1 Radioimmunotherapy for delivery of cytotoxic radioisotopes - current status and

2 challenges

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#### **Abstract**

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- 3 Introduction: Radioimmunotherapy (RIT) with monoclonal antibodies and their
- 4 fragments, labeled with radionuclides emitting  $\alpha$ -particles,  $\beta$ -particles or Auger electrons
- 5 have been used for many years in the development of anticancer strategies. While RIT
- 6 has resulted in approved radiopharmaceuticals for the treatment of hematological
- 7 malignancies, its use in solid tumors still remains more challenging.
- 8 Areas covered: In this review we discuss the exciting progress towards elucidating the
- 9 potential of current and novel radioimmunoconjugates and address the challenges for
- 10 translation into clinical practice.
- 11 **Expert opinion:** There are still technical and logistical challenges associated with the use
- 12 of RIT in routine clinical practice, including development of novel and more specific
- targeting moieties, broader access to  $\alpha$ -emitters and better tailoring of pretargeting
- 14 approaches. Moreover, improved understanding of the heterogeneous nature of solid
- 15 tumors and the critical role of tumor microenvironment will help to optimize clinical
  - response to RIT by delivering sufficient radiation dose even to more radioresistant tumor
- 17 cells.

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# Highlight box:

- Systemic radiotherapy with radiolabeled immunoconjugates delivers a non-uniform, low
- dose rate irradiation over a prolonged period of time, in contrast to external beam
- 22 radiotherapy
- The opportunity of theragnostics, i.e. quantitative imaging of antibodies labeled with
- 24 PET or SPECT radionuclides to predict subsequent therapeutic effects of an antibody
- radiolabeled with therapeutic ✓ or ⋈ emitting radionuclides, significantly contributes to
- a personalized treatment delivery
- Radioimmunotherapy is more successful in hematological cancers than in solid tumors
- 28 The choice of the radionuclide is of pivotal importance for the rapeutic efficacy and
- 29 radiation-related toxicity.
- Modification of the antibody may improve the therapeutic window when tumor targeting
- is preserved, while blood clearance is accelerated.
- Application of bispecific monoclonal antibodies, binding to both tumor antigens and
- haptens, allows faster targeting of rapidly clearing radiolabeled small molecules,
- thereby improving the therapeutic window of radioimmunotherapy.

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#### 1. Introduction

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lonizing radiation is a double-edged sword since it has the mutagenic potential to promote cancer development, while also commonly used in the clinic to induce DNA damage to selectively kill tumor cells. Next to surgery, radiotherapy still remains the most effective form of cancer treatment [1]. There are two different types of ionizing radiation, electromagnetic radiation (photons, one of the types of ionizing radiation typically used for external beam radiotherapy, EBRT) and particle radiation, typically used in systemic radiotherapy with radionuclides, and in the case of protons and carbon ions also for EBRT. The different types of DNA damage induced by ionizing radiation have been widely characterized over the years [2]. Amongst the DNA insults caused by ionizing radiation, double-strand breaks (DSBs) and clustered damage are the most deleterious with the greatest mutagenic potential [3-6]. Clustered damage relates to the formation of two or more lesions within one or two helical turns of the DNA by a single radiation track [7]. The lesions that compose clustered damage can include not only DSBs, but also single-strand breaks (SSBs) in proximity to base lesions [8-11]. It has been hypothesized that clustered damage occurrence may increase with an increase in ionization potential. Approximately 30% of the DSBs induced by low linear energy transfer (LET) ionizing radiation are complex due to the presence of additional breaks. This number rises to approximately 70% when high-LET radiation is used instead [12]. The plethora and complexity of damage induced by different ionization density of radiation highlight the deleterious effects it poses to genomic DNA. The concept of radioimmunotherapy (RIT) emerged as an alternative to EBRT when the disease burden (e.g. radiosensitive tumors such as leukaemias and lymphomas) complicates treatment-planning options [13]. In RIT cytotoxic  $\alpha$ - or  $\beta$ -particle emitters are delivered by targeting molecules (e.g. monoclonal antibodies (mAbs), small proteins) providing continuous radiation exposure specifically to tumor-associated antigens while sparing the surrounding non-targeted normal tissues. These compounds are systemically administered, permitting the radioimmunoconjugate when in contact with a tumor cell to specifically bind to a given antigen via a direct interaction with the targeting moiety. The absorbed high amounts of energy promote direct macromolecular damage as well as the generation of reactive oxygen species [14]. The delivery of radiation doses capable of inducing cellular death may also pose detrimental effects to normal tissues, highlighting the need for a targeting moiety to specifically recognize an antigen in order to maximize the dose deposition to the tumor cells, enhancing the therapeutic index [15]. Of note, the enhanced specificity attained with targeting moieties such as mAbs may also result in a delivery of irradiation doses to normal tissue due to the rather slow clearance of these molecules [16, 17].

