed 24h
20 after treatment started (*Day 2*). Mice were housed in specific pathogen-free rooms in
21 autoclaved, aseptic microisolator cages (maximum of 4 mice per cage) and allowed access
22 to sterile food and water *ad libitum*.

23

24 *MRI*

25 All MRI studies were performed on a 7T Bruker horizontal bore MicroImaging system
26 (Bruker Instruments, Ettlingen, Germany) using a 3cm birdcage volume coil. Anesthesia
27 was induced by an intraperitoneal 5ml/kg injection of a combination of fentanyl citrate
28 (0.315mg/ml) plus fluanisone (10mg/ml) (Hypnorm, Janssen Pharmaceutical, Oxford, UK)
29 and midazolam (5mg/ml) (Roche, Welwyn Garden City, UK) and water (1:1:2). Core
30 temperature was maintained at $\sim 37^\circ\text{C}$ with warm air blown through the magnet bore.

31

32 For all the mice, contiguous anatomical T_2 -weighted transverse images were acquired
33 through the mouse abdomen for the quantification of tumor volume, optimization of the local
34 field homogeneity using the FASTmap algorithm, and for planning the subsequent
35 multiparametric MRI measurements. In addition to IR-TrueFISP MRI for quantification of the
36 spin-lattice (T_1) and spin-spin (T_2) relaxation times, these also included measurement of the

1 apparent diffusion coefficient (ADC), the transverse relaxation rate R_2^* and the
2 magnetization transfer ratio (MTR) using the MRI sequences and parameters listed in
3 Supplementary Table 1.

4
5 Tumor volumes were determined using segmentation from regions of interest drawn on
6 each tumor-containing T_2 -weighted MRI slice using OsiriX. All the multiparametric MRI data
7 were fitted voxelwise using in-house software (ImageView, working under IDL, ITT, Boulder,
8 Colorado, USA) with a robust Bayesian approach that provided estimates of T_1 , T_2 , ADC and
9 R_2^* . MTR (%) was calculated as $MTR = (1 - M_{25ppm} / M_{100ppm}) * 100$ and fitted voxelwise using in-
10 house code written in Matlab (The Mathworks, Natick, MA).

11 *Computational pathology/digital pathology*

12
13 *Digitized histology.* Guided by T_2 -weighted MRI, tumors were carefully excised and
14 orientated for histopathological processing. Formalin-fixed and paraffin-embedded tumors
15 were sectioned ($3\mu\text{m}$) and stained with hematoxylin and eosin (H&E). Wholeslide H&E
16 images were digitized using a Hamamatsu NanoZoomer XR scanner (20x magnification,
17 $0.46\mu\text{m}$ resolution, Hamamatsu, Japan). Histology images were subsequently split into tiles
18 of 2000×2000 pixels (jpeg) for computational efficiency using Bio-Formats
19 (<https://www.openmicroscopy.org/bio-formats/>).

20
21 *MRI-histology alignment.* For each tumor, the MRI slice of interest was visually aligned with
22 the digitized whole-slide H&E stained image using anatomical landmarks as recently
23 described (16).

24
25 *Cell segmentation and classification.* Image processing was carried out using CRImage (17).
26 First, cell nuclei were extracted from H&E-staining by Otsu thresholding (18). Noisy image
27 structures were then deleted using morphological opening. The clustered nuclei were
28 separated by the Watershed algorithm. For every nucleus, 91 morphological (19), three
29 local-context and 46 cell-cytoplasm features were extracted. A support vector machine
30 (SVM) with a radial basis function (RBF, $\gamma = 1/\text{number_of_features}$) kernel was trained with
31 these features, based on annotations provided by a neuropathologist on 16320 cells from 7
32 whole-slide samples. Cells were subsequently classified into 5 categories: undifferentiated
33 neuroblasts, differentiating neuroblasts, apoptotic cells, lymphocytes, stromal cells.

1 *Generation of cellular density and classified cell parametric maps.* Whole-slide images of
2 cells were processed to match the MRI resolution (234 x 234 μm), with the number of
3 segmented cells and classified cells within 518x518 pixel-regions representing a single pixel
4 in the final cell density maps. Density maps were normalized to their sample's maximum
5 number of cells/classified cells in order to facilitate the evaluation intra-tumor heterogeneity.

6
7 *MRI- and histology-derived parametric map registration.* This was performed as recently
8 described using the automatic coherent point drift (CPD) algorithm (16,20). Firstly, density
9 maps of all the segmented cells were non-rigidly registered to the T_1 images based on
10 features extracted by a Canny edge detector. The same transformation was subsequently
11 applied to the density maps of each classified cell category.

12
13 *Spatial quantitative comparison between MRI parametric maps or between MRI- and*
14 *histology-derived maps.* The first parametric maps were divided into sub-regions of high and
15 low values using thresholds summarized in Supplementary Table 2. A binary mask was
16 created for each sub-region and applied to the second parametric map. This analysis was
17 performed in 13 tumors across both vehicle cohorts for which precise MRI-Pathology
18 registration was possible. Statistical comparison of sub-regional median values between the
19 two parametric maps was performed and the process was repeated in reverse.

20 21 *Statistical Analysis*

22 Statistical analysis was performed with GraphPad Prism 7 (GraphPad Software Inc., La
23 Jolla, USA). The mean values for tumor volume, and the mean of the median values for all
24 the quantitative MRI parameters were used. All the absolute and treatment-induced relative
25 changes in MRI parameters were assumed to be normally distributed, which was confirmed
26 using the D'Agostino-Pearson omnibus K2 normality test. Student's two-tailed t-test was
27 used to assess any significant differences in quantitative MRI parameters and tumor volume
28 upon treatment (paired), and in the magnitude of these changes compared to the control
29 cohort (unpaired), with a 1% level of significance. Further statistical analysis was performed
30 with the Bonferroni correction (n=5). Any significant differences between groups identified in
31 the sub-regional analysis were identified using the Wilcoxon signed rank test with a 5% level
32 of significance. Significant correlations were determined using linear regression analysis,
33 confirmed by using the robust regression and outlier removal approach (21).

1 Results

2
3 *Alisertib and vistusertib elicit significant anti-tumor activity associated with a decrease in*
4 *native T_1 .*

5
6 The Th-MYCN GEM model of neuroblastoma recapitulates the aggressiveness of the clinical
7 disease, with an observed average $31 \pm 4\%$ increase in tumor volume measured over the
8 48h experimental timecourse (Table 1A&B, Fig. 1). Despite this, tumor median values for all
9 the MRI parameters remained stable over 48h in the vehicle treated cohorts (coefficients of
10 variation $\text{CoV}_{T_1} = 2.4\%$, $\text{CoV}_{T_2} = 5.8\%$, $\text{CoV}_{ADC} = 14.0\%$, $\text{CoV}_{R_2^*} = 11.7\%$, $\text{CoV}_{MTR} = 6.2\%$).
11 There was no significant difference in tumor volume between the different treatment cohorts
12 at the time of enrollment (Supplementary Fig. S1). Treatment with either alisertib or
13 vistusertib led to a highly significant reduction in native T_1 ($-9.3 \pm 0.9\%$ and $-5.4 \pm 1.1\%$, both
14 $P < 0.0001$) and was associated with a significant reduction in tumor volume with vistusertib ($-$
15 $42 \pm 5.1\%$, $P < 0.0001$) but not alisertib, although a reduction in tumor volume was seen in 9
16 out of 11 treated mice (Supplementary Fig. S2A&B). Both the alisertib and vistusertib treated
17 groups elicited significant anti-tumor activity when compared to their respective vehicle
18 control cohorts (both $P < 0.0001$). No significant changes in tumor native T_2 , ADC, R_2^* , or
19 MTR were determined following treatment with either alisertib or vistusertib, nor any
20 treatment-induced relative changes compared with vehicle controls.

