

1 TITLE:

2 Transplantation of discarded livers following viability testing with normothermic machine perfusion

3

4 Hynek Mergental^{1,2,3*}, Richard W Laing^{1,2,3*}, Amanda J Kirkham⁴, M Thamara PR Perera¹, Yuri L Boteon^{1,2,3},

5 Joseph Attard^{1,2,3}, Darren Barton⁵, Stuart Curbishley^{2,3}, Manpreet Wilkhu⁵, Desley AH Neil^{2,3,6}, Stefan G

6 Hübscher^{2,3,6}, Paolo Muiesan¹, John R Isaac¹, Keith J Roberts^{1,3}, Manuel Abradelo¹, Andrea Schlegel^{1,3},

7 James Ferguson^{1,2}, Hentie Cilliers¹, Julian Bion⁷, David H Adams^{1,2,3}, Chris Morris⁸, Peter J Friend^{8,9},

8 Christina Yap^{4,10*}, Simon C Afford^{2,3*}, Darius F Mirza^{1,2,3*}

9 * These authors contributed equally

10

11 Author affiliations:

12 1. Liver Unit, Queen Elizabeth Hospital, University Hospitals Birmingham NHS Foundation Trust (UHBFT),

13 Birmingham, United Kingdom

14 2. National Institute for Health Research (NIHR), Birmingham Biomedical Research Centre, University of

15 Birmingham and University Hospitals Birmingham NHS Foundation Trust, United Kingdom

16 3. Centre for Liver and Gastrointestinal Research, Institute of Immunology and Immunotherapy,

17 University of Birmingham, United Kingdom

18 4. Cancer Research UK Clinical Trials Unit, University of Birmingham, Birmingham, United Kingdom

19 5. D³B team, Cancer Research UK Clinical Trials Unit, University of Birmingham, Birmingham, United

20 Kingdom

21 6. Department of Cellular Pathology, Queen Elizabeth Hospital, University Hospitals Birmingham NHS

22 Foundation Trust (UHBFT), Birmingham, United Kingdom

23 7. Department of Intensive Care Medicine, University of Birmingham, Birmingham, United Kingdom

24 8. OrganOx Limited, Oxford, United Kingdom

25 9. Nuffield Department of Surgical Sciences, University of Oxford, Oxford, United Kingdom

26 10. Clinical Trials and Statistics Unit, The Institute of Cancer Research, United Kingdom

27

28

29 Corresponding Authors:

30 Professor Darius F Mirza, MS, FRCS

31 Email: darius.mirza@uhb.nhs.uk

32

33 Hynek Mergental, MD, PhD

34 Email: hynek.mergental@uhb.nhs.uk

35

36 Address:

37 Liver Unit, Queen Elizabeth Hospital Birmingham

38 University Hospitals Birmingham NHS Foundation Trust

39 Birmingham, B15 2TH

40 United Kingdom

41

42 Abbreviations

43 DBD: donation after brainstem death; DCD: donation after circulatory death; DRI: donor risk index; MELD:

44 model for end-stage liver disease; MRCP: magnetic resonance cholangiopancreatography; NMP:

45 normothermic machine perfusion; UKELD: UK end-stage liver disease

46

47 ABSTRACT

48 There is a limited access to liver transplantation, however, many organs are discarded based on subjective

49 assessment only. Here we report the VITTAL clinical trial outcomes, using normothermic machine perfusion

50 (NMP) to objectively assess livers discarded by all UK centres meeting specific high-risk criteria. Thirty-one

51 livers were enrolled and assessed by viability criteria based on the lactate clearance to levels ≤ 2.5 mmol/L

52 within 4 hours. The viability was achieved by 22 (71%) organs, that were transplanted after a median

53 preservation time of 18 hours, with 100% 90-day survival. During the median follow up of 542 days, 4 (18%)

54 patients developed biliary strictures requiring re-transplantation.

55 This trial demonstrates that viability testing with NMP is feasible and in this study enabled successful

56 transplantation of 71% of discarded livers, with 100% 90-day patient and graft survival; it does not seem to

57 prevent non-anastomotic biliary strictures in livers donated after circulatory death with prolonged warm

58 ischaemia.

59 (Funded by the National Institute for Health Research Wellcome Trust; ClinicalTrials.gov number

60 NCT02740608)

61

62 INTRODUCTION

63 Liver transplantation is a lifesaving treatment for selected patients with end-stage liver disease, primary
64 liver cancer and fulminant hepatic failure. The incidence of liver disease has risen by 500% over the last 4
65 decades, however access to transplantation is limited by the shortage of donor organs.¹ As a consequence,
66 240 patients (19%) waiting for liver transplantation in the United Kingdom either died or were removed
67 from the waiting list in 2016-17.² Data from the United States shows a similar pattern, comprising 32% of
68 those listed for transplant (3,629 patients) within 3 years of listing.^{2,3} The demand for liver grafts has driven
69 the wider use of extended criteria donors.⁴ However, these are associated with an increased risk of primary
70 non-function or delayed failure⁵⁻⁹, and the acceptance of these higher-risk organs varies widely¹⁰. Because
71 of these inferior outcomes, and the difficulty of predicting organ viability, many potential donor organs
72 remain unutilised. The high waiting list mortality justifies the utilisation of more marginal grafts, but current
73 practice requires risk mitigation by matching high-risk livers to lowerrisk recipients to achieve patient
74 survival rates that are acceptable.¹¹ Furthermore, the determination of suitability of a graft for
75 transplantation largely depends on a surgeon's subjective assessment of the graft's appearance, using
76 criteria that are known to be unreliable.¹²

77 Organ preservation currently relies upon cooling to ice temperature to reduce cellular metabolism, and
78 infusing specialist solutions to limit cellular damage. Oxygen deprivation and accumulation of by-products
79 of anaerobic metabolism limit the duration of storage and result in ischaemia-reperfusion injury at the time
80 of implantation. This process is more severe in marginal organs.¹³ Normothermic machine perfusion (NMP)
81 has been shown to reduce preservation-related graft injury compared to static cold storage in
82 transplantable livers, according to current selection criteria, in a prospective European trial, which also
83 demonstrated increased utilisation of organs.¹⁴ In NMP, the liver is supplied with oxygen, nutrients and
84 medication at physiological temperature and pressures, maintaining conditions that support homeostasis,
85 normal metabolic activity and objective assessment of function in real-time. Experimental data has shown
86 that end-ischaemic NMP facilitates replenishment of adenosine triphosphate and glycogen levels. Based on
87 increasing clinical experience, viability criteria have emerged; these are objective parameters, measurable
88 during NMP.¹⁵ Whilst the feasibility of this approach has been demonstrated in a proofofconcept series, it
89 has not been validated in a rigorous clinical trial.^{16,17}

90 We therefore conducted this prospective, non-randomised, adaptive phase 2 trial in a large single centre,
91 to evaluate the potential of NMP to provide objective assessment of the viability of livers currently deemed
92 unsuitable for transplantation, and to transplant those that met predetermined criteria. The primary clinical

93 objective underlying this project was the increased and safe utilisation of livers which are currently
94 discarded.

95 The trial demonstrates that viability testing with NMP is feasible, and the objective assessment enables
96 successful transplantation of 71% of perfused discarded livers, with 100% 90-day patient and graft survival.

97 The intervention does not seem to prevent the development of non-anastomotic biliary strictures in DCD
98 livers with prolonged donor warm ischaemic times.

99

100 RESULTS

101 Characteristics of discarded liver offers and study participants

102 Over the 16-month study duration from November 2016 to February 2018, there were 185 livers discarded
103 for clinical use and offered for research. Characteristics of those offers and the study inclusion flowchart
104 are provided in Figure 1A and 1B.

105 One hundred and sixty-four patients on the waiting list were approached for potential participation, of
106 which 53 were consented, and 22 were enrolled in the study and received rescued grafts. The potential
107 participants were counselled regarding the high-risk nature of the project and unknown long-term
108 outcomes of resuscitated livers. As a consequence, a proportion of patients were understandably reluctant
109 to participate, and therefore the lack of suitable consented recipients was the principal rate limiting factor
110 for inclusion. The number of consented patients at any given time ranged from 1-9; the flow diagram
111 displaying the progress of patients through the trial is shown in Figure 2.

