

NF1 mutation in advanced breast cancer

# 1            **Inactivating *NF1* mutations are enriched in advanced breast** 2            **cancer and contribute to endocrine therapy resistance**

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31 **Statement of translational relevance:**

32 We show that the molecular profile of advanced breast cancer is enriched for multiple potentially  
33 targetable genetic events, which are associated with poor prognosis and resistance to adjuvant  
34 therapy, with increased frequency of *HER2*, *AKT1* and *NF1* mutations. Among these, truncating  
35 mutations in *NF1* can be selected in advanced breast cancer, not present in original matched  
36 primaries, and are associated with poor prognosis and endocrine resistance that may be overcome  
37 through inhibition of CDK4/6.

38

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39 **ABSTRACT**

40 Purpose: Advanced breast cancer (ABC) has not been subjected to the same degree of molecular  
41 scrutiny as early primary cancer. Breast cancer evolves with time and under the selective pressure  
42 of treatment, with the potential to acquire mutations with resistance to treatment and disease  
43 progression. To identify potentially targetable mutations in advanced breast cancer, we performed  
44 prospective molecular characterisation of a cohort of patients with ABC.

45 Experimental Design: Biopsies from patients with advanced breast cancer were sequenced with a  
46 50 gene targeted panel in the Advanced Breast Cancer Biopsy (ABC-Bio) study. Blood samples  
47 were collected at disease progression for circulating tumour DNA (ctDNA) analysis, along with  
48 matched primary tumour to assess for acquisition in ABC in a subset of patients.

49 Results: We sequenced 210 ABC samples, demonstrating enrichment compared to primary disease  
50 for potentially targetable mutations in *HER2* (in 6.19% of samples), *AKT1* (7.14%) and *NF1*  
51 (8.10%). Of these enriched mutations, we show that *NF1* mutations were frequently acquired in  
52 ABC, not present in the original primary disease. In ER positive cancer cell-line models, loss of *NF1*  
53 resulted in endocrine therapy resistance, through both ER dependent and independent  
54 mechanisms. *NF1* loss promoted ER-independent cyclin D1 expression, which could be  
55 therapeutically targeted with CDK4/6 inhibitors *in vitro*. Patients with *NF1* mutations detected in  
56 baseline circulating tumour DNA had a good outcome on the CDK4/6 inhibitor palbociclib and  
57 fulvestrant.

58 Conclusions: Our research identifies multiple therapeutic opportunities for advanced breast cancer  
59 and identifies the previously underappreciated acquisition of *NF1* mutations.

60

61 **INTRODUCTION**

62 As breast cancer evolves from primary to metastatic breast cancer, and through the selective  
63 pressure of treatment, the genetic drivers may change (1,2). The genomics of primary breast  
64 cancer has been well established through multiple large studies including TCGA (3) and  
65 METABRIC (4), and yet the acquired genetic events of advanced breast cancer have been  
66 investigated less thoroughly (5). Mutations in the oestrogen receptor are acquired in advanced ER  
67 positive breast cancer, especially during treatment with aromatase inhibitors (6,7). Mutation in the  
68 oestrogen receptor influence sensitivity to subsequent endocrine therapies, suggesting that  
69 acquired genetic events may be critical to predicting outcome on subsequent therapy.

70 Breast cancer is characterised by a large number of relatively rare genetic events that may both  
71 predict for adverse outcome and be potentially targetable with novel therapies. Yet few studies  
72 have examined how these genetic events may change in metastatic breast cancer, whether such  
73 genetic events may be enriched through inherent poor prognosis, and therefore relative enrichment,  
74 or through acquisition by tumour evolution. Here in a clinical sequencing program, we identify  
75 acquired mutations in the *NF1* tumour suppression gene in advanced breast cancer, demonstrating  
76 that such mutations are enriched in the metastatic setting.

77 *NF1* is a tumour suppressor gene that encodes for neurofibromin protein which acts as a repressor  
78 of RAS-GTP activation, with loss of *NF1* resulting in RAS activation and downstream to the MAPK  
79 pathway activation (8). *NF1* germline mutations are associated with neurofibromatosis type 1 (NF1),  
80 a dominant autosomal disorder clinically characterized by pigmentary changes in the skin and  
81 typically the apparition of multiple peripheral nerve sheath tumours (neurofibromas) and other  
82 benign nervous system tumours like optic gliomas. Germline *NF1* mutation increases the risk of  
83 breast cancer especially in women under 50 years old that could lead to an increased risk of cancer  
84 related death (9-11). Somatic mutations in *NF1* are rare in primary cancer, but are associated with  
85 poor prognosis and an increased risk of recurrence (12). Loss of *NF1* expression results in  
86 tamoxifen resistance in pre-clinical models (13). Here we, elucidate the functional consequences of  
87 *NF1* loss in ER positive breast cancer, and identify therapeutic approaches to treat *NF1* mutations.

88

## 89 **MATERIALS AND METHODS.**

### 90 **Study design and Patients**

91 Patients with advanced breast cancer were recruited into a clinical sequencing study, the Advanced  
92 Breast Cancer Biopsy (ABC-Bio) trial (CCR3991, REC ID: 14/LO/0292), a prospective tissue  
93 collection study at The Royal Marsden Hospital, London, UK. The study protocol was approved by  
94 the NHS Health Research Authority, Research Ethics Committee London-Chelsea. Written informed  
95 consent was obtained from each patient in accordance with regulatory requirements, good clinical  
96 practice and the Declaration of Helsinki. Patients consented to either a biopsy of metastatic disease  
97 or access to an archival biopsy of recurrent disease. Blood was collected in EDTA blood tubes at  
98 disease progression for circulating tumour DNA (ctDNA) analysis. Immunohistochemical analysis  
99 and assessment of tumour samples was performed by the Histopathology Department, Royal  
100 Marsden Hospital. ER and PR scoring were assessed following the Allred/Quick Score, which gives  
101 a scoring range of 0-8. Scores 3-8 were considered positive. In cases with ER-, only a strong score  
102 in PR (defined as >5) allocated the sample as HR+. IHC analyses of HER2 were reported as a  
103 score ranging from 0–3. Scores 0 and 1+ were considered negative, 3+ positive and borderline 2+  
104 results were retested with in situ hybridization methods to confirm HER2 positivity. Cases included  
105 using external analysis had been performed under standard local practise and according to general  
106 recommendations.

107 Additional paired samples before and after resistance to aromatase inhibitors (AI) were collected in  
108 a retrospective tissue collection study, the AI pairs study. These paired tumour biopsy samples  
109 were obtained from patients pre- and post- progression (either locally advanced or metastatic  
110 disease) whilst receiving treatment with an AI (14,15). A total of 48 paired samples were subjected  
111 to molecular characterisation by next generation sequencing and gene expression analysis (15).

112 Baseline plasma samples from the PALOMA-3 trial were analysed. PALOMA-3 was a multicenter,  
113 randomized phase III trial assessing palbociclib and fulvestrant in premenopausal and  
114 postmenopausal women (n=331) with advanced, hormone receptor–positive breast cancer who had  
115 progressed during prior endocrine therapy, as previously reported (16). Patients were assigned 2:1  
116 to palbociclib (125 mg orally for 3 weeks followed by 1 week off) and fulvestrant (500 mg  
117 intramuscularly every 14 days for the first three injections, then 500 mg every 28 days), or matching  
118 placebo plus fulvestrant. Written informed consent was obtained from all participants.

### 119 **Next generation sequencing (NGS)**

120 Formalin-fixed paraffin embedded (FFPE) tissue blocks were reviewed for tumour content by a  
121 pathologist and tumour rich areas marked. Tumour sections were macrodissected to enrich for  
122 tumour content.

123 DNA was extracted from 10 micron sections of FFPE tumour samples using QIAamp DNA FFPE  
124 tissue kit (56404 QIAGEN) and quantified using the Qubit dsDNA High Sensitivity Assay Kit with the  
125 Qubit 3.0 fluorometer (Invitrogen, Carlsbad, CA). Samples were sequenced using a targeted  
126 capture panel (The Breast NGS v1.0 panel) consisting of 41 breast cancer driver genes

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127 (Supplementary Table 1) selected based on either being frequently mutated in breast cancer or rare  
128 but potentially targetable (3,17,18). NGS libraries were prepared from 50-400ng DNA using the  
129 KAPA HyperPlus Kit (Kapa Biosystems, Wilmington, MA, USA) and SeqCap EZ adapters (Roche,  
130 NimbleGen, Madison WI, USA), following the manufacturer's protocol, including dual-SPRI size  
131 selection of the libraries (250-450 bp). To optimise enrichment and reduce off-target capture,  
132 pooled, multiplexed, amplified pre-capture libraries (up to 13 samples per hybridization) were  
133 hybridized overnight using 1 µg of total DNA to a custom design of DNA baits complementary to the  
134 genomic regions of interest (NimbleGen SeqCap EZ library, Roche, Madison, WI, USA). Hybridised  
135 DNA was PCR amplified and products purified using AMPure XP beads (Beckman Coulter,  
136 Danvers, MA, USA) and quantified using the KAPA Quantification Q-PCR Kit (KAPA Biosystems,  
137 Wilmington, MA, USA).

138 Sequencing was performed on a MiSeq (Illumina, San Diego, CA, USA) with 75 bp paired-end  
139 reads and v3 chemistry, or NextSeq (Illumina, San Diego, CA, USA) with 75 bp paired-end reads  
140 and v2 chemistry, according to the manufacturer's instructions. For samples where germline  
141 matched control was available, pools from tumour and control DNA libraries were multiplexed  
142 separately for hybridization and combined prior sequencing at a ratio of 4:1, increasing the relative  
143 number of reads derived from tumour DNA.

144 Miseq runs were analysed using MiSeq Reporter Software (v2.5.1; Illumina), to generate nucleotide  
145 sequences and base quality scores in Fastq format. Resulting sequences were aligned against the  
146 human reference genome build GRCh37/Hg19 to generate binary alignment (BAM) and variant call  
147 files (VCF). Secondary analysis was carried out using Molecular Diagnostics Information  
148 Management System to generate QC, variant annotation, data visualisation and a clinical report.  
149 Reads were deduplicated using Picard (<http://broadinstitute.github.io/picard/>), and metrics  
150 generated for each panel region. Oncotator (v1.5.3.0) (<https://portals.broadinstitute.org/oncotator>)  
151 was used to annotate point mutations and indels using a minimum variant allele frequency (VAF) of  
152 5% and a minimum number of 10 variant reads as a cut-off (19). Manta  
153 (<https://github.com/Illumina/manta>) was used for the detection of structural variants (20).  
154 Variants were annotated for gene names, functional consequence (e.g. Missense), PolyPhen-2  
155 predictions, and cancer-specific annotations from the variant databases including COSMIC  
156 (<https://cancer.sanger.ac.uk/cosmic>), Tumorscape (21), and published MutSig results (22). Copy  
157 number variation (CNV) was assessed by measuring the coverage ratio between each tumour  
158 probe target and the average coverage of all probe targets in the normal (when a normal sample  
159 was available). If a normal sample was not available the ratio between each tumour probe target  
160 and the average of all probe targets in the tumour was used instead. Ratios below 0.5 fold were  
161 defined as a potential deletion whereas a ratio above 2.4 was flagged as a potential amplification if  
162 80% of the target regions had exceeded the thresholds. Borderline genes with less than but almost  
163 80% of the targets showing amplification/deletion were not automatically flagged but assessed  
164 individually. All potential mutations, structural variants and CNVs were visualised using Integrative  
165 Genomics Viewer (IGV; (23,24)) and two individuals were required to review the mutation report

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166 independently. VCF files from unpaired samples were annotated using Illumina VariantStudio v3.0,  
167 and checked manually on IGV.