21
22 *Low native tumor T_1 correlates with high tumor red blood cell content.*

23
24 Tumors arising in the Th-MYCN model present a characteristically hemorrhagic phenotype
25 with large areas of extravasated red blood cells (RBC). The transverse relaxation rate R_2^* is
26 sensitive to the concentration of paramagnetic deoxyhemoglobin associated with
27 deoxygenated RBCs, hence neuroblastomas typically exhibit relatively high R_2^* values. We
28 recently validated R_2^* as a robust biomarker for mapping RBC distribution in this tumor
29 model (16).

30
31 Visual comparison of native T_1 and R_2^* maps (Fig. 1) showed that regions of high R_2^* co-
32 localized with regions of low native T_1 . Retrospective analysis of measurements made in 71
33 untreated tumors arising in GEM models of neuroblastoma (Supplementary Methods)
34 revealed that the median native T_1 inversely correlated with native median R_2^* ($r = -0.59$,
35 $P < 0.0001$) (Fig. 2A). Sub-regional analysis using established empirical R_2^* threshold values
36 ($R_2^* < 70\text{s}^{-1}$ as no hemorrhage (16,22) and $> 200\text{s}^{-1}$ as purely RBC, and mixed regions of

1 neuroblasts and RBC for the R_2^* values between) identified significantly different values of
2 T_1 associated with low ($<70s^{-1}$), intermediate and high ($>250s^{-1}$) R_2^* (Fig. 2B). Comparison of
3 the relative changes in median T_1 and R_2^* with treatment revealed a significant negative
4 correlation ($r = -0.78$, $P = 0.002$ with Bonferroni correction [$n=5$], Fig. 2C). Importantly, both
5 positive and negative changes in tumor R_2^* occurred with treatment, thereby accentuating
6 the sensitivity of native T_1 to RBC deposition, but excludes changes in the content of
7 paramagnetic RBCs or other such species as the main cause of reduction in T_1 upon
8 treatment.

9
10 *High native tumor T_1 correlates with high density of undifferentiated neuroblasts and with*
11 *low density of apoptotic neuroblasts.*

12
13 We then focused on the major histological component of these tumors, i.e the dense cellular
14 network. We trained a cell classifier, which allowed the robust segmentation and
15 classification of five different classes of cells with an overall accuracy of 95.3% (Fig. 3A&B,
16 confusion matrix shown in Supplementary Table 3, Supplementary Fig. 3A&B). We
17 generated parametric maps of undifferentiated neuroblasts and apoptotic cells density and
18 compared them with spatially-registered native T_1 maps. In vehicle control tumors, regions
19 exhibiting high values of T_1 co-localized with dense regions of undifferentiated neuroblasts
20 (Fig. 4). Threshold-based sub-regional analysis confirmed that regions with higher T_1 values
21 corresponded to areas of increased density of undifferentiated neuroblasts and, reciprocally,
22 regions with higher neuroblast density had higher native T_1 values (Fig. 5A&B and
23 Supplementary Table 2). Interestingly, areas dense in apoptotic cells in vehicle control
24 tumors also corresponded to regions of lower native T_1 . The widespread reduction in T_1
25 seen in the vistusertib-treated tumors was associated with a widespread and significantly
26 higher fraction of apoptotic cells ($57 \pm 3\%$ compared to $16 \pm 3\%$ in vehicle control,
27 $P < 0.0001$) and tissue damage, concomitant with a significantly lower fraction of
28 undifferentiated neuroblasts ($21 \pm 3\%$ compared to $64 \pm 4\%$ in vehicle control, $P < 0.0001$)
29 (Fig. 5C). The more modest but widespread reduction in T_1 in the alisertib-treated tumors
30 was not associated with any detectable differences in the fraction of apoptotic or
31 undifferentiated neuroblasts on corresponding H&E staining, as confirmed by cleaved
32 caspase 3 staining (Supplementary Fig. S4). Note that this response was however
33 associated with the reduction in tumor volume seen in 9 out of the 11 mice treated
34 (Supplementary Fig. S2), and the absence of any significant difference in tumor T_1 post-
35 treatment between the alisertib and vehicle control cohorts (contrary to that seen with
36 vistusertib, $P < 0.0001$).

37

1 Combining the MRI data from vistusertib and alisertib treated mice with matched
2 histopathology revealed a significant negative correlation between treatment-induced
3 reduction in T_1 over 24h and the proportion of apoptotic neuroblasts present in the tumour at
4 the study endpoint ($r = -0.55$, $P = 0.04$, Fig. 5D). Combining the MRI data from vehicle control,
5 vistusertib and alisertib-treated tumors with matched histopathology showed a positive
6 correlation between median T_1 and the ratio of undifferentiated neuroblasts ($r = 0.70$,
7 $P < 0.0001$, Fig. 5E) and a negative correlation with the fraction of apoptotic cells ($r = -0.63$,
8 $P = 0.006$, Fig. 5F).

9

10 *Regions rich in differentiating neuroblasts are associated with lower T_1 values.*

11

12 We identified three tumors exhibiting a significant amount of differentiating neuroblasts (yet
13 with only very few mature ganglion cells). In these tumors (Fig. 6), previously shown to have
14 very low levels of hemorrhage (16), regional differences in T_1 visually and spatially
15 corresponded to differences in undifferentiated neuroblast density, with regions of low T_1 and
16 low density undifferentiated neuroblasts corresponding to hotspots of differentiating
17 neuroblasts, arranged in islands separated by a large amount of neuropil or simply
18 interspersed with undifferentiated neuroblasts.

19

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1 Discussion

2

3 In pediatric oncology, the difficulty of obtaining post-therapy surgical biopsies is hindering
4 the development of robust predictive/prognostic pharmacodynamic biomarkers of response
5 urgently needed to accelerate the clinical evaluation of more effective and safer therapeutic
6 strategies. Recent large molecular profiling protocols at national level (23-25), advocate for
7 biopsies at the time of relapse in order to identify actionable alterations in pediatric recurrent
8 cancers. In this regard, advanced MRI-based functional imaging techniques that can define
9 quantitative biomarkers to noninvasively visualise spatial variations and temporal evolution
10 of tissue structure-function *in vivo* are being actively explored (12). Early imaging biomarker
11 development demands close imaging-pathology correlation, to understand the biological
12 processes underpinning the imaging measurement, before they can be routinely deployed in
13 the clinic (26).

14

15 In this study we demonstrate how T_1 mapping is sensitive to the rich histological
16 presentation of neuroblastoma, and can provide a sensitive biomarker of response to two
17 clinically-relevant *MYCN*-targeted therapeutics in the Th-*MYCN* GEM model of
18 neuroblastoma. We have continued to exploit computational pathology methodologies to
19 enable the precise comparison of MRI parametric maps with whole-slide digitized pathology
20 (16). Importantly, the Th-*MYCN* GEM model recapitulates the chemosensitivity and patho-
21 physiology of high-risk, *MYCN*-amplified neuroblastoma, including a dense and hemorrhagic
22 vascular phenotype and undifferentiated or poorly differentiated tumor phenotype with a
23 high mitosis-karyorrhexis index, indicative of both a high level of proliferation and apoptosis
24 (27).