112

113 Donor liver characteristics and liver biopsy features

114 In 8 (26%) donors the liver was the only procured organ. All discarded donor livers entered in the study
115 satisfied one or more of the inclusion high-risk criteria. The livers enrolled in the trial consisted of 17 organs
116 donated after brainstem death (DBD) and 14 after circulatory death (DCD). Many of these organs looked
117 grossly suboptimal, with some degree of steatosis, capsular fibrosis, or rounded edges with multifactorial
118 reasons for discard, that was captured by the donor risk index (DRI) >2.0 in 22 (71%) livers, with the median
119 DRI 2.2 (1.9-2.9). Detailed characteristics are shown in Table 1 and Supplementary Table 1. Photos of all
120 included livers are presented in Figure 3. The transplanted livers were typically smaller than non-viable ones
121 (1.7 vs 2.0 kg, $p=0.015$; Kruskal-Wallis test), with lower peak pre-mortem donor liver enzymes levels. The
122 median static cold storage time before starting NMP was 7h:44min (6:29-10:25). Only 3 (10%) livers were
123 included in the trial primarily for macrosteatosis >30%, (50%, 80% and 60% macrovesicular steatosis

124 combined with 11hr:55min, 12hr:00min and 6hr:15min cold ischaemia respectively). Glycogen content and
125 steatosis degree did not predict the viability assessment results. The detailed histological finding of each
126 study liver is provided in Supplementary Table 2.

127

128 Perfusion parameters assessment

129 During the NMP procedure 25 livers quickly recovered metabolic activity and cleared lactate to the target
130 level (details provided in Figure 4). A biopsy of a suspicious donor colonic lesion confirmed malignancy,
131 making one liver unsuitable for transplantation, after meeting the viability criteria. In 3 livers, criteria were
132 initially met, however metabolic function thereafter deteriorated within the first 4 hours, with increasing
133 lactate. In two cases the transplant procedure was not commenced and the livers were discarded. In the
134 third, the explant had begun, and the procedure continued. Overall, 22 (71%) livers met the viability criteria
135 and were transplanted following a median total preservation time of 17h:53min (16:17-21:48; Table 2).

136

137 The study patients

138 The majority (64%) of recipients were men, and median age was 56 (46-65) years. The leading indication
139 for transplantation was alcohol-related liver disease (36%), followed by primary sclerosing cholangitis (27%)
140 and non-alcoholic steatohepatitis (18%). In three (14%) patients the underlying liver disease was
141 complicated by liver cancer. The median UKELD¹⁸ score was 52 (49-55), with a calculated laboratory MELD
142 score of 12 (9-16). Details are provided in Table 2 and Supplementary Table 3.

143

144 Co-primary study outcomes

145 Thirty-one livers were enrolled into the trial for objective assessment by NMP. Twenty-two of these livers
146 met the viability criteria and were transplanted, resulting in a significant successful rescue rate of 71%
147 (22/31, 90% Wilson confidence interval: 56.3% - 82.2%), to conclude that the procedure is feasible. All 22
148 (100%) transplanted patients were alive at day 90 post-transplantation – greater than the 18/22 required
149 by the trial design.

150

151 Transplant outcomes

152 Graft 90-day survival was 100%. Seven (32%) patients developed early allograft dysfunction, and 7 (32%)
153 patients developed Clavien-Dindo complication grade ≥ 3 , including 4 (18%) cases with acute kidney injury

154 requiring renal replacement therapy. The median intensive care and in-hospital stays were 3.5 days (3-4)
155 and 10 days (8-17) respectively. The 1-year patient and graft survival were 100% and 86% respectively.

156 Details are provided in Table 3.

157

158 Vascular and biliary complications

159 One patient developed an intra-operative hepatic artery thrombosis after receiving a DBD graft that had
160 sustained a hepatic arterial injury during procurement. The artery was reconstructed but post-operatively
161 thrombosed, undergoing emergency revascularisation which achieved long-lasting arterial patency. The
162 graft, however, developed biliary strictures requiring multiple interventions and eventual retransplantation.
163 The per-protocol MRCP imaging at 6 months revealed that 2 (9%) patients developed anastomotic, and 4
164 (18%) patients non-anastomotic biliary strictures that presented with cholestatic symptoms. With the
165 exception of the patient with hepatic artery thrombosis, all biliary strictures affected recipients of DCD
166 grafts. During the study median follow up of 542 days (456-641), four patients underwent liver
167 retransplantation (at day 120, 225, 375, and 417). The details are provided in Table 3 and Supplementary
168 Table 3.

169

170 Comparison of outcomes with contemporary matched controls

171 Patient and graft survival rates at 12 months (100% and 86% respectively) were similar to the matched
172 controls (96% and 86% respectively). The incidence of early allograft dysfunction was higher in the study
173 group (32% vs 9%, odds ratio 5.6, 95% confidence interval 1.1-27.8, p=0.034; conditional logistic
174 regression). There were no differences in the other assessed parameters, including the need for
175 posttransplant renal replacement therapy, hospital stay, or incidence of Clavien-Dindo grade ≥ 3
176 complication rates. The incidence of clinically manifest non-anastomotic biliary strictures was higher in the
177 study group (18% vs 2%, odds ratio 8.0, 95% confidence interval 0.9-71.6; p=0.063; conditional logistic
178 regression), although this result needs to be interpreted with caution as the matched control patients did
179 not receive systematic bile duct imaging. Due to the small sample sizes these comparison results should be
180 interpreted with caution, and the controls were included to present the study results within the context of
181 the unit's contemporary outcomes. The details are shown in Table 3.

182

183 DISCUSSION

184 Utilisation of livers from organ donors is currently a major challenge in liver transplantation.¹⁹ Despite a
185 waiting list mortality in Western countries reaching 20-30%, an increasing proportion of extended criteria
186 livers are unused due to concerns of primary non-function and early graft dysfunction.^{20,21} The decision to
187 discard donor livers is still largely based upon donor history and subjective assessment by the transplanting
188 surgeon. Standard cold static preservation does not allow for any assessment of liver function, and the only
189 other source of information is liver histology, which is able to diagnose severe large droplet fatty change, a
190 well-recognised risk factor for non-function.²¹ This study has demonstrated that moving from subjective
191 evaluation to objective testing during NMP might salvage a high proportion of those livers that are currently
192 discarded. The need to improve the method by which high-risk livers are assessed was illustrated in this
193 study by the absence of significant differences in the donor characteristics between transplanted and
194 discarded livers.

195 The present trial is the first to systematically investigate objective viability criteria in livers that met specific
196 high-risk features in organs initially considered “untransplantable”.^{11,22} One major challenge addressed in
197 the VITTAL trial design was that each discarded liver had to also fulfil one or more predefined objective
198 high-risk criteria, as the considerations for liver transplantability are always multifactorial, including the
199 recipient condition, logistical aspects, and the surgeon’s (or transplant centre’s) experience and risk-taking
200 attitude. The utilisation of marginal livers in the UK was facilitated by the centre-based liver allocation
201 system, allowing the use of high-risk organs in any patient on the waiting list. All enrolled organs were
202 simultaneously fast-track offered to all UK transplant centres following the initial decline, and the fact that
203 none of the seven centres were comfortable using any of the livers included in this trial confirms that these
204 organs were uniformly perceived to be of very poor quality. Our team genuinely aimed to push the
205 boundaries of utilisation of the highest risk organs by accessing the benefit of rigorous peer-review and
206 continual oversight within the framework of a clinical trial. We included only organs that our team did not
207 feel comfortable to use otherwise, and this attitude was reflected by the two-tier liver inclusion process
208 embedded in the trial design, and by the fact that 25 livers, that would very likely meet the transplantability
209 criteria, were not considered for the study inclusion. Some of the study livers might have been
210 transplantable if the cold ischaemia was very short and a suitable recipient was waiting, but currently the
211 majority of these organs are discarded. With the introduction of the National Allocation system, logistical
212 constraints exacerbated by static cold storage are increasingly common and prevent the utilisation of a
213 rising proportion of marginal livers. In these circumstances, NMP mitigates the reperfusion process,