168 NextSeq runs were analysed using an in-house pipeline. For the demultiplexing bcl2fastq (v2.19)  
169 was used to isolate reads for each sample. The reads were aligned to the reference genome build  
170 GRCh37/Hg19 using Burrows-Wheeler Aligner (BWA-MEM), followed by the marking of PCR  
171 duplicates and calculation of various quality control (QC) metrics using Picard. Copy number was  
172 estimated as described above for the analysis of Miseq runs. Manta (v.0.29.6) was used for the  
173 detection of structural variants. Genom Analysis ToolKit (GATK) was used for re-aligning around  
174 indels to improve indel calling and base quality score recalibration for adjusting systematic errors  
175 made by the sequencer when estimating quality scores of each base call (25). Finally, GATK was  
176 also used for variant calling using HaplotypeCaller for tumour only analysis (limit of detection ~10%)  
177 and MuTect2 for tumour paired analysis. VCF files from unpaired samples were annotated using  
178 Illumina VariantStudio v3.0, and checked manually on IGV.

179 The Breast NGS v1.0 panel could detect single nucleotide variants at >5% allele frequency with  
180 >99% sensitivity (95% CI) and >98% specificity (95% CI). Small indels could be detected with  
181 sensitivity >95% and specificity >81% at >5% variant allele frequency. High-level gene  
182 amplifications (>8 copies) could be detected in samples with >30% neoplastic nuclei. For each  
183 patient, germline DNA was sequenced to allow subtraction of single nucleotide polymorphisms, thus  
184 only somatic variants were reported.

185 The sequencing strategies used in the molecular characterisation of ctDNA in the PALOMA3 study  
186 are described in detail by O'Leary *et al* (26).

### 187 **Mutation detection using digital droplet PCR**

188 ctDNA was extracted from plasma using either the QIAamp circulating nucleic acid kit (Qiagen) or  
189 the QIASymphony SP Instrument using QIASymphony DSP Circulating DNA Kit (Qiagen) according  
190 to manufacturer's guidelines. Concentrations of extracted ctDNA were estimated using either a  
191 TaqMan™ Copy Number Reference Assay (4403326, Life technologies) for *RPPH1*(27-29) or the  
192 Qubit hsDNA quantification kit and Qubit instrument (Life Technologies). Mutations in *PIK3CA*  
193 (p.E542K, c.1624G>A; p.E545K, c.1633G>A; p.H1047R, c.3140A>G; p.H1047L,  
194 c.3140A>T)(26) and *ESR1* (p.E380Q, c.1138G>C; p.L536R, c.1607T>G; p.Y537C, c.1610A>G;  
195 p/D538G, c.1613A>G. p.S463P, c.1387T>C; p.Y537N, c.1609T>A; p.Y537S, c.1610A>C) were  
196 interrogated by digital PCR (dPCR) using custom assays as previously described (6,26,27,30).  
197 *AKT1* hotspot mutation (p.E17K, c.49G>A; E17K) were interrogated using a commercial dPCR  
198 (dHsaCP2000031 and WT: dHsaCP2000032, BIORAD) as per manufacturer instructions dPCR was  
199 conducted as previously described (14)

### 200 **RNA extraction and Nanostring gene expression on tumours**

201 RNA was extracted from tumour samples using RNeasy Mini Kit (74104, Qiagen) and quantified  
202 using the Qubit RNA High Sensitivity Assay Kit with the Qubit 3.0 fluorometer (Life technologies).  
203 RNA from tumours with *NF1* mutations was run on a NanoString nCounter™ with a custom codeset

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204 comprised of 70 genes (Supplementary Table 2; (15)), according to manufacturer's guidelines.  
205 Expression data from *NF1* mutant samples was combined and normalised with an existing  
206 expression data set (AI pairs study cohort, n=30), generated using the same codeset (15). The AI  
207 pairs cohort, contained 3 *NF1* mutant tumours, expression data from which were added to the ABC-  
208 bio *NF1* mutant dataset.

### 209 **Cell Lines**

210 MCF7 and T47D cell lines were obtained from ATCC and cultured in phenol free RPMI media  
211 (32404-014, Life technologies) supplemented with 10% dextran/charcoal stripped FBS (12676029,  
212 Life Technologies), 1nM oestradiol (Sigma), glutamine (25030149, Life technologies), penicillin and  
213 streptomycin (15140-122, Life technologies). Cell lines were banked in multiple aliquots on receipt  
214 to reduce risk of phenotypic drift and identity confirmed by STR profiling with the PowerPlex 1.2  
215 System (Promega).

### 216 **Antibodies, RNAi and Drugs**

217 Antibodies used were phosphorylated (p) AKT S473 (4058), pAKT T308 (2965), AKT (4691),  
218 CCND1 (2978), CCNE1 (4129), CCNE2 (4132), pCDK2 T160 (2561), CDK2 (2546), pERa S118  
219 (2511), pERa S167 (64508), ERa (13258), pERK1/2-Thr202/Tyr204 (4370), ERK1, 2 (9102), NF1  
220 (14623), pRB S780 (3590), pRB S807 (8516), Rb (9313), PGR (8757), p-mTOR S2481 (2974),  
221 mTOR (2983), phospho-ribosomal protein S6 (5364), ribosomal protein S6 (2217; all Cell Signaling  
222 Technology). Fulvestrant (S1191), tamoxifen (S1238) and palbociclib (S1116) were obtained from  
223 Selleck Chemicals. siRNAs were from Dharmacon: siGENOME non-targeting siRNA Pool#2 (D-  
224 001210-02), siGENOME *NF1* set of 4 (MQ-003916-03). *NF1* shRNA constructs, shLuc-72243,  
225 sh*NF1*-39714 and sh*NF1*-39717 (31,32) were a kind gift from Dr Steven Whittaker, Institute of  
226 Cancer Research. The vectors were packaged into lentivirus in 293-T cells and MCF7 cells were  
227 infected with shLuc-72243 MCF7-LucB2.2, sh*NF1*-39714 (MCF7-sh*NF1*\_14B2.2) and sh*NF1*-39717  
228 (MCF7-sh*NF1*\_17B2.2). At 96h after infection, 2µg/mL puromycin was added, and a polyclonal  
229 stable pool was established under continuous selection.

### 230 **Gene Expression using digital PCR**

231 cDNA was prepared using the SuperScript III First Strand Kit (Life Technologies; 18080-051)  
232 according to the manufacturer's guidelines, using 50 to 200 ng total RNA primed with random  
233 hexamers. dPCR gene expression reactions were typically set up with 1 to 5 ng RNA equivalent of  
234 cDNA. Taqman gene expression assays for *NF1* (Hs01035108\_m1), *NCOR1* (Hs01094541\_m1)  
235 and *NCOR2* (Hs00196955\_m1) were run a duplex reaction and normalized using GUSB reference  
236 assay (Hs99999908\_m1) were obtained from Life Technologies Ltd. dPCR was conducted as  
237 previously described(14).

### 238 **Human Estrogen Receptor RT<sup>2</sup> Profiler PCR Array**

239 RNA was extracted from cells using RNeasy Mini kit (74104, Qiagen), and genomic DNA eliminated  
240 and cDNA prepared with 500ng template RNA using RT<sup>2</sup> First strand Kit (330401, Qiagen),  
241 according to manufacturer's guidelines. cDNA samples were prepared for qPCR using RT<sup>2</sup> SYBR  
242 Green qPCR Mastermix (330523, Qiagen) and run on the Human Estrogen Receptor RT<sup>2</sup> Profiler

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243 PCR Array (330231, PAHS-005ZA-24, Qiagen) comprising 84 target genes and 5 housekeeping  
244 genes (Supplementary Table 3). For each sample, gene expression data was adjusted using the  
245 geometric mean of the housekeeping genes, the delta  $C_t$  calculated and data presented as the Log2  
246 fold change.

247 **Western Blotting**

248 Cells were lysed in NP40 lysis buffer (1% v/v NP40, 10 mmol/L Tris–Cl pH8, 150 mmol/L NaCl, 1  
249 mmol/L EDTA, 1 mmol/L DTT) supplemented with protease/phosphatase inhibitor cocktail (5872,  
250 Cell Signaling Technologies). Western blots were carried out with precast TA or Bis-Tris gels (Life  
251 Technologies). Cells were reverse transfected with siRNA 72 hours prior to lysis.

252 **Colony formation assays**

253 Colony formation assays were conducted in 6-well plates, seeded with 1000–2500 cells prior to  
254 exposure to the indicated experimental conditions. Plates were fixed with tricyclic acid (10%),  
255 stained with sulforhodamine B (SRB) and colonies counted using a GelCOUNT instrument (Oxford  
256 Technologies).

257 **Bromo deoxyuridine incorporation assays**

258 Cells were seeded into 96 well plates and S-phase fraction assayed after 24 hours exposure to  
259 compounds, with the addition of 10  $\mu$ mol/L bromodeoxyuridine (BrdU) for 2 hours prior to fixing.  
260 BrdUrd incorporation was assessed with Cell Proliferation chemiluminescent ELISA-BrdUrd assay  
261 (Roche 11 669 915 001) according to the manufacturer's instructions and adjusted for viable cells in  
262 parallel wells assessed with CellTiter-Glo (33,34).

263 **Statistics, Databases and analysis tools**

264 Mutation and expression data from TCGA (Provisional, 1105 samples) was extracted from  
265 cBIOportal (<http://www.cbioportal.org/>) (35,36). ER positive samples only were extracted and the  
266 remaining samples were divided into *NF1* truncated and nontruncating with samples with missense  
267 *NF1* mutations removed from the analysis. Data was normalised and differential expression was  
268 investigated between *NF1* mutated and non-mutated samples using the voom function from the  
269 LIMMA R package. Further pathways analysis on the differentially expressed genes was carried out  
270 using Ingenuity pathway analysis (IPA, QIAGEN,  
271 <https://www.qiagenbioinformatics.com/products/ingenuity-pathway-analysis/>). Graphical  
272 presentation of mutations in context with protein domains was performed using ProteinPaint  
273 (<https://pecan.stjude.cloud/pp>). Other statistical analysis was performed as indicated using  
274 Graphpad Prism v7.05 and custom scripts in R version 3.4.3. Correction for multiple comparisons  
275 was performed using either Sidak test for multiple comparisons or the method of Benjamin-  
276 Hochberg for false discovery as indicated.

