

25 **Abstract**

26 When the *BRCA1* and *BRCA2* tumour suppressor genes were identified in the early
27 1990s, the immediate implications of mapping, cloning and delineating the sequence
28 of these genes were that individuals in families with a *BRCA* gene mutation could be
29 tested for the presence of a mutation and their risk of developing cancer predicted.
30 Over time though, the discovery of *BRCA1* and *BRCA2* has had a much greater
31 impact than many might have imagined. In this review, we discuss how the discovery
32 of *BRCA1* and *BRCA2* has informed not only an understanding of the molecular
33 processes that drive tumourigenesis, but has also reignited an interest in
34 therapeutically exploiting loss of function alterations in tumour suppressor genes.

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36

37 **1. BRCA1 and BRCA2 – discovery, genetics and biology**

38 Globally, breast cancer is the most common cancer in women. Its familial form
39 constitutes 5-10% of all breast cancers and has a dominant mode of inheritance and
40 is characterised by earlier onset of disease, relative to breast cancer in the general
41 population. Heterozygous germ-line mutations in either the *BRCA1* or *BRCA2* tumour
42 suppressor genes are the most common genetic cause of familial breast cancer, and
43 were identified as breast cancer susceptibility genes in the 1990s through linkage
44 analysis in families with the disease (Futreal, et al. 1994), (King 2014), (Miki, et al.
45 1994), (Wooster, et al. 1995), (Tavtigian, et al. 1996). *BRCA1* and *BRCA2* mutations
46 are found in 25% -28% of familial breast cancers and mutation carriers have a
47 lifetime risk of 40-87% for developing breast cancer by the age of 70. Mutation
48 carriers also have a lifetime risk of 45-60% (*BRCA1* mutation carriers) or 11-35%
49 (*BRCA2* mutation carriers) for developing ovarian cancer (Ford, et al. 1998; King, et
50 al. 2003). Other types of cancers also found in *BRCA1* and *BRCA2* mutation carriers
51 include pancreatic and prostate cancers (Antoniou, et al. 2003; Breast Cancer
52 Linkage 1999; Edwards, et al. 2003; King et al. 2003; Ozcelik, et al. 1997; van
53 Asperen, et al. 2005). Although most familial *BRCA1* or *BRCA2* mutations are
54 inherited as heterozygous mutations, rare, biallelic germ-line mutations do occur in
55 patients with Fanconi anemia (Domchek, et al. 2013; Howlett, et al. 2002; Meyer, et
56 al. 2014; Sawyer, et al. 2015). Furthermore, in addition to germ-line mutations in
57 these genes, somatic *BRCA1* and *BRCA2* mutations are also found in breast,
58 prostate, ovarian and pancreatic cancers, as is somatic hypermethylation of the
59 *BRCA1* gene promoter. An analysis of tumours from individuals with *BRCA1* or
60 *BRCA2* mutations indicates that the wildtype allele is generally lost (Collins, et al.
61 1995; Futreal et al. 1994; Gudmundsson, et al. 1995), suggesting that loss of
62 heterozygosity at the *BRCA1* and *BRCA2* loci appears to be an important event for
63 tumorigenesis.

64

65 Both *BRCA1* and *BRCA2* are very large genes, which display limited sequence
66 homology to each other. *BRCA1* is comprised of 24 exons that translate to a 1863
67 amino acid protein with a RING domain with E3 ubiquitin ligase activity, a coiled-coil
68 domain in the largely unstructured central region important for binding with another
69 tumour suppressor protein, PALB2, and BRCT (BRCA1 carboxy terminal) repeats
70 important for interaction with phosphorylated proteins (Brzovic, et al. 2001; Sy, et al.
71 2009; Wu, et al. 1996; Xia, et al. 2006; Zhang, et al. 2009b). *BRCA2* is comprised of
72 27 exons that translate to a 3418 amino acid protein that includes amino-terminal
73 BRC repeats, which mediate binding of *BRCA2* to PALB2 and the DNA recombinase
74 RAD51, a central DNA binding domain, and nuclear localization and RAD51 control
75 domains at the carboxy-terminus (Sharan, et al. 1997; Wong, et al. 1997; Yang, et al.
76 2002).

77

78 Small insertions/deletions (in-dels) or nonsense mutations leading to truncations are
79 the most common *BRCA* gene mutation type observed in cancer patients. There are
80 at least 1790 distinct mutations, polymorphisms and variants that have been
81 identified in *BRCA1* to date and over 2000 in *BRCA2* according to the Breast Cancer
82 information Core (BIC) and ClinGen. Mutations are distributed across the entire
83 coding sequence for both genes with over 50% of observed mutations being private
84 to particular individuals. In addition to known pathogenic mutations, there are a large
85 number of missense, in-frame deletion and silent mutations known as “variants of
86 unknown significance” which have unclear pathogenic potential, making clinical
87 interpretation of genetic testing difficult in cancer patients harboring these.

88

89 Breast tumours in *BRCA1* mutation carriers tend to have a basal-like transcriptional
90 signature and more often than not exhibit a “triple negative” phenotype, lacking
91 expression of the estrogen and progesterone receptors and lacking amplification of

92 the *ERBB2* (*HER2*) oncogene (Foulkes, et al. 2003). This triple negative phenotype
93 precludes the use of targeted estrogen receptor-based or ERBB2-specific therapies
94 and in general, BRCA1 mutant breast cancers are treated with traditional genotoxic
95 chemotherapy agents. In contrast, breast tumours in *BRCA2* mutation carriers tend
96 to better reflect the hormone receptor and ERBB2 status of breast cancers in the
97 non-BRCA mutant population (Jonsson, et al. 2010; Waddell, et al. 2010).

98

99 **2. BRCA1 and BRCA2 functions in DNA repair and replication fork** 100 **protection**

101 Tumourigenesis occurs in the absence of BRCA1 and BRCA2 as both proteins play
102 important roles in the repair of DNA double-strand breaks (DSBs) (Moynahan and
103 Jasin 2010) and the stability of replication forks. DSBs, the most toxic type of DNA
104 lesions, can be catastrophic for the cell if left unrepaired as they compromise the
105 double helix structure of DNA. The two main methods of DSB repair are the error-
106 prone non-homologous end-joining (NHEJ) pathway and the error-free homologous
107 recombination (HR) pathway. NHEJ, used predominantly in the G₁ phase of the cell
108 cycle, can result in loss of genetic information proximal to the DSB site. In contrast,
109 HR, active during S and G₂ phases, uses homologous sequence from a sister
110 chromatid for error-free repair of DSBs. In HR, after the initial detection of the DSB,
111 the broken DNA ends are enzymatically resected to generate 3' single-stranded DNA
112 (ssDNA). The ssDNA is coated by the Replication Protein A (RPA) complex, which is
113 then replaced by the RAD51 recombinase. The binding of multiple RAD51 molecules
114 onto ssDNA enables strand invasion, where ssDNA from the damaged DNA site
115 invades the double helix of intact DNA, a process that facilitates the identification of a
116 homologous DNA sequence that is used as a template for DNA repair across the
117 break site. Efficient resolution of the resulting intermediates completes the process
118 with the genetic integrity of broken DNA restored.

119

120 BRCA1 and BRCA2 play key roles in HR. BRCA1 is required for CtIP-mediated
121 resection of DSBs to generate single-stranded DNA (ssDNA) which is coated by the
122 RPA complex (Chen, et al. 2008; Yu and Baer 2000). BRCA1-mediated resection is
123 a key step in committing to repair by HR as opposed to the error-prone NHEJ
124 pathway (Kass and Jasin 2010). CDK-phosphorylated CtIP protein binds BRCA1
125 BRCT repeats, is localised to the DSB and mediates resection through the MRN
126 (MRE11-RAD50-NBS1) complex (Chen et al. 2008; Sartori, et al. 2007; Wong, et al.
127 1998; Yu, et al. 1998). In addition to CtIP localization to DSBs, BRCA1 also
128 counteracts 53BP1 function, and in doing so impairs NHEJ (Bouwman, et al. 2010;
129 Bunting, et al. 2010). Additionally, both BRCA1 and BRCA2, bridged by PALB2, are
130 required for the recruitment of the DNA recombinase RAD51 to damaged DNA,
131 where it forms a nucleoprotein complex (or “filament”) with ssDNA that mediates
132 strand invasion (Sy et al. 2009; Tischkowitz and Xia 2010; Xia et al. 2006; Zhang, et
133 al. 2009a; Zhang et al. 2009b). BRCA2 is not only required for localization of RAD51
134 to RPA-coated DNA but also for stabilizing the RAD51 nucleofilament that is formed
135 by blocking RAD51-mediated ATP hydrolysis.