214 allowing assessment of the organ during perfusion without exposing patients to the risk of primary non-
215 function. Additionally, livers discarded due to haemodynamic instability (during procurement or during the
216 process of brain stem death itself), high liver transaminases or poor *in situ* flush, benefited from perfusion
217 in a controlled, near physiological environment thereby facilitating their recovery. The potential to
218 recondition the liver in the interval between retrieval and implantation has hitherto not been possible.
219 An intervention which increases successful utilisation of high-risk livers will transform access to
220 transplantation to meet predicted increasing demand, particularly given trends in donor demographics and
221 declining organ quality.⁴ Whilst organ donation in the UK has increased from 676 to 1149 donors per annum
222 between 2008 and 2018, the proportion of retrieved livers that were discarded has nearly doubled (from
223 8% to 15%; data from the UK Organ Donation and Transplantation Registry, www.odt.nhs.uk), indicating
224 reluctance of surgeons to accept these organs for their increasingly sicker recipients. In 2017/18, not only
225 were 174 retrieved livers discarded, but 425 livers from solid organ donors were not even considered
226 suitable for retrieval (11% of DBD and 52% of DCD); it is reasonable to assume that many of these would
227 be suitable for testing. Salvaging a proportion of these retrieved but discarded organs would add a good
228 number of transplantable livers annually in the UK, significantly reducing waiting list mortality.
229 International comparisons demonstrate regional variations in donor demographics and there is evidence
230 that in countries with higher initial organ acceptance rates there is also a higher discard rate, particularly
231 for older donors.^{23,24} Viability testing provides objective evidence of liver function with clearance of
232 metabolic acidosis, vascular flows, glucose parameters and bile production; these give the transplant
233 surgeon the confidence to use these organs safely, and minimises the physical and emotional impact of
234 non-transplantation for patients.

235 In the presented study the NMP was commenced following a median cold storage time reaching 8 hours.
236 Whilst this approach may simplify adoption of the NMP technology without compromising outcomes in
237 transplantable livers,²⁵ recovery of organs from donors with multiple high-risk features might be further
238 facilitated by limiting cold ischaemia through commencing the perfusion immediately after procurement in
239 the donor hospital.¹⁴ Inevitably there will always be livers that are not suitable for transplantation,
240 demonstrated by 30% of offers with macroscopic cirrhosis, biopsy-proven fibrosis or an incidental finding
241 of donor cancer. A similar proportion of the livers, however, did not meet any of our high-risk criteria and
242 were therefore considered “too good” for inclusion. It is reasonable to assume that NMP assessment would
243 have provided the reassurance needed to justify transplantation in this group as well.

244 Improvements in transplant logistics is one of the major advantage of NMP,^{14,25,26} and the study allowed for
245 the machine perfusion duration to be between 4 hours (time needed for the viability assessment) and 24
246 hours (maximum recommended time by the perfusion device manufacturer). Once the liver met the
247 viability criteria we aspired to commence the transplantation as soon as possible; however, the perfusion
248 was often extended to allow for a day-time procedure, or to facilitate transplant logistics in the unit. From
249 our experience, 4-6 hours' perfusion seems to be sufficient for adequate assessment and replenishment of
250 the organ's energy resources. Due to recirculation of metabolites accumulated in the organs during cold
251 ischaemia, the high-risk organs probably do not benefit from prolonged perfusion. The impact of NMP
252 duration on livers initially exposed to prolonged cold ischaemia is an area of our ongoing research interest.
253 Transplant surgeons in many countries are expanding the donor pool with the use of organs donated after
254 circulatory death.²⁷ In the context of liver transplantation, the longevity of these organs might be
255 compromised by development of non-anastomotic biliary strictures.⁸ The incidence of clinically manifest
256 non-anastomotic biliary strictures in the DCD grafts cohort was 30% (3 out of 10 grafts), higher than the
257 study matched controls group, but similar to other reported high-risk DCD series.²⁸ In concordance with the
258 European prospective normothermic preservation trial, our results suggested that MRCP findings are likely
259 to over-estimate the incidence of biliary complications.¹⁴ The per-protocol investigation at the 6month time
260 point would identify over 80% of the clinically relevant biliary strictures and asymptomatic irregularities
261 with varying clinical significance.²⁸ The presented findings are accurate, as the images were correlated with
262 clinical reviews and liver function tests through the median follow-up of 542 (range 390784) days.
263 Nevertheless, it is clear that end-ischaemic NMP does not prevent the development of nonanastomotic
264 biliary strictures in high-risk DCD organs, and our outcomes suggest that extending the donor warm times
265 beyond the currently widely accepted limit of 30 minutes is not advisable. This finding was not anticipated
266 at the time of trial design or during the conduct of the trial and only became evident during the longer term
267 follow up of these grafts beyond the primary end point of 90 days. Further work is needed to identify new
268 limits (e.g. donor characteristics, warm ischaemia time, cold ischaemia time) and to define perfusion
269 biomarkers that predict this complication and avoid futile transplantation. Recently published research
270 suggests that the composition of bile produced during perfusion (pH, bicarbonate and glucose
271 concentration) is predictive of ischaemic cholangiopathy.¹⁷ Sub-analysis of bile samples and determination
272 of biliary endothelial health is the subject of ongoing research. Evolving novel perfusion strategies might
273 enable the use of DCD grafts exposed to prolonged warm ischaemia.^{14,29,30}

274 The other limitations of our study include the sensitivity of the cut-off lactate value, the non-randomised
275 trial design, and exclusion of high-risk transplant recipients. Regarding the former, following previous
276 experience, we set the lactate viability threshold to less than 2.5mmol/L within 2 hours of NMP^{15,16} To
277 maximise utilisation, this trial extended the assessment period to 4 hours. Two livers in the trial were
278 discarded following a rise of the perfusate lactate after meeting the 2-hour target. The significance of this
279 is uncertain, although it is notable that a third liver with a similar pattern of lactate clearance was
280 transplanted and experienced a substantial period of early allograft dysfunction with a post-transplant peak
281 ALT of 2074 IU and AST of 3031 IU. Concerning the design, the trial was conducted as a nonrandomised
282 study, as transplanting discarded livers with an expected high incidence of primary nonfunction as controls
283 would be ethically unacceptable. We expect further advances to be achieved through the identification of
284 specific biomarkers that correlate with long-term graft outcomes, in the context of large NMP series or
285 registries. Lastly, as we did not want to compound risks, the study did not include higher risk recipients
286 deemed not suitable to receive marginal organs at the unit's multidisciplinary liver transplant listing
287 meeting. The majority of participants who decided to participate did so after a long period waiting on the
288 list, with progressive deterioration that was not necessarily reflected by their waiting list position. The
289 feasibility of using livers rescued by NMP for the high-risk recipient is currently under investigation.

290 In conclusion, this trial demonstrated that NMP provides a way of objectively assessing high-risk organs,
291 and allowed transplantation in a significant proportion of currently unutilised livers without any incidence
292 of primary non-function. The use of perfusion technology was associated with increased graft utilisation,
293 considerably extended preservation time and greatly improved transplant logistics. Adoption of functional
294 assessment of high-risk livers can increase access to life saving transplantation and reduce waiting list
295 mortality.