277

## 278 RESULTS

### 279 Genetic profile of advanced breast cancer

280 A total of 246 patients with metastatic breast cancer gave consent and were recruited into a clinical  
281 sequencing study (ABC-Bio study, Figure 1A), with sequencing data obtained for 210 patients. The  
282 clinical demographics of the 210 patients are shown in Table 1. Sequencing revealed mutations in  
283 33 genes, including *TP53* (44.8%, 98 mutations in 94 patients), *PIK3CA* (37.1%, 93 mutations in 78  
284 patients), *ESR1* (10.0%, 22 mutations in 21 patients), *NF1* (8.1%, 17 mutations in 17 patients),  
285 *HER2* (6.2%, 13 mutations in 13 patients) and *AKT1* (7.1%, 16 mutations in 15 patients; Figure 1B).  
286 Comparison with the mutation incidence in primary cancers in the TCGA dataset, revealed higher  
287 mutations rates in advanced breast cancer in *TP53* ( $q=0.0011$ ), *ESR1* ( $q=5.26 \times 10^{-11}$ ), *NF1*  
288 ( $q=0.0078$ ), *AKT1* ( $q=4.76 \times 10^{-9}$ ), *HER2* ( $q=0.0207$ ), *PTEN* ( $q=0.0195$ ) and *SF3B1* ( $q=0.041$ ); all  
289 Fisher's exact test with FDR correction using Benjamini Hochberg method; Figure 1B).

290 Of the mutations found at higher frequency in advanced breast cancer, *NF1* was characterised by  
291 frequent inactivating, truncating or nonsense mutations (Figure 1C). *AKT1* and *HER2* were  
292 dominated by known hot-spot activating mutations, while in *PTEN* frameshift, nonsense and  
293 deletions accounted for the majority of identified mutations (Figure 1B and Supplementary Figure  
294 1A). *ESR1* mutations were found at a high prevalence only in HR positive/HER2 negative tumours  
295 (20/22 mutations HR+/HER2-,  $p=0.0278$ , Fisher's exact test Supplementary Figure 1A).  
296 HR+/HER2- tumours had significantly lower incidence of *TP53* mutations (40/143, 27.97%) than  
297 both HER2+ tumours (16/19, 84.21%,  $p<0.0001$ , Fisher's exact test) and triple negative breast  
298 cancer (TNBC) (37/45, 82.22%,  $p<0.0001$ , Fisher's exact test), with subtype determined in  
299 metastatic sample. HR+/HER2- tumours had a similar rate of *PIK3CA* mutations (57/143, 39.86%)  
300 to HER2+ tumours (7/19, 36.84%), and non-significantly higher rate than TNBC (12/45, 26.67%,  
301  $p=0.1555$ , Fisher's exact test), in part as comparison made to metastatic TNBC which in turn had a  
302 higher rate of *PIK3CA* mutations than primary TNBC in TCGA. Incidence of *NF1* mutations was  
303 similar in HR+/HER2-, HER2+ tumours and TNBC (Supplementary Figure 1A). Comparison of  
304 mutation frequency between ABC-Bio and TCGA by tumour subtype showed comparable mutation  
305 frequencies with significant increase identified in *ESR1* and *AKT1* in HR+/HER2- tumours after  
306 adjusting for multiple comparisons. The rate of *NF1* mutations increased from 2.5% in TCGA to  
307 7.0% in ABC-Bio ( $p=0.021$ ,  $q=0.127$ ; Supplementary Figure 1B). Similarly, ABC-Bio sequencing  
308 was highly comparable to the MSKCC dataset (37), with increased frequency of mutations noted in  
309 *ESR1*, *AKT1* and *BRCA1* compared to primary breast cancers (Supplementary Figure 1C). *HER2*  
310 amplification status had very high agreement with clinical *HER2* amplification status determined by  
311 IHC or FISH (sensitivity=1, specificity=0.9746,  $p<0.0001$ ; Supplementary Figure 1D).

312 We next looked at factors that influenced the genomic profile. *ESR1* mutations were only rarely  
313 identified in patients with newly relapsed disease, and were frequent in patients with more heavily  
314 pre-treated cancer (Supplementary Table 4). Similarly, *ESR1* mutations were rare in *TP53* mutant  
315 advanced HR+/HER2-breast cancer (1/40) and common in *TP53* wildtype HR+/HER2- breast  
316 cancer (18/142, 12.6%,  $p=0.0455$  Fisher's exact test, Figure 2A). This suggested that *ESR1*  
317 mutations are acquired through prior endocrine therapy in the metastatic setting, principally in *TP53*

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318 wild-type cancers. In contrast, *NF1* mutations rates did not differ across line of therapy, nor by *TP53*  
319 mutation status. *NF1* mutations were frequently associated with mutations in genes in the PI3K  
320 pathway (11/17 patients, 64.7%), including *PIK3CA* (6/17), *AKT1* (3/17) and *PTEN* (4/17), but rarely  
321 associated with *ESR1* mutations (1/17, 5.9%).

322 In the cohort, 10/132 primary HR+/HER2- tumours switched phenotype to be classified as TNBC in  
323 the metastatic setting (Figure 2A). These “acquired TNBC” reflected 21.7% (10/46) of advanced  
324 TNBC as a whole. The mutational profile of these “acquired TNBC” more closely resembled that of  
325 stable HR+/HER2- tumours (both primary and recurrent HR+/HER2-) rather than stable TNBC  
326 tumours (both primary and recurrent) TNBC (Supplementary Figure 2A), suggesting the elevated  
327 rate of *PIK3CA* mutation observed in advanced TNBC may in part reflect subtype switching.

### 328 **Prognostic implications of genomic profiles**

329 We investigated the influence of mutational profile on outcome, both from time of diagnosis of the  
330 original primary to relapse (disease free survival, DFS), and the time from relapse to death  
331 (advanced overall survival, advanced OS). We note that all patients in this series relapsed, and  
332 analysis of DFS assessed risk of early *versus* later relapse. DFS and advanced OS data for all  
333 mutations found with a frequency of  $\geq 5\%$  are presented in Supplementary Tables 5 and 6  
334 respectively. In patients with HR+/HER2- tumours, truncating *NF1* mutations were associated with  
335 shorter DFS compared to wild type *NF1* (HR 4.46, 95% CI 1.65-12.08, Log rank  $p=0.0031$ ; Figure  
336 2B), whilst *MAP3K1* mutations were associated with longer DFS (HR 0.53, 95% CI 0.3012 - 0.9411,  
337 Log rank  $p=0.030$ ). These data reflect similar poor prognosis in the adjuvant setting associated with  
338 *NF1* mutations in other data sets (12,38). *NF1* mutant patients had frequently received adjuvant  
339 chemotherapy (88.2%, 15/17) and adjuvant endocrine therapy (100%, 17/17). In the advanced  
340 setting, these patterns were maintained although without statistical significance (Supplementary  
341 Table 6). In patients with HER2+ tumours, the 3 cancers with *HER2* mutations (both *HER2*  
342 amplified and mutant cancers) were associated with dramatically shorter DFS (HR 7.548 (95%CI  
343 0.3983-143, Log rank  $p=0.0001$ ; Figure 2C). Though limited in number, these findings suggest a  
344 rare but important subset of breast cancers that may do poorly on current treatment. Interestingly  
345 *HER2* mutant HR+/HER2- breast cancers also had significant worse DFS and advanced OS.  
346 Analysis of outcome for TNBC was limited by small numbers (Supplementary Tables 5 and 6).

### 347 **Acquisition of *NF1* mutations in advanced breast cancer**

348 We investigated whether genes mutated at higher incidence in advanced breast cancer were  
349 mutated at higher incidence due to acquisition of the mutation in the metastatic setting, or whether  
350 the mutation was present in the original primary tumour but enriched in the metastatic setting due to  
351 a higher propensity to relapse. We focused our analysis on tumour samples with mutations in *NF1*,  
352 *AKT1* and *HER2* – rare, but potentially targetable mutations. We did not further investigate *ESR1*  
353 mutations, as it is well documented these are acquired in the advanced setting following endocrine  
354 therapy (6,7,15,30). Primary tumour samples for 34 patients were retrieved and sequenced,  
355 including samples for 13/17 *NF1*, 12/15 *AKT1* and 6/12 *HER2* mutant cases identified in the  
356 sequencing of metastatic tumours. Of the 13 patients with *NF1* mutations in their metastatic  
357 samples, 8/13 (61.5%) patients had *NF1* mutation in the primary tumour sample (Figure 2D),

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358 indicating acquisition of *NF1* mutations continues in the advanced setting (5/13, 38.5%). In addition,  
359 one primary tissue sample was found to have an *NF1* mutation that was lost in the paired  
360 metastatic sample. In contrast, *AKT1* (10/12, 83.3%) and *HER2* (5/6, 83.3%) mutations were largely  
361 shared with the primary sample. Consistent with being truncal driver mutations *TP53* mutations  
362 (12/13, 92.3%) were largely shared in both primary and metastatic tumour sample. *PIK3CA*  
363 mutations (5/13, 38.5%) are also acquired in the metastatic setting (26).

### 364 **Gene expression Analysis**

365 Our genomic analysis suggested that *NF1* mutations may be acquired in the metastatic setting, are  
366 frequently truncating mutations predicted to inactivate *NF1* function, and are associated with  
367 marked shorter DFS in HR+/HER2- breast cancers with relapse during adjuvant endocrine therapy.  
368 We next investigated the functional impact of *NF1* mutations on oestrogen receptor positive breast  
369 cancer.

370 RNA from 8 tumour samples with truncating *NF1* mutations, were analysed with a custom  
371 Nanostring ER signalling gene expression codeset, along with 30 *NF1* wildtype metastatic breast  
372 cancers that had relapsed after AI therapy (15) (Figure 3A). Tumours with truncating *NF1* mutations  
373 had lower *NF1* expression ( $p=2.74 \times 10^{-6}$ , Wilcoxon signed rank test Figure 3B). In the series of *NF1*  
374 wild-type cancers 7/30 cancers had acquired very low ER signalling in advanced cancer (Figure 3A  
375 left hand branch), effectively becoming genomically ER negative. All *NF1* mutations had some  
376 maintained ER signalling (Figure 3A). *ESR1* mutations have been shown to significantly increase  
377 expression of oestrogen regulated genes (ERGs) and proliferation genes (15). The presence of a  
378 truncating *NF1* mutation resulted in substantially less ER signalling than *ESR1* mutations, with *NF1*  
379 mutant cancers having broadly similar expression of ERGs and proliferation genes compared to  
380 wild-type for both *ESR1* and *NF1* ( $p=0.1572$  and  $p=0.1123$  respectively, Wilcoxon test, Figure 3D).  
381 Tumours with *NF1* mutations had significantly lower expression of the nuclear co-repressor proteins  
382 *NCOR1* ( $p=0.021$ , Wilcoxon test) and *NCOR2* ( $p=0.011$ , Wilcoxon test) than *ESR1* mutant tumours  
383 or wild type tumours (Figure 3E). These data suggested that *NF1* mutant tumors had down  
384 regulated ER signalling in metastases, but without the acquisition of ER negative phenotypes  
385 prevalent in tumors wildtype for *NF1* and *ESR1* mutations.

386 To corroborate our findings, we analysed gene expression and mutation data from primary tumours  
387 in TCGA. Similar to our analysis of metastatic tumours, primary tumours with truncating *NF1*  
388 mutations had decreased expression of *NF1* (Wilcoxon test,  $p=0.000159$ ; Supplementary Figure  
389 3A). Cancers with truncating *NF1* mutations had enrichment of differentially regulated genes  
390 associated with canonical oestrogen receptor signalling (Figure 3F), and decreased *NCOR1*  
391 compared to wildtype tumours (Supplementary Figure 3B and C).