25

26 *T₁ mapping of neuroblastoma histopathology and its regional heterogeneity*

27

28 Using this approach, we have identified, and confirmed using quantitative sub-regional
29 analysis, four major determinants of the regional heterogeneity observed on native T_1 maps:
30 *i*) regions with high T_1 values corresponded to hotspots of undifferentiated neuroblasts,
31 characterized by a high level of proliferation, whereas *ii*) regions rich in differentiating
32 neuroblasts exhibited lower T_1 values, and both *iii*) regions with large amounts of
33 extravasated RBCs and *iv*) large areas of cell damage, with or without RBCs, were both
34 associated with very low T_1 values. The association between T_1 and extravasated RBCs was
35 an expected finding consistent with the linear relationship of blood T_1 with hematocrit level
36 and hemorrhage (28).

37

1

2 *Reduction in tumor native T_1 is associated with a reduction in undifferentiated neuroblast*
3 *density*

4

5 Our data with vistusertib indicates that the reduction in native T_1 was associated with a shift
6 in tumor composition characterized by rapid loss of tumor regions with higher T_1 values, a
7 consequence of cell death, with the post-therapy tumor T_1 values determined by dying and
8 remaining hemorrhagic fractions. A similar conclusion can be drawn on the contrast
9 mechanism underpinning the reduction in T_1 upon treatment with alisertib, based on both the
10 known mechanism of response to alisertib through apoptosis in this model and the observed
11 reduction in tumor volume in our study (10). However we could not confirm this using
12 endpoint histopathological assessment, potentially due to the high inter-tumor heterogeneity
13 both in terms of the amount of apoptosis present at the time of enrollment (as shown by the
14 endpoint histopathology in the vehicle cohorts) and in the actual response to alisertib
15 treatment in this model as recently reported (10). The absence of any significant relative
16 change in R_2^* , a validated biomarker for RBCs (29), or T_2 , ADC and MTR, which all relate to
17 tissue water content/binding, strongly suggests that the overall decrease in T_1 is being driven
18 by the loss of the tissue fraction with high T_1 values, i.e. regions with a high density of
19 undifferentiated neuroblasts, rather than a gain of new MRI contrast e.g. that resulting from
20 cell death-mediated release of paramagnetic ions (30,31).

21

22 *Why is T_1 sensitive to neuroblastoma histopathology and its modulation?*

23

24 By definition, the spin-lattice T_1 relaxation time refers to the interaction or energy transfer
25 between the excited ^1H spin and the molecules within the surrounding molecular structure.
26 The T_1 value, i.e. the efficacy of the spin-lattice relaxation, is dependent on molecular
27 tumbling of the molecule in which the proton resides. For MRI applications, this molecule is
28 primarily water, which can be present in three states associated with different T_1 values: *i)*
29 *free water (free to move, high T_1), ii) "structured" water (bound to a macromolecule by a*
30 *single bond where molecular tumbling is still possible, lower T_1), iii) "bound" water (found in*
31 *solids, bound by multiple bonds, high T_1). The general consensus is that the reduced tissue*
32 *T_1 of structured water is a consequence of its interaction with proteins and other*
33 *macromolecules. Tissue T_1 thus depends on compartmentalization of structured water and*
34 *the amount of molecular crowding within each different compartment. Cancer cells and*
35 *tumor tissue typically have elevated T_1 values compared to normal tissues, the original*
36 *observation that demonstrated the potential of MRI for cancer diagnosis. Elevated tumor T_1*
37 *remains attributed to a difference in intracellular water structure and order compared to*

1 normal cells (32,33). T_1 has also been suggested to change during cell cycle and mitosis *in*
2 *vitro*, a phenomenon also attributed to different levels of water-macromolecule interactions
3 (34,35). However, very early work in MRI-detectable isolated large cells such as *Xenopus*
4 oocytes and *Aplysia* neurons confirmed that cell nuclei exhibit higher T_1 values than the
5 cytoplasm (1.85 vs 1.2s respectively for *Xenopus* oocytes at 7T), and that
6 degradation/permeabilization of the nuclear envelope causes an equilibration of T_1 values
7 (36,37). A more recent study reported anomalously rapid hydration water diffusion dynamics
8 near DNA surfaces, which demonstrates that water interacts differently with DNA compared
9 to protein. More precisely, water behaves like free water near DNA (38), which would
10 explain both the higher nuclear T_1 , and the change in T_1 observed during mitosis when the
11 chromatin is condensed and DNA is less accessible to water molecules and the nuclear
12 membrane completely disappears.

13

14 Poorly or undifferentiated neuroblastoma are defined as small round nuclei with stippled
15 chromatin (diffuse open chromatin) with scant eosinophilic cytoplasm and indistinct cell
16 borders. This definition is thus self-explanatory for the higher T_1 values reported here in
17 areas of dense, undifferentiated neuroblasts (dense cells with a high nuclear/cytoplasmic
18 ratio and minimal extracellular compartment). We can also assume that any reduction in
19 undifferentiated cell density, or change in cell phenotype and/or intracellular
20 compartmentalization, in a sufficiently large number of cells would thus result in a reduction
21 in T_1 (39). The reduced native T_1 associated with dense areas of differentiating neuroblasts,
22 characterized by lower nuclear to cytoplasmic ratio, lower cell density, and possibly
23 surrounded by abundant eosinophilic neuropil, supports this hypothesis. Many of the events
24 occurring during apoptosis, including water loss, pyknosis and karyorrhexis, would also align
25 with a reduction in T_1 if happening in a sufficient number of cells (40). Interestingly, both
26 pyknosis and karyorrhexis are steps common to apoptosis, necrosis and senescence,
27 indicating a potential generic sensitivity of T_1 to cell death. As virtually all undifferentiated
28 neuroblasts in this model are positive (and apoptotic cells negative) for the proliferation
29 marker Ki67 (27), this hypothesis corroborates the studies by McSheehy and colleagues
30 showing that a reduction in native T_1 positively correlates with Ki67 staining (30,31).

31

32 *Potential further clinical applications in guiding risk stratification and surgical planning and*
33 *early clinical trials to develop new drugs*

34

35 The differential diagnosis and risk-stratification for children with neuroblastoma is based on
36 criteria including histological features such as the grade of tumor differentiation. The
37 sensitivity of T_1 mapping to regions rich in undifferentiated, apoptotic or differentiating

1 neuroblasts seen in the Th-*MYCN* model herein suggests its potential to noninvasively
2 classify tumors by favorable and unfavorable histology. It may also help identify anaplastic
3 lymphoma kinase (ALK) positive regions, mutations associated with poor outcome in
4 neuroblastoma, and for which small molecule inhibitors are currently being developed.
5 Interestingly, ALK mutations have been shown to be associated with a differentiating
6 molecular signature, confirmed at a pathological level in several *MYCN*- and *ALK*-mutated
7 GEM models (41-44). T_1 mapping may also afford additional prognostic value in confirmed
8 cases of neuroblastoma, in which high cellular density of proliferative cells is associated with
9 poor outcome, whereas a high density of apoptosis suggests a more favorable outcome
10 (45). Finally, T_1 mapping may help identify the nature of tumors following the induction phase
11 of frontline therapy, where it is uncertain if a mass is comprised of undifferentiated
12 neuroblastoma or apoptotic or differentiated disease. In this regard, T_1 -mapping would
13 provide additional and complementary information to semi-quantitative molecular imaging
14 strategies such as MIBG and FDG-PET scans and help confirm the nature and
15 heterogeneity of the disease associated with MIBG avid/non-avid and FDG
16 (positive/negative) disease. This is important as discrepancies exist between the expression
17 of the norepinephrine transporter (NET), responsible for the uptake of MIBG, and the
18 presence of an aggressive cellular phenotype. These include MIBG non-avid disease that
19 presents in ~10% of children, and reduced NET protein expression in high risk *MYCN*-
20 amplified disease (46). Additionally, targeted therapies against *MYCN* or *ALK* can lead to the
21 modulation of vascular perfusion (and hence the delivery of radiolabeled MIBG and FDG),
22 glucose uptake and NET expression, which may potentially lead to a change in MIBG avidity
23 which does not reflect, or makes it difficult to assess, changes in the extent of active disease
24 using the current INRC guidelines. Treatment with the histone deacetylase inhibitor
25 Vorinostat has for example been shown to be effective against neuroblastoma while
26 increasing NET transporter expression in neuroblastoma (47). T_1 mapping has the potential
27 to help improve the accuracy of detection of active disease for enhance surgical tissue
28 sampling, surgical resection planning and response assessment.