136

137 When the progression of replication forks is halted (replication fork stalling), which
138 can be caused by a variety of factors including damaged DNA lesions being
139 encountered by the replication fork or the relative absence of the requisite
140 nucleotides, preventing the disintegration or collapse of the fork structure is key to
141 the continued fitness of cells. One of the molecular events that challenges fork
142 stability in this setting is the activity of the nuclease MRE11, which if not tightly
143 controlled, degrades newly synthesised (nascent) DNA at the replication fork,
144 potentially forcing fork collapse. In addition to their roles in DSB repair, BRCA1 and
145 BRCA2 prevent the degradation of nascent DNA at stalled replication forks
146 (Pathania, et al. 2014; Schlacher, et al. 2011; Schlacher, et al. 2012). For example,

147 Schlacher and colleagues found using single-molecule DNA fibre analysis that once
148 replication forks are stalled with hydroxyurea (HU), tracts of nascent DNA produced
149 prior to fork stalling are degraded in the absence of BRCA2 by MRE11. This
150 protection of nascent DNA at replication forks appears to be mediated by a
151 conserved C-terminal region in BRCA2 that stabilises RAD51 nucleoprotein filaments
152 but is not required for RAD51 loading or homologous recombination *per se*
153 (Schlacher et al. 2011). Using Brca1-deficient embryonic stem (ES) cells, Schlacher
154 and colleagues later found that Brca1 also prevents fork degradation by MRE11
155 (Schlacher et al. 2012).

156

157 The loss of DNA repair and fork stability functions of BRCA1 and BRCA2 are likely
158 causes of the genomic instability seen in *BRCA1* or *BRCA2* mutant tumours. Cells
159 deficient in either protein have been shown to have reduced efficiency of HR
160 (Moynahan, et al. 2001a; Moynahan, et al. 2001b). BRCA1/2-deficient cells also
161 exhibit spontaneous and DNA-damage induced genetic instability, which
162 subsequently contributes to tumourigenesis. Additionally, BRCA1/2-deficient cells are
163 sensitive to DNA damaging agents, especially those that form crosslinks on DNA
164 such as cisplatin (Narod 2010). This particular phenotype has been exploited in the
165 clinic to treat BRCA-deficient tumours. In fact, cisplatin and its derivative, carboplatin,
166 have been shown to be particularly effective in treatment of *BRCA1* and *BRCA2*-
167 associated cancers, particularly in ovarian cancers (Boyd, et al. 2000; Cass, et al.
168 2003; Chetrit, et al. 2008; Tan, et al. 2008; Vencken, et al. 2011). However,
169 chemoresistance to platinum compounds is a very significant clinical problem and
170 has a negative impact on patient survival. Therefore, identification of additional drugs
171 that can effectively treat HR-deficient cancers is essential.

172

173

174 **3. Therapeutic exploitation of *BRCA* gene defects with PARP inhibitors**

175

176 The intricate dissection of *BRCA1* and *BRCA2* function, and in particular the
177 discovery that these tumour suppressor proteins were required for effective HR,
178 made a significant impact upon the discovery and mechanistic understanding of
179 therapeutic approaches that target *BRCA1* or *BRCA2* (*BRCA1/2*) gene mutant
180 cancers. To date, the majority of agents proposed to selectively inhibit *BRCA1/2*
181 mutant tumour cells likely do so by causing the stalling and collapse of DNA
182 replication forks. Specifically, these agents cause replication fork damage that
183 requires HR for repair (Figure 2). In the absence of *BRCA1* or *BRCA2* gene function,
184 and therefore functional HR, tumour cells most likely attempt to repair replication
185 forks via non-HR forms of DNA repair; these alternative repair strategies cause large
186 scale chromosomal abnormalities which ultimately impair the fitness of cells and
187 induce cell death. Early evidence of this phenomenon was suggested by work
188 illustrating the sensitivity of *BRCA* gene defective cells to platinum salts (Bartz, et al.
189 2006; Evers, et al. 2008; Fedier, et al. 2003) or topoisomerase inhibitors such as
190 camptothecin (Rahden-Staron, et al. 2003). Platinum salts most likely stall replication
191 forks by causing intra- and interstrand crosslinks in DNA through covalent interaction
192 with nucleophilic N-7 sites on purine residues (Sikov 2015); these “lesions” within the
193 DNA structure prevent normal unwinding of the DNA double helix prior to replication
194 (Figure 2, (Sikov 2015)). DNA topoisomerase enzymes bind DNA and unwind its
195 helical structure (Champoux 2001), a prerequisite for multiple processes such as
196 DNA replication, transcription, recombination and chromatin remodeling (Champoux
197 2001); topoisomerase inhibitors (also known as topo-poisons) such as camptothecin,
198 fix or “trap” topoisomase on DNA (Lord and Ashworth 2012; O'Connell, et al. 2010).
199 Presumably, this trapped form of topoisomerase provides a bulky structure which
200 prevents the progression of the replication fork (Figure 2, (O'Connell et al. 2010)).

201

202 In *in vitro* tissue culture models at least, platinum salts and topoisomerase inhibitors
203 selectively target *BRCA1/2* gene mutant tumour cells, compared to cells with “wild
204 type” function, but still have relatively profound inhibitory effects on wild type cells
205 (Evers et al. 2008). Conversely, work from two teams in 2005, suggested that small
206 molecule inhibitors of the DNA repair enzyme, poly (ADP-ribose) polymerase (PARP)
207 caused profound cell inhibitory effects in *BRCA1* (Farmer, et al. 2005) or *BRCA2*
208 mutant (Bryant, et al. 2005; Farmer et al. 2005) tumour cells but had minimal effects
209 in wild type cells with functional HR. PARP1, is an enzyme that uses β -NAD⁺ as a
210 co-factor to synthesise poly (ADP-ribose) chains (PAR) on target proteins and has a
211 known role in the repair of single strand DNA breaks (breaks in one strand of the
212 DNA double helix) (Hottiger, et al. 2010). At the time, it was thought that the inhibition
213 of PARP activity might cause an accumulation of DNA damage that requires HR for
214 its repair (Bryant et al. 2005; Farmer et al. 2005). Subsequently, this hypothesis has
215 been refined by data suggesting that the key cytotoxic DNA lesion in PARP inhibitor
216 exposed tumour cells is PARP “trapped” on DNA (Figure 2, (Murai, et al. 2012;
217 Murai, et al. 2014)), a mechanism reminiscent of that used to explain the BRCA
218 selectivity of topoisomerase inhibitors. PARP binds damaged DNA and then initiates
219 a series of PARylation events; one of these events is autoPARylation (PARylation of
220 PARP itself), which causes the release of PARP once its role in the initial phase of
221 DNA repair is complete (Murai et al. 2012). It seems possible that some catalytic
222 inhibitors of PARP impair autoPARylation, thus trapping PARP on the double helix
223 where it is able to stall and collapse replication forks (Figure 2).