296

297 METHODS

298 Study design

299 This study was a prospective, open label, phase 2 adaptive single-arm trial comprising high-risk livers
300 meeting two-tier inclusion criteria. The first-tier was being considered as unsuitable for transplant by all UK
301 transplant centres within a nationwide fast-track offering scheme. The trial was performed at a
302 single institution (Queen Elizabeth Hospital, Birmingham, UK) with experience in NMP and utilisation of high-
303 risk grafts.^{5,31} The second-tier eligibility required at least one of seven specific criteria that confirmed the
304 high risk status of every enrolled liver (Table 4). To minimise risks of high post-transplant complications or

305 mortality for the study participants, the trial used an adaptive design with two interim safety analyses
306 (Supplementary Figure 1). The study was funded by the Wellcome Trust, and granted approval by the
307 National Research Ethics Service in London-Dulwich (REC reference 16/LO/1056, Protocol number RG 15–
308 240) and the Medicines and Healthcare Products Regulatory Agency. The project was endorsed by the
309 Research, Innovation and Novel Technologies Advisory Group committee of the National Health Service
310 Blood and Transplant. The study was registered at ClinicalTrials.gov (reference number NCT02740608), the
311 protocol has been published,³² and the full version is provided in the Supplementary Information.

312

313 Discarded liver inclusion criteria and the study logistics

314 The study considered all potential donors with a diagnosis of brainstem death or Maastricht category III and
315 IV donors after circulatory death, aged up to 85 years, initially retrieved with the intent for transplantation
316 but subsequently declined by all UK transplant centres based on the retrieving or transplant surgeon’s
317 assessment. If our centre was the last in the fast-track offering sequence, the liver had to be deemed
318 untransplantable by two consultant surgeons independently. The surgeons were paired together to create
319 an overall low threshold for using marginal livers, ensuring any liver that could be used without viability
320 testing was transplanted, thereby minimising bias. For the liver to be eligible it also had to meet at least
321 one defined high-risk criterion (see Tables 1 and 4). Consent for research was provided by the donor’s next
322 of kin.

323

324 Study participants

325 Eligible participants were those listed electively for primary liver transplantation and deemed to be low to
326 moderate transplant risk candidates, suitable to receive a high-risk graft, as assessed by the unit’s transplant
327 waiting list multi-disciplinary team. Candidates were required to have a patent portal vein, no significant
328 comorbidities (cardiovascular diseases including active angina, a history of ischaemic heart disease,
329 congestive heart failure, cerebrovascular events, symptomatic valvular heart disease or cardiac
330 arrhythmias; pulmonary conditions including pulmonary hypertension or established diagnosis of
331 pulmonary dysfunction), a UK end-stage liver disease¹⁸ (UKELD) score ≤ 62 and no history of major upper
332 abdominal surgery. Each participant was fully informed of being offered a marginal graft and gave written
333 consent for the trial in advance of the organ offer, after having at least 24 hours to consider their
334 participation.

335

336 The study intervention and liver viability assessment

337 All livers were cold-preserved with University of Wisconsin solution and commenced NMP using the
338 OrganOx Metra device after arrival at the transplant centre. The protocol stipulated an NMP duration of
339 between 4 and 24 hours. Serial perfusate, bile and tissue samples were taken at regular time intervals. For
340 a liver to be considered viable it had to metabolise perfusate lactate to levels ≤ 2.5 mmol/L within 4 hours of
341 commencing the perfusion, in addition to meeting at least 2 of the following additional criteria: evidence
342 of bile production, maintenance of perfusate pH ≥ 7.30 , metabolism of glucose, maintenance of stable
343 arterial and portal flows (≥ 150 mL/min and ≥ 500 mL/min respectively), and homogeneous perfusion with
344 soft consistency of the parenchyma.¹⁶

345 If a liver was considered viable, the transplant was set up and performed. At the point of recipient
346 hepatectomy, the NMP team disconnected the organ from the device, flushed it with 3 litres of
347 histidinetryptophan-ketoglutarate solution at 4°C and handed it over for immediate implantation.
348 Posttransplant management followed the unit's standard protocol, with immunosuppression comprising
349 tacrolimus, azathioprine or mycophenolate mofetil, and low dose steroids. Each patient underwent a
350 magnetic resonance cholangiopancreatography (MRCP) at 6 months unless the investigation was clinically
351 indicated earlier.

352 Liver quality was determined retrospectively through histological analysis of parenchymal biopsies which
353 were assessed for pre-existing liver disease, steatosis, glycogen content and features of
354 preservationreperfusion injury.

355

356 Outcome measures

357 The co-primary outcomes consisted of A) feasibility of NMP in discarded organ recovery and B) achievement
358 of successful transplantation. The perfused organ recovery rate was the proportion of perfusions leading
359 to transplantation. Successful transplantation was defined as 90-day patient survival - a nationally accepted,
360 monitored and continuously audited outcome measure.

361 The key secondary outcome measures included assessment of the liver graft function (by incidence of
362 primary non-function and early allograft dysfunction³³), liver function test results, 90-day graft survival,
363 intensive therapy unit and post-transplant in-hospital stays, incidence of vascular complications, and
364 anastomotic and non-anastomotic biliary strictures as assessed by MRCP at 6 months. Perioperative data
365 collection included haemodynamic stability, incidence of post-reperfusion syndrome and blood-product
366 requirements. Post-transplant adverse events and complication severity were graded according to the

367 Clavien-Dindo classification.³⁴ The secondary outcomes were compared with contemporary controls (1:2),
368 matched in order of priority for the donor graft type, UKELD Score, donor age and donor sex. Four variables
369 included in the original protocol (model of end-stage liver disease [MELD], recipient age, BMI and the liver
370 disease aetiology) were removed as matching criteria due to confounding, correlation and being overly
371 stringent. There was consistency in the recipient selection for high-risk grafts guided by the unit's protocols
372 and transplant waiting list multi-disciplinary team meetings that assured similar characteristics regarding
373 the cardiovascular comorbidities and surgical risks in the study participants and the matched controls. The
374 pre-planned comparisons with the matched controls group were not powered to demonstrate any
375 differences. Due to the small sample sizes, these results should be interpreted with caution; the controls
376 were included to present the study results within the context of the unit's contemporary outcomes.

377

378 Statistical analysis

379 The trial was powered with an emphasis on (A) the feasibility of the intervention using NMP and (B) recipient
380 safety. In terms of the intervention feasibility (A), the aim was to achieve an organ recovery rate of at least
381 50%, with a rate of 30% or less being considered unacceptable. Using a two-stage design,³⁵ with an interim
382 assessment after 24 livers (continuing if ≥ 8 livers were recovered), a sample size of up to 53 livers
383 undergoing NMP might be required, with target alpha (one-sided) of 0.05 (actual alpha = 0.047) and target
384 beta of 0.1 (actual beta = 0.098) . NMP was considered feasible for organ recovery if at least 22 livers were
385 recovered from 53 perfused. Though the two statistical inferences are assessing different hypotheses
386 (safety and feasibility), they are linked as 22 transplants are required for the safety testing of the procedure,
387 which is also the minimum number required out of 53 perfused livers to be considered feasible.

388 For (B), the mean 90-day patient survival rate for patients receiving liver transplants in the UK was 93%.³⁶
389 For the discarded livers, the desirable and undesirable 90-day overall survival rates were set at 88% and
390 73% (15% lower) respectively. Using an optimal three-stage adaptive design³⁷ with two interim assessments
391 after 3 patients (requires ≥ 2 successes) and 11 patients (≥ 8 successes), a sample size of 22 patients was
392 required, with alpha (type I error) and beta (type II error) of 0.2. As this was an early phase
393 (non-definitive) trial to assess the safety of this procedure, a relaxed one-sided alpha was used to attain an
394 achievable sample size within the trial duration and cost constraint. The approach was considered
395 successful if there were at least 18 successes out of 22 transplants

396 The descriptive statistics data were presented as number and percentages, and median and interquartile
397 range. Due to small numbers, the pre-planned analyses used Kruskal-Wallis test to assess differences in

398 continuous variables between two groups and Fisher's exact test for categorical variables. Kaplan-Meier
399 survival method was used to analyse time-to-event data and conditional logistic regression for matched
400 case-control analysis. All secondary and exploratory analyses were two-sided at 5% significance level, not
401 powered and not adjusted for multiple testing. STATA software package version 15.1 for Windows
402 (StataCorp LLC, USA) was used for all analyses. Results were rounded to a relevant precision, percentages
403 in the text to full numbers and p-values to three decimals. The statistical analysis plan is provided in the
404 Supplementary Information.