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31 *Translating T_1 mapping into the neuroblastoma clinic*

32

33 The voxel-wise quantification of T_1 is an essential component of many MRI-based functional
34 and molecular imaging techniques being developed to study the tumor microenvironment
35 and for the evaluation of novel targeted therapies, including immunotherapy (48-53). DCE-
36 MRI, arterial spin labelling (ASL-) MRI and oxygen-enhanced T_1 -MRI are being evaluated
37 clinically to assess tumor vascular perfusion/permeability and hypoxia. However, native

1 tumor T_1 maps acquired in the clinic are often only estimated and seldom reviewed or
2 interpreted (13). In contrast, the clinical adoption of native T_1 mapping has increased the
3 potential for the noninvasive and differential diagnosis of cardiac pathology (54,55) and the
4 staging of chronic liver disease (56). The cardiac MR experience has shown that T_1 mapping
5 is simple to perform and analyze, minimally subjective, and highly reproducible (~2% CoV
6 for a modified Look-Locker inversion recovery MOLLI sequence over 24 hours (57)).
7 However, there are many acquisition schemes available for T_1 mapping, and the measured
8 T_1 will depend on the precision and reproducibility of each scheme, and how is it affected by
9 motion, flow and off-resonance effects. In our study, one of the advantages of the IR-
10 TrueFISP technique, aside from its high accuracy, is that it is inherently flow compensated in
11 the directions of slice selection and readout, especially at the blood velocity observed in
12 tumors (58), allowing us to exclude changes in vascular flow as a source of reduction in
13 native T_1 . Moving forward, including T_1 -mapping in an ethically-approved clinical study within
14 the standard-of-care frontline chemotherapy would provide the study to rapidly evaluate and
15 validate T_1 -mapping potential for the neuroblastoma clinic. Such a study would also inform
16 on the potential of native T_1 -mapping to help better define bone and bone marrow
17 metastasis and its response to treatment.

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19

20 *Beyond neuroblastoma*

21

22 The potential value of native T_1 reduction as a generic biomarker of early tumor response to
23 therapy was first demonstrated by McSheehy and colleagues (30,31). By understanding the
24 spatial relationship of T_1 mapping with regional variations in neuroblastoma phenotype, our
25 study sheds new light into the biology underpinning native T_1 contrast, based on cell
26 anatomy. Our data strongly supports the use of T_1 mapping as a generic approach to
27 assess early response to cancer treatment, especially since *i)* the “small-blue-round-cell
28 tumor” phenotype, characterized by monotonous proliferations of small, undifferentiated or
29 poorly differentiated cells with scant cytoplasm, is actually used to refer to the phenotype of
30 a large group of highly aggressive tumors, including many high-risk pediatric malignancies
31 such rhabdomyosarcoma and medulloblastoma (and adult cancers such as certain subtypes
32 of sarcoma, carcinoma, lymphoma, and melanoma) and *ii)* both pyknosis and karyorrhexis
33 are common steps to the major cell death processes. However, it would be important to
34 understand the disease or tissue-specific factors, which may also affect native T_1 including
35 the presence of edema, fat or melanin.

36

1 In summary, our study demonstrates that native T_1 mapping can precisely and quantitatively
2 map the rich histopathology of neuroblastoma tumors and its modulation by *MYCN*-targeted
3 therapeutics in the clinically-relevant Th-*MYCN* model of neuroblastoma. By providing strong
4 evidence for the sensitivity of native T_1 to dense areas of undifferentiated neuroblasts, our
5 data suggest further application for diagnosis, risk stratification and surgical planning, and
6 that its potential as a biomarker of successful response to therapy could be extended to
7 larger subsets of aggressive pediatric and adult tumors. Widely available on conventional
8 clinical scanners, our study provides a strong rationale for the incorporation of T_1 mapping
9 both at the time of diagnosis and in early phase clinical trials to guide clinical decision
10 making and the delivery of precision medicine to children with neuroblastoma.

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1 **Tables**

2

3 **Table 1.** Summary of the response of the Th-MYCN transgenic model of neuroblastoma to
 4 vistusertib and alisertib.

5

A. Summary of the response of the Th-MYCN transgenic model of neuroblastoma to Vistusertib

	Vehicle control				25mg/kg Vistusertib			
	Pre	24h post	Relative changes	n	Pre	24h post	Relative changes	n
Tumor volume	1185±221mm ³	1474±245mm ³	31±5.5%	14	966±127 mm ³	577 ±93 mm ³ (<0.0001) [§]	-42.0 ±5.1% (<0.0001) [‡]	12
T₁	1723 ±16ms	1771±14ms	2.9±0.8%	14	1712±25ms	1553±25ms (0.0001) [§]	-9.3 ±0.9 % (<0.0001) [‡]	12
T₂	62±1ms	60±1ms	-4.0±1.7 %	14	63±2ms	58±2ms	-8.0±2.7%	12
R₂*	102±6s ⁻¹	105 ±7s ⁻¹	4.5±3.3%	14	99±6s ⁻¹	113±10s ⁻¹	13.3 ±7.9%	12
MTR	22.5±0.6%	22.3±0.4%	-0.4 ±2.2%	14	22.2±0.3%	23.0±0.5ms	3.9±2.2%	11
ADC	593 ±26 .10 ⁻⁶ mm ² .s ⁻¹	569±22 .10 ⁻⁶ mm ² .s ⁻¹	-2.5±4.7%	14	689±42 .10 ⁻⁶ mm ² .s ⁻¹	686 ±40 .10 ⁻⁶ mm ² .s ⁻¹	2.4 ±8.6%	10

B. Summary of the response of the Th-MYCN transgenic model of neuroblastoma to Alisertib

	Vehicle control				30mg/kg Alisertib			
	Pre	24h post	Relative changes	n	Pre	24h post	Relative changes	n
Tumor volume	781±176mm ³	981±198mm ³	30.5±5.0%	9	1037±109 mm ³	938 ±129 mm ³	-11.2 ±4.3% (<0.0001) [‡]	11
T₁	1754 ±36ms	1750±32ms	-0.2±0.6%	9	1776±26ms	1679±21ms (0.0008) [§]	-5.4±1.1 % (<0.001) [‡]	11
T₂	62±3ms	60±2ms	-3.2±3.6 %	6	62±1ms	63±1ms	1.9±2.9%	7
R₂*	109±14s ⁻¹	117 ±20s ⁻¹	5.0±8.0%	6	114±11s ⁻¹	108±9s ⁻¹	-1.2 ±11.7%	7
MTR	23.6±0.7%	22.3±1.0%	-5.7 ±3.8%	6	22.6±0.4%	21.5±0.5ms	-0.37±3.2%	7
ADC	664 ±66 .10 ⁻⁶ mm ² .s ⁻¹	607 ±17 .10 ⁻⁶ mm ² .s ⁻¹	-5.6±6.3%	6	615±29 .10 ⁻⁶ mm ² .s ⁻¹	664 ±33 .10 ⁻⁶ mm ² .s ⁻¹	8.6 ±6.3%	5

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8 Data are presented as mean of tumor median value ± 1 s.e.m. [§] Student's two-tailed paired t-test, [‡] Student's two-tailed
 9 unpaired t-test, both incorporating a Bonferroni correction (n=6) and assuming a 1% level of significance. The difference in the
 10 number of mice associated with the different parameters reflects that it was not possible to acquire the full protocol in all cases.