224

225 A number of years after the pre-clinical observation of PARP inhibitor/*BRCA* gene
226 synthetic lethality, clinical trials, including those which studied breast cancer patients,
227 confirmed the potential of PARP inhibitors as treatments for *BRCA* gene mutant
228 cancers. Although these trials have recently been reviewed in detail elsewhere
229 (Balmana, et al. 2011; Livraghi and Garber 2015; Lord, et al. 2015) the key trials can

230 be summarised as follows:

231

232 **3.1 Early phase 1 trials show sustained anti-tumour responses in germ-line**

233 **BRCA mutant cancers.** Although the first-in-human PARP inhibitor clinical trial

234 assessed the safety of rucaparib (Pfizer), olaparib (aka AZD2281, Lynparza,

235 KuDOS/AZ) was the first PARP inhibitor to be formally assessed in *BRCA1/2* gene

236 mutant patients. In a Phase 1 clinical trial of olaparib (Fong, et al. 2009) 60 patients

237 were treated with 400 mg twice daily olaparib, 19 of whom had germ-line mutations

238 in either *BRCA1* or *BRCA2*; in this subset of *BRCA1/2* gene mutant patents, 63%

239 exhibited a clinical benefit from olaparib treatment, as defined by radiological and/or

240 tumour marker responses or disease stabilization for a period greater than 4 months

241 (Fong et al. 2009). Even though dose limiting myelosuppression and central nervous

242 system side effects were seen in some patients, many of the sustained anti-tumour

243 responses were not associated with the deleterious side effect profile normally

244 associated with classical chemotherapy (Fong et al. 2009). On the basis of these

245 promising results, the same phase I trial was subsequently expanded to include a

246 total of 50 germline *BRCA1/2* mutant carriers with ovarian, primary peritoneal or

247 fallopian tube carcinoma; here an overall response rate (ORR) of 40% and a disease

248 control rate (DCR) of 46% was observed (Fong, et al. 2010). In a retrospective

249 analysis of this study, a significant correlation between a good response to prior

250 platinum salt treatment and subsequent therapeutic response to olaparib was seen

251 (Fong et al. 2010). One explanation for this correlation is that both platinum salts and

252 PARP inhibitors both stall replication forks and require HR for the repair of the

253 subsequent DNA lesions caused (Figure 2).

254

255 **3.2 Key phase 2 clinical trials in germ-line or somatic BRCA mutated cancers.**

256 The promising results from this Phase I clinical study prompted two Phase 2 clinical

257 trials investigating single-agent olaparib in patients with *BRCA* gene mutant
258 chemotherapy-resistant breast (Tutt, et al. 2010) or ovarian cancers (Audeh, et al.
259 2010). These trials, which used either a 400 mg or 100 mg twice daily treatment
260 regimen, established an ORR of 33% in ovarian cancer patients in the 400 mg twice
261 daily treatment schedule and 13% of the 100 mg twice daily group, with a median
262 progression-free survival (PFS) of 5.8 months and 1.9 months respectively (Audeh et
263 al. 2010). Similar response rates were observed in the breast cancer cohort, where a
264 response rate (RR) of 22% was seen in the 100 mg twice daily cohort (PFS 3.8
265 months), whereas a RR of 41% was observed in the cohort receiving a higher dose
266 of olaparib (PFS 5.7 months) suggesting that the higher dose was essential in order
267 to achieve a maximal clinical response.

268

269 As discussed later, many sporadic ovarian serous and non-familial triple negative
270 breast cancers display many of the molecular and histopathological features found in
271 germ-line *BRCA1/2* gene mutant tumours, which are often driven by somatic
272 mutations in *BRCA1*, *BRCA2* and other HR-modifying genes, a concept termed
273 'BRCAness' (Turner, et al. 2004). On this basis, olaparib was also assessed as a
274 monotherapy in sporadic cancers thought to display the BRCAness phenotype,
275 namely high-grade serous ovarian cancer (HGSOvCa) and triple negative breast
276 cancers (described earlier). In patients with HGSOvCa, both *BRCA1/2* gene mutant
277 and non-mutant patients demonstrated a number of sustained therapeutic responses
278 to olaparib, a number of which were also associated with prior platinum sensitivity
279 (Gelmon, et al. 2011). In triple negative breast cancer patients, those with *BRCA1/2*
280 gene mutations exhibited a higher frequency of disease stabilization in response to
281 olaparib treatment than those without *BRCA1/2* gene mutations (63% versus 13%),
282 but unlike in the ovarian cancer cohort, no sustained responses were achieved in
283 either the *BRCA1/2*-mutant or non-mutant patients (Gelmon et al. 2011). Alongside
284 these studies, olaparib was assessed as a maintenance therapy (i.e. a therapy used

285 to reduce disease recurrence after chemotherapy) in patients with HGSOvCa who
286 had previously received carboplatin, a platinum salt chemotherapy ((Ledermann, et
287 al. 2012) NCT00753545, Study 19). In this study, 136 patients received olaparib after
288 chemotherapy, with 129 receiving a placebo instead. An early analysis of this trial
289 suggested that when used as a maintenance monotherapy, olaparib significantly
290 improved PFS, and time to first and second subsequent therapy or death compared
291 to the use of a placebo in the maintenance setting, with *BRCA1/2* mutant patients (be
292 it germ-line or somatic) in the trial deriving the greatest benefit from olaparib.
293 However, an effect on OS in either *BRCA1/2* gene mutant or non- *BRCA1/2* gene
294 mutant patients was not seen (Ledermann, et al. 2014). Nevertheless, the
295 improvements in PFS were sufficient to warrant an approval by the FDA and EMA for
296 olaparib as a maintenance monotherapy in HGSOv cancer characterized by
297 *BRCA1/2* gene mutation, making this PARP inhibitor not only the first synthetic lethal
298 treatment for cancer to be approved but also the first treatment for an inherited
299 cancer (Kim, et al. 2015). A retrospective analysis of data from study 19, conducted
300 after 77% of the patients had died, has now shown an overall survival benefit from
301 olaparib maintenance monotherapy; in the *BRCA* mutant patients, this OS benefit
302 was most pronounced (median OS 34.9 months for olaparib vs. 30.2 months for
303 placebo, Hazard Ratio (HR) of 0.62), but was also seen in the entire dataset, which
304 included both *BRCA1/2* mutant and non-*BRCA1/2* mutant patients (OS 29.8 months
305 (olaparib) vs. 27.8 (placebo), HR 0.73) (Ledermann JA 2016).

306

307 Additional clinical trials observed responses to olaparib in patients with *BRCA1/2*
308 mutations in a spectrum of other *BRCA1/BRCA2*-associated cancers including
309 pancreatic and prostate cancers (Kaufman, et al. 2015). Notable amongst these
310 studies has been the TO-PARP phase II clinical trial assessing the efficacy of
311 olaparib in men with metastatic, castration-resistant prostate cancer (Mateo, et al.
312 2015). Out of 49 patients whom all had prior treatment (docetaxel, the androgen

313 synthesis inhibitor abiraterone or the androgen receptor inhibitor enzalutamide) and
314 received oral olaparib at 400mg twice daily, 14 showed a response to olaparib; seven
315 of these patients harboured *BRCA2* defects and four exhibited tumour specific *ATM*
316 defects, raising the possibility that other genes involved in HR, such as *ATM*, might
317 also be good predictive biomarkers of olaparib response (Mateo et al. 2015). On the
318 basis of this study, olaparib has now been given breakthrough status in prostate
319 cancer, and an expansion of the TO-PARP trial to a larger number of patients with
320 HR gene defects is now underway.

321 Although, not all PARP inhibitor trials have delivered such positive results (Lord et al.
322 2015), the clinical responses in the phase 2 trials described above, alongside the
323 favourable side-effect profile of PARP inhibitors such as olaparib, talazoparib,
324 rucaparib, niraparib and veliparib, has provided the impetus for initiating a series of
325 phase III trials, including those in breast cancers. It is expected that within a few
326 years, the data from these trials will provide some of the definitive information that
327 could support or refute the case for using PARP inhibitors in cancers other than
328 HGSOv cancer.