405

406 Data availability

407 The source data underlying figures and tables included in the manuscript are provided within the
408 Supplementary Information Source Data File and supplementary tables. Additional data will be provided
409 upon request (details of the request process is available on the Cancer Research UK Clinical Trials Unit
410 website).

411

412 REFERENCES

413

- 414 1. Williams, R., *et al.* Implementation of the Lancet Standing Commission on Liver Disease in the UK.
415 *Lancet* 386, 2098-2111 (2015).
- 416 2. Organ Donation and Transplantation: Annual report on liver transplantation 2016/17. Available from:
417 www.odt.nhs.uk.
- 418 3. Kim, W.R., *et al.* OPTN/SRTR 2016 Annual Data Report: Liver. *American Journal of Transplantation* 18,
419 172-253 (2018).
- 420 4. Tullius, S.G. & Rabb, H. Improving the Supply and Quality of Deceased-Donor Organs for Transplantation.
421 *N Engl J Med* 378, 1920-1929 (2018).
- 422 5. Mirza, D.F., *et al.* Policies in Europe on "marginal quality" donor livers. *Lancet* 344, 1480-1483 (1994).
- 423 6. Ravaioli, M., *et al.* Risk Avoidance and Liver Transplantation: A Single-center Experience in a National
424 Network. *Ann Surg* 264, 778-786 (2016).
- 425 7. Ghinolfi, D., *et al.* Use of Elderly Donors in Liver Transplantation: A Paired-match Analysis at a Single
426 Center. *Ann Surg* 268, 325-331 (2018).
- 427 8. Jay, C.L., *et al.* Ischemic cholangiopathy after controlled donation after cardiac death liver
428 transplantation: a meta-analysis. *Ann Surg* 253, 259-264 (2011).

- 429 9. Ploeg, R.J., *et al.* Risk factors for primary dysfunction after liver transplantation--a multivariate analysis.
430 *Transplantation* 55, 807-813 (1993).
- 431 10. Annual report on liver transplantation 2018/19. NHS Blood and Transplant. Available from:
432 www.odt.nhs.uk.
- 433 11. Dutkowski, P., *et al.* Are there better guidelines for allocation in liver transplantation? A novel score
434 targeting justice and utility in the model for end-stage liver disease era. *Ann Surg* 254, 745-753;
435 discussion 753 (2011).
- 436 12. Marcon, F., *et al.* Utilisation of declined liver grafts yields comparable transplant outcomes and previous
437 decline should not be a deterrent to graft use. *Transplantation* 102(5), 211-218 (2018).
- 438 13. Chouchani, E.T., *et al.* Ischaemic accumulation of succinate controls reperfusion injury through
439 mitochondrial ROS. *Nature* 515, 431-435 (2014).
- 440 14. Nasralla, D., *et al.* A randomized trial of normothermic preservation in liver transplantation. *Nature* 557,
441 50-56 (2018).
- 442 15. Mergental, H., *et al.* Development of Clinical Criteria for Functional Assessment to Predict Primary
443 Nonfunction of High-Risk Livers Using Normothermic Machine Perfusion. *Liver Transpl* 24, 1453-1469
444 (2018).
- 445 16. Mergental, H., *et al.* Transplantation of Declined Liver Allografts Following Normothermic Ex-Situ
446 Evaluation. *Am J Transplant* 16, 3235-3245 (2016).
- 447 17. Watson, C.J.E., *et al.* Observations on the ex situ perfusion of livers for transplantation. *Am J Transplant*
448 18, 2005-2020 (2018).
- 449 18. Barber, K., *et al.* Elective liver transplant list mortality: development of a United Kingdom end-stage liver
450 disease score. *Transplantation* 92, 469-476 (2011).
- 451 19. Taking Organ Utilisation to 2020: ODT Organ Utilisation Strategy. NHS Blood and Transplant. Available
452 from: www.odt.nhs.uk.
- 453 20. Feng, S., *et al.* Characteristics associated with liver graft failure: the concept of a donor risk index. *Am J*
454 *Transplant* 6, 783-790 (2006).
- 455 21. Linares, I., Hamar, M., Selzner, N. & Selzner, M. Steatosis in Liver Transplantation: Current Limitations
456 and Future Strategies. *Transplantation* 103, 78-90 (2019).

- 457 22.Briceno, J., Ciria, R., de la Mata, M., Rufian, S. & Lopez-Cillero, P. Prediction of graft dysfunction based
458 on extended criteria donors in the model for end-stage liver disease score era. *Transplantation* 90,
459 530539 (2010).
- 460 23.de la Rosa, G., Fondevila, C. & Navasa, M. Liver transplantation in Spain. *Liver Transpl* 22, 1259-1264
461 (2016).
- 462 24.Schlegel, A., *et al.* Impact of donor age in donation after circulatory death liver transplantation: Is the
463 cutoff "60" still of relevance? *Liver Transpl* 24, 352-362 (2018).
- 464 25.Ceresa, C.D.L., *et al.* Transient Cold Storage Prior to Normothermic Liver Perfusion May Facilitate
465 Adoption of a Novel Technology. *Liver Transpl* (2019).
- 466 26.Watson, C.J., *et al.* 26-hour Storage of a Declined Liver Before Successful Transplantation Using Ex Vivo
467 Normothermic Perfusion. *Ann Surg* 265, e1-e2 (2017).
- 468 27.Schlegel, A., *et al.* The UK DCD Risk Score: A new proposal to define futility in donation-
469 aftercirculatorydeath liver transplantation. *J Hepatol* 68, 456-464 (2018).
- 470 28.Foley, D.P., *et al.* Biliary complications after liver transplantation from donation after cardiac death
471 donors: an analysis of risk factors and long-term outcomes from a single center. *Ann Surg* 253, 817825
472 (2011).
- 473 29.Dutkowski, P., *et al.* HOPE for human liver grafts obtained from donors after cardiac death. *J Hepatol* 60,
474 765-772 (2014).
- 475 30.Hessheimer, A.J., *et al.* Normothermic regional perfusion versus super rapid recovery in controlled
476 donation after circulatory death liver transplantation. *J Hepatol* (2018).
- 477 31.Marcon, F., *et al.* Utilization of Declined Liver Grafts Yields Comparable Transplant Outcomes and
478 Previous Decline Should Not Be a Deterrent to Graft Use. *Transplantation* 102, e211-e218 (2018).
- 479 32.Laing, R.W., *et al.* Viability testing and transplantation of marginal livers (VITTAL) using normothermic
480 machine perfusion: study protocol for an open-label, non-randomised, prospective, single-arm trial.
481 *BMJ Open* 7, e017733 (2017).
- 482 33.Olthoff, K.M., *et al.* Validation of a current definition of early allograft dysfunction in liver transplant
483 recipients and analysis of risk factors. *Liver Transpl* 16, 943-949 (2010).
- 484 34.Dindo, D., Demartines, N. & Clavien, P.A. Classification of surgical complications: a new proposal with
485 evaluation in a cohort of 6336 patients and results of a survey. *Ann Surg* 240, 205-213 (2004).
- 486 35.Simon, R. Optimal two-stage designs for phase II clinical trials. *Controlled clinical trials* 10, 1-10 (1989).

487 36. Interim Report on Liver Transplantation: Report for 2014/15. Available from: www.odt.nhs.uk.
488 37. Chen, T.T. Optimal three-stage designs for phase II cancer clinical trials. *Stat Med* 16, 2701-2711 (1997).