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Figure legends

Figure 1. Representative T_2 -weighted anatomical MR images of tumor-bearing Th-*MYCN* mice and associated parametric maps of the tumor spin-lattice relaxation time T_1 , transverse relaxation rate R_2^* , spin-spin relaxation rate $R_2 (=1/T_2)$, apparent diffusion coefficient (ADC) and magnetization transfer ratio (MTR), prior to and 24 hours following treatment with 25mg/kg vistusertib, 30mg/kg alisertib or vehicle.

Figure 2. A. Scatter graph of the native spin lattice relaxation time T_1 against native transverse relaxation rate R_2^* from 71 untreated tumors arising in genetically-engineered murine models of neuroblastoma. Linear regression analysis and associated 95% confidence and prediction intervals are shown. A highly significant negative correlation was obtained ($r = -0.59$, $P < 0.0001$ with Bonferroni correction [$n=5$]). **B.** Box-and-whisker plot showing the difference in native T_1 in sub-regions categorized by low ($<70s^{-1}$), intermediate ($70s^{-1} < R_2^* < 250s^{-1}$) and high ($>250s^{-1}$) values of R_2^* measured in Th-*MYCN* tumors treated with vehicle ($n = 13$). Data are medians and interquartile range. **C.** Scatter graph of relative changes in native tumor R_2^* (ΔR_2^*) and relative changes in native T_1 (ΔT_1) 24 hours following treatment with either alisertib or vistusertib. Bold lines represent linear regression with crossed dots indicating outliers determined using the robust regression and outlier removal approach. Grey shaded area indicates the 95% confidence intervals while dashed lines indicate 95% prediction confidence. A significant negative correlation was obtained ($r = -0.78$, $P = 0.002$ with Bonferroni correction [$n=5$]).

Figure 3. Computational analysis of a digitized whole-slide histological image of a Th-*MYCN* neuroblastoma. Cells were segmented and classified into 5 categories with an overall accuracy of 95.3% (50-fold cross-validation): undifferentiated neuroblasts (98.61% accuracy, green), differentiating neuroblasts (96.79%, purple), apoptotic cells (95.41%, yellow), lymphocytes (96.15%, blue), stromal cells (84.54%, red).

Figure 4. Representative MRI-derived parametric maps of the tumor spin-lattice relaxation time T_1 and transverse relaxation rate R_2^* , and registered histopathology-derived parametric maps of cell density including undifferentiated and apoptotic neuroblasts in the Th-*MYCN* model of neuroblastoma, 24 hours following treatment with either vehicle control, 25mg/kg vistusertib or 30mg/kg alisertib.

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Figure 5. A. Box-and-whisker plot showing the difference in native T_1 values in sub-regions categorized by low and high density of undifferentiated neuroblasts. Dichotomization was achieved using either median, Otsu or 85th percentile thresholds on registered histopathology-derived parametric maps of segmented and classified undifferentiated neuroblasts in vehicle control Th-*MYCN* tumors (n=13). **B.** Box-and-whisker plot showing the difference in undifferentiated neuroblast density in sub-regions categorized by low and high native T_1 values, defined using either median, Otsu or $T_1 > 1900$ ms thresholds in vehicle control Th-*MYCN* tumors. Data are medians and interquartile range. (*P*, Wilcoxon signed rank test with a 5% level of significance) **C.** Proportion of undifferentiated and apoptotic neuroblasts relative to all cells derived from cell segmentation and classification from hematoxylin and eosin (H&E) stained histopathology from Th-*MYCN* tumors 24 hours following treatment with either vehicle control, 25mg/kg vistusertib or 30mg/kg alisertib. **D.** Scatter graph showing that the reduction in native tumor T_1 over 24h treatment with either alisertib or vistusertib correlated with an increased proportion of apoptotic cells present in the tumor at the time of excision ($r = 0.55$, $P = 0.04$). **E,F.** Scatter graphs showing that median tumor native T_1 in the treated and vehicle control cohorts positively correlated with the proportion of undifferentiated neuroblasts ($r = 0.70$, $P < 0.0001$), and negatively correlated with the proportion of apoptotic neuroblasts ($r = -0.63$, $P = 0.006$). Grey shaded area indicates 95% confidence intervals while dashed lines indicate 95% prediction confidence.

Figure 6. Three cases of differentiating tumors in the Th-*MYCN* model of neuroblastoma. **A.** Representative MRI-derived parametric maps of the tumor spin-lattice relaxation time T_1 and transverse relaxation rate R_2^* , and registered representative pathology-derived parametric maps of tumor cell density including undifferentiated, apoptotic and differentiated neuroblasts.