329

330 **4. Additional *BRCA* directed therapy**

331 As described above, in addition to PARP inhibitors, a number of conventional
332 chemotherapies routinely used in the management of cancer might also provide an
333 approach to targeting *BRCA1/2* mutant tumours. These include platinum agents,
334 topoisomerase I inhibitors (topotecan and camptothecin), topoisomerase II inhibitors
335 (doxorubicin and etoposide) described above but also nucleoside analogous such as
336 gemcitabine which prevents DNA synthesis when incorporated into DNA by
337 preventing chain elongation during DNA replication (Gandhi, et al. 1996; Lord and
338 Ashworth 2016). The common mechanism of action of these agents is that they can

339 stall the normal progression of replication forks and likely require *BRCA* and HR
340 function for the repair of the DNA lesions they cause. These agents have been
341 assessed both pre-clinically (Bartz et al. 2006; Fedier et al. 2003; Rahden-Staron et
342 al. 2003) and clinically and have shown selectivity in *BRCA1/2* defective
343 backgrounds (Kilburn and Group 2008; Silver, et al. 2010).

344

345 One of characteristics of *BRCA1/2* mutant tumours is an elevated mutational load,
346 compared to non- *BRCA1/2* mutant tumours, a likely effect of defective HR. Clinical
347 responses to immune checkpoint inhibitors such as anti-PD-1 and anti-PD-L1
348 antibodies have previously been associated with hypermutated cancers, including
349 lung carcinomas and melanomas; it seems possible that similar approaches could be
350 used to target *BRCA* mutant tumours. To investigate this, Strickland and colleagues
351 recently predicted neoantigen load in *BRCA1/2*-mutated HGSOv tumours and found
352 that this was elevated compared to tumours without HR gene defects, as were the
353 presence of CD3+ and CD8+ tumour infiltrating lymphocytes and PD-1 and PD-L1
354 expression in tumour-associated immune cells (Strickland, et al. 2016). Such an
355 analysis therefore supports the clinical assessment of combinations of PARP
356 inhibitors with PD-L1 inhibitors in breast and ovarian cancers (eg NCT02484404 -
357 (Lee J 2016)), as does data from a pre-clinical study illustrating the efficacy of an
358 anti-CTLA4 antibody in combination with the PARP inhibitor veliparib in a mouse
359 model carrying a *Brca1* mutant tumour (Higuchi, et al. 2015).

360

361 **5. Drug resistance in a *BRCA* mutant setting**

362 Though PARP inhibitors have shown to be useful for the treatment of *BRCA1/2*-
363 associated cancers, PARP inhibitor resistance is likely to be a major obstacle to the
364 overall effectiveness of treatment (Fong et al. 2009; Tutt et al. 2010). PARP inhibitor
365 resistance, in *BRCA1* or *BRCA2* mutant cancers, can occur due to reversal of

366 synthetic lethality by several mechanisms including restoration of DSB repair by HR,
367 loss of PARP1 expression, loss of 53BP1 expression and upregulation of PARP
368 inhibitor efflux from cells (**Figure 3**).

369

370 **5.1 Restoration of BRCA1/2 function as a mechanism of PARP inhibitor** 371 **resistance**

372 We and others hypothesized that restoration of HR may be able to reverse
373 chemosensitivity to DNA damaging drugs in BRCA1/2-deficient cells based on the
374 observation that spontaneously occurring secondary genetic alterations could
375 compensate for the initial disease causing mutations in some patients with Fanconi
376 anemia (FA), including reversal of DNA damaging agent sensitivity in patient cells
377 (Hirschhorn 2003; Ikeda, et al. 2003; Wiegant, et al. 2006). We hypothesized that
378 acquired secondary intragenic *BRCA1* or *BRCA2* mutations may reverse the effect of
379 the initial disease-causing *BRCA1/2* mutations in tumours and result in resistance to
380 PARP inhibitors and DNA crosslinking drugs such as cisplatin and carboplatin
381 (Edwards, et al. 2008; Sakai, et al. 2009; Sakai, et al. 2008; Swisher, et al. 2008).

382

383 Evidence for secondary *BRCA1/2* mutations as a method of reversing PARP
384 inhibitor-related synthetic lethality was first demonstrated in several *in vitro* and *in*
385 *vivo* drug-selected *BRCA2* mutated cell lines (Edwards et al. 2008; Sakai et al. 2009;
386 Sakai et al. 2008). PARP inhibitor- or cisplatin-selected clones of the pancreatic
387 cancer cell line CAPAN-1 (*BRCA2.6147delT*) and ovarian cancer cell line PEO1
388 (*BRCA2.5193C>G*) acquired secondary *BRCA2* mutations that restore the open
389 reading frame and express functional BRCA2 protein (Edwards et al. 2008; Sakai et
390 al. 2009; Sakai et al. 2008). PARP inhibitor-resistant clones had internal insertions or
391 deletions in the *BRCA2* gene that eliminated the truncating effect of the parental
392 c.6147delT mutation in CAPAN-1 cells and changed the nonsense mutation in PEO1

393 cells to a missense mutation. PEO4 ovarian cancer cells derived from the same
394 patient as PEO1 cells, after the onset of clinical resistance, were resistant to both
395 PARP inhibitor and cisplatin as a result of a secondary *BRCA2* mutation that
396 converts the parental nonsense mutation, p.Y1655X, to a silent mutation p.Y1655Y.
397 The same silent mutation was also found in the drug resistant tumour sample from
398 the same patient.

399

400 The restored *BRCA2* proteins in CAPAN-1, PEO1 and PEO4 cells are functional as
401 evidenced by the restoration of ionizing radiation induced RAD51 foci formation,
402 reduced DNA damage-induced chromosomal aberrations and cross-resistance to
403 cisplatin. Non-*BRCA2*-restored clones of CAPAN-1 or PEO1 had neither secondary
404 *BRCA2* mutations nor restoration of damage induced RAD51 foci formation.
405 Importantly, depletion of *BRCA2* by siRNA reversed the drug resistance in *BRCA2*-
406 restored clones and ectopic expression of the mutant *BRCA2* proteins found in
407 resistant clones led to drug resistance in *BRCA2*-deficient backgrounds.

408

409 Although the majority of pre-clinical studies identified mutations in *BRCA1*, and not
410 *BRCA1*, that were associated with therapy resistance, several clinical studies have
411 demonstrated that this is an effect that likely operates for both of the tumour
412 suppressor genes. Norquist et al evaluated PARP inhibitor response in
413 cisplatin/carboplatin-resistant ovarian tumours from patients with *BRCA1* or *BRCA2*
414 mutations (Norquist, et al. 2011). Of the three non-*BRCA1/2*-restored tumours, two
415 showed complete response to PARP inhibitor and one showed a partial response. As
416 expected, two of the three *BRCA1/2*-restored tumours with secondary *BRCA1/2*
417 mutations did not show response as the disease progressed presumably due to
418 restored HR, while the third showed partial response (Norquist et al. 2011).

419

420 In another study, Barber et al found evidence of secondary *BRCA2* mutations in two
421 PARP inhibitor-resistant tumours that were not present in matched treatment naïve
422 tumour samples from the same patients (Barber, et al. 2013). A breast tumour from a
423 male carrying the *BRCA2c.9106C>T* nonsense mutation, had acquired a secondary
424 mutation that changed the nonsense (p.Q2960X) to a missense (p.Q2960E)
425 mutation. The second observation was a high grade serous ovarian carcinoma from
426 a patient carrying the *BRCA2c.4705_4708delGAAA* mutation who was previously
427 treated for breast cancer. In this case, the *BRCA2* open reading frame was restored
428 as a result of a larger deletion, *BRCA2c.4697_4709delAAATACTGAAAG*, which
429 encompassed the germline *BRCA2* deletion mutation. Though not formally tested,
430 both secondary *BRCA2* mutations likely restore at least partially functional *BRCA2*
431 protein that cancels PARP inhibitor-associated synthetic lethality (Barber et al. 2013).

432

433 In an Australian study, whole genome sequencing and analysis of high grade serous
434 ovarian carcinomas revealed five individuals with platinum resistant disease who had
435 secondary *BRCA1/2* mutations out of a total of ten patients analysed who had
436 germline *BRCA1/2* mutations (Patch, et al. 2015). One of the two patients, whose
437 tumour was also cross-resistant to PARP inhibitor, had at least 12 distinct secondary
438 deletion mutations in *BRCA2* identified from multiple metastatic sites. The second
439 patient had two distinct secondary *BRCA1* mutations that changed the germline
440 nonsense mutation to missense mutations in platinum-resistant cells.