In 1950 when protein labeling with <sup>131</sup>I was performed without any significant alterations in terms of specificity, Pressman and Korngold assessed the tumor-targeting potential of a <sup>131</sup>I-labelled BSA in osteosarcoma-bearing rats, confirming its specific uptake in the tumor [18, 19]. The first clinical trial using this radioligand in patients with metastatic melanoma showed a complete remission in one patient [20]. Kőhler and Milstein's development of the hybridoma technique permitted the production and isolation of pure human mAbs against a single epitope. This resulted in the identification of several antigens that could be targeted for cancer treatment such as surface antigen CD20 e.g. highly expressed in non-Hodgkin's lymphoma (NHL) patients and not expressed in stem cells, and carcinoembryonic antigen (CEA) a common feature of colorectal cancer [21, 22]. Since then, hematological malignancies have become favorable targets for RIT due to their sensitivity for radiation and the broad variety of expressed antigens on their cellular surface, including CD5, CD22 and CD45 in acute lymphoblastic leukemia (ALL), CD15 and CD33 in acute myeloid leukemia (AML), as well as CD19-22 in NHL [13, 23]. Moreover, the antigen CD20 highly expressed in B-cell associated malignancies (e.g. in more than 90% of B-cell lymphoma cases), but not in plasma cells or non-lymphoid normal tissues, provides the importantly required tumor specificity for RIT. So far, two radiolabelled anti-CD20 antibodies have been approved for clinical use, and proven effective in the treatment of B-cell NHL, namely 90Y-ibritumomab tiuexetan (Zevalin) and <sup>131</sup>I-tositumomab (Bexxar). The latter requires pre-therapy imaging in order to establish the dose to be delivered [24], whereas <sup>90</sup>Y-ibritumomab is typically administered at a dose of 14.8 MBg/kg, being reduced to 11.1 MBg/kg if the platelet counts are below 150,000. Furthermore, to avoid severe bone marrow toxicity the use of these tracers is not recommended in patients where the bone marrow involvement is more than 25% [25]. Experimental and clinical evidence suggest that radioconjugates targeting CD20 can significantly decrease disease progression [26, 27]. Treatment of NHL patients with 90Yibritumomab tiuexetan led to a greater absorbed dose in the tumor when compared to normal tissues such as the liver, and thus increased the therapeutic index and treatment response [28]. Moreover, the effect of 90Y-ibritumomab tiuexetan (Zevalin) and 131Itositumomab (Bexxar) have been reported to improve the overall (60-80%) and complete response rates (15-40%) in relapsed NHL patients when compared to treatment with unlabeled antibodies [26, 27]. Even though encouraging results were observed with 131tositumomab (Bexxar), this radioimmunoconjugate is no longer available in the U.S., since its production has been discontinued [29]. In the case of 90Y-ibritumomab tiuexetan (Zevalin), as reviewed by Rizzieri, this radioimmunoconjugate has shown promise for the treatment of NHL patients in comparison to EBRT, with trial results showing that this radioimmunoconjugate is an efficient therapeutic option for those patients who are

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1 resistant to chemotherapy and rituximab (anti-CD20 antibody) [26, 30, 31]. It is believed 2 that with an increase in awareness of the therapeutic benefits of this strategy, 90Y-3 ibritumomab tiuexetan will assume a more prominent role in the treatment options of NHL 4 patients [30]. Janik et al. have also reported the clinical use of 90Y-daclizumab, an anti-5 CD25 monoclonal antibody, which was resulted in responses in 50% of the treated 6 patients with relapsed NHL [32]. 7 Unfortunately, despite the success of radioconjugates targeting antigens in hematological 8 malignancies, RIT treatment of solid tumors still remains a challenge. Their greater 9 radioresistance and limited capacity of penetration by large molecules such as mAbs 10 impact on the treatment efficacy. The use of RIT is thought to be better suited to treat 11 small-volume metastatic and post-surgery residual disease rather than a stand-alone 12 therapeutic strategy in wide-spread metastatic disease. In comparison to EBRT, RIT has 13 the ability to treat not only residual tumor in surgical resection margins, but also systemic 14 malignancy (e.g. bone metastases) and tumor cells in circulation.

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#### 2. Choice of the radionuclide

17 RIT efficacy is inherently related to the capacity of the chosen isotope to incur DNA 18 damage to the cells beyond their repair capacity. Depending on the nature of the 19 radionuclide, the type and severity of the induced damage is quite diverse. Damage 20 induction is dependent on the radiation quality or linear energy transfer (LET), which 21 refers to the amount of deposited energy per unit track length (Figure 1) [12, 33, 34]. 22 Conventionally, the radioisotopes of choice are  $\beta$ ,  $\alpha$  or Auger electron emitters (Table 1). The β-emitters (e.g. <sup>131</sup>I, <sup>90</sup>Y, <sup>177</sup>Lu, <sup>188</sup>Re, <sup>186</sup>Re and <sup>67</sup>Cu) produce low-LET radiation of 23 24 approximately 0.2 keV/μm with a range of 0.5-12 mm in tissue, and energies between 30 25 keV and 2.3 MeV, in the form of  $\beta$ - particles, internal conversion electrons, and  $\gamma$  or X-rays. 26 These forms of radiation are commonly referred to as sparsely ionizing radiation, where 27 the long range allows for energy deposition in neighboring non-targeted cells: 'crossfire 28 effect'. Conversely, it must also be considered that the range in tissue will have damaging 29 effects on the surrounding normal tissues, increasing non-targeted toxicity, thus it is 30 imperative to consider normal tissue toxicity when determining the therapeutic 31 radionuclide to use. Moreover, sparsely ionizing radiation typically induces less complex 32 damage, where 70% of the insults induced to the genomic DNA of cells are a direct result 33 of the production of OH radicals, highlighting the importance of normal oxygen conditions 34 to enhance radiation damage [35-37]. Therefore, high levels of hypoxia within the tumor 35 mass will dramatically reduce the level of radiation damage incurred to the cells using 36 such radioisotopes. In addition, the tumor microenvironment has a significant influence on