### 392 ***NF1* silencing results in resistance to endocrine therapy**

393 Prior research has identified that *NF1* silencing results in resistance to tamoxifen therapy (13). Our  
394 findings on short DFS in *NF1* mutant cancer included 14/17 (82.4%) patients treated with adjuvant  
395 endocrine therapy, with early relapse during endocrine therapy, suggested a potential for more  
396 general endocrine therapy resistance in the clinic. To investigate the consequence of *NF1* loss on

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397 endocrine therapy resistance, we silenced *NF1* with NF1 siRNA SMARTpool in ER positive cell  
398 lines MCF7 and T47D, or siCON non-targeting control, and performed clonogenic assays. The  
399 individual siRNAs that comprised the SMARTpool all decreased NF1 expression (Supplementary  
400 Figure 4A). Silencing *NF1* resulted in resistance to tamoxifen and withdrawal of oestrogen from  
401 medium to mimic aromatase inhibition, with partial resistance to fulvestrant (Figure 4A and B).  
402 Assessment using the Bliss independence model indicated that NF1 knockdown was antagonistic  
403 of endocrine therapies (Supplementary Figure 4B)

404 We generated MCF7 cells with stable knock down of *NF1* using two different shRNA constructs  
405 (shNF1-14B and shNF1-17B) and a non-targeting control (LucB) (31,32). Stable silencing of *NF1*  
406 similarly resulted in stable, long term resistance to oestrogen deprivation, fulvestrant and tamoxifen  
407 (Figure 4C), despite NF1 shRNA stable cell lines having only partial *NF1* silencing (Supplementary  
408 Figure 4C and D).

409 We next investigated the signalling consequences of *NF1* loss, and the impact on ER signalling.  
410 Silencing *NF1* using siRNA in MCF7 decreased expression of NF1 and increased levels of  
411 phospho-ERK1,2 and phospho-AKT, which was sustained when cells were treated with fulvestrant,  
412 tamoxifen or oestradiol-depleted media for 24 hours (Figure 4D). However, AKT phosphorylation  
413 was also induced by NF1 loss, likely reflecting the well described role of RAS signalling in  
414 controlling PI3 kinase activity, and suggesting that NF1 loss may possibly broadly activate both  
415 MAPK and AKT signal transduction. We performed a time course experiment treating MCF7 cells  
416 with the MEK inhibitor, trametinib. Trametinib treatment resulted in sustained inhibited  
417 phosphorylation of ERK1,2 up to 72h, with strong induction of NCOR2 (Supplementary Figure 4E).  
418 Knock down of NF1 decreased NCOR1 and NCOR2 expression which was increased by treatment  
419 with trametinib (Supplementary Figure 4F). ER signalling after *NF1* silencing was investigated with  
420 RT2 profiler array (methods). *NF1* silencing down regulated *ESR1* expression (Figure 4E), and ER  
421 signalling (Supplementary figure 4E), whilst upregulating *CCND1* and *MYC* gene expression  
422 (Figure 4E and Supplementary figure 4F). Inhibition of MEK with trametinib largely reversed the  
423 gene expression changes of *NF1* silencing (Figure 4E and Supplementary Figure 4G), implicating  
424 increased MEK-ERK signalling as the major driver of endocrine resistance.

425 We further investigated signalling effects of NF1 loss. *NF1* silencing resulted in increased cyclin D1  
426 expression, which was not suppressed after 72 hours of treatment in both MCF7 and T47D cells  
427 with fulvestrant, tamoxifen or oestradiol-depletion (Figure 5A). *NF1* silencing did not appreciably  
428 alter expression of cyclin E1 or E2 (Figure 5B). In stable knockdown *NF1* shRNA MCF7 cells, long  
429 term *NF1* silencing resulted in higher cyclin D1 protein expression, which suppressed incompletely  
430 on endocrine therapies (Figure 5B). In keeping with elevated cyclin D1 expression, Rb  
431 phosphorylation was increased at both S780 and 807 (Figure 5B), with modestly elevated  
432 phosphorylation of CDK2 T180. Cells with stable *NF1* knockdown had decreased ER expression,  
433 but increased phospho-ER, which was exaggerated compared to control when treated with  
434 tamoxifen or oestradiol-depletion (Figure 5B). Expression of *NCOR1* and *NCOR2* were decreased  
435 in cells with stable knock down of *NF1* (Supplementary Figure 4D), as predicted by our tumour  
436 analysis, which was reversed by treatment with trametinib (Supplementary Figure 4F).

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437 In summary, *NF1* loss resulted in increased MAPK pathway signalling, that downregulated ER  
438 expression and signalling, but with residual ER hyperphosphorylation. *NF1* silencing resulted in ER  
439 independent activation of cyclin D1 expression, with increased Rb phosphorylation, suggesting that  
440 *NF1* loss promoted endocrine resistance through both ER dependent and independent  
441 mechanisms.

### 442 **Combating *NF1* loss in breast cancer therapy**

443 We next investigated therapeutic approaches that may overcome endocrine resistance in *NF1*  
444 mutant cancers. We noted that *NF1* silencing resulted in marked overexpression of cyclin D1 and  
445 increased RB1 phosphorylation, and we therefore investigated whether CDK4/6 inhibition may  
446 overcome the adverse effects on endocrine therapy resistance after *NF1* silencing. In short-term  
447 BrdU incorporation assays, *NF1* siRNA blocked the anti-proliferative effects of tamoxifen; BrdU  
448 positive cells were reduced in control siCON cells with tamoxifen, whereas there was no reduction  
449 in si*NF1* cells. Palbociclib, and the combination of palbociclib and tamoxifen substantially reduced  
450 proliferation in si*NF1* cells (Figure 5C). Similarly, in long-term clonogenic assays palbociclib  
451 reduced colony formation of MCF7 cells after *NF1* silencing and further mitigated resistance to  
452 fulvestrant, tamoxifen and oestrogen depletion (Figure 5D; Supplementary Figure 5A). Using the  
453 Bliss independence model, palbociclib was found to combine with the endocrine targeted  
454 treatments in an additive manner (Supplementary Figure 5B). In contrast, in cells with *NF1*  
455 knockdown the effect of combining palbociclib with the endocrine treatments was synergistic.

456 We then investigated the effect of *NF1* mutations on the survival in patients enrolled in the  
457 PALOMA-3 randomised phase III trial, of fulvestrant plus placebo versus fulvestrant plus  
458 palbociclib. We have previously reported ctDNA sequencing in the PALOMA-3 trial, and we  
459 analysed the effects of *NF1* mutation detection in baseline ctDNA (39). Overall *NF1* mutations were  
460 detected in 6.34% (21/331) baseline plasma samples. In patients with available end of treatment  
461 samples, the baseline *NF1* mutations (11/11) were detected at end of treatment, suggesting stability  
462 through treatment (26,40). Two mutations in *NF1* were selected through treatment, present at end  
463 of treatment but not in baseline ctDNA. There were too few patients with *NF1* mutations to make  
464 meaningful assessment in the placebo and fulvestrant control arm (Supplementary Figure 5B).  
465 Patients with baseline *NF1* mutations detected had a similar outcome on palbociclib plus  
466 fulvestrant, compared to patients without *NF1* mutations detected (Log rank,  $p=0.71$ , 5/16 stopgain,  
467 11/16 nonsynonymous; Figure 5E), supporting our pre-clinical experiments that CDK4/6 inhibition in  
468 part overcame the effects of *NF1* loss on endocrine resistance.

469

## 470 **DISCUSSION**

471 Here we present the molecular characterisation of 210 metastatic breast cancers, and demonstrate  
472 that multiple targetable mutations are detected at increased frequency in metastatic disease as  
473 compared to archival primary cancers. *NF1* mutations may be acquired in the metastatic setting and  
474 loss of *NF1* function results in resistance to all commonly used endocrine therapies, although  
475 combination of fulvestrant and CDK4/6 inhibition presents a therapeutic strategy to overcome  
476 resistance.

477 Our findings on acquired *NF1* mutations adds to increasing evidence that mutations in the MAPK  
478 pathway are enriched in advanced ER positive breast cancer. We previously demonstrated that  
479 *KRAS* mutations, highly likely sub-clonal, may be detected at relatively high frequency after  
480 progression on AI therapy for advanced breast cancer (30). Mutations in the fibroblast growth  
481 factors receptor genes *FGFR2* and *FGFR3* may be found in ctDNA of endocrine resistant cancers  
482 (41), with FGFR signalling canonically activating MAPK pathway signalling (42). Similarly, a large  
483 recent series of metastatic biopsy sequencing, without paired primary sequencing, demonstrated  
484 frequent mutational activation of the pathway in advanced ER positive breast cancer (37). These  
485 data demonstrate opportunities to develop targeted therapeutic approaches. The majority of *NF1*  
486 mutations are truncating mutations, and therefore highly likely inactivating. Although likely that loss  
487 of heterozygosity is required to inactivate *NF1* function, our data on resistance to endocrine therapy  
488 despite only partial knock down of *NF1* with shRNA (Figure 4C) suggests the possibility of  
489 heterozygous effects of *NF1* loss. Missense mutations in *NF1* are relatively frequent, and although  
490 the majority of these may be non-pathogenic, further research will be required to establish if some  
491 *NF1* missense mutations are functional. Finally, whether the clonality of these mutations is  
492 important for outcome and treatment will need to be addressed.

493 HR+/HER2 breast cancer is the most frequent phenotype of breast cancer, accounting for  
494 approximately 70% of cases. *NF1* mutation confers poor prognosis in terms of shorter time to  
495 relapse in HR+/HER2- patients, with relapse occurring frequently on endocrine therapy reflecting  
496 endocrine resistance (Figure 1). Loss of *NF1* results in endocrine resistance likely both through ER-  
497 dependent mechanisms and ER independent mechanisms, likely with MAPK pathway driven  
498 expression of cyclin D1 and ER independent S phase entry. Of all endocrine therapies fulvestrant  
499 is the least resistant pre-clinically (Figure 4). Although ER expression and signalling was partially  
500 down-regulated with *NF1* silencing, residual ER was hyper-phosphorylated likely reflecting ligand  
501 independent activation of residual ER by enhanced signal transduction, which would be most  
502 effectively inhibited by fulvestrant. Combination with CDK4/6 inhibitors, which target ER  
503 independent cyclin D1 transcription (Figure 4), results in substantial enhanced efficacy of endocrine  
504 therapy *in vitro* (Figure 5). Consistent with these observations, the prognosis of patients with  
505 baseline or pre-treatment detection of *NF1* mutation in the PALOMA-3 phase III trial (16) suggested  
506 that combined fulvestrant and palbociclib may mitigate the adverse prognostic effects of *NF1*  
507 mutations. This suggests the possibility that fulvestrant and palbociclib could be investigated in the  
508 adjuvant setting in *NF1* mutant cancers, in an attempt to overcome the risk of early relapse (37).

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509 Our data has limitations; we focused our analysis of primary-metastasis pairs on those potentially  
510 targetable genetic events present at increased frequency in advanced breast cancer, and have  
511 therefore not performed an exhaustive investigation of discordance of genetic events. Our  
512 sequencing strategy was a targeted approach, again to investigate potential targetable genetic  
513 events, and has not interrogated genetic events outside the gene panel which would be addressed  
514 by either larger panel or whole exome sequencing. Our analysis of the clinical impact of *NF1*  
515 mutations on fulvestrant and palbociclib is limited by small numbers, and these findings would need  
516 validation in additional studies of fulvestrant and CDK4/6 inhibitors. However, these studies also  
517 indicate that addition of a MEK inhibitor to CDK4/6 inhibition may offer further benefit, which could  
518 be explored in the clinic.