489

490 Acknowledgements:

491 We gratefully acknowledge the support provided by all the team members of the Liver Unit at Queen
492 Elizabeth Hospital Birmingham. In particular we would like to thank the following people and groups: the
493 liver anaesthetic team including John Isaac, Tom Faulkner, Davinia Bennett, Scott Russell, Gowri Subash
494 and Adam Hill, the hepatology team including Philip Newsome, Ahmed Elsharkawy, Andrew Holt, Ye Oo,
495 Neil Rajoriya, Dennis Freshwater, Geoffrey Haydon, Gideon Hirschfield, Fiona Thompson, David Mutimer,
496 Tahir Shah, Shishir Shetty, Dhiraj Tripathi, Matthew Armstrong; the intensive care physician team including
497 Nick Murphy, Tony Whitehouse, Catherine Snelson, Nilesh Parekh, Brian Pouchet and Nandan Gautam; the
498 radiologists Simon Olliff, Brin Mahon, Homoyon Mehrzad and Arvind Pallan. Trial nurses Jo Grayer, Kathryn
499 Rodden, Emma Burke, Francesca Rinzicchi and Verity Bratley for their contribution to the study conduction
500 and the data collection. Sara Trevitt, Jennifer Keely, Bhushan Chhajer and the D3B team at the CRUK clinical
501 trials unit at The University of Birmingham. Lorraine Wallace for laboratory support, samples collection and
502 processing. The liver transplant co-ordinators, clinical nurse specialists and allied health professionals at the
503 Liver Unit for help with the study logistics and patient education. Bridget Gunson, Sue Paris and Gary
504 Reynolds for their trial support and work at the NIHR Birmingham Biomedical Research Centre. Leslie
505 Russell, Craig Marshall, Toni Day, Andy Self and Constantin Coussios from OrganOx Ltd for the project
506 partnership and support with the Wellcome Trust funding application. Serena Fasoli and Paul Adams for
507 providing logistical support with Organox Ltd materials. David Nasralla and Carlo Ceresa (University of
508 Oxford) for technical support and perfusion advice. NHS Blood and Transplant and the Research, Innovation
509 and Novel Technologies Group (RINTAG) which helped support the implementation of the trial. James
510 Neuberger, Jacques Pirenne, Andrew Hall and Gabriel Oniscu for the trial conduct and safety oversight and
511 membership on the data monitoring committee. Amanda Smith for her help with manuscript preparation
512 and proof reading. In particular we would like to thank also the British Transplant Society, the Liver Advisory
513 Group, the British Liver Trust, and the local public and patient involvement group who supported the trial
514 from its conception. The Wellcome Trust for funding and supporting this trial. Finally, we would like to thank
515 the organ donors, their families and the patients, without which this trial could not have been completed
516 successfully.

517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543

Authors contribution:

HM, RWL, CY, SCA and DFM contributed equally. HM, SCA and DFM conceived the study; CY, DFM, HM, AK and DB designed the trial; RWL, MW, AK, CY and DFM wrote the protocol with contributions from HM, DB and PJF; RWL, MW, SC and DB were responsible for the trial regulatory documents' preparation and submission; AK and CY devised the statistical analysis plan together with DFM. MTPRPP, PM, JRI, KJR, MA, HM, AS, JF, HC, JB, DHA, CM, RWL, YLB, JA and DFM were involved in the transplantations, machine perfusions and post-transplant patients' management; RWL, YLB and JA were responsible for samples and data collection. DAHN was responsible for histological assessment; AK performed the statistical analyses with senior oversight from CY; HM wrote the first draft of the paper with input from RWL, AK, CY and DFM; all authors contributed to the study conduct and reviewed the final manuscript version.

Competing interests:

PJF is a co-founder, chief medical officer and consultant to OrganOx Limited and also holds shares in the company, he was involved into the study design and funding application, but was not involved in clinical trial conduct. CM is an OrganOx Limited employee and provided the device technical support. HM received consultancy fees for lecturing and training activities for OrganOx Limited.

This paper presents independent research supported by the NIHR Birmingham Biomedical Research Centre at the University Hospitals Birmingham NHS Foundation Trust and the University of Birmingham. The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the Department of Health.

Funding:

The VITTAL trial represents independent academic research funded by the Wellcome Trust [200121/Z/15/Z]. The grant was held by DFM, HM, SCA, DHA, PJF, SH, JB, CY. CY was also funded by Cancer Research UK (C22436/A15958).

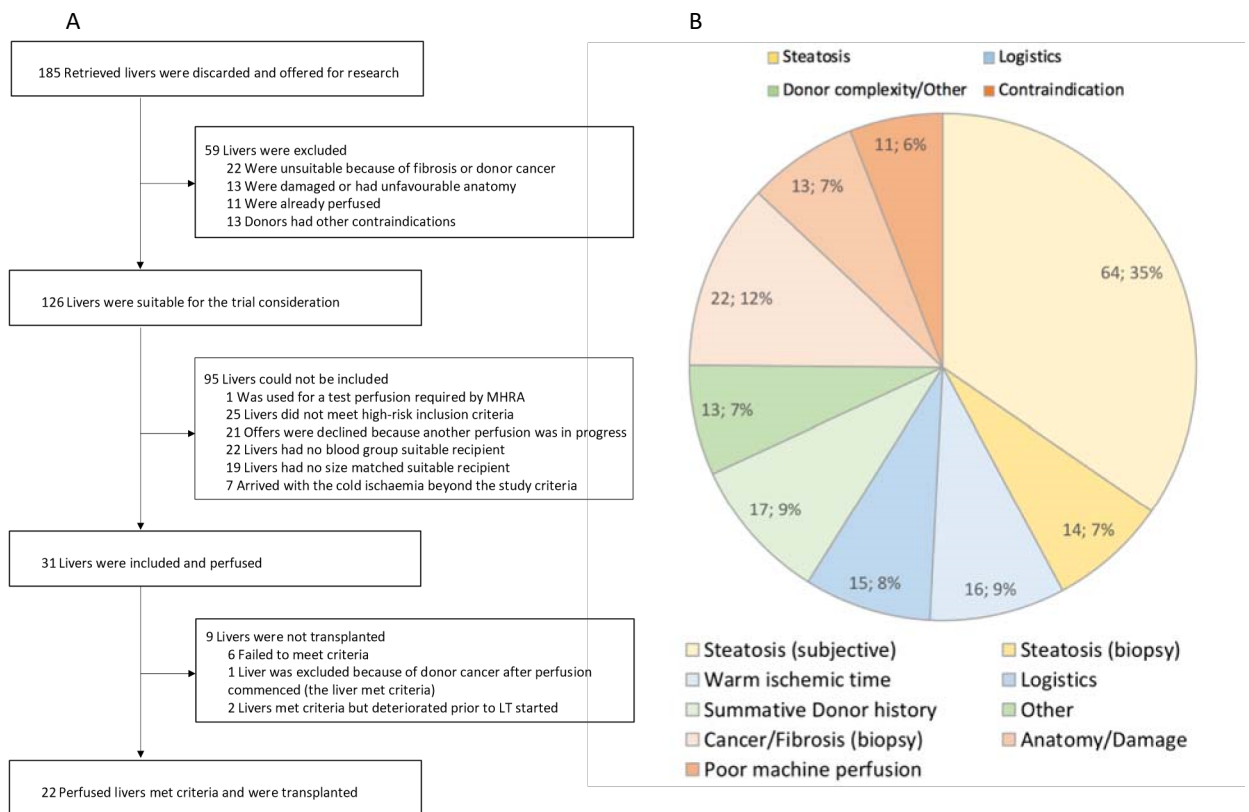


Figure 1. Information about discarded livers in the UK between November 2016 to February 2018.

Panel A shows the study livers inclusion flowchart. Over the 16-month study period there were 185 discarded liver research offers, of which 59 (32%) were not eligible for the trial due to an incidental finding of cancer, macroscopically apparent cirrhosis or advanced fibrosis, severe organ damage or previous machine perfusion. There were 126 livers suitable for the trial, with steatosis being the leading cause of organ discard with 78 (42%) offers. Stringent donor inclusion criteria were not met in 25 (14%) and on 21 (11%) occasions the research team was already committed to the perfusion of another study liver. A liver was considered for the trial only if it could be allocated to a consented, potential blood group- and size-matched low-risk recipient. Many recipients were apprehensive to participate in such a high-risk clinical trial, and as a consequence, at any given time there were usually only 1-3 patients consented. A significant proportion of approached patients declined to take part, or were transplanted with a standard quality liver before agreeing to take part in this study. Eventually thirty-one livers were enrolled to the trial, of which 22 (71%) grafts met the viability criteria and were successfully transplanted. Panel 1B presents a summary of reasons for livers being discarded in the UK between November 2016 and February 2018. A total of 64 livers were discarded for severe steatosis on visual assessment, with 14 discarded for severe steatosis based on urgent liver biopsy. A percentage of livers were declined due to intraabdominal or lung malignancies (eg colonic cancer in donor 22). This did not include primary brain tumours or small renal cell cancers which are almost always considered for donation. The reasons for logistic discard include the transplant team already being committed to one or more transplantations, lack of a suitable recipient, or too long an anticipated cold ischaemia time due to delays with transportation.