3 tumor, ultimately resulting in a reduction in tumor uptake or a potential heterogeneous distribution of the conjugate across the tumor burden, concomitantly with an increase in 4 5 radioresistance due to the lack of oxygen [38]. The most promising use for  $\beta$  -emitters in 6 RIT lies with their ability to bypass tumor antigen heterogeneity and non-homogeneous 7 penetration of intact mAbs. The most clinically relevant β-emitters that have been used so far in more than 95% of RIT trials are <sup>131</sup>I, <sup>90</sup>Y, <sup>177</sup>Lu and <sup>186</sup>Re [39-43]. These isotopes 8 9 are readily available, have favorable emission characteristics, and adjustable 10 radiochemistry facilitating conjugation with mAbs. For example, 131 is inexpensive and has 11 the advantage of being used for both single-photon emission computed tomography 12 (SPECT) imaging and therapy, including treatment of thyroid cancer and malignancies 13 such as NHL and AML [24, 44]. The commonly utilized radiochemistry for radioiodination has the disadvantage of leading to rapid de-iodination of the <sup>131</sup>I-labelled proteins that 14 15 undergo endocytosis, being quickly degraded and released into the bloodstream as 131 I-16 tyrosin and free <sup>131</sup>I [15, 45]. Alternative chemistry can help preventing such effect [46]. 17 Furthermore, the <sup>131</sup>I decay originates a high frequency of  $\gamma$ -rays, which can be toxic to 18 surrounding tissues and which require radiation safety procedures for both patient's 19 relatives and healthcare practitioners, potentially requiring longer hospitalization times. 20 Alternatively, 90Y has been used exclusively for therapeutic purposes, being almost a pure 21  $\beta$ -emitter [47]. The higher energy characteristic of the  $\beta$ -particles resulting from the decay of 90Y leads to 70% of their energy being deposited outside small tumors, making 90Y-22 23 labelled mAbs unsuitable for the treatment of small malignant lesions [48]. Moreover, even though 90Y residualizes more readily than 131 within the cancer cells following 24 25 endocytosis, unchelated <sup>90</sup>Y has affinity for bone leading to relatively high radiation doses 26 to the bone marrow, causing myelosuppression, and therefore increasing normal tissue 27 toxicity [26, 49]. 28 Given that solid tumors are typically poorly oxygenated,  $\alpha$ -emitters represent a valid 29 alternative for RIT treatment of such tumors. These isotopes are capable of generating high-LET radiation of 50-230 keV/μm, with energies ranging from 5 to 9 MeV (e.g. <sup>225</sup>Ac, 30 <sup>211</sup>At, <sup>212</sup>Bi, <sup>213</sup>Bi and <sup>212</sup>Pb) [50, 51]. These particles have a much shorter range in tissue 31 32 (typically 50-100  $\mu$ m) when compared to  $\beta$ -particles, reducing toxicity to neighboring cells 33 and increasing the number of ionizations per track. Ultimately, such emitters generate 34 clustered radiation damage independently of the oxygenation status of the tumors, as 35 highlighted by Wulbrand et al. [52]. Additionally,  $\alpha$ -emitters can prove useful in the 36 treatment of small-volume disseminated disease, which only require low numbers of

the delivery of the radioconjugates to the cancer cells. The combination of reduced blood

flow and increased interstitial fluid pressure will increase the hypoxic levels within the

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particles traversing the cell nucleus (one to three) to completely eradicate the cells [53].

2 Furthermore, α-emitters have a greater relative biological effectiveness (RBE) when

compared to β-emitters, leading to greater levels of unrepaired DNA damage, which in

4 turn results in a more prominent level of cell killing for the same delivered dose [50, 51,

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Moreover, Auger-electron emitters such as <sup>125</sup>I, <sup>111</sup>In, <sup>67</sup>Ga and <sup>195m</sup>Pt have also been used for RIT. These isotopes emit intermediate-LET radiation (4-26 keV/μm) with energies between 1 eV and 1 keV, and a range lower than 1 μm in tissue. This leads to an intense energy deposition in the nanometer scale, making these radionuclides ideal candidates for the treatment of single or clusters of cells, minimizing 'crossfire' toxicity [55, 56]. However, given the ultralow range of Auger-electrons, internalization and transport into the nucleus is key to achieve an effect by DNA damage induction, which may also translate into higher activities being required for treatment [57, 58]. As an example, <sup>111</sup>In-labeled anti-prostate-specific membrane antigen antibody J591 was assessed during a phase1 study in castrate metastatic prostate cancer, with the conjugate being well tolerated by the patients [59].

The choice of the optimal radionuclide for RIT is inherently dependent on the practical considerations related to its specific application. Therefore, apart from physical characteristics (e.g. half-life  $(T_{1/2})$ , type of emission(s), energy of the radiation(s), daughter product(s), method of production, and radionuclide purity) it is also necessary to consider the biochemical characteristics (e.g. selective concentration and prolonged retention in the tumor, minimum uptake in normal tissues, metabolism of the antigen-targeting molecule complex) that may aid or limit the anti-cancer effects of RIT. For instance, the radiation effects may be enhanced by the retention of the radionuclide within the lysosomes or storage proteins, or dramatically reduced if the radionuclide is quickly cleared from the cells, potentially also enhancing normal tissue toxicity. Antigens such as CD5, CD22 or PSMA, which are rapidly internalized, and subsequently catabolized by cancer cells, also may lead to a quick dissociation of the attached radionuclide. Therefore, molecules targeting such antigens are preferentially conjugated with residualizing radiometals such as 177Lu, 90Y and 213Bi. These are retained within the cells leading to a continuous radiation exposure. Cells excrete radionuclides more promptly when non-residualizing radionuclides such as radioiodides are combined with fast internalizing targets. Therefore, antigens that have a prolonged retention on the cellular membrane may be better candidates for radiolabelling with non-residualizing radionuclides, promoting a prolonged exposure [15].