519 Breast cancers evolve through treatment, with endocrine therapy for hormone receptor positive  
520 breast cancer driving diversification and acquisition of resistant mutations. This selection of  
521 resistance mutations presents substantial challenges treatment, but also opportunities to develop  
522 new therapeutic strategies. Mutations in *NF1* mutations, both those detectable in primary cancer  
523 and acquired in the metastatic setting, induce resistance to endocrine therapy, and may be  
524 targetable to reverse resistance in progressing cancers.

525

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683

*NF1* mutation in advanced breast cancer

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685 We would like to thank Dr Steven Whittaker, Institute of Cancer Research for the kind gift of the  
686 *NF1* shRNA constructs, shLuc-72243, sh*NF1*-39714 and sh*NF1*- 39717.

687

688

NF1 mutation in advanced breast cancer

689 **TABLES**

690 Table 1. The clinical demographics of the 210 patients with sequencing data from the ABC-Bio  
 691 study presented by *NF1* mutation: *NF1* wild type; *NF1* mutant predicted truncating (N=9; 6 Non-  
 692 sense, 2 Frameshift and 1 Stop-gain); *NF1* mutant not truncating (N=8; 5 Missense + 2 Splice Site  
 693 + 1 In-frame deletion). Comparisons using Chi-square test.

	<b>NF1 wild-type N=193</b>	<b>NF1 mutant predicted truncating N=9</b>	<b>NF1 mutant not truncating N=8</b>	<b>q value</b>
<b>Age at inclusion (years), median</b>				
	56	55	51	
<b>Hormone receptor status on primary, n (%)</b>				
HR+/HER2-	121 (63)	7 (78)	4 (50)	0.44*
HER2+	23 (12)	1 (11)	1 (12)	0.98*
HR-/HER2-	33 (17)	1 (11)	3 (38)	0.34*
UK	16 (8)	0 (0)	0 (0)	NA
Total	193 (100)	9 (100)	8 (100)	
<b>Hormone receptor status on metastatic, n (%)</b>				
HR+/HER2-	133 (69)	6 (67)	4 (50)	0.48*
HER2+	16 (8)	1 (11)	2 (25)	0.27*
HR-/HER2-	41 (21)	2 (22)	2 (25)	0.97*
UK	3 (2)	0 (0)	0 (0)	
Total	193 (100)	9 (100)	8 (100)	
<b>Presentation at diagnosis, n (%)</b>				
Early	167 (87)	8 (89)	8 (100)	0.53
Metastatic	26 (13)	1 (11)	0 (0)	0.53
Total	193 (100)	9 (100)	8 (100)	
<b>Nodal status if early presentation, n (%)</b>				
Positive	100 (60)	5 (63)	6 (75)	0.72*
Negative	64 (38)	3 (37)	2 (25)	0.72*
Missing/Unknown	3 (2)	0 (0)	0 (0)	0.86
Total	167 (100)	8 (100)	8 (100)	
<b>Germline BRCA1/2 status, n (%)</b>				
Positive	12 (6)	0 (0)	1 (12)	0.49*
Negative	59 (31)	4 (44)	2 (25)	0.49*
Unknown	122 (63)	5 (56)	5 (63)	0.89
Total	193 (100)	9 (100)	8 (100)	

NF1 mutation in advanced breast cancer

<b>Adjuvant treatment if early presentation, n (%)</b>				
Yes	164 (98)	8 (100)	7 (88)	0.11
No	3 (2)	0 (0)	1 (12)	0.11
Total	167 (100)	8 (100)	8 (100)	
<b>Adjuvant ET if early presentation, n (%)</b>				
Yes	123 (74)	8 (100) - 1 TNBC on primary received adjuvant ET	6 (75) - 1 TNBC on primary received adjuvant ET	0.24
No	44 (26)	0 (0)	2 (25)	0.24
Total	167 (100)	8 (100)	8 (100)	
<b>Type of adjuvant ET if adjuvant ET, n (%)</b>				
Tamoxifen only	71 (58)	6 (75)	3 (50)	0.57
AI only	19 (15)	2 (25)	1 (17)	0.77
Tamoxifen + AI	33 (27)	0 (0)	2 (33)	0.31
Total	123 (100)	8 (100)	6 (100)	
<b>Resistance to adjuvant ET, n (%)</b>				
Yes	74 (60)	6 (75)	6 (100)	0.10
No	49 (40)	2 (25)	0 (0)	0.10
Total	123 (100)	8 (100)	6 (100)	
<b>Type of endocrine resistance to adjuvant ET**, n (%)</b>				
Primary resistance	23 (31)	1 (17)	1 (17)	0.59
Secondary resistance to adjuvant ET, n (%)	51 (69)	5 (83)	5 (83)	0.59
Total	74 (100)	6 (100)	6 (100)	
<b>Prior neoadjuvant/adjuvant CT if early presentation, n (%)</b>				
Yes	132 (79)	8 (100)	7 (88)	0.30
No	35 (21)	0 (0)	1 (12)	0.30
Total	167 (100)	8 (100)	8 (100)	
<b>Prior metastatic +/- adjuvant CT before sequencing, n (%)</b>				
Yes	158 (82)	8 (89)	8 (100)	0.36
No	35 (18)	1 (11)	0 (0)	0.36
Total	193 (100)	9 (100)	8 (100)	
<b>Metastatic CT after sequencing, n (%)</b>				

*NF1* mutation in advanced breast cancer

Yes	91 (47)	3 (33)	7 (88)	0.05
No	102 (53)	6 (67)	1 (12)	0.05
Total	193 (100)	9 (100)	8 (100)	
<b>Lines of ET therapy for metastatic disease before sequencing, n (%)</b>				
0	132 (69)	9 (100)	5 (63)	0.11
1	39 (20)	0 (0)	2 (25)	0.30
2	16 (8)	0 (0)	1 (12)	NA
3+	6 (3)	0 (0)	0 (0)	NA
Total	193 (100)	9 (100)	8 (100)	
<b>Lines of CT for metastatic disease before sequencing, n (%)</b>				
0	122 (63)	8 (89)	2 (25)	<b>0.02</b>
1	36 (19)	1 (11)	3 (38)	0.34
2	21 (11)	0 (0)	1 (12)	0.57
3+	14 (7)	0 (0)	2 (25)	0.12
Total	193 (100)	9 (100)	8 (100)	

694 NA, does not meet requirements for chi-square test\* Unknown excluded from analysis. \*\*Only  
 695 patients with endocrine resistance considered.

696

*NF1* mutation in advanced breast cancer

697 **FIGURES**

698 Figure 1. Genetic profile of advanced breast cancer

699 A) CONSORT diagram showing the structure and the patient numbers of the ABC-Bio clinical  
700 sequencing study. B) *Left hand panel*, number and type of mutations identified in advanced breast  
701 cancer within ABC-Bio; *Right hand panel*, comparison of the incidence of mutations identified in  
702 ABC-Bio (green bars) with the TCGA primary breast cancer (grey bars), p value Fisher's exact test  
703 with Benjamini Hochberg false discovery correction. C) *NF1* mutations detected in the ABC-Bio  
704 study, with mutation type, functional domain and reference to amino acid residue.

705

706 Figure 2. Mutational profile impact on outcome and agreement with targetable mutations between  
707 paired primary and metastatic samples.

708 A) Co-occurrence of mutations in metastatic setting and tumour subtype of both primary and  
709 metastatic samples, presented by subtype of primary tumour. B) *NF1* mutation status and Overall  
710 survival (top) and disease free survival - time to recurrence - (bottom) in HR+HER2- tumours (Log  
711 rank test, p=0.436 and p=0.0031 respectively). C) *ERBB2* mutation status and overall survival (top)  
712 and disease free survival - time to recurrence - (bottom) in HER2+ tumours (Log rank test,  
713 p=0.6857 and p=0.0001 respectively). D) Mutation concordance between primary and advanced  
714 tumour samples for 34 patients with targetable mutations in *NF1*, *AKT1*, and *ERBB2* in advanced  
715 breast cancer. The type of *NF1* mutation and subtype of the tumour samples are indicated.

716

717 Figure 3. Gene expression profiling of *NF1* mutant breast cancers

718 A). Effect of truncating *NF1* mutations on *NF1* expression (Log2 ratio) compared to wild type *NF1*  
719 tumours; p value as indicated, Wilcoxon test. B) Differential gene expression in *NF1* wild type  
720 (n=30) versus patients with truncating *NF1* mutations (n=8). Indicated genes (p<0.1 Wilcoxon  
721 signed rank test) with increased (•) and decreased (•) expression in truncating *NF1* mutations. C)  
722 Effect of *NF1* truncating mutations on averaged ER gene expression (ERG) and proliferation genes;  
723 p value as indicated, Wilcoxon test. D) Expression of the nuclear receptor corepressors in *NF1*  
724 truncating mutations, *Left hand panel NCOR1* and *Right hand panel NCOR2*; p value as indicated,  
725 Wilcoxon test. E) Gene expression analysis of TCGA data, signalling pathways enriched for genes  
726 with differential expression in *NF1* mutated samples (Fisher exact test, p value as indicated).

727

728 Figure 4. Loss of *NF1* causes resistance of endocrine therapy mediated by both ER dependent and  
729 independent mechanisms.

730 A) Colony formation assay of MCF7 transfected with siCON or si*NF1* and treated with either  
731 fulvestrant, tamoxifen, oestradiol depletion, or control. Box 25-75<sup>th</sup> percentiles, bar median and

*NF1* mutation in advanced breast cancer

732 whiskers Min-Max, n=8, ANOVA with Sidak multiple comparisons, p values as indicated. B) Colony  
733 formation assay of T47D transfected with siCON or siNF1 and treated with either fulvestrant,  
734 tamoxifen, oestradiol depletion or vehicle. Box 25-75<sup>th</sup> percentiles, bar median and whiskers Min-  
735 Max n=4, ANOVA with Sidak multiple comparisons, p values as indicated. C) Long term treatment  
736 of MCF7 with stable NF1 knock down (shNF1-14B and shNF1-17B) and control cells (LucB2.2) with  
737 fulvestrant (500nM), tamoxifen (100nM), oestradiol depletion and vehicle. Colonies highlighted in  
738 yellow. D) Western blot of whole cell lysates from MCF7 transfected with siCON or siNF1 and  
739 treated for 24hr with either fulvestrant, tamoxifen, oestradiol depletion or control, and probed for the  
740 indicated proteins. E) Gene expression analysis of ER pathway genes in MCF7 cells transfected 96  
741 hours earlier with indicated siRNA, treated with trametinib (100nM) or vehicle for 72 hours. q  
742 values, t Test with Benjamini Hochberg false discovery correction.