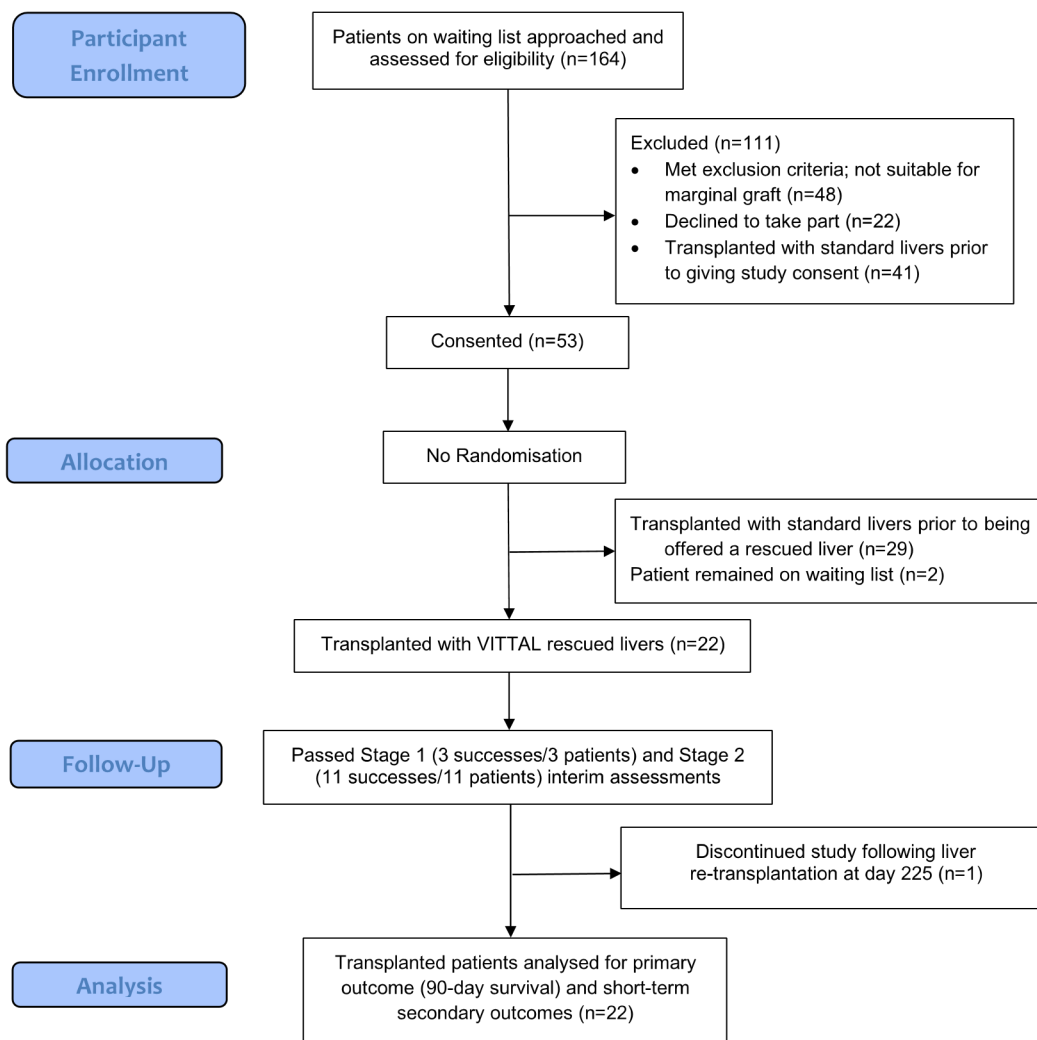


Figure 2. CONSORT Flow diagram displaying the progress of patients through the trial

The flow diagram displays the progress of patients through the trial. One hundred and sixty-four patients on the waiting list were approached for potential trial participation. Of those, 111 were excluded; 48 patients met exclusion criteria and were not suitable for a marginal liver graft. Twenty-two patients declined to take part and 41 patients either received a transplant before they provided study consent, or were de-listed, or subsequently met exclusion criteria. Eventually 53 patients consented to the study, of which 29 underwent transplantation with a standard quality liver allocated outside the trial. Twenty-two patients were enrolled in the trial and received a salvaged liver.

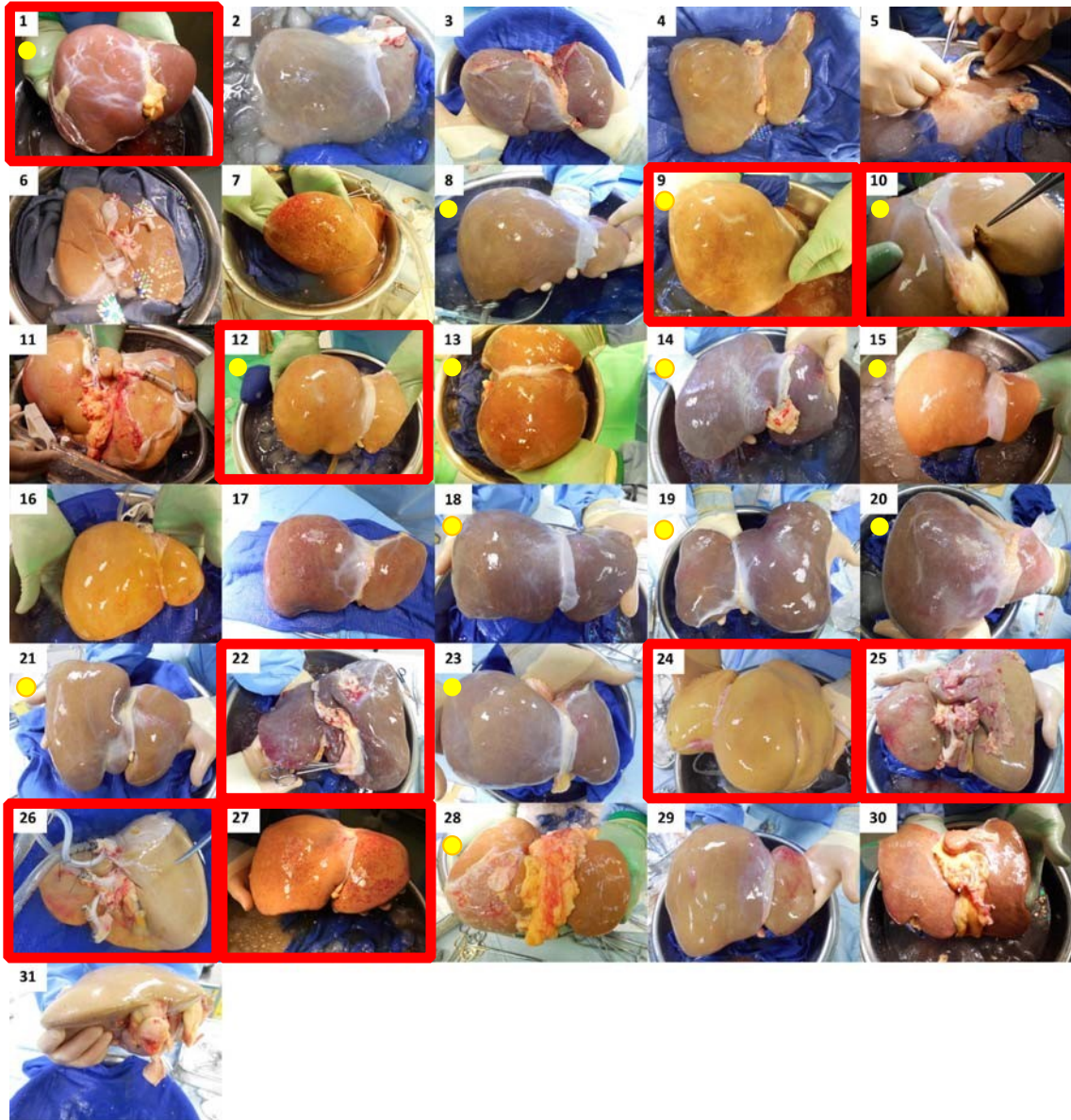


Figure 3. The study liver photographs

The figure shows all 31 livers included to the trial. The red frame designates non-transplanted organs and the yellow dot livers donated after circulatory death.