## 3. Antigens and targeting molecules

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2 Ideally, the optimal antigen for RIT should be highly expressed (typically >100,000 sites 3 per cell) in tumor cells but not in normal tissues, which will maximize the delivery of 4 radiation dose specifically to the tumor [15]. Currently, the most frequently used targeting 5 moieties for RIT are mAbs since a broad variety of therapeutic mAbs are available in the clinic [60]. Targets include the CD antigens; glycoproteins; enzymes such as prostate-6 7 specific membrane antigen (PSMA); blood vessel components like the vascular 8 endothelial growth factor receptor (VEGFR); and cell-membrane receptors involved in the 9 transduction of multiple signaling pathways such as the human epidermal growth factor 10 receptor 2 (HER2) [15]. Clinical RIT trials in patients with solid tumors involved a wide 11 variety of cancers including brain, colorectal, head and neck, renal or breast. In these 12 trials whole antibodies (immunoglobulin G, IgG, 150 kDa) were used due to their 13 availability and increased tumor uptake observed in preclinical models [21, 26, 31, 61-67]. 14 A plethora of preclinical studies suggest that radioimmunoconjugate-based treatments can 15 significantly decrease disease progression (see Table 2). For instance, Song et al. have 16 studied the effect of anti-EGFR-targeted RIT in esophageal squamous cell carcinoma 17 (OSCC) models using 177Lu-cetuximab. This study has shown that animals receiving RIT treatment with <sup>177</sup>Lu-cetuximab exhibited a significant inhibition in tumor growth, followed 18 19 by a reduction in [18F]-FDG tumor uptake compared to the control group [68]. 20 Timmermand et al. have reported the effective therapeutic use of the murine 11B6 21 antibody (m11B6), targeting human kallikrein-related peptidase 2 (hK2) radiolabelled with 22 <sup>177</sup>Lu in subcutaneous prostate cancer xenografts [69]. The mice treated with 10, 19 or 36 MBq of <sup>177</sup>Lu-m11B6 survived for 88 to 120 days compared to an average of 39 days in 23 24 the control group. The doses deposited in the tumor were estimated to be between 48 and 25 180 Gy, with bone marrow absorbed doses ranging between 4.5 and 16 Gy. Furthermore, 26  $^{225}$ Ac  $\alpha$ -particle based RIT targeting PSMA on prostate cancer cells, led to complete 27 remission in two patients with metastatic castration-resistance prostate cancer [70]. 28 Encouragingly, these results point towards a novel strategy for prostate cancer treatment 29 with theoretically tolerable adverse effects. Furthermore, head and neck squamous cell 30 carcinoma was more efficiently treated with 90Y-cetuximab when compared to unlabeled 31 cetuximab in UM-SCC-22B xenografts [71]. Impressive results have been observed when trastuzumab radiolabelled with <sup>211</sup>At promoted complete responses in SKOV-3 xenografts 32 33 in comparison to unlabeled trastuzumab [72]. Moreover, several studies have reported the 34 use of the same  $\alpha$ -emitter coupled to MX35 F(ab')2, for the treatment of ovarian cancer, 35 leading to a phase 1 clinical trial [73-78]. Additionally, Derrien et al. tested the use of an anti-CD138 antibody radiolabelled with an  $\alpha$ -emitter ( $^{213}$ Bi) to perform RIT in a mouse 36 37 model of ovarian peritoneal carcinomatosis, a pathology currently lacking effective

1 treatment regimens. The authors demonstrated that selective irradiation of tumor cells 2 overexpressing the CD138 antigen, increased the overall survival to approximately 70% 3 after 90 days, compared to a median survival of 68 days in the control group [79]. These 4 results indicate a potential therapeutic approach of using α-emitting radionuclides based 5 RIT for the treatment of epithelial ovarian carcinoma. Chevallier et al. have also reported 6 that RIT was well tolerated during a dose-escalation phase 1 study involving the use of 7 <sup>90</sup>Y-labelled anti-CD22 epratuzumab tetraxetan in adults with refractory or relapsed B-cell 8 acute lymphoblastic leukemia [80]. 9 The slow blood clearance of intact IgG antibodies results in a prolonged blood circulation, 10 leading to high tumor accumulation, concomitantly with an increased radiation exposure of 11 the red marrow, potentially resulting in unwanted myelosuppression (reduction in platelets 12 and white blood cells as well as red blood cells) and accumulation in critical organs such 13 as the liver, when long-lived isotopes are used for radiolabelling [81, 82]. Therefore, 14 antibody fragments (F(ab')<sub>2</sub>, F(ab'), Fab; 110-55 kDa), single-chain variable fragments 15 (scFv; 25 kDa) or engineered protein scaffolds including diabodies (dimers of scFv; 50 16 kDa) or affibody molecules (6-7 kDa) have been investigated as alternatives in animal 17 models, intending to increase tumor penetration and to reduce the time required for blood 18 clearance [83-85] 19 The divalent constructs have shown faster blood clearance with higher tumor retention 20 when compared to monovalent proteins [86]. Their faster blood clearance is inherently 21 related to their smaller size and lack of the Fc portion of the IgG responsible for binding to 22 the neonatal Fc receptor and increased blood retention [25]. Subsequently, when 23 compared to mAbs, antibody fragments reduce the dose delivered to the red marrow, 24 permitting an escalation of the total activity delivered to the tumor. Smaller protein 25 scaffolds are also superior in terms of traversing the vascular channels, accelerating 26 tumor targeting and providing more attractive tumor-to-normal tissue ratios. Faster 27 clearance from the blood allows for a more rapid delivery of the radioactivity to the tumor 28 cells, providing higher dose-rates for efficient cell killing [87]. However, it limits the 29 timeframe for target interaction, leading in turn to lower overall tumor uptake when 30 compared to IgG constructs [81]. Furthermore, the faster delivery rates are concomitant 31 with rapid excretion rates of a large proportion of the injected dose, requiring then injection 32 of higher amounts of radioactivity, which can in turn result in increased renal toxicity rates. 33 Therefore, in the clinical setting, antibody fragments have not been as successful as 34 initially anticipated, possibly due to a mismatch between the fragment of choice and the 35 radionuclide [25]. Affibody molecules have also been recently investigated. Their high 36 target specificity (nM-pM range) and small molecular weight make them ideal candidates

for imaging agents and therapy delivery platforms, allowing for rapid blood clearance and