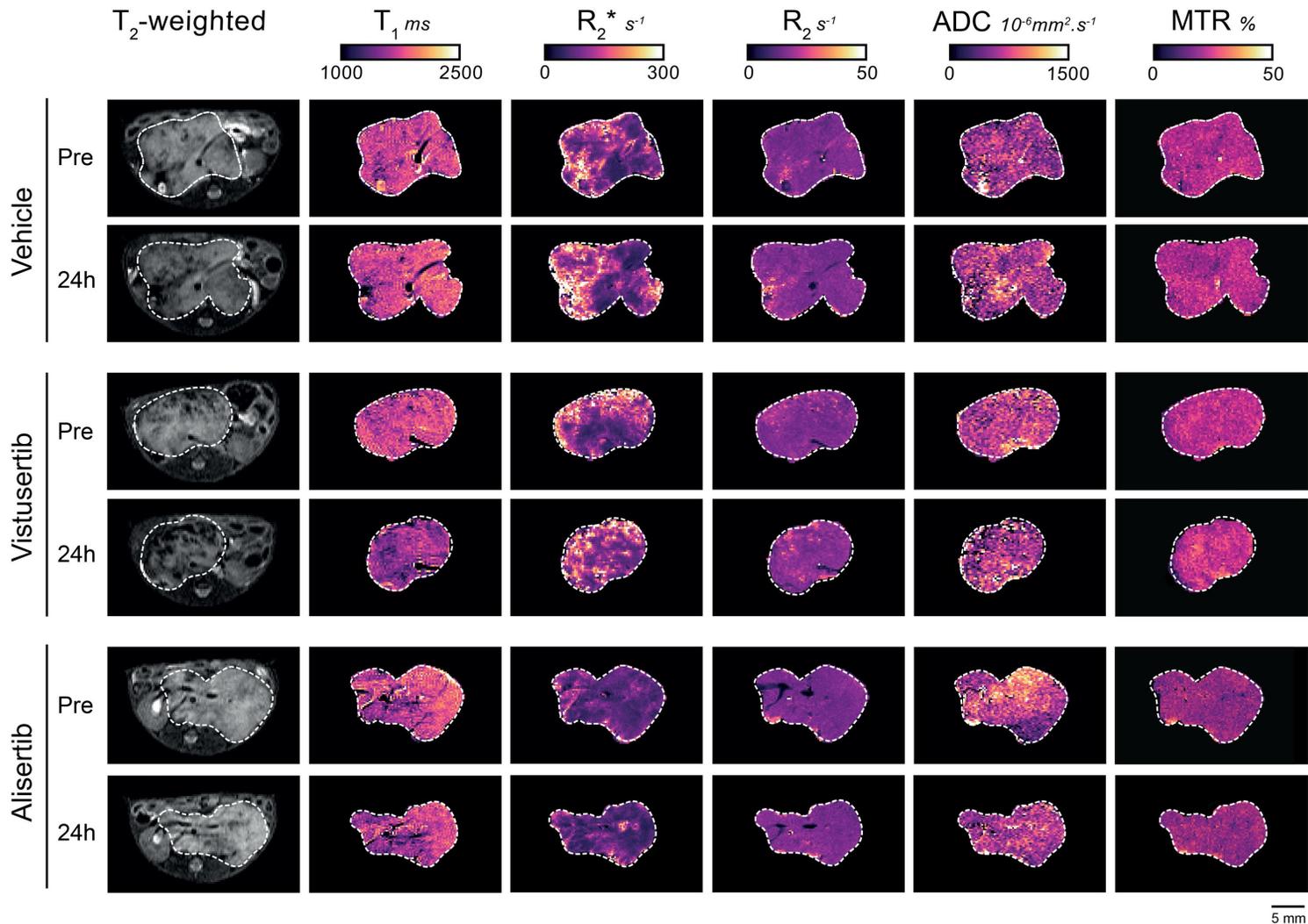
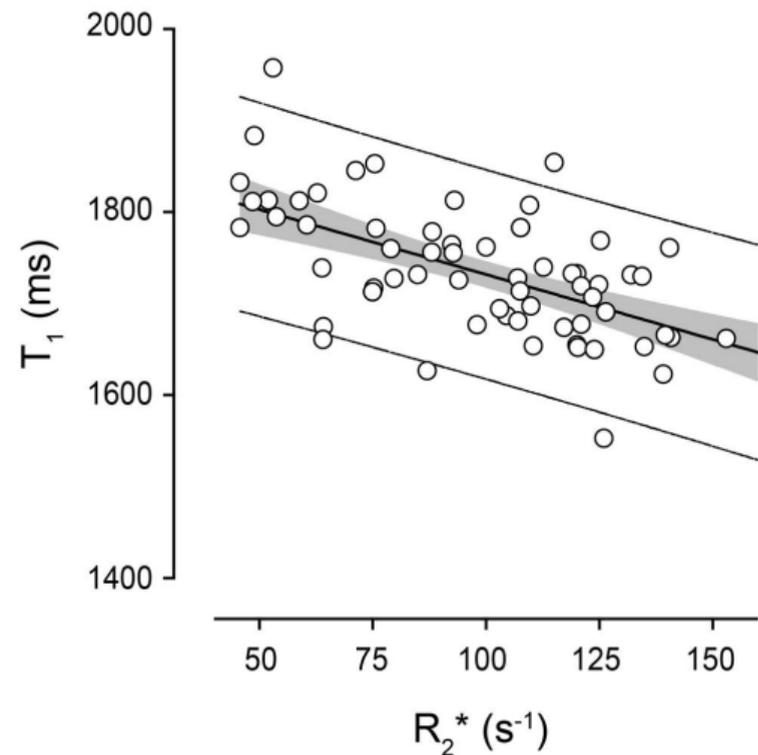
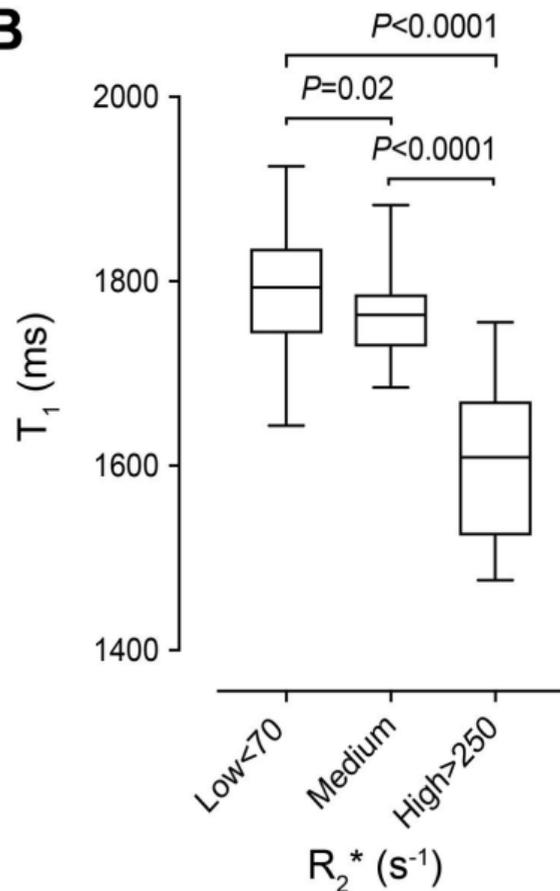