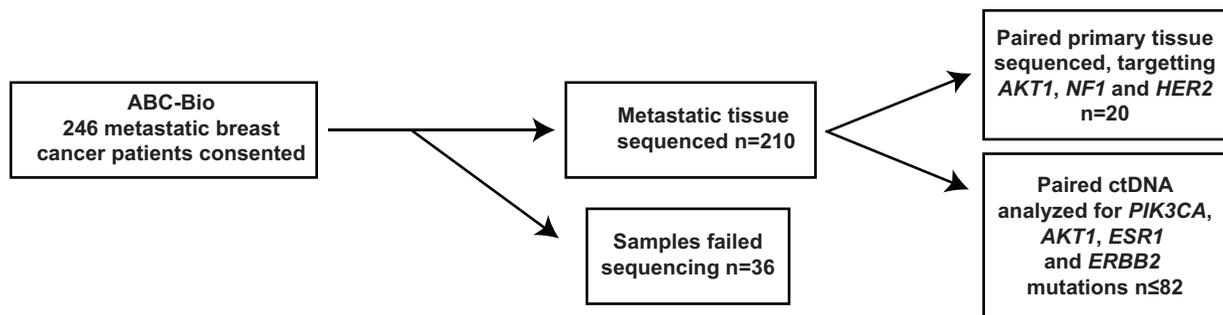
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744 Figure 5. CDK4/6 inhibition overcomes the adverse impact of NF1 loss in ER positive breast cancer

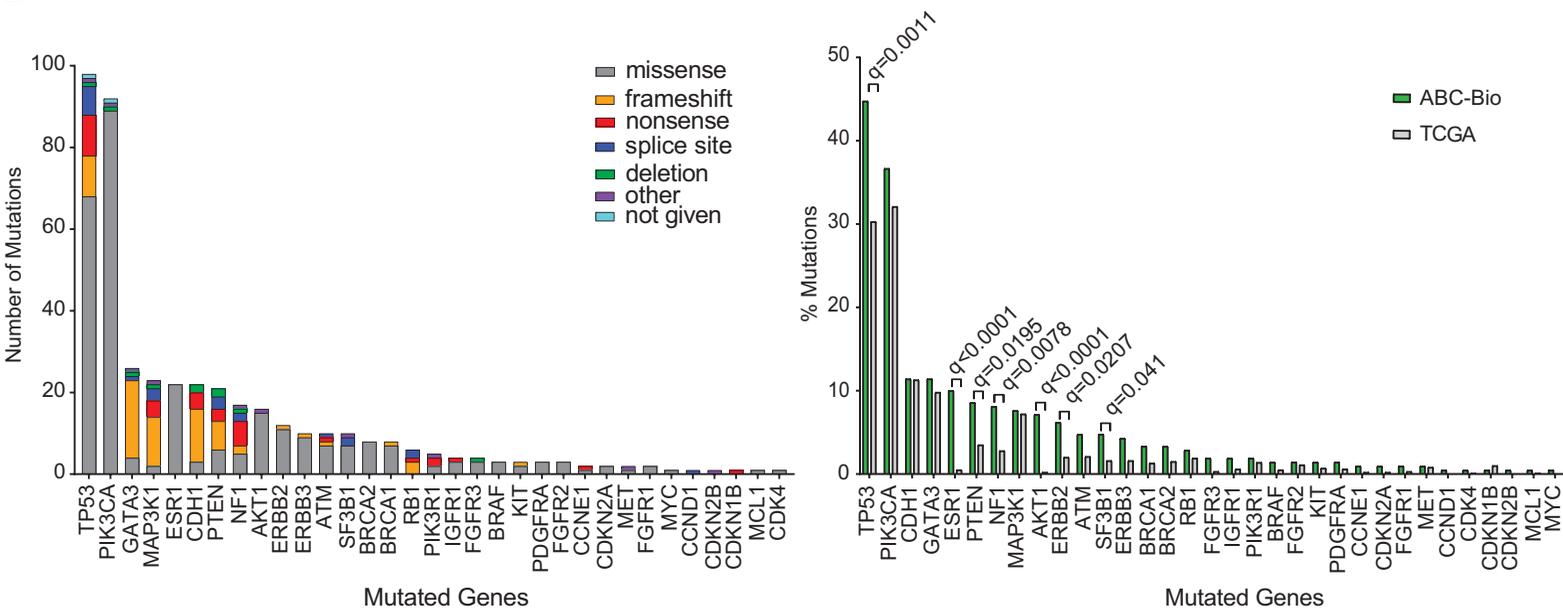
745 A) Western blot of whole cell lysates from MCF7 (left panel) and T47D (right panel), transfected  
746 with siCON or siNF1 and treated for 72hr as indicated and probed for the indicated proteins. B)  
747 Western blot of whole cell lysates from MCF7-LucB2.2, MCF7-shNF1 14B2.2 and MCF7 17B2.2,  
748 treated for 72hr as indicated and probed for the indicated proteins. C) MCF7 transfected with  
749 siCON2 or siNF1, treated with tamoxifen, palbociclib, combination tam+palbo, or vehicle for 24hr  
750 and assessed for BrdU incorporation. D) Colony formation assay of MCF7 transfected with siCON  
751 or siNF1 and treated with either fulvestrant, tamoxifen, oestradiol depletion, or control on their own  
752 or in combination with palbociclib. n=4; 2 way ANOVA with Sidak comparisons, p values as  
753 indicated. E) *NF1* mutation status and progression free survival in patients enrolled in the PALOMA-  
754 3 trial treated with palbociclib and fulvestrant (Log rank test, p=0.71).

# Figure 1

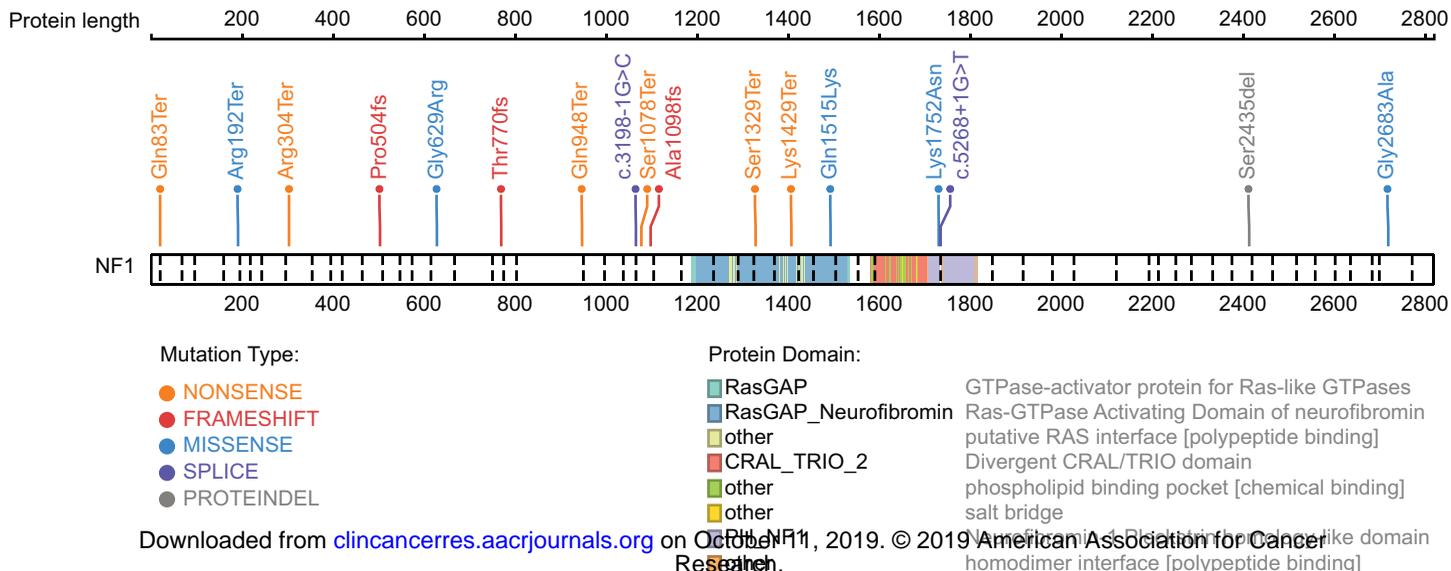
## A



## B

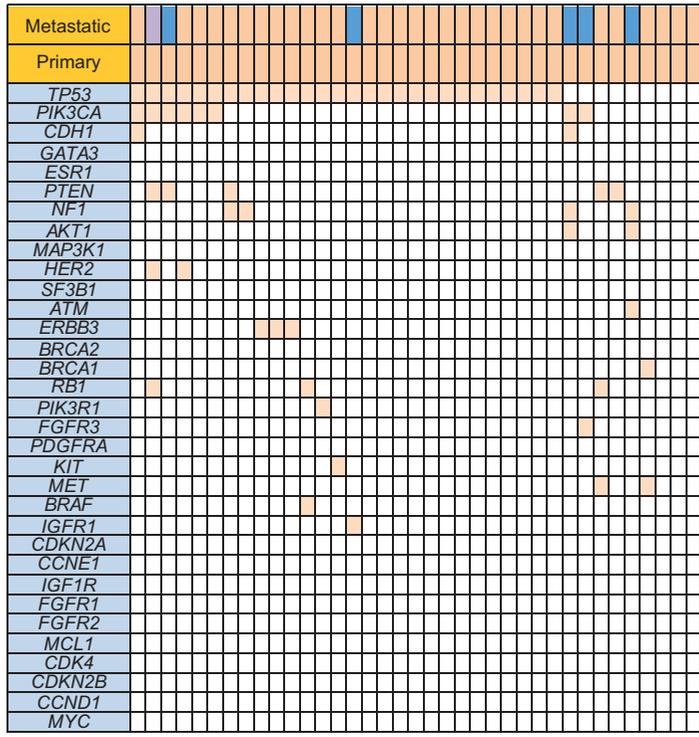


## C

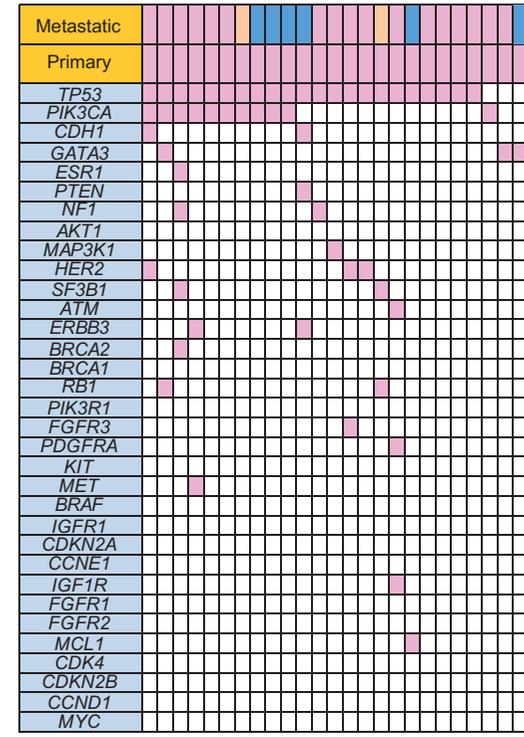


A

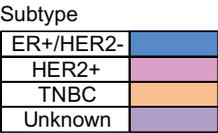
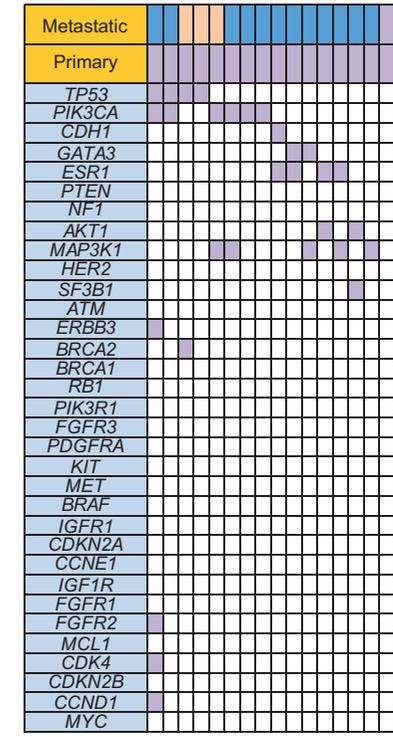
TNBC