favorable tumor uptake when conjugated with radioisotopes. However, their predominant renal excretion and retention of the radioactive metabolites in the proximal tubular cells results in a high kidney accumulation of radioactivity over time. Interestingly, recent data suggest that the overall reduction in dose delivered to the kidney is of two-fold, which may not be sufficient to limit potential long-term renal-associated side effects in clinical studies [25, 88, 89]. On the other hand, it has been reported that pre-dosing with cationic amino acids might significantly reduce the uptake of radiolabelled Fab in the kidneys in preclinical models, allowing for an activity escalation without increasing renal toxicity [25, 88, 89]. Moreover, dosimetry estimation studies in mouse xenografts have shown that <sup>188</sup>Re-labelled affibody molecules specifically targeting the HER2 receptor can deliver 79 Gy to the tumor, without exceeding the limiting doses delivered to the kidneys or bone marrow [90]. Encouragingly, Tolmachev et al. also conjugated the molecule to an albuminbinding domain (ABD) and showed further reduction in renal uptake of HER2-targetting affibody molecules, whilst permitting the delivery of therapeutic doses of <sup>177</sup>Lu. Treatment of SKOV-3 microxenografts (high-HER2 expression) with 17 or 22 MBq of <sup>177</sup>Lu-CHX-A"-DTPA-ABD-(Z<sub>HER2:342</sub>)<sub>2</sub> prevented the formation of tumors in contrast to the mice receiving placebo or <sup>177</sup>Lu-labelled non-specific affibody molecules [91, 92]. In addition, the same group has also evaluated another affibody-based construct, ZHER2:2891-ABD035-DOTA (ABY-027), radiolabeled with <sup>177</sup>Lu in HER2-expressing cells and SKOV-3 xenografts, suggesting this radioconjugate has potential for therapeutic intervention [93]. Despite the fact that affibody molecules show promise, further investigations of the use of such targeting moieties for RIT applications are required. Furthermore, the applicability of dual-receptor targeted RIT was assessed by Razumienko et al. in breast cancer xenografts using bispecific radioimmunoconjugates (bsRICs) targeting both the HER2 and EGFR receptors [94]. These bsRICs comprised of trastuzumab Fab fragments and the EGF ligand labeled with either <sup>111</sup>In or <sup>177</sup>Lu. Both radioimmunoconjugates were found to bind in vitro with high specificity to HER2 and EGFR. presenting higher cytotoxic effects when compared to monospecific radioconjugates. The tumor uptake of <sup>177</sup>Lu-labelled bsRICs was 2-fold greater than with monospecific radioconjugates, additionally reducing tumor growth in both trastuzumabsensitive MDA-MB-231/H2N and trastuzumab-resistant TrR1 tumors. This therapeutic regimen could become an alternative for patients with trastuzumab-acquired resistance. Other groups have also explored the use of cell-penetrating peptides to transport the radionuclides across the cellular membrane since they might facilitate RIT delivery to molecules localized in the cell nucleus such as γH2AX, a known DNA DSB biomarker. In fact, antibodies targeting this biomarker were conjugated with a TAT peptide and radiolabelled with 111 In. Internalization of this radioconjugate was confirmed in a panel of

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breast cancer cell lines. Moreover, the use of <sup>111</sup>In-γH2AX-TAT was reported to delay tumorigenesis in genetically engineered mice of neuT-overexpressing breast cancer; by targeting the early onset of DNA damage formation, characteristic of cancer development [95].

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#### 4. Considerations for RIT in solid tumors

One of the reasons why RIT has mainly been a successful treatment approach for hematological cancers lies with the fact these cancers are typically more radiosensitive than solid tumors. Additionally, the high cost of RIT trials, limitations involving access to such form of therapy, and issues regarding eligibility criteria, are main reasons why the majority of RIT clinical trials for the treatment of solid tumors have not progressed beyond Phase I/II trials. Many clinical trials failed due to the treatment regimen being established without taking into consideration dosimetry and radiobiology [62, 96, 97]. For example, <sup>90</sup>Y-Pentumomab administered to patients with ovarian carcinoma, led to no increase in survival rates or time to relapse compared to the standard treatment most likely because the radiation doses were too low to promote tumor cell killing [98]. In addition, it is possible that the β-particles due to their range in tissue did not deposit the majority of the dose within the tumor, contributing to normal tissue toxicity, together with the hematological toxicity caused by slow blood clearance when full IgG antibodies are utilized as targeting moieties. It is therefore essential to account for the sensitivity of tumor cells during treatment planning, which can be described by well established  $\alpha:\beta$  ratios [99]. Typically, high  $\alpha:\beta$  ratios characterize tissues with low repair capacity, and low ratios are representative of moderately radiosensitive tissues (e.g. solid tumors) [99]. These ratios are conventionally used in the clinic with the linear quadratic model. This mathematical model has become the model of choice for bio-effect estimation in radiotherapy since its introduction around 1980. Computed with the linear quadratic model, the  $\alpha:\beta$  ratios can be used to describe the repair capacity of the different tissues, assisting in the estimation of dose prescriptions required to guarantee tumor control and prevent normal tissue complications [99]. In order to maximize the effect of RIT it is necessary to better understand the radiobiology involved in this therapeutic approach. RIT is usually characterized by a non-uniform and low-dose rate irradiation, in contrast to conventional EBRT. Low-dose rate irradiations can be compared to fractionated radiotherapy, since in both cases the irradiated cells can repair the radiation-induced damage, being therefore necessary to account for dose and fractionation-dose related effects when optimizing RIT treatment regimens. Consequently, it is imperative to determine the absorbed dose delivered to the tumor burden, in order to

achieve more prominent patient responses following RIT. In conventional EBRT, doses in the order of 50 Gy are usually necessary to achieve clinical response in multiple forms of cancer, such as breast, lung, and colorectal [100]. The doses delivered by RIT are typically in the order of 1.8 Gy to 33 Gy, and therefore not sufficient to promote cell killing capable of eradicating the disease [101]. Calculating the total dose delivered by RIT to the tumor can be quite challenging due to the formation of non-uniform energy deposits. Therefore, some cells may receive high doses while others remain unirradiated. Dose fractionation could in principle counteract such issue due to improvements in distribution of the tracer, leading to a more homogeneous absorbed dose across the tumor burden. So, to accurately estimate the required dose for particular patient it would be helpful to acquire anatomical (CT or MRI) and molecular (PET or SPECT) scans. Assessment of the distribution of the tracer within the tumor and its pharmacokinetic profile could help to estimate the delivered dose per patient when applying RIT. Recently, Schwart et al. have reported studies where imaging with 124 l-labelled antibodies strengthened a potential role of image-based dosimetry to optimize RIT treatment schedules of patients with either renal or colorectal cancer, and guaranteed the appropriate dose delivery to the tumor whilst sparing normal tissues [102].