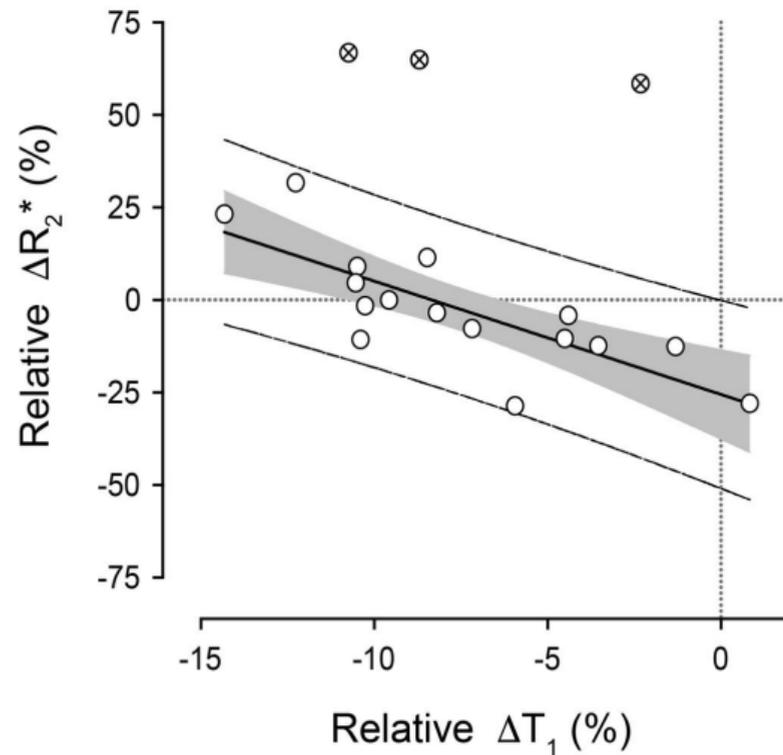
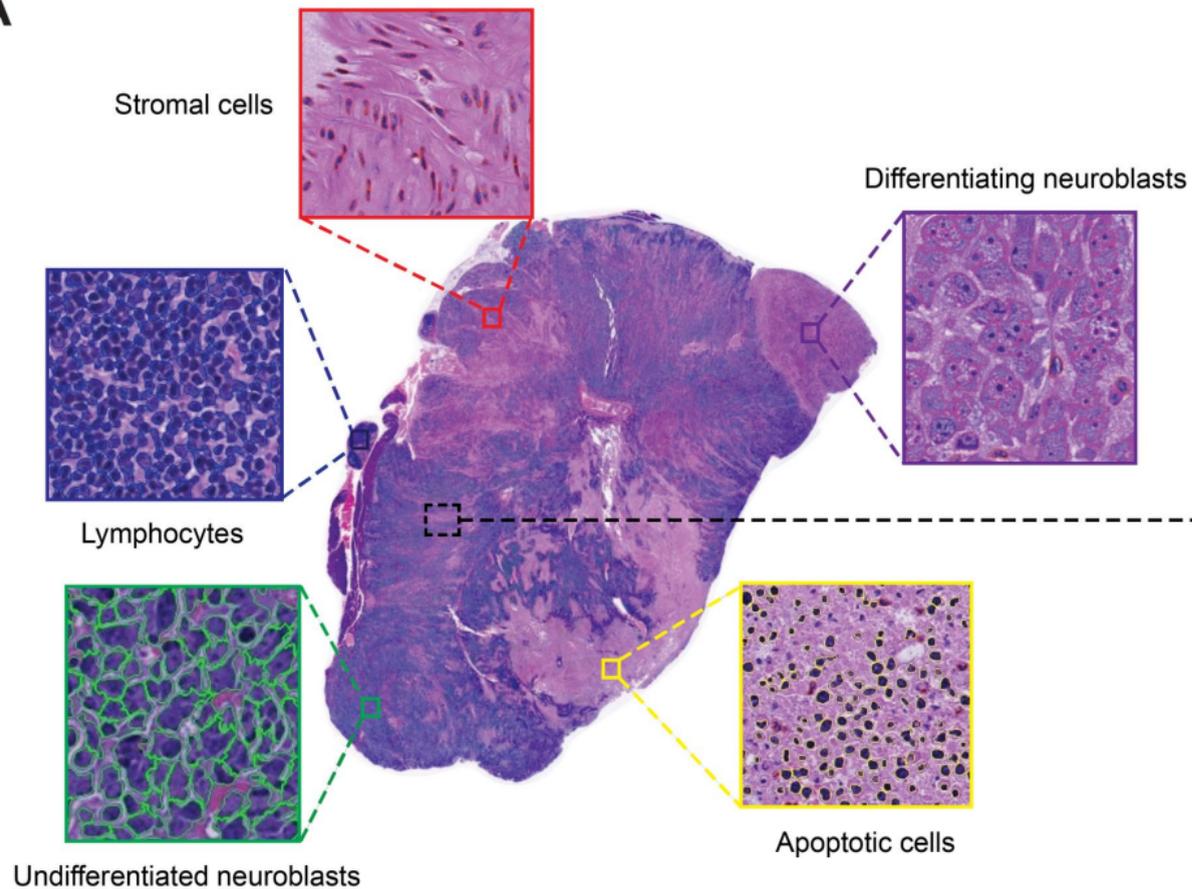
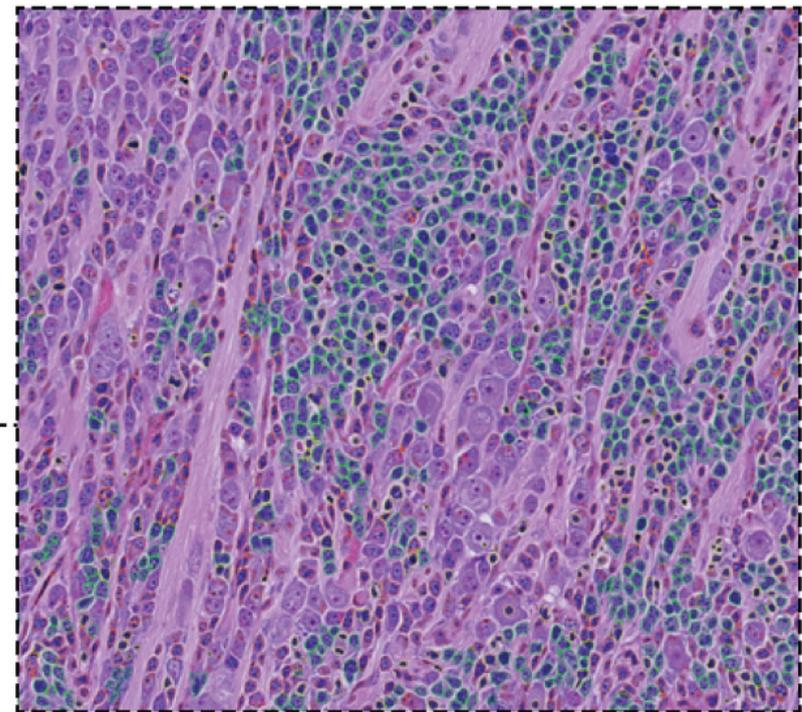