441

442 A recent study by Jonkers and colleagues has also provided strong evidence for
443 *BRCA1*-restoration as an important mechanism for PARP inhibitor and cisplatin
444 resistance in *BRCA1*-deficient, triple negative breast cancer (Ter Brugge, et al.
445 2016). The analysis of patient derived xenograft (PDX) models of triple negative
446 breast cancer included those derived from *BRCA1*-deficient tumours with *BRCA1*
447 promoter hypermethylation and a frameshift mutation leading to a premature stop

448 (*BRCA1.c2210delC*). In line with previous observations in *BRCA1*-mutated tumours,
449 *BRCA1 c2210delC* therapy resistant tumours had intragenic deletions that restore
450 the *BRCA1* open reading frame to restore *BRCA1* expression and IR-induced foci
451 formation of RAD51. Interestingly, demethylation of the *BRCA1* promoter was shown
452 to be the major mechanism of resistance in therapy resistant tumours derived from
453 *BRCA1* promoter hypermethylated tumours. *BRCA1* gene fusions with other
454 chromosome 17 genes also allowed the bypass of *BRCA1* promoter
455 hypermethylation to allow *BRCA1* expression in a few drug-resistant tumours.
456 Analysis of posttreatment tumours from individuals with *BRCA1* promoter
457 hypermethylation in pretreatment samples showed a significant decrease in *BRCA1*
458 promoter methylation which correlated with a similar increase in *BRCA1* mRNA.
459 Taken together, data from these studies provide strong evidence of *BRCA1*
460 restoration by multiple mechanisms, including *BRCA1* promoter demethylation, as an
461 important driver of PARP inhibitor and cisplatin resistance in *BRCA1*-deficient breast
462 cancer.

463

464 Though clinical examples of secondary *BRCA1/2* mutations in PARP inhibitor-
465 resistant breast and other cancers remain few, more extensive data are available for
466 secondary *BRCA1/2* mutations in platinum-resistant cancers (Dhillon, et al. 2011;
467 Norquist et al. 2011; Swisher et al. 2008). Data from cell line models and the limited
468 clinical samples suggest that *BRCA1/2*-restored, platinum-resistant tumours have a
469 high likelihood of being cross-resistant to PARP inhibitor. Additionally, secondary
470 *BRCA1/2* mutations resulting in PARP inhibitor and cisplatin resistance are likely
471 driven by the convergence of at least three different factors: increased mutation rate
472 due to exposure to genotoxic agents, the lack of error-free DNA repair and a
473 selective advantage for *BRCA1/2*-restored cells when patients are treated with PARP
474 inhibitors or platinum salts.

475

476 Several examples of BRCA1/2 restoration by means other than secondary mutation
477 also exist. For example, Johnson *et al* showed that stabilization of a normally
478 undetectable mutant BRCA1 protein can lead to PARP inhibitor resistance in
479 rucaparib selected clones derived from the MDA-MB-436 breast cancer cell line,
480 harboring a *BRCA1.5396+1C>A* splice donor site mutation (Johnson, et al. 2013).
481 Expression of an HSP90-stabilized, carboxy-terminus truncated BRCA1 protein
482 results in restoration of damage induced RAD51 foci formation and decreased PARP
483 inhibitor induced chromosomal aberrations. Reduced 53BP1 expression was also
484 observed in rucaparib resistant cells which may allow increased BRCA1-independent
485 CtIP-mediated resection, though 53BP1 loss alone was not sufficient to render cells
486 resistant to the PARP inhibitor. The combination of mutant protein stabilization and
487 reduced 53BP1 expression was also observed in a clinical cisplatin-resistant ovarian
488 cancer sample though response to PARP inhibitor is unknown.

489

490 In another study, Wang *et al* demonstrated that breast cancer cell lines with
491 mutations in the central large exon 11 of *BRCA1* express the $\Delta 11q$ splice variant
492 show partial PARP inhibitor resistance, and strong ionizing radiation-induced BRCA1
493 and RAD51 foci formation (Wang, et al. 2016a). Depletion of the $\Delta 11q$ splice variant
494 reduced foci formation and sensitized cells to PARP inhibitor and cisplatin. Moreover,
495 five year overall survival in individuals with the exon 11 mutations was similar to
496 those with wildtype *BRCA1* and worse than those carrying mutations outside of exon
497 11.

498

499 Several groups have provided evidence for hypomorphic activity of two additional
500 *BRCA1* mutations that contribute to PARP inhibitor and cisplatin resistance (Drost, et
501 al. 2011; Drost, et al. 2016; Powell 2016; Wang, et al. 2016b). The *BRCA1.C61G*
502 mutation in the RING domain abolishes BRCA1 ubiquitin ligase activity, while still

503 promoting tumourigenesis. Importantly, mouse *Brca1.C61G* cells are not sensitive to
504 PARP inhibition suggesting the mutant protein retains at least partial function. Drost
505 et al also showed recently that a BRCA1 variant missing the RING domain (“RING-
506 less” BRCA1) can be detected in cells from a mouse model carrying the
507 *Brca1.185STOP* mutation and in the human breast cancer cell line SUM1315MO2
508 with the *BRCA1.185delAG* mutation (Drost et al. 2016). Importantly, expression of
509 “RING-less” BRCA1 renders cells partially resistant to PARP inhibitor and cisplatin,
510 suggesting its intact carboxy-terminus provides partial function. Moreover, Wang et al
511 showed that PARP inhibitor and cisplatin resistant clones of the SUM1315MO2 cell
512 line had increased expression of the “RING-less” BRCA1 variant that results from
513 translation at an alternative start site (Wang et al. 2016b). Ectopic overexpression of
514 this BRCA1 variant resulted in partial resistance to PARP inhibitor and cisplatin *in*
515 *vitro* and *in vivo*. Interestingly, Drost et al did not observe increased expression of the
516 “RING-less” BRCA1 consistently in cisplatin resistant clones. The existence of partial
517 function mutants warrants a better understanding of how specific mutations impact
518 response to PARP inhibitor, cisplatin and other therapies and the clinical
519 management of BRCA-deficient breast and other types of cancers.

520

521 **5.2 Loss of 53BP1 expression**

522 BRCA1 and 53BP1 play important roles in choice of DSB repair by HR or NHEJ:
523 BRCA1 promotes HR while 53BP1 tips the balance in favour of NHEJ. Several
524 groups have shown that loss of the 53bp1 in a *Brca1-null* or *Brca1Δexon11* mice
525 rescues embryonic lethality observed in *Brca1*-deficient mice (Bouwman et al. 2010;
526 Bunting et al. 2010; Cao, et al. 2009). *Brca1/53bp1*-deficient cells and mice also
527 have restored growth, decreased chromosomal aberrations, increased RAD51 foci
528 formation and at least partially restore HR relative to *Brca1*-deficient mice.
529 Importantly, the loss of 53bp1 in *Brca1*-deficient mice renders them resistant to
530 PARP inhibitor. Additionally, a subset of olaparib-resistant *Brca1/P*-glycoprotein-

531 deficient murine tumours had lost 53bp1 expression, while several others had
532 heterogeneous expression (Jaspers, et al. 2013). Bouwman et al also found reduced
533 53BP1 expression in clinical *BRCA1/2*-associated and triple negative breast cancers
534 (Bouwman et al. 2010). Lower 53BP1 expression was correlated with lower
535 metastasis free survival, presumably due to reduced response to therapy. Together
536 these data suggested that 53BP1 loss in a *BRCA1*-deficient background is a
537 mechanism of PARP inhibitor resistance in mice and humans. The prevalence of
538 53BP1 loss in patients with *BRCA1*-associated and triple negative breast cancer
539 remains to be determined.