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#### 5. Strategies to improve RIT efficacy in treating solid tumors

Several strategies and approaches have been considered to improve the delivery and efficacy of RIT when treating solid tumors, including the use of non-conventional radionuclides. For diagnostic purposes, 89Zr, 124I or 111In are the most frequently used isotopes for antibody labeling, as the decay time is ideal for PET and SPECT imaging, respectively. For therapy however, the majority of studies rely on the use of 131, 177Lu and <sup>90</sup>Y. The conventional workflow requires a radionuclide ( $\gamma$  or  $\beta^+$  emitter) to be used to evaluate the expression of the target antigen, dosimetric estimations, metabolic and clearance rates, and a radionuclide ( $\beta$  or  $\alpha$ -emitter) to be used for therapy. The use of a radionuclide with favorable decay characteristics allowing for both efficient therapy and imaging would be therefore ideal [103]. For example, <sup>47</sup>Sc ideally fits into such category, being a  $\beta$ -emitter ( $T_{1/2}$ : 3.35 d;  $E\beta$ : 162 keV;  $E\gamma$ : 159 keV), permitting radionuclide imaging and tumor therapy similarly to the clinically established  $^{177}$ Lu ( $T_{1/2}$ : 6.65 d;  $E\beta^-$ : 134 keV; Eγ: 113, 208 keV). More recently, efforts have been put into facilitating the availability of <sup>47</sup>Sc, and into the development of radiochemistry allowing its conjugation to targeting moieties [104-106]. Additionally, the fact that <sup>212</sup>Pb, and <sup>225</sup>Ac/<sup>213</sup>Bi can be produced by generators, might justify further investments in order to facilitate their availability making these isotopes attractive alternatives for  $\alpha$ -emitter based RIT [107-110]. Furthermore,

<sup>203</sup>Pb can be used as a matched SPECT imaging partner for <sup>212</sup>Pb, minimizing the 2 challenges associated with the preclinical evaluation of biodistribution and targeting 3 assays performed with <sup>212</sup>Pb-radiolabeled molecules [111]. In order to improve tumor targeting, an approach known as pretargeting has also been 4 5 investigated. This strategy involves the separate administration of the targeting mAb, 6 which is allowed to accumulate in the tumor followed by injection of the radionuclide 7 conjugated with to a small molecule that binds to the mAb (hapten). Apart from concerns 8 regarding the dose delivered to the kidneys due to excretion of the radionuclide, several 9 preclinical and clinical studies have highlighted the benefit of such strategy in improving 10 tumor uptake [112]. Such therapeutic approach was assessed in prostate cancer PC3 11 xenografts using the trivalent bispecific antibody TF12 (anti-TROP2 x anti-HSG 12 [histamine-succinyl-glycine]) followed by <sup>177</sup>Lu-labeled diHSG-peptide (IMP288). Mice 13 receiving 2 or 3 cycles of pretargeted RIT presented a median survival of >150 days, 14 compared to 76 days observed in the control mice [113, 114]. Additionally, Schoffelen et 15 al. have reported the clinical results obtained using pretargeted RIT in colorectal 16 carcinoma patients using a bispecific mAb targeting the carcinoembryonic antigen (CEA) 17 [115]. The utilized bispecific mAb (TF2) is a humanized tri-Fab molecule, comprising two 18 anti-CEA Fab fragments, and one Fab fragment recognizing the hapten peptide (IMP288) 19 radiolabelled with <sup>111</sup>In (imaging) or <sup>177</sup>Lu (therapy). This study demonstrated the feasibility 20 and safety of utilizing pretargeted RIT for rapid and specific tumor targeting in CEA-21 expressing CRC patients [115]. Salaun et al. have also assessed the utility of anti-CEA 22 pretargeted RIT in rapidly progressing metastatic medullary thyroid carcinoma (MTC) 23 patients through a prospective multicenter trial [116, 117]. In addition, in this case the 24 doubling time of serum biomarkers was correlated with clinical outcome. In total, 42 25 patients were treated with anti-CEA mAb followed by injection of 131 bivalent hapten (1.8 26 Gb/m<sup>2</sup>) 4-6 days later. Overall, pretargeted RIT led to a disease control rate of 76.2% with 27 manageable hematological toxicity in progressive MTC, and increased serum biomarker 28 doubling time was correlated with overall survival [116]. Bodet-Milin et al. reported the 29 utility of pretargeted immuno-PET with <sup>68</sup>Ga-IMP288 and the anti-CEA bispecific mAb 30 (TF2) in medullary thyroid carcinoma (MTC), as an optimization strategy for clinical 31 optimization of pretargeting parameters [118]. The same group utilized a similar strategy 32 to optimize the delivery of pretargeted RIT in in CEA-expressing advanced lung cancer 33 patients [119]. Preclinical evaluation of <sup>86</sup>Y- or <sup>177</sup>Lu-DOTA-Bn binding scFv C825/GPA33 IgG bispecific 34 35 immunoconjugates showed promising results in SW1222 colorectal carcinoma xenografts, 36 with 9 out of 9 mice having a complete response following 66.6 or 111 MBg of the 37 radioconjugate [85]. Houghton et al. reported the applicability of a bioorthogonal reaction