Figure 1

A**B****C****Figure 2**

A**B****Figure 3**

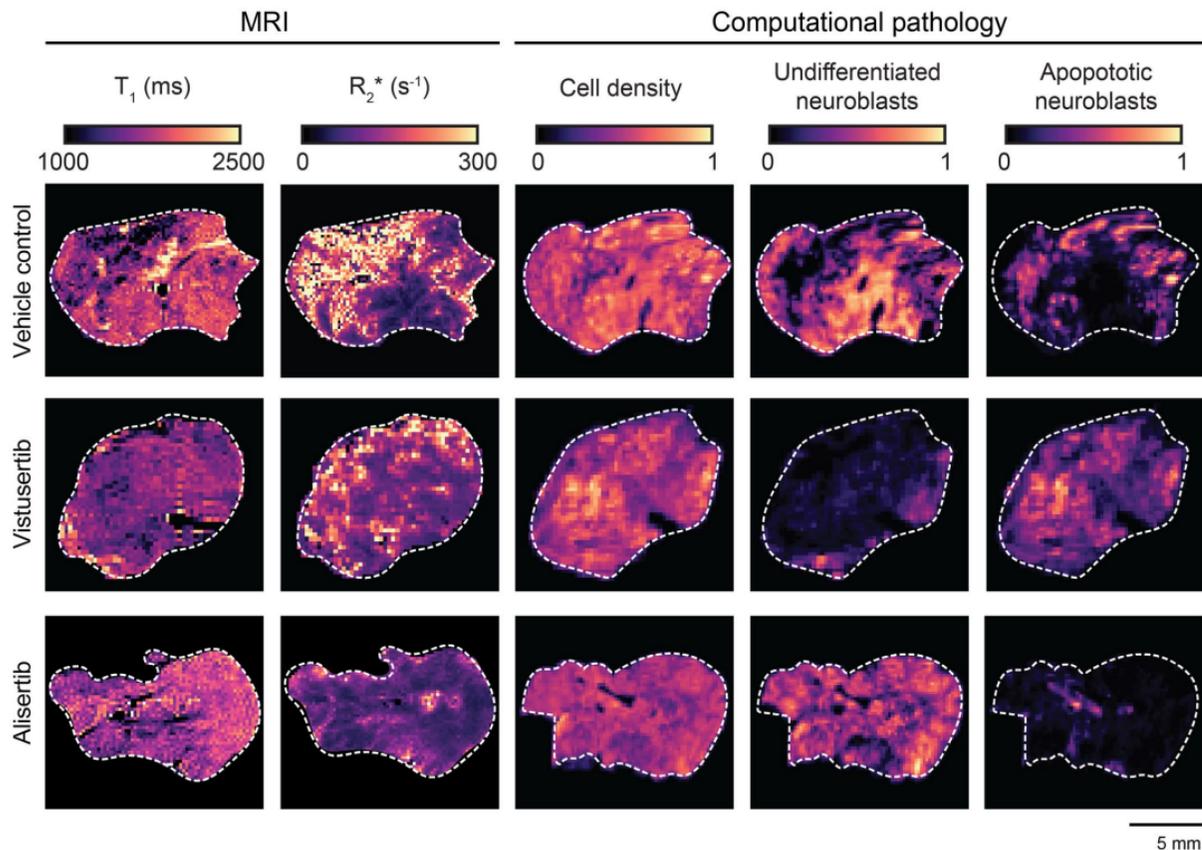


Figure 4

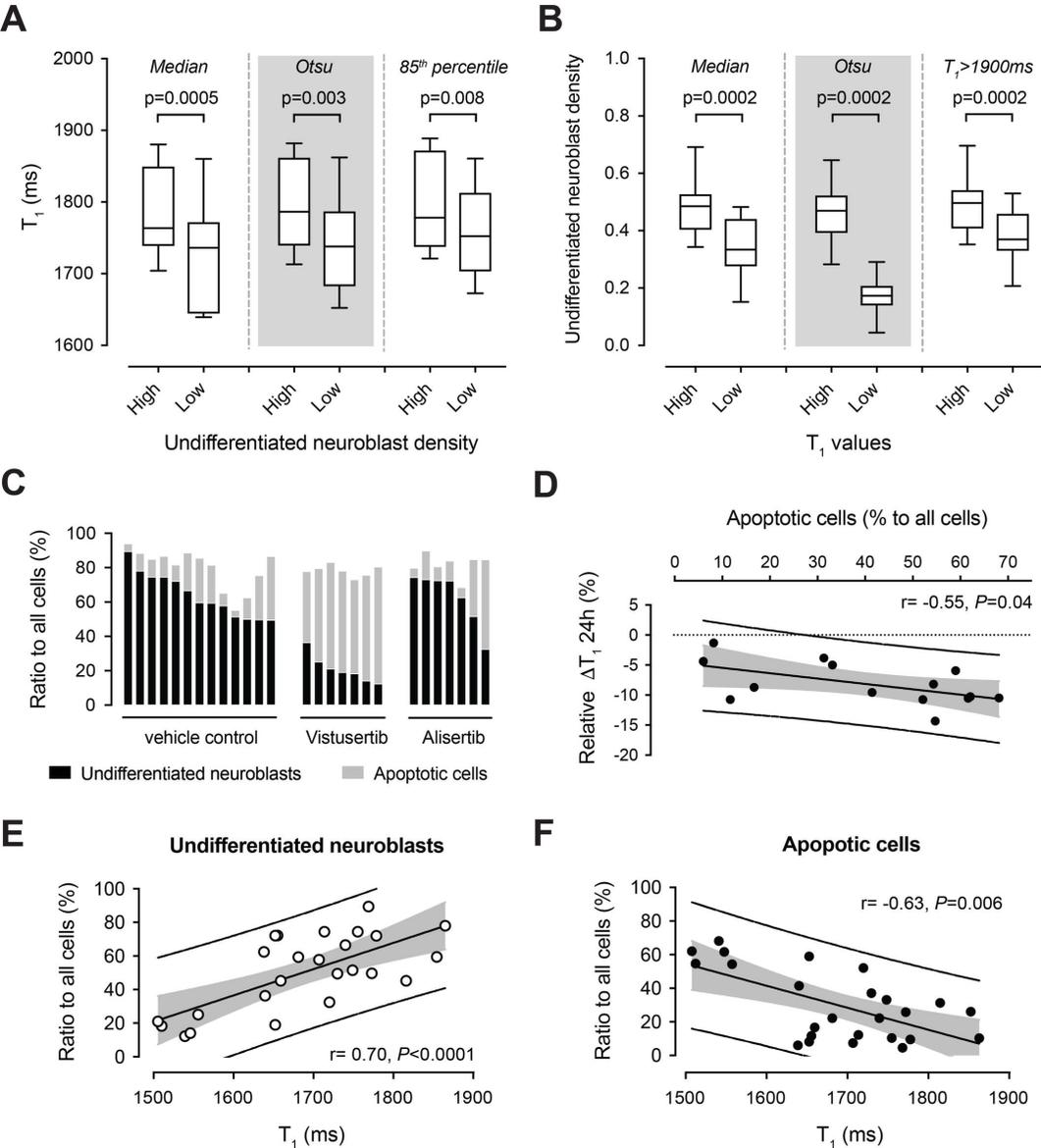


Figure 5

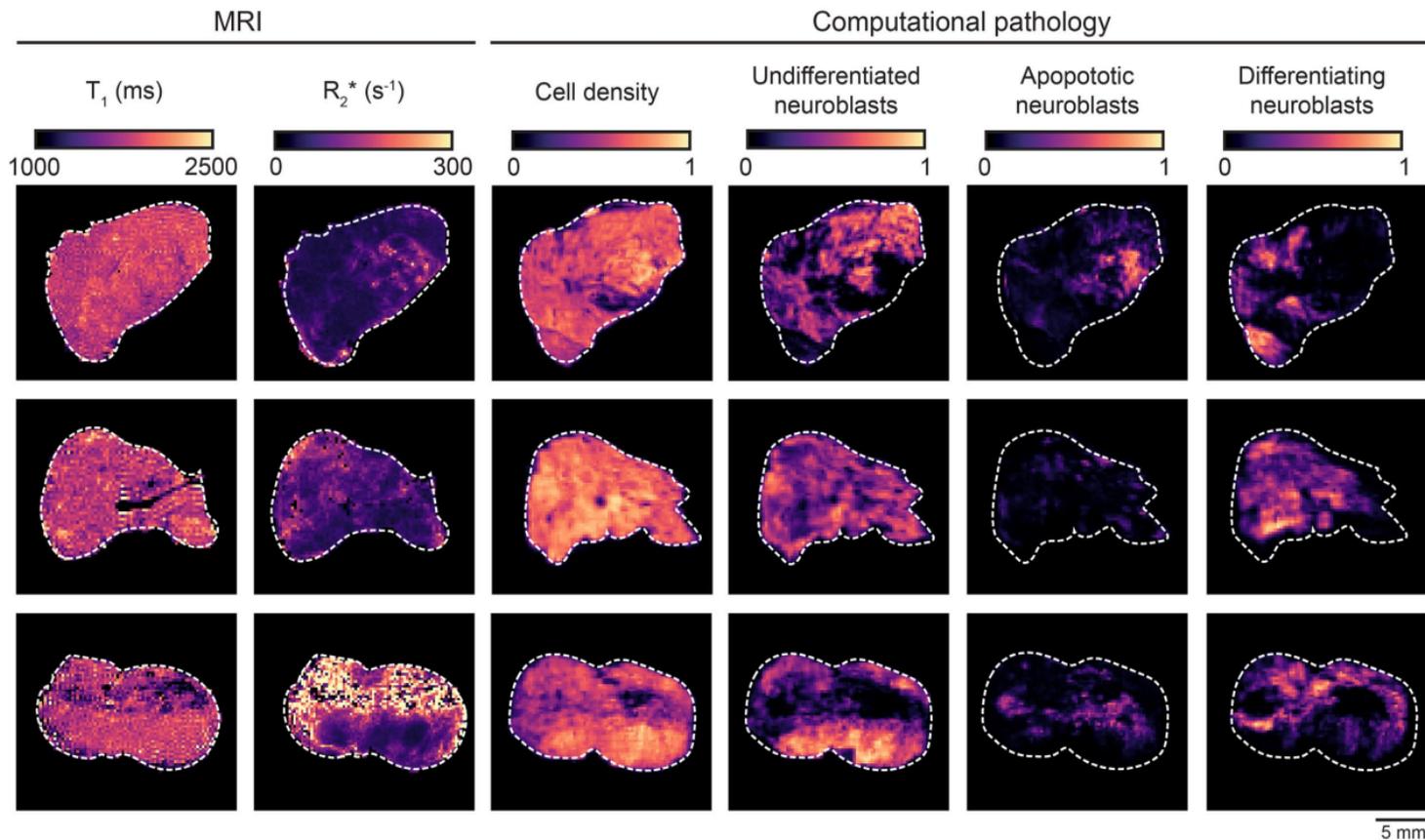


Figure 6