540

541 **5.3 Replication fork protection**

542 Studies investigating the mechanisms that mediate replication fork stability in the
543 absence of *BRCA1* or *BRCA2* have led to a number of additional mechanisms of
544 drug resistance to be proposed. As discussed earlier, replication forks in *BRCA1/2*
545 mutant cells are liable to degradation via MRE11 (Pathania et al. 2014; Schlacher et
546 al. 2011; Schlacher et al. 2012). Chaudhuri et al recently found that that in *Brca2*
547 mutant cells, loss of PTIP improved cell viability, protected HU-stalled replication
548 forks from MRE11-mediated degradation and decreased genetic instability
549 (Chaudhuri, et al. 2016). These effects were not caused by restoration of HR, but are
550 best explained by PTIP's role in localising MRE11 to replication forks; in the absence
551 of PTIP, replication fork degradation via MRE11 was reduced, which in turn led to a
552 reduction in replication fork degradation. Chaudhuri et al also found that *BRCA1/2*-
553 deficient cells with co-occurring PTIP defects also showed a reduced number of
554 chromosomal abnormalities when exposed to either cisplatin or a PARP inhibitor,
555 suggesting that these processes could influence *BRCA1/2* mutant tumour cell
556 response to therapy (Chaudhuri et al. 2016). Indeed, in a series of *Brca2*-deficient,
557 PARP inhibitor resistant, mouse tumours, RAD51 foci formation was not restored but
558 replication fork degradation after HU challenge was reduced, suggesting that HR

559 restoration was not the cause of drug resistance in this case, but that restoration of
560 fork stability could be (Chaudhuri et al. 2016). Taken together, this data provide a
561 case for assessing biomarkers of replication fork stability in clinical trials involving
562 *BRCA1/2* mutant cancer patients.

563

564 **5.4 PARP inhibitor resistance due to increased efflux**

565 Increased efflux of PARP inhibitor from cancer cells also contributes to PARP
566 inhibitor resistance in the *BRCA1/2* mutation context. Rottenberg et al showed that
567 mammary tumours in *Brca1/p53* double-mutant mice that are initially very responsive
568 to olaparib eventually become resistant to the drug (Rottenberg, et al. 2008). PARP
569 inhibitor resistance in these tumours is mediated by increased expression of P-
570 glycoprotein (Pgp) transporter genes *Abcb1a* and *Abcb1b* and can be reversed by
571 inhibiting Pgp activity with tariquidar. Knock out of the Pgp *Mdr 1a/b* gene in a *Brca1*
572 mutant background improved response of mammary tumours to PARP inhibitor,
573 though they eventually became resistant due to other mechanisms (Jaspers et al.
574 2013). Additionally, multidrug resistance, including to olaparib, observed in a *Brca2*-
575 mutated mouse model of mammary mesenchymal carcinosarcomas was, in part, due
576 to increased Pgp expression (Jaspers, et al. 2015). Though increased efflux via Pgp
577 transporter upregulation leads to PARP inhibitor resistance in *Brca1* and *Brca2*
578 mutant mouse models of breast cancer, it has yet to be reported in the clinic.

579

580 **6. BRCAness**

581

582 In addition to patients with germline *BRCA1* or *BRCA2* gene mutations (*gBRCA*), it
583 seems very likely that significant numbers of cancer patients without *gBRCA*
584 mutations have tumours that resemble, at the molecular and histological level,
585 *gBRCA* mutant tumours, a phenomenon termed BRCAness (reviewed recently in
586 (Lord and Ashworth 2016)). In some cases, these shared molecular features might
587 also drive the same defect in HR that could lead to sensitivity to BRCA synthetic

588 lethal treatments such as PARP inhibitors.

589 BRCAness might be driven by several different mechanisms. With the onset of large-
590 scale tumour sequencing, it is clear that in addition to germ-line *BRCA* gene
591 mutations, a significant proportion of non-familial cancers have somatic alterations in
592 *BRCA1*, *BRCA2* or the growing number of genes associated with HR. For example,
593 triple negative breast cancers, HGSOvCa, metastatic, castration-resistant prostate
594 cancer and pancreatic ductal adenocarcinomas exhibit somatic alterations in *BRCA1*,
595 *BRCA2* or BRCAness genes such as *ATM*, *ATR*, *BAP1*, *CDK12*, *CHK1*, *CHK2*, the
596 Fanconi anemia proteins (*FANCA*, *C*, *D2*, *E*, *F*), *PALB2*, *NBN*, *WRN*, the *RAD51*
597 homologs *RAD51B*, *C* and *D*, *MRE11A*, *BLM* and *BRIP1* (reviewed in (Lord and
598 Ashworth 2016)). Many of these genes have been shown in pre-clinical models to
599 cause PARP inhibitor sensitivity when dysfunctional (Bajrami, et al. 2014; Blazek, et
600 al. 2011; Joshi, et al. 2014; McCabe, et al. 2006), extending the causative link
601 between HR dysfunction and sensitivity to these drugs.

602 There is also growing evidence for BRCAness in tumours that have a particular
603 spectrum or pattern of mutations. One of the key observations made from the
604 genomic profiling of tumours is the classification of tumours according to the type of
605 mutations they possess, a *mutational scar*, rather than the specific genes that are
606 mutated. In some instances, these mutational scars reflect the natural history of a
607 tumour, and particularly the types of DNA damage and repair that have molded the
608 genome over successive cell cycles. For example, *BRCA1* and *BRCA2* mutant
609 tumours exhibit a mutational scar that appears to be caused by the elevated use of
610 NHEJ, a DNA repair process that predominates in the absence of HR. For example,
611 recent work from Nik-Zainal and colleagues, based on data from the whole-genome
612 sequences of 560 breast tumours, confirmed the presence of three distinct genomic
613 rearrangement signatures associated with loss of HR in tumours, each characterised
614 by tandem DNA duplications or deletions; one of these signatures appears to be

615 associated with loss of BRCA1 function, the second being associated with defective
616 BRCA1 or BRCA2, with the etiology of the third signature remaining largely unknown
617 (Nik-Zainal, et al. 2016). The discovery of these genomic signatures in part reflects
618 observations made in genetically engineered mouse cell lines with either *Brca1* or
619 *Brca2* mutations, where the use of non-conservative forms of DNA repair such as
620 NHEJ results in an elevated frequency of DNA deletions flanked by short, tandem
621 DNA repeats at the break points of the deletion (Moynahan et al. 2001b; Tutt, et al.
622 2001; Xia, et al. 2001). Similar mutational scars to those seen in *gBRCA* mutant
623 tumours are also seen in non-*gBRCA* mutant tumours, and even in those without a
624 detectable germline or somatic alteration in an HR gene, suggesting that similar DNA
625 repair defects might be operating in these tumours. Importantly, there is now a
626 growing body of evidence that suggests that the presence of such BRCAness
627 mutational scars also correlates with clinical responses to HR targeting agents such
628 as platinum salts and PARP inhibitors (Birkbak, et al. 2013), correlations which are
629 driving the development of clinically applicable BRCAness mutational scar assays.
630 Most of these assays use genome-wide DNA copy number profiling to estimate the
631 extent of chromosomal rearrangements characteristic of an HR defect (Birkbak et al.
632 2013).