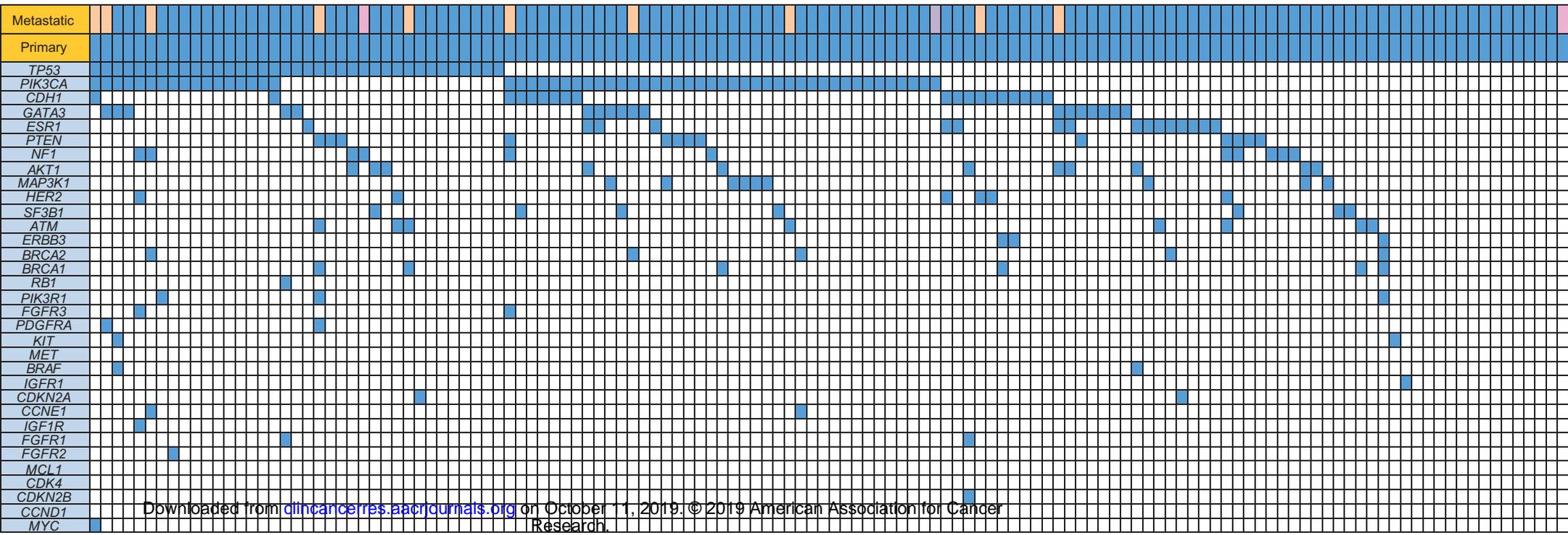
HER2+



Unknown

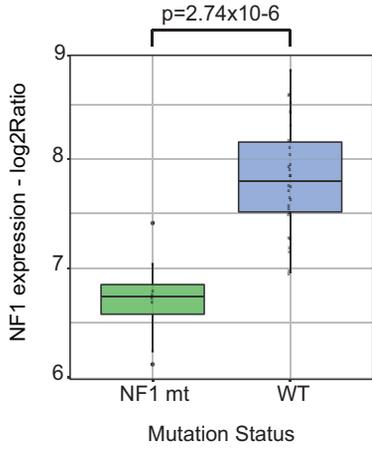


HR+/HER2-

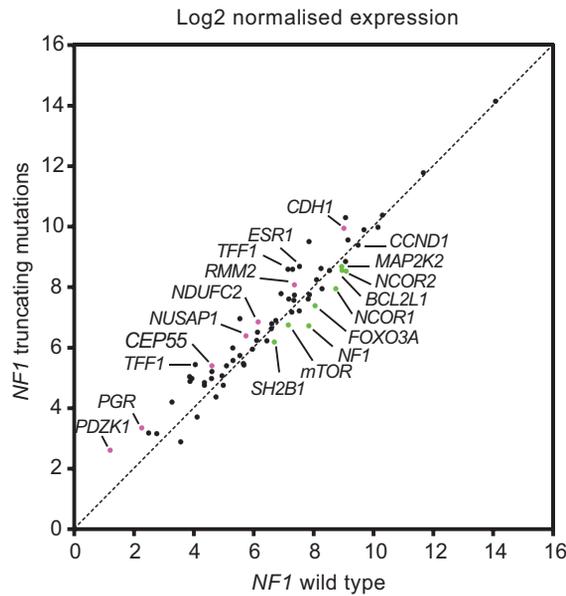




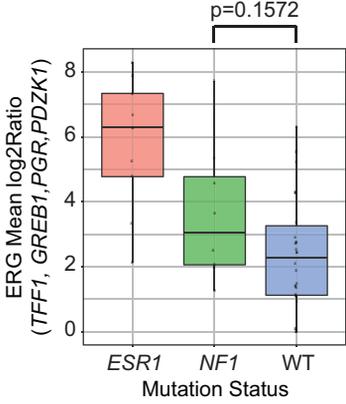
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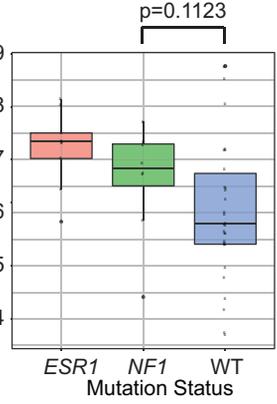
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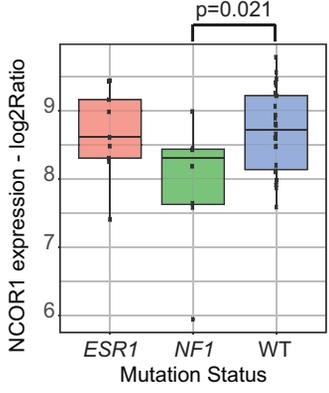
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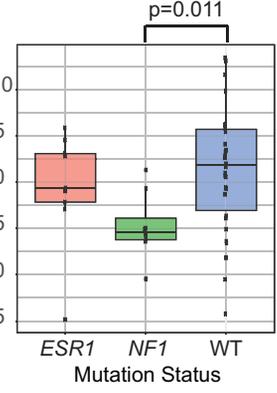
Proliferation Genes Mean log<sub>2</sub> Ratio  
(BIRC5, CCNB1, CDC20, CEP55, MKI67, RRM2)



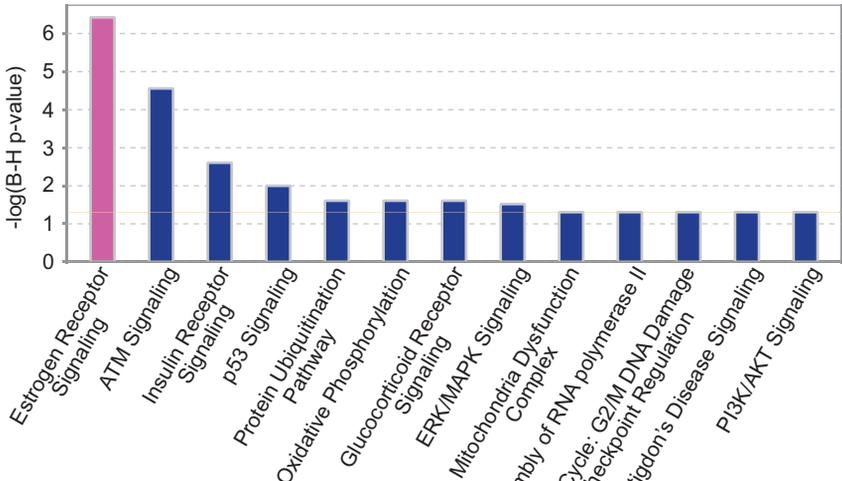
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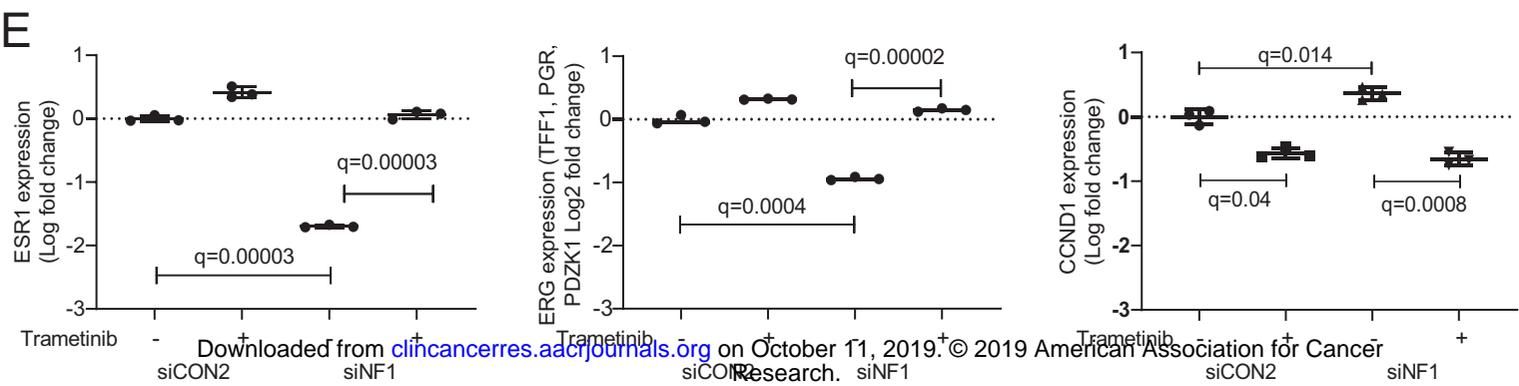
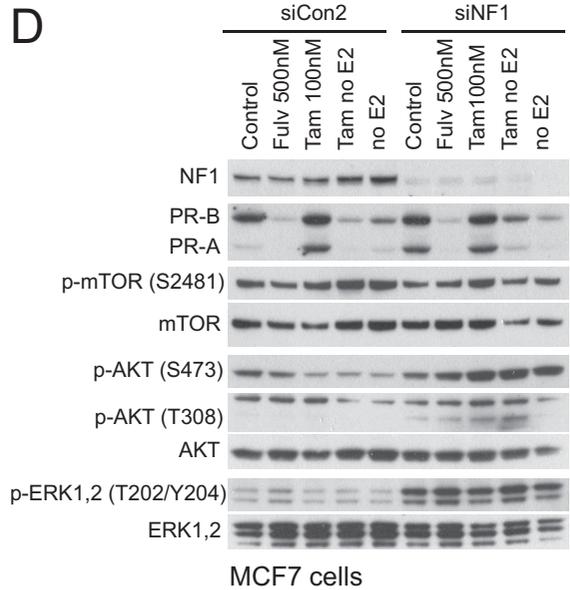
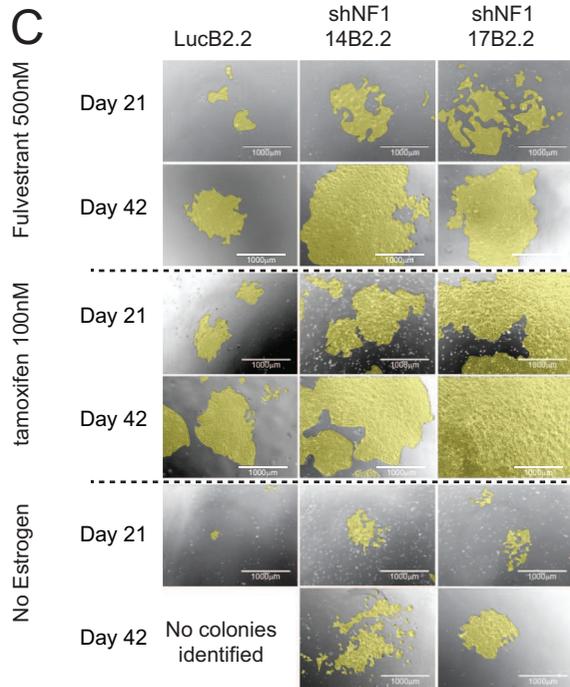
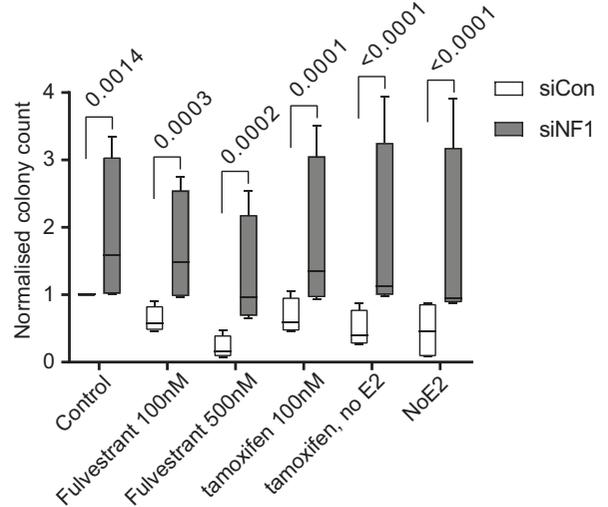
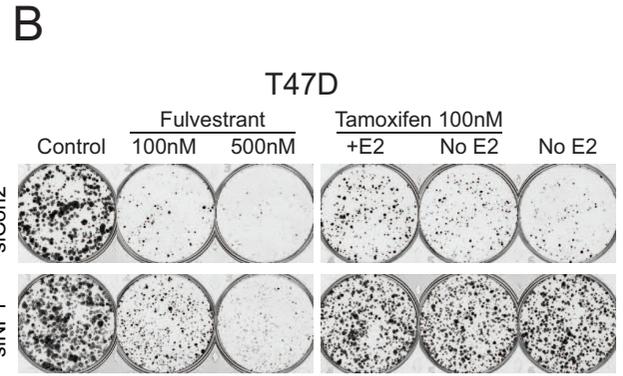
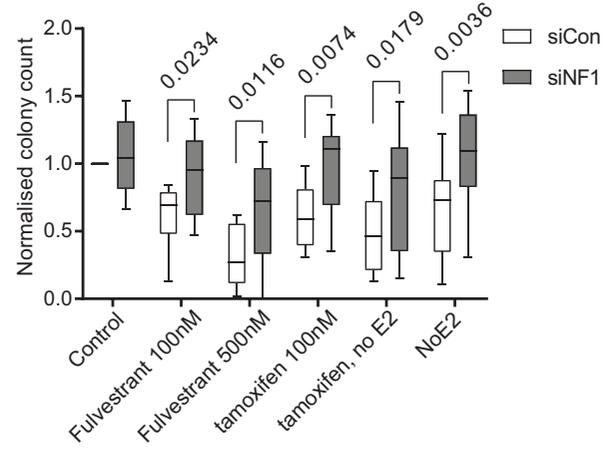
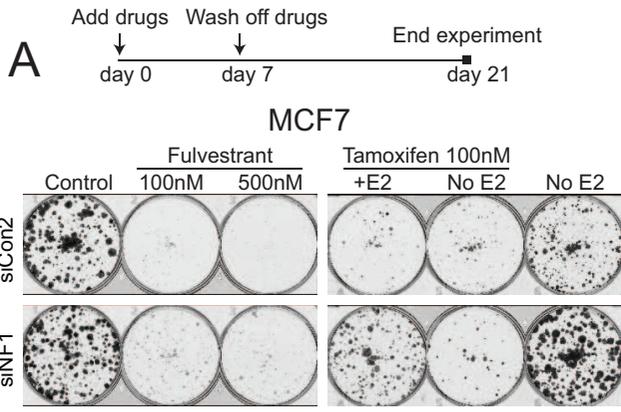