2 cancers expressing the carbohydrate antigen 19.9 (CA19.9) utilizing a fully human mAb 3 (5B1). This antibody was modified with a TCO and used as the targeting vector, followed 4 by administration of 64Cu-NOTA-PEG7-Tz for PET imaging. This approach revealed a 25-5 fold lower total body dose in Capan-2 orthotopic models compared to 89Zr-labelled 5B1, highlighting the potential of pretargeting [120]. The same approach also showed benefit in 6 7 SW1222 human colorectal carcinoma xenografts [121]. 8 As mentioned above, the tumor microenvironment impacts on the delivery of 9 radioconjugates to cancer cells [38]. To overcome microenvironment-related hurdles, 10 antiangiogenic agents targeting VEGF or its receptor have been used to normalize the 11 tumor vasculature, enhancing the efficiency of RIT, as reported by the growth inhibition 12 induced in SKOV-3 cells when exposed to <sup>131</sup>I-bevacizumab (anti-VEGF antibody) [122]. 13 Contrastingly, Desar et al. and Muselaers et al. have reported that the use of agents such 14 as sorafenib (VEGFR inhibitor) leads to increased vasculature disruption and necrosis in 15 renal cell cancer patients, resulting in reduced tumor uptake of 111 In-bevacizumab and 16 <sup>111</sup>In-girentuximab (anti-carbonic anhydrase IX mAb), without alterations in target antigen 17 expression [123, 124]. More work is required in addressing the potential utility of VEGFR 18 as a target for RIT. Moreover, Myiamoto et al. reported the benefits of mild hyperthermia 19 in enhancing the delivery of cetuximab (EGFR mAb) in pancreatic cancer, where an 20 increase in tumor accumulation was observed in BxPC-3, Capan-1, and in Ope-xeno 21 xenografts, accompanied by a decrease in tumor volume [125]. Such strategy could be 22 employed to enhance RIT delivery using cetuximab as the targeting moiety. The use of 23 biological agents has also been equated with the purpose to modulate the expression of 24 the target antigen, and therefore maximizing the dose delivery to cancer cells. Aquino et 25 al. have reviewed the effect of drugs (e.g. 5-fluorouracil), cytokines (e.g. interferons or 26 interleukin-6), differentiating agents (e.g. sodium butyrate) and protein kinase inhibitors 27 (e.g. staurosporine) in up-regulating the expression of CEA [126-129].

between transcyclooctene (TCO) and tetrazine (Tz), to specifically target pancreatic

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#### 6. Conclusions

In this review we have discussed the current status of RIT and ongoing research aiming to improve RIT delivery and the use of this therapeutic strategy to tackle pathologies lacking efficient therapeutic alternatives. Undoubtedly, after many years of intense research there are still technical and logistical challenges associated with the use of RIT in routine clinical practice, including development of novel and more specific targeting moieties, broader

35 access to  $\alpha$ -emitters and better tailoring of pretargeting approaches.

Tumor specificity of novel RIT approaches could be assessed through radiolabelling the targeting molecules used for RIT with PET radioisotopes. Quantitative analysis of PET

1 images may provide complementary information about the pharmacokinetics of the 2 radioconjugate and help to more precisely estimate tumor dosimetry leading to better 3 understanding of how to accurately design the RIT regimen (single vs. fractionated dose). 4 However, we believe that the major hurdle that needs to be overcome to further enhance 5 the clinical response to RIT is delivering sufficient radiation dose to kill more radioresistant 6 tumor cells. Given the complicated tumor microenvironment and overall complexity of RIT, 7 resolving these issues would be beneficial and allow for higher tumor dose delivery while 8 sparing normal radiosensitive tissues. 9 In conclusion, clearly there is a need for more RIT clinical trials addressing the treatment 10 efficacy of targeting specific antigens particularly in solid tumors, but the encouraging 11 preclinical and clinical data highlight the potential usefulness of targeted intraperitoneal 12 and systemic radiotherapy to treat a wide variety of different cancers.

#### 7. Expert opinion

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Radioimmunotherapy (RIT) has been successfully developed for treatment of patients with hematological malignancies, in particular non-Hodgkin's lymphoma. Using monoclonal antibodies labeled with \( \beta^{\text{-}}\)-emitting radionuclides, durable clinical responses were achieved. Although the RIT approach results in clinically meaningful responses in these patients, the radiopharmaceuticals approved for this indication failed to become widely used therapies as the impact on patient survival was judged to be limited as compared to other treatment options. Financial implications are also thought to impact on the use of RIT in NHL, given the importance of reimbursement for such treatments. Combined with a lack of treatment sites, these points highlight a necessity for financially viable solutions encouraging such treatment approaches [130]. For solid tumors, RIT has been less successful and research has not resulted in an approved therapeutic radiopharmaceutical. This is due to a number of factors, of which the lower sensitivity of solid tumors to radiation is of major importance. Furthermore, in most trials several approaches to optimize the efficacy of RIT have been applied. The use of antibody fragments rather than whole IgG molecules results in faster clearance from non-target tissues, limiting the radiation dose to normal organs. Unfortunately, targeting of tumors is also generally lower, which means that there is no major effect on the therapeutic window (anti-tumor effect vs. radiation-induced side effects). Pretargeted radioimmunotherapy (PRIT) approaches hold major promise for improvement. In PRIT, the tumor is first targeted with an unlabeled multivalent antibody that has affinity for a tumor antigen as well as a small molecule. After allowing this molecule to target the tumor and clear from normal tissues, the radiolabeled small molecule targets to the antibody on the tumor, while being cleared fast from normal tissues. PRIT allows fine-tuning approach by antibody modifications, optimization of dosing regimens as well as the use of more effective radionuclides for therapy ( $\alpha$  instead of β-emitters). This flexibility to optimize treatment is on one side an asset, but also makes development and translation not straightforward, more complicated and more costly. Additionally, development of this technology must be performed in carefully selected patients. This is of importance as (P)RIT yields optimal results in patients with small volume disease which is not rapidly progressive. Radiation doses to bulky disease are generally not sufficient to induce durable responses. Patient selection can be improved by using the theranostic concept, exploiting the strengths of molecular imaging with immunoSPECT or immunoPET for detection, characterization and quantification of antigen expression on tumors, to depict normal organ uptake and to perform dosimetric analysis, estimating the radiation dose to the tumor lesions and normal organs. As it is apparent that patients may experience more benefit from combination of treatment

- 1 modalities, further research is needed to investigate potential synergic effects of (P)RIT
- with anti-cancer drugs or external beam radiotherapy.