633

634 **6. Extending the utility of the synthetic lethal paradigm**

635 It seems reasonable to question whether synthetic lethality as a concept might have
636 a wider applicability in the search for optimised treatments for breast cancer. The
637 progress in the molecular profiling of breast tumours means that there is now a
638 working list of driver gene defects in the disease that in principle could be targeted
639 with a synthetic lethal approach. For example, many of the tumour suppressor gene
640 defects that recurrently occur in breast cancer, such as *TP53*, *PTEN* and *RB1* might
641 be amenable to synthetic lethal approaches and already a number of candidate

642 synthetic lethal targets for these genes have been identified (Edgar, et al. 2005;
643 Emerling, et al. 2013; Gordon and Du 2011; Mendes-Pereira, et al. 2012; Mereniuk,
644 et al. 2013; Morandell, et al. 2013; Origanti, et al. 2013; Reaper, et al. 2011). Many of
645 the efforts to identify synthetic lethal interactions that are relevant to breast cancer
646 have been driven by advances in functional genomic approaches such as RNA
647 interference screening and more recently CRISPR based screens (Gilbert, et al.
648 2014; Morgens, et al. 2016; Wang, et al. 2015). The synthetic lethal approach might
649 also be applied to target relatively common oncogene amplification events in breast
650 cancer such as *MYC* amplification, which is present in over 22% of all breast tumours
651 (Cerami, et al. 2012; Ciriello, et al. 2015; Gao, et al. 2013). *MYC* encodes a
652 transcription factor, which might be challenging to directly target with drug-like small
653 molecules, and so employing synthetic lethal strategies to targeting *MYC*
654 amplification seems a reasonable approach. Already synthetic lethal interactions
655 between *MYC* and the DR5 death receptor pathway (Wang, et al. 2004) or inhibition
656 of the spliceosome in *MYC*-dependent breast tumours have been identified (Hsu, et al.
657 2015). This latter observation might be explained by an increased dependency in
658 *MYC* amplified tumours upon pre-mRNA processing (Hsu et al. 2015).

659

660 Whilst there are clearly opportunities to more widely exploit the synthetic lethal
661 concept in breast cancer, there are also clear challenges. For a synthetic lethal effect
662 to be clinically actionable and to have significant utility, there are certain qualities the
663 synthetic lethal relationship must exhibit, many of which are common to all ideal
664 therapeutic approaches, synthetic lethal or not. Firstly, the therapeutic window
665 between tumour and normal cell inhibition/toxicity achieved with the synthetic lethal
666 target must be profound. Secondly, ideal synthetic lethal effects must be highly
667 penetrant – i.e. the presence of the predictive biomarker (e.g. a mutation in a breast
668 cancer driver gene) must be highly predictive of sensitivity to inhibition of the
669 synthetic lethal target; if this is not the case then a novel synthetic lethal treatment

670 might only work in a minority of patients or a minority of tumour cell clones within an
671 individual. Thirdly, ideal synthetic lethal interactions must be relatively resilient to
672 additional molecular changes that might reverse the synthetic lethal effect; this is
673 critical if clinical synthetic lethal effects are to be effective in breast tumours, whose
674 inherent molecular heterogeneity and ability to evolve and survive in the face of
675 negative selective pressure is well documented (Alizadeh, et al. 2015; Brooks, et al.
676 2015). Despite advances in the ability to identify synthetic lethal effects in breast
677 tumour cells, somewhat less attention is often given to whether these effects also
678 fulfill these ideal criteria.

679

680 One concept that might gain further scrutiny in the future is the idea of exploiting
681 combinations of different synthetic lethal effects in the same tumour, each of which
682 focuses on a different breast cancer driver gene or phenotype. For example, in triple
683 negative breast cancers with germline or somatic *BRCA* gene mutations, *TP53*
684 mutations also co-occur. It seems reasonable to suggest that a drug combination
685 strategy that involves a PARP inhibitor (to synthetically lethal target the *BRCA* gene
686 defect), used alongside a *TP53* synthetic lethal therapy, might be more effective than
687 PARP inhibitor monotherapy which might be limited by the emergence of secondary
688 mutant *BRCA1/2* alleles. This idea of targeting multiple co-occurring driver mutations
689 in the same tumour might be most effective when mutations that occur early on in the
690 disease process, and so are more likely present in the majority of subclones in a
691 tumour, are selected.

692

693 **7. Conclusions and future prospects**

694 The cloning of *BRCA1* and *BRCA2* stimulated a large body of work, from many
695 investigators, that ultimately resulted in the first clinically approved treatment for a
696 genetically defined cancer syndrome. Whilst this work provides a very compelling

697 narrative that illustrates the impact pre-clinical and clinical research can have,
698 several important questions still remain. Some of these pertain directly to the use of
699 PARP inhibitors whilst others are also relevant to the treatment of cancer in general.
700 For example, although olaparib has been approved for use as a maintenance
701 therapy after platinum treatment in HGSOv cancer, a role for first line PARP inhibitor
702 treatment in *BRCA1* or *BRCA2* mutant patients, or those with BRCAness, remains to
703 be established. There is also very little understood about what might constitute the
704 optimal drug combination strategies involving PARP inhibitors or how patients with
705 PARP inhibitor resistance might best be treated. It seems reasonable to suggest that
706 some of the answers to these questions will come from clinical studies but also will
707 be informed by pre-clinical research and a continued focus on the molecular biology
708 of the *BRCA1* and *BRCA2* genes. More generally, the wider clinical applicability of
709 the synthetic lethal concept is still not established, although it is hoped the continued
710 pre-clinical research activity in this area will ultimately lead to further clinical trials
711 drug approvals that deliver more effective treatments of cancer patients.

712

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716

717

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719

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727

728 **Figure and Table legends**

729 **Figure 1. Oncogene addiction and synthetic lethality.** Oncogene and tumour
730 suppressor gene mutations drive the oncogenic process. As well s driving the
731 oncogenic process, alterations in oncogenes and tumour suppressor also impart
732 upon tumour cells a distinct set of genetic dependencies not present in normal cells,
733 known as oncogene addictions, non-oncogene addictions and synthetic lethal
734 effects. **A.** Oncogene addiction is the situation where a tumour cell becomes totally
735 dependent on the activity of a mutated gene (Gene A is shown as an example). An
736 analogous scenario, known as non-oncogene addiction exists when tumour cells with
737 an alteration in an oncogene A, become addicted to the activity of a non-oncogene,
738 B. **B.** Synthetic lethality is a scenario where loss of either gene A or gene B function
739 is tolerated but simultaneous loss of both genes is not. In normal cells inhibition of
740 either A or B does not result in cell death. In tumour cells where gene B is rendered
741 dysfunctional (for example by mutation) inhibition of gene A results in cell death.

742

743 **Figure 2. DNA lesions causing replication fork collapse.** A working model
744 of the DNA damage response (DDR) to replication fork stalling agents is shown.
745 Drug-like PARP inhibitors trap PARP on DNA. Likewise, Topoisomerase poisons trap

746 topoisomerase enzymes on DNA. Platinum salts cause DNA cross-links. Each of
747 these events stalls the progression of replication forks in S phase. Stalled forks often
748 collapse, forming DNA double strand DNA breaks. DSBs in this setting are often
749 cytotoxic if not repaired. The normal DNA repair process, homologous
750 recombination, is controlled by BRCA1 and BRCA2. BRCA1 function is required for
751 the processing of DNA ends prior to repair, a process known as end resection. Once
752 end resection is complete, BRCA2 localises the key DNA recombinase enzyme,
753 RAD51, to DNA at the site of DNA damage. The binding of RAD51 to DNA allows
754 damaged DNA to invade an intact DNA double helix with homologous DNA
755 sequence to that at the site of DNA damage (often in the sister chromatid), which is
756 used as a template upon which to synthesise new DNA as part of the DNA repair
757 process. In the absence of functional BRCA1 and BRCA2, cells either fail to
758 effectively repair DNA (which can lead to apoptosis), or to utilise orthogonal DNA
759 repair processes such as Non Homologous End Joining, which increase the
760 frequency of complex DNA rearrangements, events that ultimately impair the fitness
761 of cells.

762

763 **Figure 3: Mechanisms of PARP inhibitor resistance in BRCA1/2-**
764 **associated cancers.** Loss of PARP inhibitor resistance in BRCA1/2 mutated
765 cancers can occur via (1) restoration of BRCA1/2 function and HR by secondary
766 intragenic BRCA1/2 mutations, expression of hypomorphic BRCA1 alleles,
767 stabilization of mutant BRCA proteins and demethylation of the *BRCA1* promoter, (2)
768 restoration of HR as a result of relief from 53BP1 mediated block on end-resection
769 (only in *BRCA1* mutant tumour cells), (3) protection of replication forks, from MRE11-
770 mediated degradation, due to loss of PTIP, CHD4 or PARP1 expression and (4)
771 increased efflux of PARP inhibitor from cancer cells as a result of increased P-
772 glycoprotein expression.