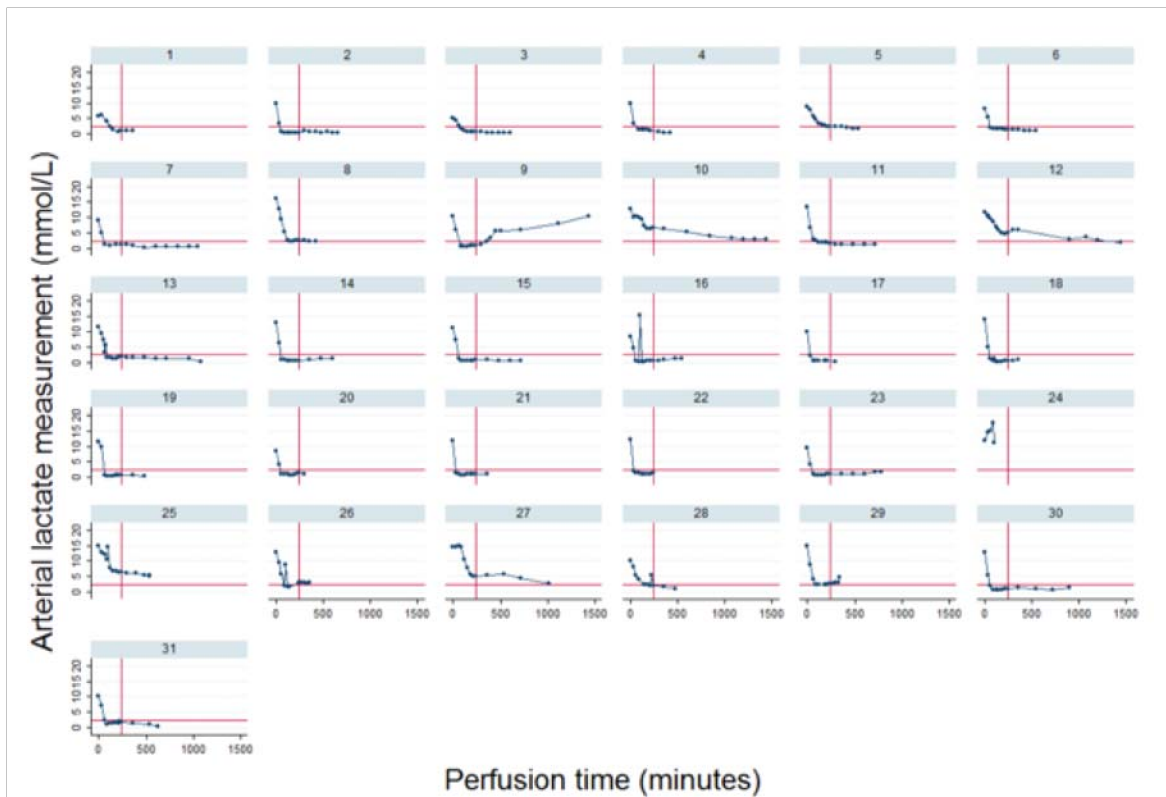


Figure 4. The study liver lactate clearance
 Plots of individual liver arterial lactate clearance measured during the NMP perfusion, showing transplantation eligibility thresholds with red lines for lactate levels less than or equal to 2.5mmol/L. Graphs with grey shading designate livers that were not transplanted. Liver number 22 was from a donor that was unexpectedly diagnosed with a cancer following organ donation.

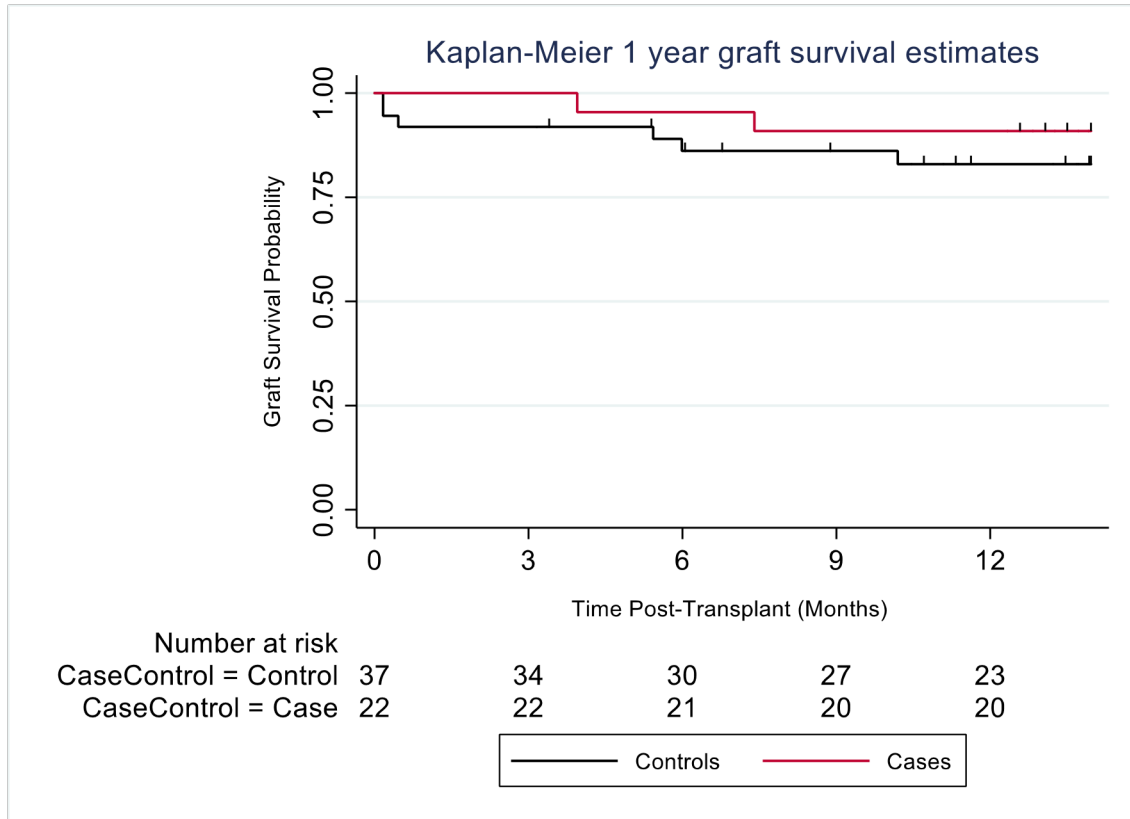


Figure 5. Comparison of 1-year graft survival estimate

Conditional logistic regression was carried out on the matched case-control data to determine the relative risk for graft survival at 1 year between matched case-control groups. The median (range) days follow-up data was included in the survival analyses, but the plot was truncated at 12 months. The ticks on the top of each Kaplan-Meier curve relate to the numbers of patients being censored at that particular time point. There are two cases of graft failure in the perfusion group at days 119 and 209; the control group contains five graft failures (two at day 5, one at day 14, one at day 165 and one at day 182). The graft survival was similar in both groups. Findings showed that the odds ratio (relative risk) estimate for graft survival at 6 months was determined as 2.0 (95%CI: 0.2, 17.9; P=0.535). Due to the small sample sizes and that this statistical comparison test was not powered, these results should be interpreted with caution.