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# **TABLES**

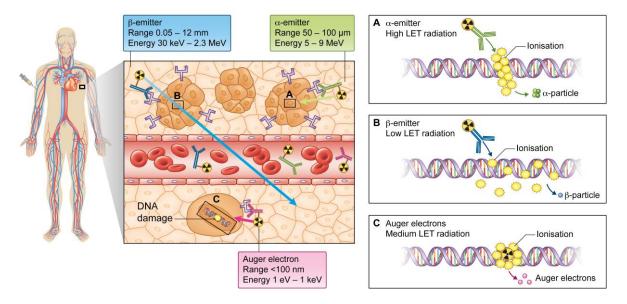
Isotope	Half-life	Maximum	Maximum	Emission				
	(T <sub>1/2</sub> )	energy (keV)	range (μm)	type				
β <sup>-</sup> -emitters (LET: 0.2 keV/μm)								
<sup>90</sup> Y	2.67 d	2280.0	11300	β-				
131	8.02 d	606.31	2300	β-, γ				
<sup>177</sup> Lu	6.65 d	498.3	1800	β-, γ				
<sup>67</sup> Cu	61.83 h	577.0	2100	β-, γ				
<sup>186</sup> Re	3.72 d	1069.5	4800	β-, γ				
<sup>188</sup> Re	17.01 h	2120.4	10400	β-, γ				
Auger emitters (LET: 4-26 keV/μm)								
<sup>111</sup> In	2.80 d	26	17	Auger, $\gamma$				
<sup>67</sup> Ga	3.26 d	9.6	3	Auger, β <sup>-</sup> , γ				
<sup>195m</sup> <b>Pt</b>	4.02 d	64	76	Auger				
125	59.41 d	31.7	20	Auger, $\gamma$				
α-emitters (LET: 50-230 keV/μm)								
<sup>213</sup> Bi	45.59 min	8400	90	α, β-, γ				
<sup>212</sup> Bi	60.54 min	7800	100	α, β-, γ				
<sup>211</sup> At	7.21 h	7500	80	α, EC				
<sup>212</sup> Pb <sup>§</sup>	10.64 h	7800	100	α, β-, γ				
<sup>225</sup> Ac	9.92 d	8400	90	α, β-, γ				
<sup>227</sup> Th	18.7 d	7400	70	α, β-, γ				

Table 1. Radioisotopes used in RIT ( $\S$ : <sup>212</sup>Pb is not a direct α-emitter but it decays to the α-emitter <sup>212</sup>Bi). EC: Electron capture. Adapted with permission from [53].

Target	Targeting	Radionuclide	Model	Reference
Antigen	Moiety	Radionuciide	Wiodei	
hK2	murine Ab	<sup>177</sup> Lu	Prostate cancer	[69]
CD138	mAb	<sup>213</sup> Bi	Ovarian carcinoma	[79]
EGFR	mAb	<sup>177</sup> Lu	OSCC	[68]
TROP-2	mAb	<sup>177</sup> Lu	Prostate cancer	[113, 114]
GPA33	mAb	<sup>177</sup> Lu/ <sup>86</sup> Y	Colorectal cancer	[131]
NaPi2b	F(ab') <sub>2</sub>	<sup>211</sup> At	Ovarian cancer	[84]
PSMA	mAb	<sup>177</sup> Lu	Prostate cancer	[66]
HER2	mAb	<sup>212/213</sup> Bi	Colon adenocarcinoma	[67]
HER2	Affibody	<sup>177</sup> Lu	Ovarian carcinoma	[90, 132]
HER2	mAb	<sup>212</sup> Pb	Colon adenocarcinoma	[108]
CD138	mAb	<sup>131</sup>	Breast carcinoma	[133]
HER2	mAb	<sup>227</sup> Th	Breast carcinoma	[134]
FR	F(ab') <sub>2</sub>	<sup>131</sup>	Ovarian cancer	[83]
EGFR	mAb	<sup>177</sup> Lu	Epidermoid carcinoma	[135]
MUC1	mAb	<sup>177</sup> Lu	Breast carcinoma	[136]
HER2	mAb	<sup>177</sup> Lu	Breast carcinoma	[137]
PD-L1	mAb	<sup>111</sup> In	Breast carcinoma	[138]
HER2/EGF	bsRICs	<sup>177</sup> Lu/ <sup>111</sup> In	Breast carcinoma	[94]
EGFR	mAb	<sup>213</sup> Bi	Bladder carcinoma	[139]
L1CAM	mAb	<sup>177</sup> Lu	Ovarian cancer	[140]
ROBO1	mAb	<sup>90</sup> Y	Small cell lung cancer	[141]
TfR	mAb	<sup>90</sup> Y	Pancreatic cancer	[142]
Lewis Y	mAb	<sup>177</sup> Lu	Colon carcinoma	[143]

 Table 2. Examples of preclinical RIT studies in solid tumors since 2010.

## 1 FIGURES



**Figure 1:** The mAb (targeting moiety) conjugated with a radionuclide (DNA damaging agent) is injected into the blood stream recognizing the cells expressing the target antigen. The characteristic decay of the radionuclide will generate radiation with different energies and ranges in tissue. α-emitters (**A**) produce densely ionizing high-LET radiation, with MeV energies and μm range in tissue, causing complex DNA damage leading to prominent cell killing due to unrepaired damage. β-emitters (**B**) generate low-LET radiation with keV-MeV energies and mm range in tissue (potential 'crossfire' toxicity), generally referred to as sparsely ionizing (few ionizations per track), leading to low-complexity DNA damage, more readily repaired by the DNA repair machinery. Auger-emitters (**C**) produce intermediate-LET radiation with energies between 1 eV and 1 keV, and sub-μm range in tissue, with an intense energy deposition over a short range, challenging the cellular repair capacity.