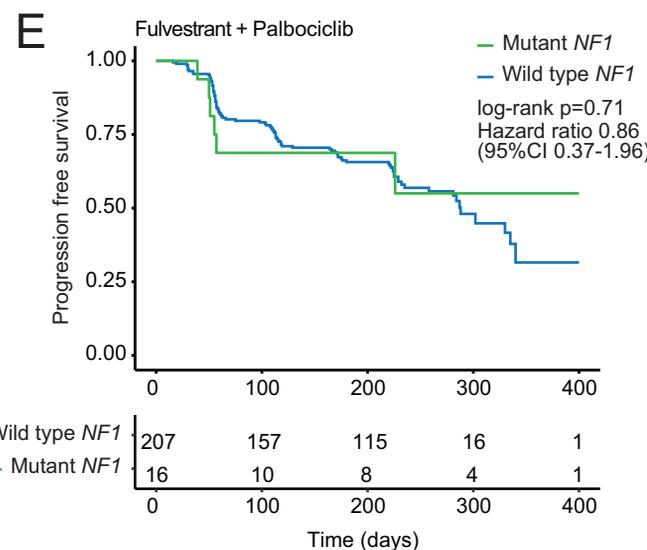
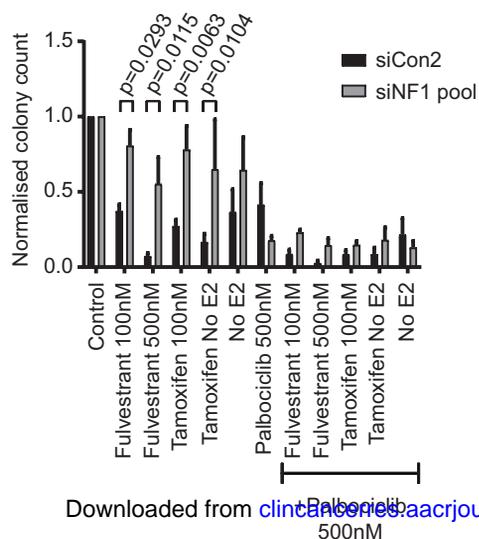
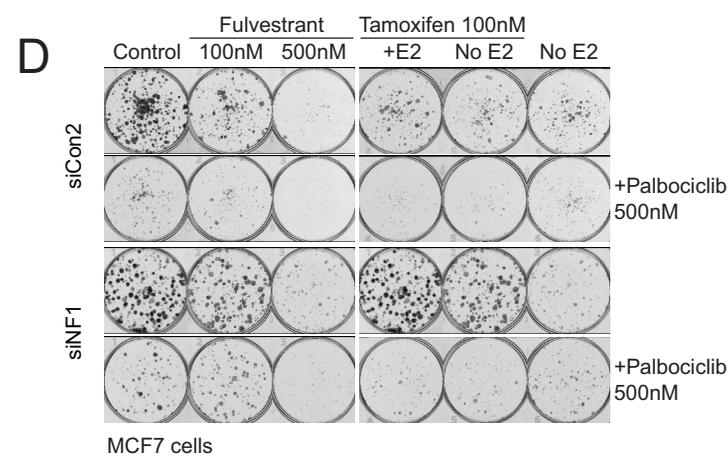
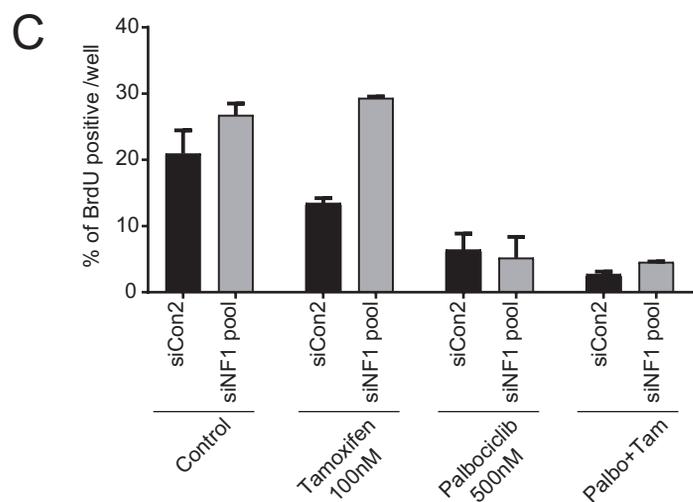
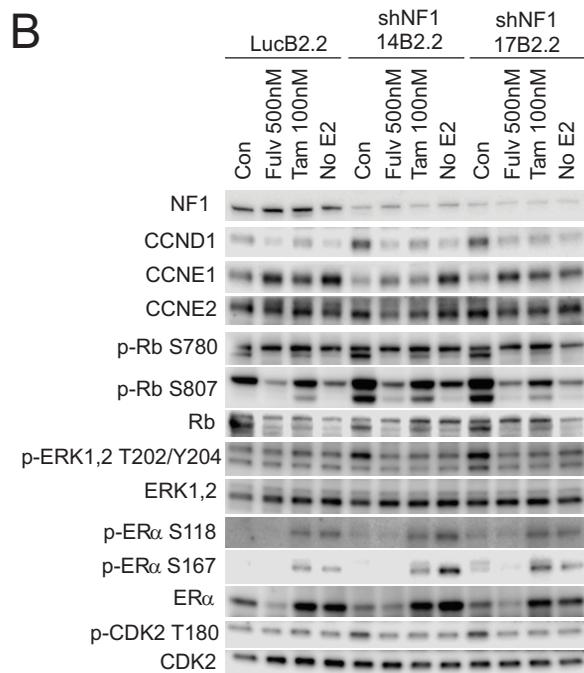
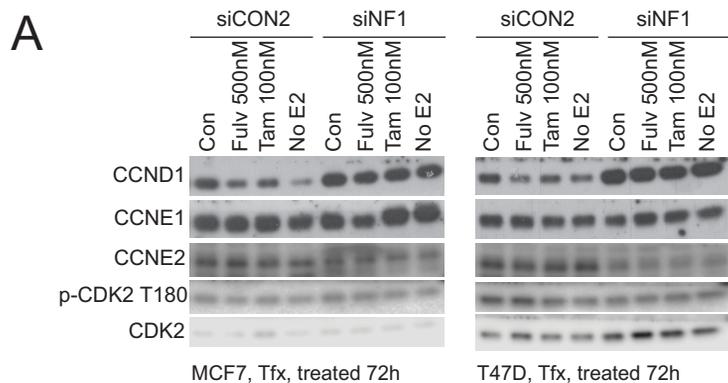
NCOR2 expression - log<sub>2</sub>Ratio



**E**







# Clinical Cancer Research

## Inactivating *NF1* mutations are enriched in advanced breast cancer and contribute to endocrine therapy resistance

Alex Pearson, Paula Z Proszek, Javier Pascual, et al.

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