773

774 **Declaration of interest statement**

775 CJL is a named inventor on patents describing the use of PARP inhibitors and stands
776 to gain from their use as part of the ICR Rewards to Inventors Scheme.

777

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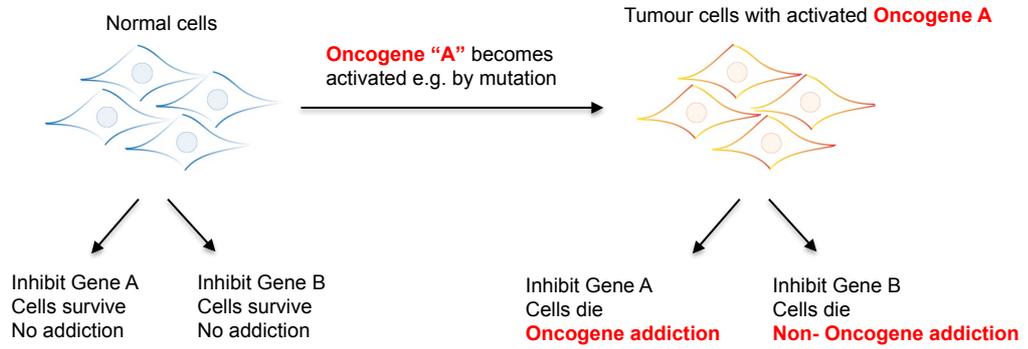
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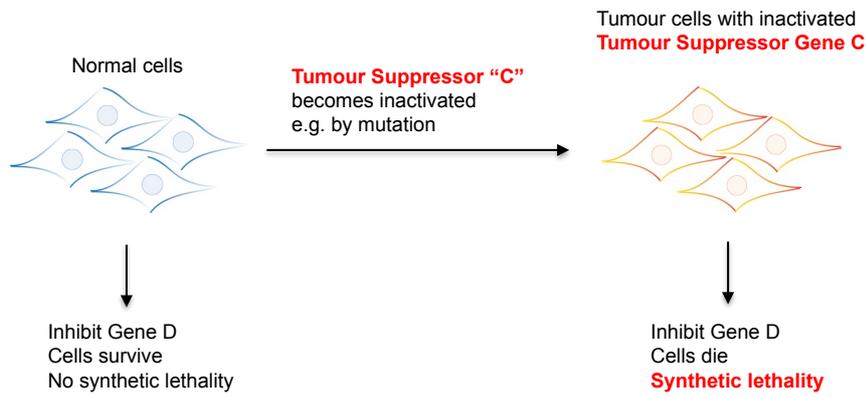
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Figure 1.

A.



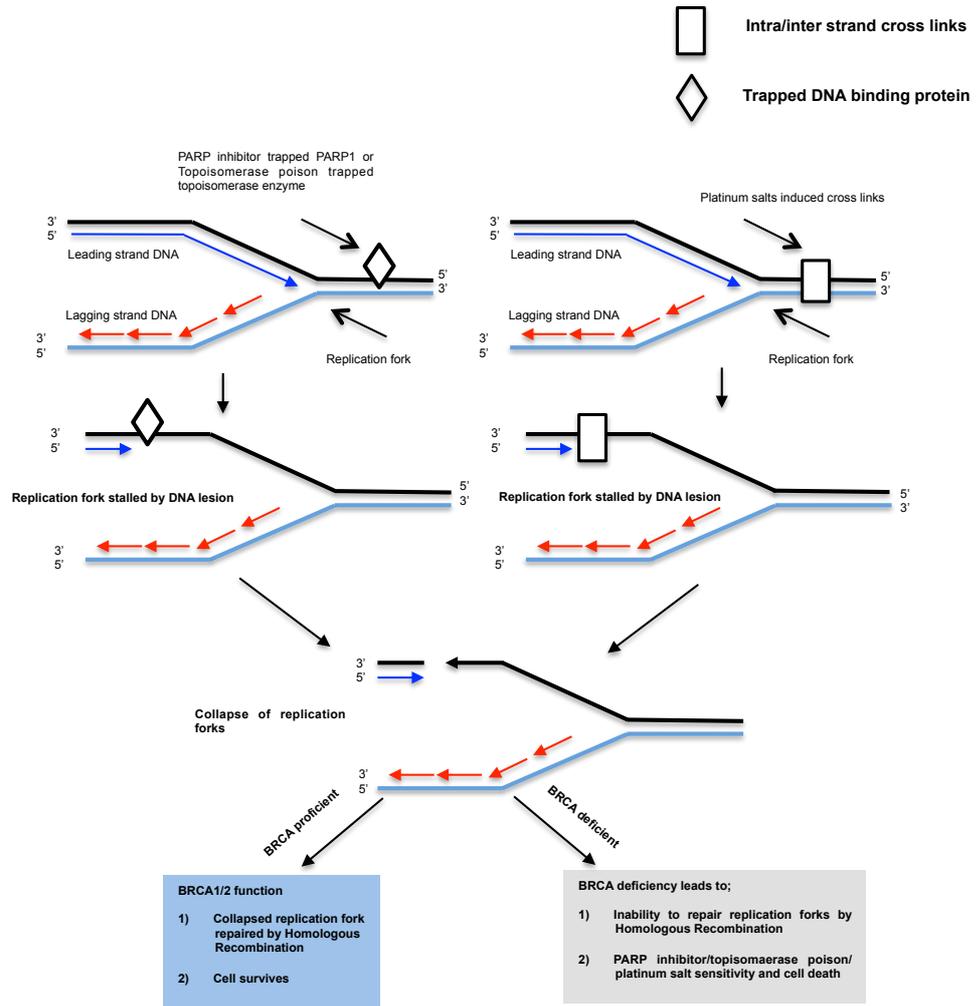
B.



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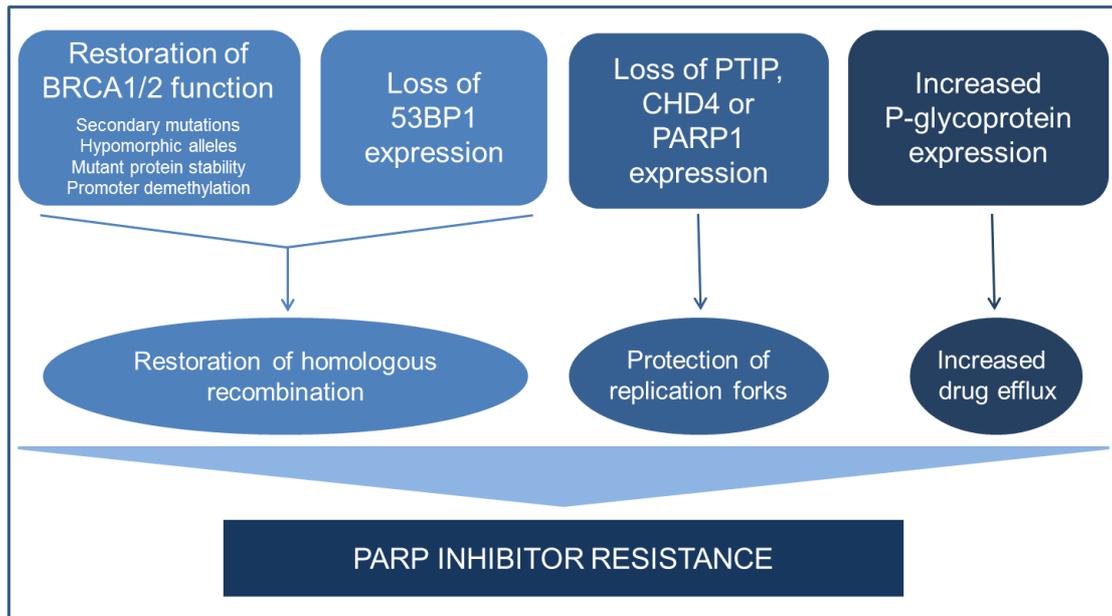
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Figure 2



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